## **Daml SDK Documentation**



## **Digital Asset**

Version: 1.12.0-snapshot.20210331.6640.0.4b807899

# **Table of contents**

Та	Table of contents							
1	Getting started 1							
	1.1	Install	ing the SDK	1				
		1.1.1	1. Install the dependencies	1				
		1.1.2	2. Install the SDK	1				
		1.1.3	Installing the Enterprise Edition	1				
		1.1.4	Next steps	2				
		1.1.5	Alternative: manual download	2				
	1.2	Getting	g Started with Daml	5				
		1.2.1	Prerequisites	5				
		1.2.2	Running the app	6				
	1.3	App Ar	chitecture	9				
		1.3.1	The Daml Model	10				
		1.3.2	TypeScript Code Generation	11				
		1.3.3	The UI	12				
	1.4	Your Fi	irst Feature	13				
		1.4.1	Daml Changes	13				
		1.4.2	Running the New Feature	14				
		1.4.3	Messaging UI	14				
		1.4.4	Running the updated UI	15				
		1.4.5	Next Steps	17				
	1.5	_	g Your App	17				
		1.5.1	Setting up our tests	17				
		1.5.2	Example: Logging in and out	18				
		1.5.3	Accessing UI elements	18				
		1.5.4	Writing CSS Selectors	19				
		1.5.5	The Full Test Suite	19				
	117.21	D						
2		ing Dan		20				
	2.1			20				
		2.1.1	1 Basic contracts					
		2.1.2	2 Testing templates using Daml Script					
		2.1.3	3 Data types					
		2.1.4		43				
		2.1.5		50				
		2.1.6	•	59				
		2.1.7		68 76				
		2.1.8		76				
		2.1.9	9 Functional Programming 101	79				

	2.1.10	10 Intro to the Daml Standard Library	91
	2.1.11	11 Testing Daml Contracts	96
2.2	Langua	age reference docs	101
	2.2.1	Overview: template structure	101
	2.2.2	Reference: templates	103
	2.2.3	Reference: choices	106
	2.2.4	Reference: updates	110
	2.2.5	Reference: data types	113
	2.2.6	Reference: built-in functions	119
	2.2.7	Reference: expressions	121
	2.2.8	Reference: functions	
	2.2.9	Reference: scenarios	
	2.2.10	Reference: Daml file structure	
	2.2.11	Reference: Daml packages	
	2.2.12	Contract keys	
2.3		andard library	
2.0	2.3.1	Module Prelude	
	2.3.2	Module DA.Action	
	2.3.3	Module DA.Action.State	
	2.3.4	Module DA.Action.State.Class	
	2.3.5	Module DA.Assert	
	2.3.6	Module DA.Assert	
	2.3.7		
	2.3.8	Module DA.Date	
	2.3.8	Module DA.Foldable	
	2.3.10	Module DA.Functor	
	2.3.11	Module DA.List	
	2.3.12	Module DA.List.BuiltinOrder	
	2.3.13	Module DA.List.Total	
	2.3.14	Module DA.Logic	
	2.3.15	Module DA.Map	
	2.3.16	Module DA.Math	
	2.3.17	Module DA.Monoid	
	2.3.18	Module DA.Next.Map	
	2.3.19	Module DA.Next.Set	
	2.3.20	Module DA.NonEmpty	
	2.3.21	Module DA.NonEmpty.Types	
	2.3.22	Module DA.Numeric	
	2.3.23	Module DA.Optional	
	2.3.24	Module DA.Optional.Total	
	2.3.25	Module DA.Record	
	2.3.26	Module DA.Semigroup	
	2.3.27	Module DA.Set	
	2.3.28	Module DA.Stack	201
	2.3.29	Module DA.Text	202
	2.3.30	Module DA.TextMap	205
	2.3.31	Module DA.Time	
	2.3.32	Module DA.Traversable	207
	2.3.33	Module DA.Tuple	207
	2.3.34	Module DA.Validation	208
2.4	Testing	gusing scenarios	209

		2.4.1	Scenario syntax	209
		2.4.2	Running scenarios in Daml Studio	210
		2.4.3	Examples	210
	2.5	Trouble	eshooting	212
		2.5.1	Error: <x> is not authorized to commit an update</x>	212
		2.5.2	Error Argument is not of serializable type	212
		2.5.3	Modeling questions	212
		2.5.4	Testing questions	214
	2.6	Good d	lesign patterns	215
		2.6.1	Initiate and Accept	215
		2.6.2	Multiple party agreement	217
		2.6.3	Delegation	220
		2.6.4	Authorization	222
		2.6.5	Locking	225
		2.6.6	Diagram legends	233
3	Build			234
	3.1	Applica	ation architecture	
		3.1.1	Backend	
		3.1.2	Frontend	
		3.1.3	Authorization	
		3.1.4	Developer workflow	
	3.2		ript Client Libraries	
		3.2.1	JavaScript Code Generator	
		3.2.2	@daml/react	
		3.2.3	@daml/ledger	245
		3.2.4	@daml/types	245
	3.3	HTTP J	SON API Service	
		3.3.1	Daml-LF JSON Encoding	
		3.3.2	Query language	
		3.3.3	Running the JSON API	254
		3.3.4	HTTP Status Codes	258
		3.3.5	Create a new Contract	
		3.3.6	Creating a Contract with a Command ID	261
		3.3.7	Exercise by Contract ID	262
		3.3.8	Exercise by Contract Key	
		3.3.9	Create and Exercise in the Same Transaction	264
		3.3.10	Fetch Contract by Contract ID	
		3.3.11	Fetch Contract by Key	267
		3.3.12	Get all Active Contracts	
		3.3.13	Get all Active Contracts Matching a Given Query	269
		3.3.14	Fetch Parties by Identifiers	271
		3.3.15	Fetch All Known Parties	272
		3.3.16	Allocate a New Party	272
		3.3.17	List All DALF Packages	273
		3.3.18	Download a DALF Package	274
		3.3.19	Upload a DAR File	274
		3.3.20	Streaming API	
		3.3.21	Healthcheck Endpoints	280
	3.4	Daml S	Script	280
		3.4.1	Daml Script Library	280

		3.4.2	Usage
		3.4.3	Using Daml Script for Ledger Initialization
		3.4.4	Using Daml Script in Distributed Topologies
		3.4.5	Running Daml Script against Ledgers with Authorization 289
		3.4.6	Running Daml Script against the HTTP JSON API
	3.5	Daml R	EPL
		3.5.1	Usage
		3.5.2	What is in scope at the prompt?
		3.5.3	Using Daml REPL without a Ledger
		3.5.4	Connecting via TLS
		3.5.5	Connection to a Ledger with Authorization
		3.5.6	Using Daml REPL to convert to JSON
	3.6		ling and Extending Daml applications
	5.0	3.6.1	Extending Daml applications
		3.6.2	Upgrading Daml applications
	0.7	3.6.3	Automating the Upgrade Process
	3.7		ization
		3.7.1	Introduction
		3.7.2	Access tokens and claims
		3.7.3	Getting access tokens 304
		3.7.4	Using access tokens 304
	3.8	The Lec	lger API
		3.8.1	The Ledger API services
		3.8.2	gRPC
		3.8.3	Ledger API Reference
		3.8.4	How Daml types are translated to protobuf
		3.8.5	How Daml types are translated to Daml-LF
		3.8.6	Java bindings
		3.8.7	Scala bindings
		3.8.8	Node.js bindings
		3.8.9	Creating your own bindings
		3.8.10	What's in the Ledger API
		3.8.11	Daml-LF
4	Depl	oying to	Daml ledgers 404
	4.1	Overvie	ew of Daml ledgers
		4.1.1	Commercial Integrations
		4.1.2	Open Source Integrations
		4.1.3	Daml Ledgers in Development
	4.2		ing to a generic Daml ledger
		4.2.1	Connecting via TLS
		4.2.2	Configuring Request Timeouts
	4.3		edger Topologies
	4.0	4.3.1	Global State Topologies
		4.3.1	
		4.3.2	Partitioned Ledger Topologies
5	Oper	ating D	aml 411
	5.1		articipant pruning
	0.1	5.1.1	Impacts on Daml applications
		5.1.2	How the Daml Ledger API is affected
		5.1.2	Other limitations
		5.1.4	How Pruning affects Index DB administration

		5.1.5	Determining a suitable pruning offset	413			
6	Developer Tools 41						
	6.1		Assistant (daml)	414			
		6.1.1	Full help for commands	414			
		6.1.2	Configuration files	414			
		6.1.3	Building Daml projects				
		6.1.4	Managing releases				
		6.1.5	Terminal Command Completion				
		6.1.6	Running Commands outside of the Project Directory	418			
	6.2	Daml 9	Studio	418			
		6.2.1	Installing	418			
		6.2.2	Creating your first Daml file	418			
		6.2.3	Supported features	420			
		6.2.4	Common scenario errors	424			
		6.2.5	Working with multiple packages	427			
	6.3	Daml S	Sandbox	428			
		6.3.1	Contract Identifier Generation	429			
		6.3.2	Running with persistence	429			
		6.3.3	Running with authentication	430			
		6.3.4	Running with TLS				
		6.3.5	Command-line reference	432			
		6.3.6	Metrics				
	6.4	_	ator				
		6.4.1	Navigator functionality				
		6.4.2	Installing and starting Navigator				
		6.4.3	Choosing a party / changing the party				
		6.4.4	Logging out				
		6.4.5	Viewing templates or contracts				
		6.4.6	Using Navigator				
		6.4.7	Authorizing Navigator				
		6.4.8	Advanced usage				
	6.5		codegen				
			Introduction				
	0.0	6.5.2	Running the Daml codegen				
	6.6		Profiler				
		6.6.1	Usage				
		6.6.2	Caveats	452			
7	Back	ground	d concepts	453			
	7.1		ary of concepts				
		7.1.1	Daml				
		7.1.2	Developer tools				
		7.1.3	Building applications				
		7.1.4	General concepts				
	7.2		Ledger Model				
		7.2.1	Structure				
		7.2.2	Integrity				
		7.2.3	Privacy				
		7.2.4	Daml: Defining Contract Models Compactly				
	7.3		ty and Package Management				
				490			

		7.3.2	Package Management	91
	7.4	Time		93
		7.4.1	Ledger time	93
		7.4.2	Record time	
		7.4.3	Guarantees	
		7.4.4	Ledger time model	93
		7.4.5	Assigning ledger time	
	7.5		lity and Local Ledgers	
		7.5.1	Causality examples	
		7.5.2	Causality graphs	
		7.5.3	Local ledgers	01
8	Exan	nples	50	)5
9	Early	Acces:	s Features 50	)6
	9.1		tor	
		9.1.1	Introduction	
		9.1.2	Setting up	
		9.1.3	Trying it out	
		9.1.4	Running the Extractor	
		9.1.5	Connecting the Extractor to a ledger	
		9.1.6	Connecting to your database	
		9.1.7	Authorize Extractor	
		9.1.8	Full list of options	
		9.1.9	Output format	
		9.1.10	Transactions	
		9.1.11	Contracts	10
		9.1.12	Exercises	511
		9.1.13	JSON format	12
		9.1.14	Examples of output	12
		9.1.15	Dealing with schema evolution	
		9.1.16	Logging	
		9.1.17	Continuity	15
		9.1.18	Fault tolerance	15
		9.1.19	Troubleshooting 51	15
	9.2	Daml I	Integration Kit	16
		9.2.1	Ledger API Test Tool	16
		9.2.2	Daml Integration Kit status and roadmap	20
		9.2.3	Implementing your own Daml Ledger	21
		9.2.4	Deploying a Daml Ledger	24
		9.2.5	Testing a Daml Ledger	25
		9.2.6	Benchmarking a Daml Ledger	
	9.3	Daml 1	Triggers - Off-Ledger Automation in Daml	
		9.3.1	Daml Trigger Library	25
		9.3.2	Usage	
		9.3.3	When not to use Daml triggers	
	9.4		izing Daml Contracts	
		9.4.1	Example: Visualizing the Quickstart project	
		9.4.2	Visualizing Daml Contracts - Within IDE	
		9.4.3	Visualizing Daml Contracts - Interactive Graphs	
	9.5	_	r Interoperability	
		951	Interoperability examples	43

		9.5.2	Multi-ledger causality graphs	545
		9.5.3	Ledger-aware projection	549
		9.5.4	Ledger API ordering guarantees	553
10	Dam	l Ecosys	stem	555
	10.1	Daml E	Ecosystem Overview	555
		10.1.1	Status Definitions	555
		10.1.2	Feature and Component Statuses	558
		10.1.3	Architecture	562
	10.2	Releas	es and Versioning	564
		10.2.1	Versioning	564
		10.2.2	Cadence	564
		10.2.3	Support Duration	564
		10.2.4	Release Notes	
		10.2.5	Roadmap	564
		10.2.6	Process	
	10.3	Portab	ility, Compatibility, and Support Durations	565
		10.3.1	Ledger API Compatibility: Application Portability	
		10.3.2	Driver and Participant Compatibility: Network Upgradeability	
		10.3.3	SDK, Runtime Component, and Library Compatibility: Daml Connect Upgrade-	
			ability	567
		10.3.4	Ledger API Support Duration	
	10.4	Getting	g Help	
		10.4.1	Support expectations	

## **Chapter 1**

# **Getting started**

### 1.1 Installing the SDK

#### 1.1.1 1. Install the dependencies

The Daml Connect SDK currently runs on Windows, macOS and Linux.

You need to install:

- 1. Visual Studio Code.
- 2. JDK 8 or greater. If you don't already have a JDK installed, try AdoptOpenJDK.

  As part of the installation process you might need to set up the JAVA\_HOME variable. You can find here the instructions on how to do it on Windows,macOS, and Linux.

#### 1.1.2 2. Install the SDK

#### 1.1.2.1 Windows 10

Download and run the installer, which will install Daml and set up your PATH.

#### 1.1.2.2 Mac and Linux

To install the SDK on Mac or Linux open a terminal and run:

```
curl -sSL https://get.daml.com/ | sh
```

The installer will setup the PATH variable for you. In order for it to take effect, you will have to log out and log in again.

#### 1.1.3 Installing the Enterprise Edition

If you have a license for the enterprise edition of Daml Connect, you can install it as follows:

On Windows, download the installer from **Artifactory\_** instead of Github releases. On Linux and MacOS download the corresponding tarball, extract it and run ./install.sh. Afterwards, modify the *global daml-config.yaml* and add an entry with your Artifactory API key. The API key can be found in your Artifactory user profile.

```
artifactory-api-key: YOUR_API_KEY
```

This will be used by the assistant to download other versions automatically from artifactory.

If you already have an existing installation, you only need to add this entry to daml-config.yaml. To overwrite a previously installed version with the corresponding enterprise edition, use daml install --force VERSION.

#### 1.1.4 Next steps

Follow the getting started guide.

Use daml --help to see all the commands that the Daml assistant (daml) provides.

If the daml command is not available in your terminal after logging out and logging in again, you need to set the PATH environment variable manually. You can find instructions on how to do this here.

If you run into any other problems, you can use the support page to get in touch with us.

#### 1.1.5 Alternative: manual download

If you want to verify the SDK download for security purposes before installing, you can look at our detailed instructions for manual download and installation.

#### 1.1.5.1 Setting JAVA\_HOME and PATH variables

#### Windows

We'll explain here how to set up JAVA HOME and PATH variables on Windows.

#### Setting the JAVA\_HOME variable

- 1. Open Search and type advanced system settings and hit Enter.
- 2. Find the Advanced tab and click on the Environment Variables.
- 3. In the System variables section click on New if you want to set JAVA\_HOME system wide. To set JAVA\_HOME for a single user click on New under User variables.
- 4. In the opened modal window for Variable name type JAVA\_HOME and for the Variable value set the path to the JDK installation. Click OK once you're done.
- 5. Click OK and click Apply to apply the changes.

#### Setting the PATH variable

If you have downloaded and installed the SDK using our Windows installer your PATH variable is already set up.

#### Mac OS

First, you need to figure out whether you are running Bash or zsh. To do that, open a Terminal and run:

echo \$SHELL

This should return either /bin/bash, in which case you are running Bash, or /bin/zsh, in which case you are running zsh. We provide instructions for both, but you only need to follow the instructions for the one you are using.

If you get any other output, you have a non-standard setup. If you're not sure how to set up environment variables in your setup, please come and ask on the Daml forum and we will be happy to help.

Open a terminal and run the following commands. Typos are a big problem here so copy/paste one line at a time if possible. None of these should produce any output on success. If you are running bash, run:

```
echo 'export JAVA_HOME="$(/usr/libexec/java_home)"' >> ~/.bash_profile echo 'export PATH="$HOME/.daml/bin:$PATH"' >> ~/.bash_profile
```

#### If you are running zsh, run:

```
echo 'export JAVA_HOME="$(/usr/libexec/java_home)"' >> ~/.zprofile
echo 'export PATH="$HOME/.daml/bin:$PATH"' >> ~/.zprofile
```

For both shells, the above will update the configuration for future, newly opened terminals, but will not affect any exsting one. To test the configuration of <code>JAVA\_HOME</code> (on either shell), open a new terminal and run:

```
echo $JAVA_HOME
```

You should see the path to the JDK installation, which is something like /Library/Java/JavaVirtualMachines/jdk\_version\_number/Contents/Home.

Next, please verify the PATH variable by running (again, on either shell):

```
daml version
```

You should see a the header SDK versions: followed by a list of installed (or available) SDK versions (possibly a list of just one if you just installed).

If you do not see the expected outputs, please contact us on the <u>Daml forum</u> and we will be happy to help.

#### Linux

We'll explain here how to set up JAVA\_HOME and PATH variables on Linux for bash.

#### Setting the JAVA\_HOME variable

Java should be installed typically in a folder like /usr/lib/jvm/java-version. Before running the following command make sure to change the java-version with the actual folder found on your computer:

```
echo "export JAVA_HOME=/usr/lib/jvm/java-version" >> ~/.bash_profile
```

#### Setting the PATH variable

The installer will ask you and set the PATH variable for you. If you want to set the PATH variable manually instead, run the following command:

```
echo 'export PATH="$HOME/.daml/bin:$PATH"' >> ~/.bash_profile
```

#### Verifying the changes

In order for the changes to take effect you will need to restart your computer. After the restart, please follow the instructions below to verify that everything was set up correctly.

Please verify the JAVA\_HOME variable by running:

```
echo $JAVA_HOME
```

You should see the path you gave for the JDK installation, which is something like /usr/lib/jvm/java-version.

Next, please verify the PATH variable by running:

```
echo $PATH
```

You should see a series of paths which includes the path to the SDK, which is something like /home/your username/.daml/bin.

#### 1.1.5.2 Manually installing the SDK

If you require a higher level of security, you can instead install the Daml Connect SDK by manually downloading the compressed tarball, verifying its signature, extracting it and manually running the install script.

Note that the Windows installer is already signed (within the binary itself), and that signature is checked by Windows before starting it. Nevertheless, you can still follow the steps below to check its external signature file.

#### To do that:

- 1. Go to https://github.com/digital-asset/daml/releases. Confirm your browser sees a valid certificate for the github.com domain.
- 2. Download the artifact (Assets section, after the release notes) for your platform as well as the corresponding signature file. For example, if you are on macOS and want to install release 1.4.0, you would download the files daml-sdk-1.4.0-macos.tar.gz and daml-sdk-1.4.0-macos.tar.gz.asc. Note that for Windows you can choose between the tarball (ends in .tar.gz), which follows the same instructions as the Linux and macOS ones (but assumes you have a number of typical Unix tools installed), or the installer, which ends with .exe. Regardless, the steps to verify the signature are the same.
- 3. To verify the signature, you need to have gpg installed (see https://gnupg.org for more information on that) and the Digital Asset Security Public Key imported into your keychain. Once you have gpg installed, you can import the key by running:

This should come back with a key belonging to Digital Asset Holdings, LLC <security@digitalasset.com>, created on 2019-05-16 and expiring on 2021-05-15. If any of those details are different, something is wrong. In that case please contact Digital Asset immediately.

4. Once the key is imported, you can ask gpg to verify that the file you have downloaded has indeed been signed by that key. Continuing with our example of 1.4.0 on macOS, you should have both files in the current directory and run:

```
gpg --verify daml-sdk-1.4.0-macos.tar.gz.asc
```

and that should give you a result that looks like:

```
gpg: assuming signed data in 'daml-sdk-1.4.0-macos.tar.gz'
gpg: Signature made Wed Aug 12 13:30:49 2020 CEST
gpg: using RSA key E8372C0C1C734C51
gpg: Good signature from "Digital Asset Holdings, LLC
    →<security@digitalasset.com>" [unknown]
gpg: WARNING: This key is not certified with a trusted signature!
gpg: There is no indication that the signature belongs to the□
    →owner.
Primary key fingerprint: 4911 A8DF E976 ACDF A071 30DB E837 2C0C 1C73□
    →4C51
```

Note: This warning means you have not told gnupg that you trust this key actually belongs to Digital Asset. The [unknown] tag next to the key has the same meaning: gpg relies on a web of trust, and you have not told it how far you trust this key. Nevertheless, at this point you have verified that this is indeed the key that has been used to sign the archive.

5. The next step is to extract the tarball and run the install script (unless you chose the Windows installer, in which case the next step is to double-click it):

```
tar xzf daml-sdk-1.4.0-macos.tar.gz cd sdk-1.4.0 ./install.sh
```

6. Just like for the more automated install procedure, you may want to add ~/.daml/bin to your \$PATH.

## 1.2 Getting Started with Daml

The goal of this tutorial is to get you up and running with full-stack Daml development. We do this through the example of a simple social networking application, showing you three things:

- 1. How to build and run the application
- 2. The design of its different components (App Architecture)
- 3. How to write a new feature for the app (Your First Feature)

We do not aim to be comprehensive in all Daml concepts and tools (covered in *Writing Daml*) or in all deployment options (see *Deploying*). For a quick overview of the most important Daml concepts used in this tutorial open the Daml cheat-sheet in a separate tab. The goal is that by the end of this tutorial, you'll have a good idea of the following:

- 1. What Daml contracts and ledgers are
- 2. How a user interface (UI) interacts with a Daml ledger
- 3. How Daml helps you build a real-life application fast.

With that, let's get started!

#### 1.2.1 Prerequisites

Please make sure that you have the Daml Connect SDK, Java 8 or higher, and Visual Studio Code (the only supported IDE) installed as per instructions from our *Installing the SDK* page.

You will also need some common software tools to build and interact with the template project.

Git version control system

Node package manager for JavaScript. Note: On Ubuntu 18.04, NodeJS 8.10 will be installed but its too old.

A terminal application for command line interaction

#### 1.2.2 Running the app

We'll start by getting the app up and running, and then explain the different components which we will later extend.

First off, open a terminal, change to a folder in which to create your first application, and instantiate the template project.

```
daml new create-daml-app --template create-daml-app
```

This creates a new folder with contents from our template. To see a list of all available templates run daml new --list.

Change to the new folder:

```
cd create-daml-app
```

We can now run the app in two steps. You'll need two terminal windows running for this. In one terminal, at the root of the create-daml-app directory, run the command:

```
daml start
```

Any commands starting with daml are using the *Daml Assistant*, a command line tool in the SDK for building and running Daml apps.

You will know that the command has started successfully when you see the INFO com.daml. http.Main\$ - Started server: ServerBinding(/127.0.0.1:7575) message in the terminal. The command does a few things:

- 1. Compiles the Daml code to a DAR (Daml Archive) file.
- 2. Generates a JavaScript library in ui/daml.js to connect the UI with your Daml code.
- Starts an instance of the Sandbox, an in-memory ledger useful for development, loaded with our DAR.
- 4. Starts a server for the HTTP JSON API, a simple way to run commands against a Daml ledger (in this case the running Sandbox).

We'll leave these processes running to serve requests from our UI.

In a second terminal, navigate to the create-daml-app/ui folder and use npm to install the project dependencies:

```
cd create-daml-app/ui
npm install
```

This step may take a couple of moments (it's worth it!). You should see success Saved lockfile. in the output if everything worked as expected.

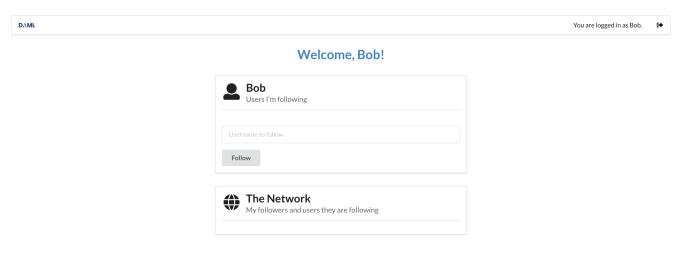
Now you can start the UI with:

```
npm start
```

This starts the web UI connected to the running Sandbox and JSON API server. The command should automatically open a window in your default browser at <a href="http://localhost:3000">http://localhost:3000</a>. Once the web UI has been compiled and started, you should see <a href="Compiled successfully">Compiled successfully</a>! in your terminal. If it doesn't, just open that link in a web browser. (Depending on your firewall settings, you may be asked whether to allow the app to receive network connections. It is safe to accept.) You should now see the login page for the social network. For simplicity of this app, there is no password or sign-up required. First enter your name and click Log in.

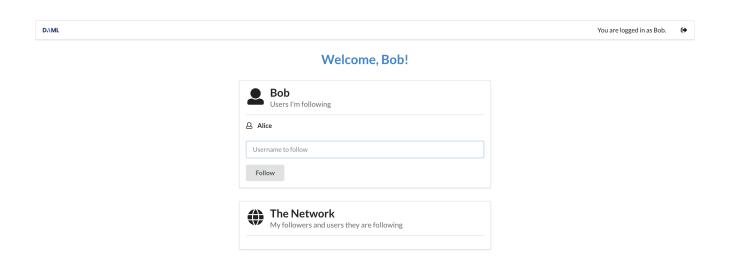


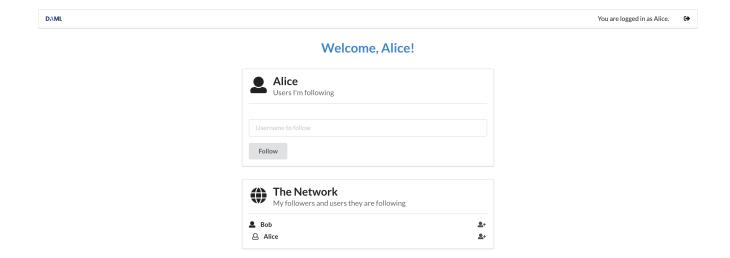
You should see the main screen with two panels. One for the users you are following and one for your followers. Initially these are both empty as you are not following anyone and you don't have any followers! Go ahead and start following users by typing their usernames in the text box and clicking on the Follow button in the top panel.



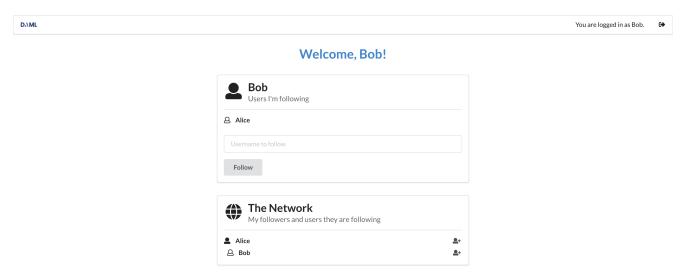
You'll notice that the users you just started following appear in the Following panel. However they do not yet appear in the Network panel. This is either because they have not signed up and are not parties on the ledger or they have not yet started following you. This social network is similar to Twitter and Instagram, where by following someone, say Alice, you make yourself visible to her but not vice versa. We will see how we encode this in Daml in the next section.

To make this relationship reciprocal, open a new browser window/tab at http://localhost:3000. (Having separate windows/tabs allows you to see both you and the screen of the user you are following at the same time.) Once you log in as the user you are following - Alice, you'll notice your name in her network. In fact, Alice can see the entire list of users you are following in the Network panel. This is because this list is part of the user data that became visible when you started following her.





When Alice starts following you, you can see her in your network as well. Just switch to the window where you are logged in as yourself - the network should update automatically.



Play around more with the app at your leisure: create new users and start following more users. Observe when a user becomes visible to others - this will be important to understanding Daml's privacy model later. When you're ready, let's move on to the architecture of our app.

**Tip:** Congratulations on completing the first part of the Getting Started Guide! Join our forum and share a screenshot of your accomplishment to get your first of 3 getting started badges! You can get the next one by *implementing your first feature*.

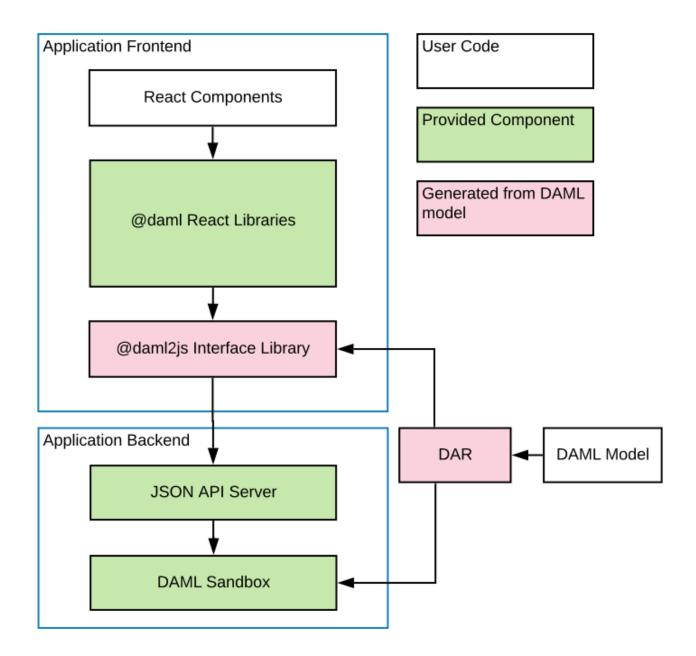
## 1.3 App Architecture

In this section we'll look at the different components of our social network app. The goal is to familiarize you enough to feel comfortable extending the code with a new feature in the next section. There are two main components:

the Daml model and the React/TypeScript frontend.

We generate TypeScript code to bridge the two.

Overall, the social networking app is following the recommended architecture of a fullstack Daml application. Below you can see a simplified version of the architecture represented in the app.



Let's start by looking at the Daml model, which defines the core logic of the application. Have the Daml cheat-sheet open in a separate tab for a quick overview of the most common Daml concepts.

#### 1.3.1 The Daml Model

In your terminal, navigate to the root create-daml-app directory and run:

daml studio

This should open the Visual Studio Code editor at the root of the project. (You may get a new tab pop up with release notes for the latest version of Daml Connect - just close this.) Using the file Explorer on the left sidebar, navigate to the daml folder and double-click on the User.daml file.

The Daml code defines the data and workflow of the application. Both are described in the User contract template. Let's look at the data portion first.

There are two important aspects here:

- 1. The data definition (a schema in database terms), describing the data stored with each user contract. In this case it is an identifier for the user and the list of users they are following. Both fields use the built-in Party type which lets us use them in the following clauses.
- 2. The signatories and observers of the contract. The signatories are the parties whose authorization is required to create or archive contracts, in this case the user herself. The observers are the parties who are able to view the contract on the ledger. In this case all users that a particular user is following are able to see the user contract.

Let's see what the signatory and observer clauses mean in our app more concretely. A user Alice can see another user Bob in the network only when Bob is following Alice (only if Alice is in the following list in his user contract). For this to be true, Bob must have previously started to follow Alice, as he is the sole signatory on his user contract. If not, Bob will be invisible to Alice.

Here we see two concepts that are central to Daml: *authorization* and *privacy*. Authorization is about who can *do* what, and privacy is about who can see what. In Daml we must answer these questions upfront, as they fundamentally change the design of an application.

The last part of the Daml model is the operation to follow users, called a choice in Daml.

Daml contracts are *immutable* (can not be changed in place), so the only way to update one is to archive it and create a new instance. That is what the Follow choice does: after checking some preconditions, it archives the current user contract and creates a new one with the new user to follow added to the list. Here is a quick explanation of the code:

The choice starts with the nonconsuming choice keyword followed by the choice name Follow.

The return type of a choice is defined next. In this case it is ContractId User.

After that we declare choice parameters with the with keyword. Here this is the user we want to start following.

The keyword controller defines the Party that is allowed to execute the choice. In this case, it is the username party associated with the User contract.

The do keyword marks the start of the choice body where its functionality will be written.

After passing some checks, the current contract is archived with archive self.

A new User contract with the new user we have started following is created (the new user is added to the following list).

This information should be enough for understanding how choices work in this guide. More detailed information on choices can be found in *our docs*.

Let's move on to how our Daml model is reflected and used on the UI side.

#### 1.3.2 TypeScript Code Generation

The user interface for our app is written in TypeScript. TypeScript is a variant of JavaScript that provides more support during development through its type system.

In order to build an application on top of Daml, we need a way to refer to our Daml templates and choices in TypeScript. We do this using a Daml to TypeScript code generation tool in the SDK.

To run code generation, we first need to compile the Daml model to an archive format (a .dar file). The daml codegen js command then takes this file as argument to produce a number of Type-Script packages in the output folder.

```
daml build daml codegen js .daml/dist/create-daml-app-0.1.0.dar -o daml.js
```

Now we have a TypeScript interface (types and companion objects) to our Daml model, which we'll use in our UI code next.

#### 1.3.3 The UI

On top of TypeScript, we use the UI framework React. React helps us write modular UI components using a functional style - a component is rerendered whenever one of its inputs changes - with careful use of global state.

Let's see an example of a React component. All components are in the ui/src/components folder. You can navigate there within Visual Studio Code using the file explorer on the left sidebar. We'll first look at App.tsx, which is the entry point to our application.

An important tool in the design of our components is a React feature called Hooks. Hooks allow you to share and update state across components, avoiding having to thread it through manually. We take advantage of hooks in particular to share ledger state across components. We use custom *Daml React hooks* to query the ledger for contracts, create new contracts, and exercise choices. This is the library you will be using the most when interacting with the ledger<sup>1</sup>.

The useState hook (not specific to Daml) here keeps track of the user's credentials. If they are not set, we render the LoginScreen with a callback to setCredentials. If they are set, then we render the MainScreen of the app. This is wrapped in the DamlLedger component, a React context with a handle to the ledger.

Let's move on to more advanced uses of our Daml React library. The MainScreen is a simple frame around the MainView component, which houses the main functionality of our app. It uses Daml React hooks to query and update ledger state.

The useParty hook simply returns the current user as stored in the DamlLedger context. A more interesting example is the allUsers line. This uses the useStreamQueries hook to get all User contracts on the ledger. (User.User here is an object generated by daml codegen js-it stores metadata of the User template defined in User.daml.) Note however that this query preserves privacy: only users that follow the current user have their contracts revealed. This behaviour is due to the observers on the User contract being exactly in the list of users that the current user is following.

A final point on this is the streaming aspect of the query. This means that results are updated as they come in - there is no need for periodic or manual reloading to see updates.

Another example, showing how to update ledger state, is how we exercise the Follow choice of the User template.

The useLedger hook returns an object with methods for exercising choices. The core of the follow function here is the call to ledger.exerciseByKey. The key in this case is the username of the current user, used to look up the corresponding User contract. The wrapper function follow is then passed to the subcomponents of MainView. For example, follow is passed to the UserList component as an argument (a prop in React terms). This gets triggered when you click the icon next to a user's name in the Network panel.

This should give you a taste of how the UI works alongside a Daml ledger. You'll see this more as you develop *your first feature* for our social network.

FYI Behind the scenes the Daml React hooks library uses the Daml Ledger TypeScript library to communicate with a ledger implementation via the HTTP JSON API.

#### 1.4 Your First Feature

Let's dive into implementing a new feature for our social network app. This will give us a better idea how to develop Daml applications using our template.

At the moment, our app lets us follow users in the network, but we have no way to communicate with them! Let's fix that by adding a direct messaging feature. This should let users that follow each other send messages, respecting authorization and privacy. This means:

- 1. You cannot send a message to someone unless they have given you the authority by following you back.
- 2. You cannot see a message unless you sent it or it was sent to you.

We will see that Daml lets us implement these guarantees in a direct and intuitive way.

There are three parts to building and running the messaging feature:

- 1. Adding the necessary changes to the Daml model
- 2. Making the corresponding changes in the UI
- 3. Running the app with the new feature.

As usual, we must start with the Daml model and base our UI changes on top of that.

#### 1.4.1 Daml Changes

As mentioned in the architecture section, the Daml code defines the data and workflow of the application. The workflow aspect refers to the interactions between parties that are permitted by the system. In the context of a messaging feature, these are essentially the authorization and privacy concerns listed above.

For the authorization part, we take the following approach: a user Bob can message another user Alice when Alice starts following Bob back. When Alice starts following Bob back, she gives permission or authority to Bob to send her a message.

To implement this workflow, let's start by adding the new data for messages. Navigate to the daml/User.daml file and copy the following Message template to the bottom. Indentation is important: it should be at the top level like the original User template.

This template is very simple: it contains the data for a message and no choices. The interesting part is the signatory clause: both the sender and receiver are signatories on the template. This enforces the fact that creation and archival of Message contracts must be authorized by both parties.

Now we can add messaging into the workflow by adding a new choice to the <code>User</code> template. Copy the following choice to the <code>User</code> template after the <code>Follow</code> choice. The indentation for the <code>SendMessage</code> choice must match the one of <code>Follow</code>. Make sure you save the file after copying the code.

As with the Follow choice, there are a few aspects to note here.

By convention, the choice returns the ContractId of the resulting Message contract.

The parameters to the choice are the sender and content of this message; the receiver is the party named on this User contract.

The controller clause states that it is the sender who can exercise the choice.

The body of the choice first ensures that the sender is a user that the receiver is following and then creates the Message contract with the receiver being the signatory of the User contract.

This completes the workflow for messaging in our app.

1.4. Your First Feature

#### 1.4.2 Running the New Feature

Navigate to the terminal window where the daml start process is running and press 'r'. This will

Compile our Daml code into a DAR file containing the new feature
Update the JavaScript library under ui/daml.js to connect the UI with your Daml code
Upload the new DAR file to the sandbox

As mentioned at the beginning of this *Getting Started with Daml* guide, Daml Sandbox uses an inmemory store, which means it loses its state when stopped or restarted. That means that all user data and follower relationships are lost.

Now let's integrate the new functionality into the UI.

#### 1.4.3 Messaging UI

The UI for messaging will consist of a new Messages panel in addition to the Follow and Network panel. This panel will have two parts:

- 1. A list of messages you've received with their senders.
- 2. A form with a dropdown menu for follower selection and a text field for composing the message.

We will implement each part as a React component, which we'll name MessageList and MessageEdit respectively. Let's start with the simpler MessageList.

#### 1.4.3.1 MessageList Component

The goal of the MessageList component is to query all Message contracts where the receiver is the current user, and display their contents and senders in a list. The entire component is shown below. You should copy this into a new MessageList.tsx file in ui/src/components and save it.

In the component body, messagesResult gets the stream of all Message contracts visible to the current user. The streaming aspect means that we don't need to reload the page when new messages come in. For each contract in the stream, we destructure the payload (the data as opposed to metadata like the contract ID) into the {sender, receiver, content} object pattern. Then we construct a ListItem UI element with the details of the message.

There is one important point about privacy here. No matter how we write our Message query in the UI code, it is impossible to break the privacy rules given by the Daml model. That is, it is impossible to see a Message contract of which you are not the sender or the receiver (the only parties that can observe the contract). This is a major benefit of writing apps on Daml: the burden of ensuring privacy and authorization is confined to the Daml model.

#### 1.4.3.2 MessageEdit Component

Next we need the MessageEdit component to compose and send messages to our followers. Again we show the entire component here; you should copy this into a new MessageEdit.tsx file in ui/src/components and save it.

You will first notice a Props type near the top of the file with a single followers field. A prop in React is an input to a component; in this case a list of users from which to select the message receiver. The prop will be passed down from the MainView component, reusing the work required to query users from the ledger. You can see this followers field bound at the start of the MessageEdit component.

We use the React useState hook to get and set the current choices of message receiver and content. The Daml-specific useLedger hook gives us an object we can use to perform ledger op-

erations. The call to ledger.exerciseByKey in submitMessage looks up the User contract with the receiver's username and exercises the SendMessage choice with the appropriate arguments. If the choice fails, the catch block reports the error in a dialog box. Additionally, submitMessage sets the isSubmitting state so that the Send button is disabled while the request is processed. The result of a successful call to submitMessage is a new Message contract created on the ledger.

The return value of this component is the React Form element. This contains a dropdown menu to select a receiver from the followers, a text field for the message content, and a Send button which triggers submitMessage.

There is again an important point here, in this case about how authorization is enforced. Due to the logic of the <code>SendMessage</code> choice, it is impossible to send a message to a user who is not following us (even if you could somehow access their <code>User</code> contract). The assertion that <code>elem sender</code> following in <code>SendMessage</code> ensures this: no mistake or malice by the UI programmer could breach this.

#### 1.4.3.3 MainView Component

Finally we can see these components come together in the MainView component. We want to add a new panel to house our messaging UI. Open the ui/src/components/MainView.tsx file and start by adding imports for the two new components.

Next, find where the Network Segment closes, towards the end of the component. This is where we'll add a new Segment for Messages. Make sure you've saved the file after copying the code.

You can see we simply follow the formatting of the previous panels and include the new messaging components: MessageEdit supplied with the usernames of all visible parties as props, and MessageList to display all messages.

That is all for the implementation! Let's give the new functionality a spin.

#### 1.4.4 Running the updated UI

If you have the frontend UI up and running you're all set. In case you don't have the UI running open a new terminal window and navigate to the create-daml-app/ui folder and run the npm start command, which will start the UI.

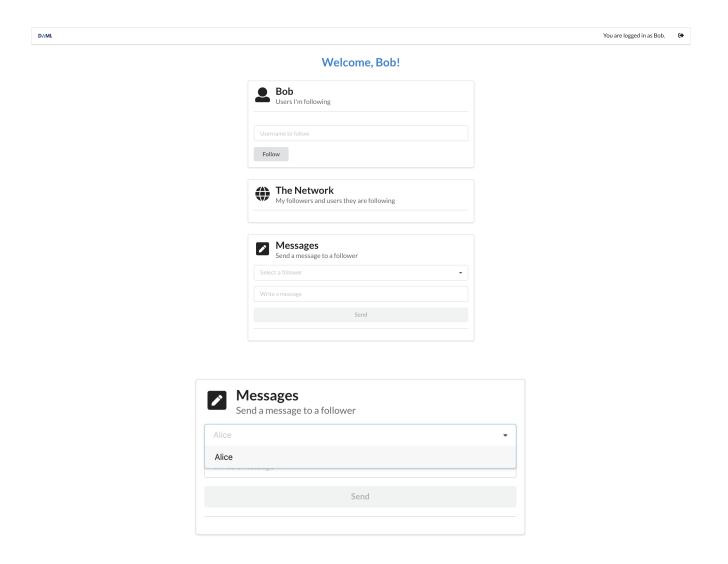
Once you've done all these changes you should see the same login page as before at http://localhost: 3000.



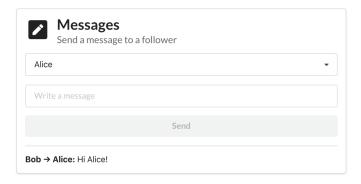
Once you've logged in, you'll see a familiar UI but with our new Messages panel at the bottom!

Go ahead and add follow more users, and log in as some of those users in separate browser windows to follow yourself back. Then, if you click on the dropdown menu in the Messages panel, you'll be able to see some followers to message!

1.4. Your First Feature



Send some messages between users and make sure you can see each one from the other side. You'll notice that new messages appear in the UI as soon as they are sent (due to the *streaming* React hooks).



**Tip:** You completed the second part of the Getting Started Guide! Join our forum and share a screen-shot of your accomplishment to get your second of 3 badges! Get the third badge by deploying to project:DABL

#### 1.4.5 Next Steps

We've gone through the process of setting up a full-stack Daml app and implementing a useful feature end to end. As the next step we encourage you to really dig into the fundamentals of Daml and understand its core concepts such as parties, signatories, observers, and controllers. You can do that either by going through our docs or by taking an online course.

After you've got a good grip on these concepts learn how to conduct end-to-end testing of your app.

### 1.5 Testing Your App

When developing your application, you will want to test that user flows work from end to end. This means that actions performed in the web UI trigger updates to the ledger and give the desired results on the page. In this section we show how you can do such testing automatically in TypeScript (equally JavaScript). This will allow you to iterate on your app faster and with more confidence!

There are two tools that we chose to write end to end tests for our app. Of course there are more to choose from, but this is one combination that works.

Jest is a general-purpose testing framework for JavaScript that's well integrated with both Type-Script and React. Jest helps you structure your tests and express expectations of the app's behaviour.

Puppeteer is a library for controlling a Chrome browser from JavaScript/TypeScript. Puppeteer allows you to simulate interactions with the app in place of a real user.

To install Puppeteer and some other testing utilities we are going to use, run the following command in the ui directory:

```
npm add --only=dev puppeteer wait-on @types/jest @types/node @types/
```

#### 1.5.1 Setting up our tests

Let's see how to use these tools to write some tests for our social network app. You can see the full suite in section *The Full Test Suite* at the bottom of this page. To run this test suite, create a new file ui/src/index.test.ts, copy the code in this section into that file and run the following command in the ui folder:

```
npm test
```

The actual tests are the clauses beginning with test. You can scroll down to the important ones with the following descriptions (the first argument to each test):

'log in as a new user, log out and log back in'

'log in as three different users and start following each other'

'error when following self'

'error when adding a user that you are already following'

Before this, we need to set up the environment in which the tests run. At the top of the file we have some global state that we use throughout. Specifically, we have child processes for the  $\mathtt{daml}$  start and  $\mathtt{npm}$  start commands, which run for the duration of our tests. We also have a single Puppeteer browser that we share among tests, opening new browser pages for each one.

The beforeAll() section is a function run once before any of the tests run. We use it to spawn the daml start and npm start processes and launch the browser. On the other hand the afterAll()

section is used to shut down these processes and close the browser. This step is important to prevent child processes persisting in the background after our program has finished.

#### 1.5.2 Example: Logging in and out

Now let's get to a test! The idea is to control the browser in the same way we would expect a user to in each scenario we want to test. This means we use Puppeteer to type text into input forms, click buttons and search for particular elements on the page. In order to find those elements, we do need to make some adjustments in our React components, which we'll show later. Let's start at a higher level with a test.

We'll walk though this step by step.

The test syntax is provided by Jest to indicate a new test running the function given as an argument (along with a description and time limit).

getParty() gives us a new party name. Right now it is just a string unique to this set of tests, but in the future we will use the Party Management Service to allocate parties.

newUiPage() is a helper function that uses the Puppeteer browser to open a new page (we use one page per party in these tests), navigate to the app URL and return a Page object.

Next we  $\log in$  () using the new page and party name. This should take the user to the main screen. We'll show how the  $\log in$  () function does this shortly.

We use the <code>@daml/ledger</code> library to check the ledger state. In this case, we want to ensure there is a single <code>User</code> contract created for the new party. Hence we create a new connection to the <code>Ledger</code>, <code>query()</code> it and state what we <code>expect</code> of the result. When we run the tests, Jest will check these expectations and report any failures for us to fix.

The test also simulates the new user logging out and then logging back in. We again check the state of the ledger and see that it's the same as before.

Finally we must close () the browser page, which was opened in newUiPage (), to avoid runaway Puppeteer processes after the tests finish.

You will likely use test, getParty(), newUiPage() and Browser.close() for all your tests. In this case we use the @daml/ledger library to inspect the state of the ledger, but usually we just check the contents of the web page match our expectations.

#### 1.5.3 Accessing UI elements

We showed how to write a simple test at a high level, but haven't shown how to make individual actions in the app using Puppeteer. This was hidden in the login() and logout() functions. Let's see how login() is implemented.

We first wait to receive a handle to the username input element. This is important to ensure the page and relevant elements are loaded by the time we try to act on them. We then use the element handle to click into the input and type the party name. Next we click the login button (this time assuming the button has loaded along with the rest of the page). Finally, we wait until we find we've reached the menu on the main page.

The strings used to find UI elements, e.g. '.test-select-username-field' and '.test-select-login-button', are CSS Selectors. You may have seen them before in CSS styling of web pages. In this case we use class selectors, which look for CSS classes we've given to elements in our React components.

This means we must manually add classes to the components we want to test. For example, here is a snippet of the LoginScreen React component with classes added to the Form elements.

You can see the className attributes in the Input and Button, which we select in the login ()

function. Note that you can use other features of an element in your selector, such as its type and attributes. We've only used class selectors in these tests.

#### 1.5.4 Writing CSS Selectors

When writing CSS selectors for your tests, you will likely need to check the structure of the rendered HTML in your app by running it manually and inspecting elements using your browser's developer tools. For example, the image below is from inspecting the username field using the developer tools in Google Chrome.





There is a subtlety to explain here due to the Semantic UI framework we use for our app. Semantic UI provides a convenient set of UI elements which get translated to HTML. In the example of the username field above, the original Semantic UI Input is translated to nested div nodes with the input inside. You can see this highlighted on the right side of the screenshot. While harmless in this case, in general you may need to inspect the HTML translation of UI elements and write your CSS selectors accordingly.

#### 1.5.5 The Full Test Suite

## **Chapter 2**

# **Writing Daml**

#### 2.1 An introduction to Daml

Daml is a smart contract language designed to build composable applications on an abstract *Daml Ledger Model*.

In this introduction, you will learn about the structure of a Daml Ledger, and how to write Daml applications that run on any Daml Ledger implementation, by building an asset-holding and -trading application. You will gain an overview over most important language features, how they relate to the Daml Ledger Model and how to use Daml Connect's developer tools to write, test, compile, package and ship your application.

This introduction is structured such that each section presents a new self-contained application with more functionality than that from the previous section. You can find the Daml code for each section here or download them using the Daml assistant. For example, to load the sources for section 1 into a folder called 1\_Token, run daml new 1\_Token --template daml-intro-1.

Prerequisites:

You have installed the Daml Connect SDK

Next: 1 Basic contracts.

#### 2.1.1 1 Basic contracts

To begin with, you're going to write a very small Daml template, which represents a self-issued, non-transferable token. Because it's a minimal template, it isn't actually useful on its own - you'll make it more useful later - but it's enough that it can show you the most basic concepts:

Transactions
Daml Modules and Files
Templates
Contracts
Signatories

**Hint:** Remember that you can load all the code for this section into a folder 1\_Token by running daml new 1 Token --template daml-intro-1

#### 2.1.1.1 Daml ledger basics

Like most structures called ledgers, a Daml Ledger is just a list of commits. When we say commit, we mean the final result of when a party successfully submits a transaction to the ledger.

Transaction is a concept we'll cover in more detail through this introduction. The most basic examples are the creation and archival of a contract.

A contract is active from the point where there is a committed transaction that creates it, up to the point where there is a committed transaction that archives it.

Individual contracts are *immutable* in the sense that an active contract can not be changed. You can only change the active contract set by creating a new contract, or archiving an old one.

Daml specifies what transactions are legal on a Daml Ledger. The rules the Daml code specifies are collectively called a Daml model or contract model.

#### 2.1.1.2 Daml files and modules

Each .daml file defines a Daml Module at the top:

```
module Token where
```

Code comments in Daml are introduced with --:

```
-- A Daml file defines a module.
module Token where
```

#### 2.1.1.3 Templates

A template defines a type of contract that can be created, and who has the right to do so. Contracts are instances of templates.

Listing 1: A simple template

```
template Token
  with
   owner : Party
  where
   signatory owner
```

You declare a template starting with the template keyword, which takes a name as an argument.

Daml is whitespace-aware and uses layout to structure *blocks*. Everything that's below the first line is indented, and thus part of the template's body.

Contracts contain data, referred to as the create arguments or simply arguments. The with block defines the data type of the create arguments by listing field names and their types. The single colon: means of type, so you can read this as template Token with a field owner of type Party.

Token contracts have a single field owner of type Party. The fields declared in a template's with block are in scope in the rest of the template body, which is contained in a where block.

#### 2.1.1.4 Signatories

The signatory keyword specifies the signatories of a contract. These are the parties whose authority is required to create the contract or archive it – just like a real contract. Every contract must have at

least one signatory.

Furthermore, Daml ledgers guarantee that parties see all transactions where their authority is used. This means that signatories of a contract are guaranteed to see the creation and archival of that contract.

#### 2.1.1.5 Next up

In 2 Testing templates using Daml Script, you'll learn about how to try out the Token contract template in Daml's inbuilt Daml Script testing language.

#### 2.1.2 2 Testing templates using Daml Script

In this section you will test the Token model from 1 Basic contracts using the Daml Script integration in Daml Studio. You'll learn about the basic features of :

Allocating parties
Submitting transactions
Creating contracts
Testing for failure
Archiving contracts
Viewing ledger and final ledger state

**Hint:** Remember that you can load all the code for this section into a folder called daml-intro-2 by running daml new daml-intro-2 --template daml-intro-2

#### 2.1.2.1 Script basics

A Script is like a recipe for a test, where you can script different parties submitting a series of transactions, to check that your templates behave as you'd expect. You can also script some external information like party identities, and ledger time.

Below is a basic script that creates a Token for a party called Alice.

```
token_test_1 = script do
  alice <- allocateParty "Alice"
  submit alice do
    createCmd Token with owner = alice</pre>
```

You declare a Script as a top-level variable and introduce it using script do. do always starts a block, so the rest of the script is indented.

Before you can create any Token contracts, you need some parties on the test ledger. The above script uses the function allocateParty to put a party called Alice in a variable alice. There are two things of note there:

Use of <- instead of =.

The reason for that is allocateParty is an Action that can only be performed once the Script is run in the context of a ledger. <- means run the action and bind the result . It can only be run in that context because, depending on the ledger state the script is running on, allocateParty will either give you back a party with the name you specified or append a suffix to that name if such a party has already been allocated.

More on Actions and do blocks in 5 Adding constraints to a contract.

If that doesn't quite make sense yet, for the time being you can think of this arrow as extracting the right-hand-side value from the ledger and storing it into the variable on the left.

The argument "Alice" to allocateParty does not have to be enclosed in brackets. Functions in Daml are called using the syntax fn arg1 arg2 arg3.

With a variable alice of type Party in hand, you can submit your first transaction. Unsurprisingly, you do this using the submit function. submit takes two arguments: the Party and the Commands.

Just like Script is a recipe for a test, Commands is a recipe for a transaction. createCmd Token with owner = alice is a Commands, which translates to a list of commands that will be submitted to the ledger creating a transaction which creates a Token with owner Alice.

You'll learn all about the syntax Token with owner = alice in 3 Data types.

You could write this as submit alice (createCmd Token with owner = alice), but just like scripts, you can assemble commands using do blocks. A do block always takes the value of the last statement within it so the syntax shown in the commands above gives the same result, whilst being easier to read. Note however, that the commands submitted as part of a transaction are not allowed to depend on each other.

#### 2.1.2.2 Running scripts

There are a few ways to run Daml Scripts:

In Daml Studio against a test ledger, providing visualizations of the resulting ledger.

Using the command line daml test also against a test ledger, useful for continuous integration.

Against a real ledger, take a look at the documentation for *Daml Script* for more information. Interactively using *Daml REPL*.

In Daml Studio, you should see the text Script results just above the line  $token\_test\_1 = do$ . Click on it to display the outcome of the script.

```
token_test_1 = script do
  alice <- allocateParty "Alice"
  submit alice do
    createCmd Token with owner = alice</pre>
```

This opens the script view in a separate column in VS Code. The default view is a tabular representation of the final state of the ledger:

What this display means:

The big title reading Token\_Test:Token is the identifier of the type of contract that's listed below. Token\_Test is the module name, Token the template name.

The first columns, labelled vertically, show which parties know about which contracts. In this simple script, the sole party Alice knows about the contract she created.

The second column shows the ID of the contract. This will be explained later.

The third column shows the status of the contract, either active or archived.

The remaining columns show the contract arguments, with one column per field. As expected, field owner is 'Alice'. The single quotation marks indicate that Alice is a party.



To run the same test from the command line, save your module in a file Token\_Test.daml and run daml damlc -- test --files Token\_Test.daml. If your file contains more than one script, all of them will be run.

#### 2.1.2.3 Testing for failure

In 1 Basic contracts you learned that creating a Token requires the authority of its owner. In other words, it should not be possible for Alice to create a Token for another party and vice versa. A reasonable attempt to test that would be:

```
failing_test_1 = do
  alice <- allocateParty "Alice"
  bob <- allocateParty "Bob"
  submit alice do
    createCmd Token with owner = bob
  submit bob do
    createCmd Token with owner = alice</pre>
```

However, if you open the script view for that script, you see the following message:

```
Scenario execution failed on commit at <a href="Token_Test:64:3">Token_Test:Token</a> at DA.Internal.Prelude:381:26
failed due to a missing authorization from 'Bob'

Ledger time: 1970-01-01T00:00:00Z

Partial transaction:
Sub-transactions:

O
Create Token_Test:Token
with
owner = 'Bob'
```

The script failed, as expected, but scripts abort at the first failure. This means that it only tested that Alice can't create a token for Bob, and the second submit statement was never reached.

To test for failing submits and keep the script running thereafter, or fail if the submission succeeds, you can use the submitMustFail function:

```
token_test_2 = do
  alice <- allocateParty "Alice"
  bob <- allocateParty "Bob"

submitMustFail alice do
    createCmd Token with owner = bob
  submitMustFail bob do
    createCmd Token with owner = alice

submit alice do
    createCmd Token with owner = alice
  submit bob do
    createCmd Token with owner = bob</pre>
```

submitMustFail never has an impact on the ledger so the resulting tabular script view just shows the two Tokens resulting from the successful submit statements. Note the new column for Bob as well as the visibilities. Alice and Bob cannot see each others' Tokens.

#### 2.1.2.4 Archiving contracts

Archiving contracts works just like creating them, but using archiveCmd instead of createCmd. Where createCmd takes an instance of a template, archiveCmd takes a reference to a contract.

References to contracts have the type <code>ContractId</code> a, where a is a type parameter representing the type of contract that the ID refers to. For example, a reference to a <code>Token</code> would be a <code>ContractId</code> <code>Token</code>.

To archiveCmd the Token Alice has created, you need to get a handle on its contract ID. In scripts, you do this using <- notation. That's because the contract ID needs to be retrieved from the ledger. How this works is discussed in 5 Adding constraints to a contract.

This script first checks that Bob cannot archive Alice's Token and then Alice successfully archives it:

```
token_test_3 = do
  alice <- allocateParty "Alice"
  bob <- allocateParty "Bob"

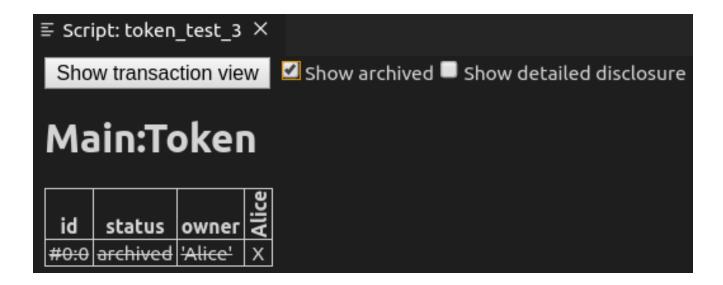
alice_token <- submit alice do
    createCmd Token with owner = alice

submitMustFail bob do
    archiveCmd alice_token

submit alice do
    archiveCmd alice_token</pre>
```

#### 2.1.2.5 Exploring the ledger

The resulting script view is empty, because there are no contracts left on the ledger. However, if you want to see the history of the ledger, e.g. to see how you got to that state, tick the Show archived box at the top of the ledger view:



You can see that there was a Token contract, which is now archived, indicated both by the archived value in the status column as well as by a strikethrough.

Click on the adjacent Show transaction view button to see the entire transaction graph:

In the Daml Studio script runner, committed transactions are numbered sequentially. The lines starting with TX indicate that there are three committed transactions, with ids #0, #1, and #2. These correspond to the three submit and submitMustFail statements in the script.

Transaction #0 has one sub-transaction #0:0, which the arrow indicates is a create of a Token. Identifiers #X:Y mean commit X, sub-transaction Y. All transactions have this format in the script runner. However, this format is a testing feature. In general, you should consider Transaction and Contract IDs to be opaque.

The lines above and below create Token Test: Token give additional information:

consumed by: #2:0 tells you that the contract is archived in sub-transaction 0 of commit 2. referenced by #2:0 tells you that the contract was used in other transactions, and lists their IDs.

known to (since): 'Alice' (#0) tells you who knows about the contract. The fact that 'Alice' appears in the list is equivalent to a x in the tabular view. The (#0) gives you the additional information that Alice learned about the contract in commit #0. Everything following with shows the create arguments.

#### 2.1.2.6 Exercises

To get a better understanding of script, try the following exercises:

- 1. Write a template for a second type of Token.
- Write a script with two parties and two types of tokens, creating one token of each type for each party and archiving one token for each party, leaving one token of each type in the final ledger view.
- 3. In Archiving contracts you tested that Bob cannot archive Alice's token. Can you guess why the submit fails? How can you find out why the submit fails?

**Hint:** Remember that in *Testing for failure* we saw a proper error message for a failing submit.

```
    Script: token_test_3 ×

Show table view
 Transactions:
   TX 0 1970-01-01T00:00:00Z (Main:106:18)
   #0:0
        consumed by: #1:0
        referenced by #1:0
        known to (since): 'Alice' (\underline{0})
     -> create Main:Token
        with
          owner = 'Alice'
   TX 1 1970-01-01T00:00:00Z (Main:112:3)
    #1:0
        known to (since): 'Alice' (1)
     -> 'Alice' exercises Archive on #0:0 (Main:Token)
                 with
 Active contracts:
 Return value: {}
```

#### 2.1.2.7 Next up

In 3 Data types you will learn about Daml's type system, and how you can think of templates as tables and contracts as database rows.

#### 2.1.3 3 Data types

In 1 Basic contracts, you learnt about contract templates, which specify the types of contracts that can be created on the ledger, and what data those contracts hold in their arguments.

In 2 Testing templates using Daml Script, you learnt about the script view in Daml Studio, which displays the current ledger state. It shows one table per template, with one row per contract of that type and one column per field in the arguments.

This actually provides a useful way of thinking about templates: like tables in databases. Templates specify a data schema for the ledger:

each template corresponds to a table each field in the with block of a template corresponds to a column in that table each contract of that type corresponds to a table row

In this section, you'll learn how to create rich data schemas for your ledger. Specifically you'll learn about:

Daml's built-in and native data types Record types Derivation of standard properties Variants Manipulating immutable data Contract keys

After this section, you should be able to use a Daml ledger as a simple database where individual parties can write, read and delete complex data.

**Hint:** Remember that you can load all the code for this section into a folder called 3\_Data by running daml new 3\_Data --template daml-intro-3

#### 2.1.3.1 Native types

You have already encountered a few native Daml types: Party in 1 Basic contracts, and Text and ContractId in 2 Testing templates using Daml Script. Here are those native types and more:

Party Stores the identity of an entity that is able to act on the ledger, in the sense that they can sign contracts and submit transactions. In general, Party is opaque.

Text Stores a unicode character string like "Alice".

ContractId a Stores a reference to a contract of type a.

Int Stores signed 64-bit integers. For example, -123.

Bool Stores True or False.

Date Stores a date.

Time Stores absolute UTC time.

RelTime Stores a difference in time.

The below script instantiates each one of these types, manipulates it where appropriate, and tests the result.

```
import Daml.Script
import DA. Time
import DA.Date
native test = script do
  alice <- allocateParty "Alice"</pre>
  bob <- allocateParty "Bob"</pre>
  let
    my int = -123
    my dec = 0.001 : Decimal
    my text = "Alice"
    my bool = False
    my date = date 2020 Jan 01
    my time = time my date 00 00 00
    my rel time = hours 24
  assert (alice /= bob)
  assert (-my int == 123)
  assert (1000.0 * my dec == 1.0)
  assert (my text == "Alice")
  assert (not my bool)
  assert (addDays my date 1 == date 2020 Jan 02)
  assert (addRelTime my time my rel time == time (addDays my date 1) 00 00\square
\hookrightarrow 00)
```

Despite its simplicity, there are quite a few things to note in this script:

The import statements at the top import two packages from the Daml Standard Library, which contain all the date and time related functions we use here as well as the functions used in Daml Scripts. More on packages, imports and the standard library later.

Most of the variables are declared inside a let block.

That's because the script do block expects script actions like submit or Party. An integer like 123 is not an action, it's a pure expression, something we can evaluate without any ledger. You can think of the let as turning variable declaration into an action.

Most variables do not have annotations to say what type they are.

That's because Daml is very good at inferring types. The compiler knows that 123 is an Int, so if you declare my\_int = 123, it can infer that my\_int is also an Int. This means you don't have to write the type annotation my int : Int = 123.

However, if the type is ambiguous so that the compiler can't infer it, you do have to add a type annotation. This is the case for 0.001 which could be any Numeric n. Here we specify 0.001: Decimal which is a synonym for Numeric 10. You can always choose to add type annotations to aid readability.

The assert function is an action that takes a boolean value and succeeds with True and fails with False.

Try putting assert False somewhere in a script and see what happens to the script result.

With templates and these native types, it's already possible to write a schema akin to a table in a relational database. Below, Token is extended into a simple CashBalance, administered by a party in the role of an accountant.

```
template CashBalance
 with
   accountant : Party
   currency : Text
   amount : Decimal
   owner : Party
   account number : Text
   bank : Party
   bank address : Text
   bank telephone : Text
 where
    signatory accountant
cash balance test = script do
  accountant <- allocateParty "Bob"</pre>
 alice <- allocateParty "Alice"</pre>
 bob <- allocateParty "Bank of Bob"
  submit accountant do
   createCmd CashBalance with
      accountant
      currency = "USD"
      amount = 100.0
      owner = alice
      account number = "ABC123"
      bank = bob
      bank address = "High Street"
      bank telephone = "012 3456 789"
```

#### 2.1.3.2 Assembling types

There's quite a lot of information on the CashBalance above and it would be nice to be able to give that data more structure. Fortunately, Daml's type system has a number of ways to assemble these native types into much more expressive structures.

## **Tuples**

30

A common task is to group values in a generic way. Take, for example, a key-value pair with a Text key and an Int value. In Daml, you could use a two-tuple of type (Text, Int) to do so. If you wanted to express a coordinate in three dimensions, you could group three Decimal values using a three-tuple (Decimal, Decimal, Decimal).

```
import DA.Tuple
import Daml.Script

tuple_test = script do
    let
        my_key_value = ("Key", 1)
        my_coordinate = (1.0 : Decimal, 2.0 : Decimal, 3.0 : Decimal)
```

```
assert (fst my_key_value == "Key")
assert (snd my_key_value == 1)
assert (my_key_value._1 == "Key")
assert (my_key_value._2 == 1)

assert (my_coordinate == (fst3 my_coordinate, snd3 my_coordinate, thd3

--my_coordinate))
assert (my_coordinate == (my_coordinate._1, my_coordinate._2, my_
--coordinate._3))
```

You can access the data in the tuples using:

```
functions fst, snd, fst3, snd3, thd3 a dot-syntax with field names _1, _2, _3, etc.
```

Daml supports tuples with up to 20 elements, but accessor functions like fst are only included for 2- and 3-tuples.

#### Lists

Lists in Daml take a single type parameter defining the type of thing in the list. So you can have a list of integers [Int] or a list of strings [Text], but not a list mixing integers and strings.

That's because Daml is statically and strongly typed. When you get an element out of a list, the compiler needs to know what type that element has.

The below script instantiates a few lists of integers and demonstrates the most important list functions.

```
import DA.List
import Daml.Script
list test = script do
 let
   empty : [Int] = []
   one = [1]
   two = [2]
   many = [3, 4, 5]
  -- `head` gets the first element of a list
  assert (head one == 1)
  assert (head many == 3)
  -- `tail` gets the remainder after head
  assert (tail one == empty)
  assert (tail many == [4, 5])
  -- `++` concatenates lists
  assert (one ++ two ++ many == [1, 2, 3, 4, 5])
  assert (empty ++ many ++ empty == many)
```

```
-- `::` adds an element to the beginning of a list.
assert (1 :: 2 :: 3 :: 4 :: 5 :: empty == 1 :: 2 :: many)
```

Note the type annotation on empty : [Int] = []. It's necessary because [] is ambiguous. It could be a list of integers or of strings, but the compiler needs to know which it is.

#### Records

You can think of records as named tuples with named fields. Declare them using the data keyword: data T = C with, where T is the type name and C is the data constructor. In practice, it's a good idea to always use the same name for type and data constructor.

```
data MyRecord = MyRecord with
 my txt : Text
 my int : Int
 my dec : Decimal
 my list : [Text]
-- Fields of same type can be declared in one line
data Coordinate = Coordinate with
 x, y, z : Decimal
-- Custom data types can also have variables
data KeyValue k v = KeyValue with
 my key: k
 my val : v
data Nested = Nested with
 my coord : Coordinate
 my record : MyRecord
 my kv : KeyValue Text Int
record test = script do
  let
   my record = MyRecord with
      my txt = "Text"
     my int = 2
     my dec = 2.5
     my list = ["One", "Two", "Three"]
   my coord = Coordinate with
     x = 1.0
      y = 2.0
      z = 3.0
    -- `my text int` has type `KeyValue Text Int`
   my text int = KeyValue with
     my key = "Key"
     my val = 1
```

```
-- `my int decimal` has type `KeyValue Int Decimal`
   my int decimal = KeyValue with
     my key = 2
     my val = 2.0 : Decimal
   -- If variables are in scope that match field names, we can pick them 
∽up
   -- implicitly, writing just `my coord` instead of `my coord = my
→coord`.
   my nested = Nested with
     my_coord
     my record
     my kv = my text int
 -- Fields can be accessed with dot syntax
 assert (my coord.x == 1.0)
 assert (my text int.my key == "Key")
 assert (my nested.my record.my dec == 2.5)
```

You'll notice that the syntax to declare records is very similar to the syntax used to declare templates. That's no accident because a template is really just a special record. When you write template Token with, one of the things that happens in the background is that this becomes a data Token = Token with.

In the assert statements above, we always compared values of in-built types. If you wrote assert (my\_record == my\_record) in the script, you may be surprised to get an error message No instance for (Eq MyRecord) arising from a use of '=='. Equality in Daml is always value equality and we haven't written a function to check value equality for MyRecord values. But don't worry, you don't have to implement this rather obvious function yourself. The compiler is smart enough to do it for you, if you use deriving (Eq):

```
data EqRecord = EqRecord with
 my_txt : Text
 my int : Int
 my dec : Decimal
 my list : [Text]
   deriving (Eq)
data MyContainer a = MyContainer with
  contents : a
   deriving (Eq)
eq test = script do
 let
    eq record = EqRecord with
     my txt = "Text"
     my int = 2
      my dec = 2.5
      my list = ["One", "Two", "Three"]
```

```
my_container = MyContainer with
   contents = eq_record
other_container = MyContainer with
   contents = eq_record

assert(my_container.contents == eq_record)
assert(my_container == other_container)
```

 $\operatorname{Eq}$  is what is called a typeclass. You can think of a typeclass as being like an interface in other languages: it is the mechanism by which you can define a set of functions (for example, == and /= in the case of  $\operatorname{Eq}$ ) to work on multiple types, with a specific implementation for each type they can apply to.

There are some other typeclasses that the compiler can derive automatically. Most prominently, Show to get access to the function show (equivalent to toString in many languages) and Ord, which gives access to comparison operators <, >, <=, >=.

It's a good idea to always derive Eq and Show using deriving (Eq, Show). The record types created using template T with do this automatically, and the native types have appropriate typeclass instances. Eg Int derives Eq, Show and Ord, and ContractId a derives Eq and Show.

Records can give the data on CashBalance a bit more structure:

```
data Bank = Bank with
 party : Party
 address: Text
  telephone : Text
   deriving (Eq, Show)
data Account = Account with
  owner : Party
 number : Text
 bank : Bank
   deriving (Eq, Show)
data Cash = Cash with
  currency : Text
  amount : Decimal
   deriving (Eq, Show)
template CashBalance
 with
   accountant : Party
   cash : Cash
   account : Account
 where
   signatory accountant
cash balance test = script do
  accountant <- allocateParty "Bob"</pre>
```

```
owner <- allocateParty "Alice"</pre>
bank_party <- allocateParty "Bank"</pre>
let
  bank = Bank with
    party = bank party
    address = "High Street"
    telephone = "012 3456 789"
  account = Account with
    owner
    bank
    number = "ABC123"
  cash = Cash with
    currency = "USD"
    amount = 100.0
submit accountant do
  createCmd CashBalance with
    accountant
    cash
    account
pure ()
```

If you look at the resulting script view, you'll see that this still gives rise to one table. The records are expanded out into columns using dot notation.

### Variants and pattern matching

Suppose now that you also wanted to keep track of cash in hand. Cash in hand doesn't have a bank, but you can't just leave bank empty. Daml doesn't have an equivalent to null. Variants can express that cash can either be in hand or at a bank.

```
data Bank = Bank with
  party : Party
  address: Text
  telephone : Text
   deriving (Eq, Show)

data Account = Account with
  number : Text
  bank : Bank
   deriving (Eq, Show)

data Cash = Cash with
  currency : Text
  amount : Decimal
   deriving (Eq, Show)
data Location
  = InHand
```

```
InAccount Account
    deriving (Eq, Show)
template CashBalance
 with
    accountant : Party
    owner : Party
    cash : Cash
    location : Location
 where
    signatory accountant
cash balance test = do
  accountant <- allocateParty "Bob"</pre>
  owner <- allocateParty "Alice"</pre>
 bank party <- allocateParty "Bank"</pre>
  let
    bank = Bank with
      party = bank party
      address = "High Street"
      telephone = "012 3456 789"
    account = Account with
      bank
      number = "ABC123"
    cash = Cash with
      currency = "USD"
      amount = 100.0
  submit accountant do
    createCmd CashBalance with
      accountant
      owner
      cash
      location = InHand
  submit accountant do
    createCmd CashBalance with
      accountant
      owner
      cash
      location = InAccount account
```

The way to read the declaration of Location is A Location either has value InHand OR has a value InAccount a where a is of type Account. This is quite an explicit way to say that there may or may not be an Account associated with a CashBalance and gives both cases suggestive names.

Another option is to use the built-in Optional type. The None value of type Optional a is the closest Daml has to a null value:

```
data Optional a (continues on next page)
```

Chapter 2. Writing Daml

```
= None
| Some a
deriving (Eq, Show)
```

Variant types where none of the data constructors take a parameter are called enums:

To access the data in variants, you need to distinguish the different possible cases. For example, you can no longer access the account number of a Location directly, because if it is InHand, there may be no account number.

To do this, you can use pattern matching and either throw errors or return compatible types for all cases:

```
{ -
-- Commented out as `Either` is defined in the standard library.
data Either a b
 = Left a
 | Right b
variant access test = script do
  let
    1 : Either Int Text = Left 1
   r : Either Int Text = Right "r"
    -- If we know that `l` is a `Left`, we can error on the `Right` case.
    l value = case l of
      Left i -> i
      Right i -> error "Expecting Left"
    -- Comment out at your own peril
    { -
    r value = case r of
     Left i -> i
     Right i -> error "Expecting Left"
    -- If we are unsure, we can return an `Optional` in both cases
    ol value = case 1 of
      Left i -> Some i
      Right i -> None
```

```
or value = case r of
     Left i -> Some i
     Right i -> None
   -- If we don't care about values or even constructors, we can use \subseteq
→wildcards
   l value2 = case 1 of
     Left i -> i
     Right -> error "Expecting Left"
   1 value3 = case 1 of
     Left i -> i
     _ -> error "Expecting Left"
   day = Sunday
   weekend = case day of
     Saturday -> True
     Sunday -> True
     -> False
 assert (l_value == 1)
 assert (1 value2 == 1)
 assert (l value3 == 1)
 assert (ol value == Some 1)
 assert (or value == None)
 assert weekend
```

### 2.1.3.3 Manipulating data

You've got all the ingredients to build rich types expressing the data you want to be able to write to the ledger, and you have seen how to create new values and read fields from values. But how do you manipulate values once created?

All data in Daml is immutable, meaning once a value is created, it will never change. Rather than changing values, you create new values based on old ones with some changes applied:

```
manipulation_demo = script do
let
    eq_record = EqRecord with
        my_txt = "Text"
        my_int = 2
        my_dec = 2.5
        my_list = ["One", "Two", "Three"]

-- A verbose way to change `eq_record`
    changed_record = EqRecord with
        my_txt = eq_record.my_txt
        my_int = 3
        my_dec = eq_record.my_dec
        my_list = eq_record.my_list
```

```
-- A better way

better_changed_record = eq_record with

my_int = 3

record_with_changed_list = eq_record with

my_list = "Zero" :: eq_record.my_list

assert (eq_record.my_int == 2)

assert (changed_record == better_changed_record)

-- The list on `eq_record` can't be changed.

assert (eq_record.my_list == ["One", "Two", "Three"])

-- The list on `record_with_changed_list` is a new one.

assert (record_with_changed_list.my_list == ["Zero", "One", "Two", "Three

→"])
```

changed\_record and better\_changed\_record are each a copy of eq\_record with the field my\_int changed. better\_changed\_record shows the recommended way to change fields on a record. The syntax is almost the same as for a new record, but the record name is replaced with the old value: eq\_record with instead of EqRecord with. The with block no longer needs to give values to all fields of EqRecord. Any missing fields are taken from eq\_record.

Throughout the script, eq\_record never changes. The expression "Zero" :: eq\_record. my\_list doesn't change the list in-place, but creates a new list, which is eq\_record.my\_list with an extra element in the beginning.

## 2.1.3.4 Contract keys

Daml's type system lets you store richly structured data on Daml templates, but just like most database schemas have more than one table, Daml contract models often have multiple templates that reference each other. For example, you may not want to store your bank and account information on each individual cash balance contract, but instead store those on separate contracts.

You have already met the type <code>ContractId</code> a, which references a contract of type a. The below shows a contract model where <code>Account</code> is split out into a separate template and referenced by <code>ContractId</code>, but it also highlights a big problem with that kind of reference: just like data, contracts are immutable. They can only be created and archived, so if you want to change the data on a contract, you end up archiving the original contract and creating a new one with the changed data. That makes contract <code>IDs</code> very unstable, and can cause stale references.

```
data Bank = Bank with
  party : Party
  address: Text
  telephone : Text
  deriving (Eq, Show)

template Account
  with
   accountant : Party
  owner : Party
  number : Text
```

```
bank : Bank
  where
    signatory accountant
data Cash = Cash with
  currency : Text
  amount : Decimal
    deriving (Eq, Show)
template CashBalance
  with
    accountant : Party
    cash : Cash
    account : ContractId Account
    signatory accountant
id ref test = do
  accountant <- allocateParty "Bob"</pre>
  owner <- allocateParty "Alice"</pre>
  bank party <- allocateParty "Bank"</pre>
  let
    bank = Bank with
      party = bank_party
      address = "High Street"
      telephone = "012 3456 789"
    cash = Cash with
      currency = "USD"
      amount = 100.0
  accountCid <- submit accountant do</pre>
     createCmd Account with
      accountant
      owner
      bank
      number = "ABC123"
  balanceCid <- submit accountant do</pre>
    createCmd CashBalance with
      accountant
      cash
      account = accountCid
  -- Now the accountant updates the telephone number for the bank on the \square
→account
  Some account <- queryContractId accountant accountCid
  new account <- submit accountant do</pre>
    archiveCmd accountCid
    createCmd account with
```

```
bank = account.bank with
    telephone = "098 7654 321"
pure ()

-- The `account` field on the balance now refers to the archived
-- contract, so this will fail.
Some balance <- queryContractId accountant balanceCid
optAccount <- queryContractId accountant balance.account
optAccount === None</pre>
```

The script above uses the <code>queryContractId</code> function, which retrieves the arguments of an active contract using its contract ID. If there is no active contract with the given identifier visible to the given party, <code>queryContractId</code> returns <code>None</code>. Here, we use a pattern match on <code>Some</code> which will abort the script if <code>queryContractId</code> returns <code>None</code>.

Note that, for the first time, the party submitting a transaction is doing more than one thing as part of that transaction. To create new\_account, the accountant archives the old account and creates a new account, all in one transaction. More on building transactions in 7 Composing choices.

You can define stable keys for contracts using the key and maintainer keywords. key defines the primary key of a template, with the ability to look up contracts by key, and a uniqueness constraint in the sense that only one contract of a given template and with a given key value can be active at a time.

```
data Bank = Bank with
 party : Party
  address: Text
  telephone : Text
   deriving (Eq, Show)
data AccountKey = AccountKey with
  accountant : Party
 number : Text
 bank party : Party
   deriving (Eq, Show)
template Account
 with
    accountant : Party
    owner : Party
   number : Text
   bank : Bank
 where
    signatory accountant
    key AccountKey with
        accountant
        number
        bank party = bank.party
      : AccountKey
```

```
maintainer key.accountant
data Cash = Cash with
  currency : Text
  amount : Decimal
    deriving (Eq, Show)
template CashBalance
 with
    accountant : Party
    cash : Cash
    account : AccountKey
 where
    signatory accountant
id ref test = do
  accountant <- allocateParty "Bob"</pre>
  owner <- allocateParty "Alice"</pre>
 bank party <- allocateParty "Bank"
  let
    bank = Bank with
      party = bank party
      address = "High Street"
      telephone = "012 3456 789"
    cash = Cash with
      currency = "USD"
      amount = 100.0
  accountCid <- submit accountant do</pre>
     createCmd Account with
      accountant
      owner
      bank
      number = "ABC123"
  Some account <- queryContractId accountant accountCid
 balanceCid <- submit accountant do</pre>
    createCmd CashBalance with
      accountant
      cash
      account = key account
  -- Now the accountant updates the telephone number for the bank on the \square
→account
  Some account <- queryContractId accountant accountCid</pre>
 new accountCid <- submit accountant do</pre>
    archiveCmd accountCid
    cid <- createCmd account with</pre>
      bank = account.bank with
```

```
telephone = "098 7654 321"
   pure cid
  -- Thanks to contract keys, the current account contract is fetched
 Some balance <- queryContractId accountant balanceCid
  (cid, account) <- submit accountant do
   createAndExerciseCmd (Helper accountant) (FetchAccountByKey balance.
→account)
 assert (cid == new accountCid)
-- Helper template to call `fetchByKey`.
template Helper
 with
   p : Party
 where
   signatory p
   choice FetchAccountByKey : (ContractId Account, Account)
        accountKey : AccountKey
      controller p
      do fetchByKey @Account accountKey
```

Since Daml is designed to run on distributed systems, you have to assume that there is no global entity that can guarantee uniqueness, which is why each key expression must come with a maintainer expression. maintainer takes one or several parties, all of which have to be signatories of the contract and be part of the key. That way the index can be partitioned amongst sets of maintainers, and each set of maintainers can independently ensure the uniqueness constraint on their piece of the index. The constraint that maintainers are part of the key is ensured by only having the variable key in each maintainer expression.

Instead of calling queryContractId to get the contract arguments associated with a given contract identifier, we use fetchByKey @Account. fetchByKey @Account takes a value of type AccountKey and returns a tuple (ContractId Account, Account) if the lookup was successful or fails the transaction otherwise. fetchByKey cannot be used directly in the list of commands sent to the ledger. Therefore we create a Helper template with a FetchAccountByKey choice and call that via createAndExerciseCmd. We will learn more about choices in the next section.

Since a single type could be used as the key for multiple templates, you need to tell the compiler what type of contract is being fetched by using the @Account notation.

# 2.1.3.5 Next up

You can now define data schemas for the ledger, read, write and delete data from the ledger, and use keys to reference and look up data in a stable fashion.

In 4 Transforming data using choices you'll learn how to define data transformations and give other parties the right to manipulate data in restricted ways.

# 2.1.4 4 Transforming data using choices

In the example in *Contract keys* the accountant party wanted to change some data on a contract. They did so by archiving the contract and re-creating it with the updated data. That works because the

accountant is the sole signatory on the Account contract defined there.

But what if the accountant wanted to allow the bank to change their own telephone number? Or what if the owner of a CashBalance should be able to transfer ownership to someone else?

In this section you will learn about how to define simple data transformations using choices and how to delegate the right to exercise these choices to other parties.

**Hint:** Remember that you can load all the code for this section into a folder called 4\_Transformations by running daml new 4\_Transformations --template daml-intro- 4

#### 2.1.4.1 Choices as methods

If you think of templates as classes and contracts as objects, where are the methods?

Take as an example a Contact contract on which the contact owner wants to be able to change the telephone number, just like on the Account in Contract keys. Rather than requiring them to manually look up the contract, archive the old one and create a new one, you can provide them a convenience method on Contact:

```
template Contact
 with
   owner : Party
   party : Party
   address : Text
   telephone : Text
 where
   signatory owner
    controller owner can
      UpdateTelephone
        : ContractId Contact
        with
          newTelephone : Text
        do
          create this with
            telephone = newTelephone
```

The above defines a choice called <code>UpdateTelephone</code>. Choices are part of a contract template. They're permissioned functions that result in an <code>Update</code>. Using choices, authority can be passed around, allowing the construction of complex transactions.

Let's unpack the code snippet above:

The first line, controller owner can says that the following choices are controlled by owner, meaning owner is the only party that is allowed to exercise them. The line starts a new block in which multiple choices can be defined.

UpdateTelephone is the name of a choice. It starts a new block in which that choice is defined.
ContractId Contact is the return type of the choice.

This particular choice archives the current Contact, and creates a new one. What it returns is a reference to the new contract, in the form of a Contact Contact

The following with block is that of a record. Just like with templates, in the background, a new record type is declared: data UpdateTelephone = UpdateTelephone with

The do starts a block defining the action the choice should perform when exercised. In this case a new Contact is created.

The new Contact is created using this with. this is a special value available within the where block of templates and takes the value of the current contract's arguments.

There is nothing here explicitly saying that the current Contact should be archived. That's because choices are consuming by default. That means when the above choice is exercised on a contract, that contract is archived.

As mentioned in 3 Data types, within a choice we use create instead of createCmd. Whereas createCmd builds up a list of commands to be sent to the ledger, create builds up a more flexible Update that is executed directly by the ledger. You might have noticed that create returns an Update (ContractId Contact), not a ContractId Contact. As a do block always returns the value of the last statement within it, the whole do block returns an Update, but the return type on the choice is just a ContractId Contact. This is a convenience. Choices always return an Update so for readability it's omitted on the type declaration of a choice.

Now to exercise the new choice in a script:

```
choice test = do
  owner <- allocateParty "Alice"</pre>
 party <- allocateParty "Bob"</pre>
  contactCid <- submit owner do</pre>
     createCmd Contact with
      owner
      party
      address = "1 Bobstreet"
      telephone = "012 345 6789"
  -- Bob can't change his own telephone number as Alice controls
  -- that choice.
  submitMustFail party do
    exerciseCmd contactCid UpdateTelephone with
      newTelephone = "098 7654 321"
 newContactCid <- submit owner do</pre>
    exerciseCmd contactCid UpdateTelephone with
      newTelephone = "098 7654 321"
  Some newContact <- queryContractId owner newContactCid
  assert (newContact.telephone == "098 7654 321")
```

You exercise choices using the exercise function, which takes a ContractId a, and a value of type c, where c is a choice on template a. Since c is just a record, you can also just fill in the choice parameters using the with syntax you are already familiar with.

exerciseCmd returns a Commands r where r is the return type specified on the choice, allowing the new ContractId Contact to be stored in the variable new\_contactCid. Just like for createCmd and create, there is also exerciseCmd and exercise. The versions with the cmd suffix is always

used on the client side to build up the list of commands on the ledger. The versions without the suffix are used within choices and are executed directly on the server.

There is also createAndExerciseCmd and createAndExercise which we have seen in the previous section. This allows you to create a new contract with the given arguments and immediately exercise a choice on it. For a consuming choice, this archives the contract so the contract is created and archived within the same transaction.

# 2.1.4.2 Choices as delegation

Up to this point all the contracts only involved one party. party may have been stored as Party field in the above, which suggests they are actors on the ledger, but they couldn't see the contracts, nor change them in any way. It would be reasonable for the party for which a Contact is stored to be able to update their own address and telephone number. In other words, the owner of a Contact should be able to delegate the right to perform a certain kind of data transformation to party.

The below demonstrates this using an <code>UpdateAddress</code> choice and corresponding extension of the script:

```
controller party can
    UpdateAddress
    : ContractId Contact
    with
       newAddress : Text
    do
       create this with
       address = newAddress
```

```
newContactCid <- submit party do
  exerciseCmd newContactCid UpdateAddress with
   newAddress = "1-10 Bobstreet"

Some newContact <- queryContractId owner newContactCid

assert (newContact.address == "1-10 Bobstreet")</pre>
```

If you open the script view in the IDE, you will notice that Bob sees the Contact. Controllers specified via controller c can syntax become observers of the contract. More on observers later, but in short, they get to see any changes to the contract.

#### 2.1.4.3 Choices in the Ledger Model

In 1 Basic contracts you learned about the high-level structure of a Daml ledger. With choices and the exercise function, you have the next important ingredient to understand the structure of the ledger and transactions.

A transaction is a list of actions, and there are just four kinds of action: create, exercise, fetch and key assertion.

A create action creates a new contract with the given arguments and sets its status to active. A fetch action checks the existence and activeness of a contract.

An exercise action exercises a choice on a contract resulting in a transaction (list of sub-actions) called the consequences. Exercises come in two kinds called consuming and

nonconsuming. consuming is the default kind and changes the contract's status from active to archived.

A key assertion records the assertion that the given contract key (see Contract keys) is not assigned to any active contract on the ledger.

Each action can be visualized as a tree, where the action is the root node, and its children are its consequences. Every consequence may have further consequences. As fetch, create and key assertion actions have no consequences, they are always leaf nodes. You can see the actions and their consequences in the transaction view of the above script:

```
Transactions:
  TX #0 1970-01-01T00:00:00Z (Contact:43:17)
  #0:0
     consumed by: #2:0
     referenced by #2:0
     known to (since): 'Alice' (#0), 'Bob' (#0)
  -> create Contact:Contact
     with
        owner = 'Alice'; party = 'Bob'; address = "1 Bobstreet"; telephone□
→= "012 345 6789"
 TX #1 1970-01-01T00:00:00Z
   mustFailAt 'Bob' (Contact:52:3)
 TX #2 1970-01-01T00:00:00Z (Contact:56:22)
  #2:0
     known to (since): 'Alice' (#2), 'Bob' (#2)
  -> 'Alice' exercises UpdateTelephone on #0:0 (Contact:Contact)
               newTelephone = "098 7654 321"
     children:
      #2:1
         consumed by: #4:0
         referenced by #3:0, #4:0
         known to (since): 'Alice' (#2), 'Bob' (#2)
      with
            owner = 'Alice'; party = 'Bob'; address = "1 Bobstreet";□
→telephone = "098 7654 321"
 TX #3 1970-01-01T00:00:00Z (Contact:60:3)
  #3:0
  -> fetch #2:1 (Contact:Contact)
 TX #4 1970-01-01T00:00:00Z (Contact:66:22)
  #4:0
     known to (since): 'Alice' (#4), 'Bob' (#4)
  -> 'Bob' exercises UpdateAddress on #2:1 (Contact:Contact)
           with
             newAddress = "1-10 Bobstreet"
      children:
```

There are four commits corresponding to the four submit statements in the script. Within each commit, we see that it's actually actions that have IDs of the form #commit\_number:action\_number. Contract IDs are just the ID of their create action.

So commits #2 and #4 contain exercise actions with IDs #2:0 and #4:0. The create actions of the updated, Contact contracts, #2:1 and #4:1, are indented and found below a line reading children:, making the tree structure apparent.

## The Archive choice

You may have noticed that there is no archive action. That's because archive cid is just shorthand for exercise cid Archive, where Archive is a choice implicitly added to every template, with the signatories as controllers.

## 2.1.4.4 A simple cash model

With the power of choices, you can build your first interesting model: issuance of cash IOUs (I owe you). The model presented here is simpler than the one in 3 Data types as it's not concerned with the location of the physical cash, but merely with liabilities:

```
-- Copyright (c) 2021 Digital Asset (Switzerland) GmbH and/or its□

→ affiliates. All rights reserved.

-- SPDX-License-Identifier: Apache-2.0

module SimpleIou where

import Daml.Script

data Cash = Cash with

currency : Text

amount : Decimal
```

```
deriving (Eq, Show)
template SimpleIou
 with
    issuer : Party
    owner : Party
    cash : Cash
 where
    signatory issuer
    controller owner can
      Transfer
        : ContractId SimpleIou
        with
          newOwner : Party
        do
          create this with owner = newOwner
test iou = script do
  alice <- allocateParty "Alice"</pre>
 bob <- allocateParty "Bob"</pre>
  charlie <- allocateParty "Charlie"</pre>
 dora <- allocateParty "Dora"</pre>
  -- Dora issues an Iou for $100 to Alice.
  iou <- submit dora do
    createCmd SimpleIou with
      issuer = dora
      owner = alice
      cash = Cash with
        amount = 100.0
        currency = "USD"
  -- Alice transfers it to Bob.
  iou2 <- submit alice do
    exerciseCmd iou Transfer with
      newOwner = bob
  -- Bob transfers it to Charlie.
  submit bob do
    exerciseCmd iou2 Transfer with
      newOwner = charlie
```

The above model is fine as long as everyone trusts Dora. Dora could revoke the Simplelou at any point by archiving it. However, the provenance of all transactions would be on the ledger so the owner could prove that Dora was dishonest and cancelled her debt.

## 2.1.4.5 Next up

You can now store and transform data on the ledger, even giving other parties specific write access through choices.

In 5 Adding constraints to a contract, you will learn how to restrict data and transformations further. In that context, you will also learn about time on Daml ledgers, do blocks and <- notation within those.

# 2.1.5 5 Adding constraints to a contract

You will often want to constrain the data stored or the allowed data transformations in your contract models. In this section, you will learn about the two main mechanisms provided in Daml:

```
The ensure keyword.
The assert, abort and error keywords.
```

To make sense of the latter, you'll also learn more about the <code>Update</code> and <code>Script</code> types and <code>do</code> blocks, which will be good preparation for 7 Composing choices, where you will use do blocks to compose choices into complex transactions.

Lastly, you will learn about time on the ledger and in Daml Script.

**Hint:** Remember that you can load all the code for this section into a folder called 5\_Restrictions by running daml new 5\_Restrictions --template daml-intro-5

# 2.1.5.1 Template preconditions

The first kind of restriction you may want to put on the contract model are called template preconditions. These are simply restrictions on the data that can be stored on a contract from that template.

Suppose, for example, that the SimpleIou contract from A simple cash model should only be able to store positive amounts. You can enforce this using the ensure keyword:

```
template SimpleIou
  with
   issuer : Party
  owner : Party
  cash : Cash
  where
    signatory issuer

ensure cash.amount > 0.0
```

The ensure keyword takes a single expression of type Bool. If you want to add more restrictions, use logical operators &&,  $|\cdot|$  and not to build up expressions. The below shows the additional restriction that currencies are three capital letters:

```
&& T.length cash.currency == 3
&& T.isUpper cash.currency
```

Hint: The T here stands for the DA. Text standard library which has been imported using import

DA.Text as T.

```
test restrictions = do
 alice <- allocateParty "Alice"</pre>
 bob <- allocateParty "Bob"</pre>
 dora <- allocateParty "Dora"</pre>
  -- Dora can't issue negative Ious.
  submitMustFail dora do
   createCmd SimpleIou with
      issuer = dora
      owner = alice
      cash = Cash with
        amount = -100.0
        currency = "USD"
  -- Or even zero Ious.
  submitMustFail dora do
   createCmd SimpleIou with
      issuer = dora
      owner = alice
      cash = Cash with
        amount = 0.0
        currency = "USD"
  -- Nor positive Ious with invalid currencies.
  submitMustFail dora do
   createCmd SimpleIou with
      issuer = dora
      owner = alice
      cash = Cash with
        amount = 100.0
        currency = "Swiss Francs"
  -- But positive Ious still work, of course.
  iou <- submit dora do
   createCmd SimpleIou with
      issuer = dora
      owner = alice
      cash = Cash with
        amount = 100.0
        currency = "USD"
```

### 2.1.5.2 Assertions

A second common kind of restriction is one on data transformations.

For example, the simple Iou in A simple cash model allowed the no-op where the owner transfers to themselves. You can prevent that using an assert statement, which you have already encountered in the context of scripts.

assert does not return an informative error so often it's better to use the function assertMsg, which takes a custom error message:

```
-- Alice can't transfer to herself...

submitMustFail alice do

exerciseCmd iou Transfer with

newOwner = alice

-- ... but can transfer to Bob.

iou2 <- submit alice do

exerciseCmd iou Transfer with

newOwner = bob
```

Similarly, you can write a Redeem choice, which allows the owner to redeem an Iou during business hours on weekdays. The choice doesn't do anything other than archiving the SimpleIou. (This assumes that actual cash changes hands off-ledger.)

```
controller owner can
     Redeem
       : ()
       do
         now <- getTime
           today = toDateUTC now
           dow = dayOfWeek today
           timeofday = now `subTime` time today 0 0 0
           hrs = convertRelTimeToMicroseconds timeofday / 3600000000
         assertMsq
            ("Cannot redeem outside business hours. Current time: " <>□
→show timeofday)
           (hrs >= 8 \&\& hrs <= 18)
         case dow of
           Saturday -> abort "Cannot redeem on a Saturday."
           Sunday -> abort "Cannot redeem on a Sunday."
           _ -> return ()
```

```
-- June 1st 2019 is a Saturday.
setTime (time (date 2019 Jun 1) 0 0 0)
-- Bob cannot redeem on a Saturday.
submitMustFail bob do
```

```
exerciseCmd iou2 Redeem

-- Not even at mid-day.
passTime (hours 12)
-- Bob cannot redeem on a Saturday.
submitMustFail bob do
exerciseCmd iou2 Redeem

-- Bob also cannot redeem at 6am on a Monday.
passTime (hours 42)
submitMustFail bob do
exerciseCmd iou2 Redeem

-- Bob can redeem at 8am on Monday.
passTime (hours 2)
submit bob do
exerciseCmd iou2 Redeem
```

There are quite a few new time-related functions from the DA. Time and DA. Date libraries here. Their names should be reasonably descriptive so how they work won't be covered here, but given that Daml assumes it is run in a distributed setting, we will still discuss time in Daml.

There's also quite a lot going on inside the do block of the Redeem choice, with several uses of the <- operator. do blocks and <- deserve a proper explanation at this point.

### 2.1.5.3 Time on Daml ledgers

Each transaction on a Daml ledger has two timestamps called the *ledger time* (LT) and the *record time* (RT). The ledger time is set by the participant, the record time is set by the ledger.

Each Daml ledger has a policy on the allowed difference between LT and RT called the skew. The participant has to take a good guess at what the record time will be. If it's too far off, the transaction will be rejected.

getTime is an action that gets the LT from the ledger. In the above example, that time is taken apart into day of week and hour of day using standard library functions from DA. Date and DA. Time. The hour of the day is checked to be in the range from 8 to 18.

Consider the following example: Suppose that the ledger had a skew of 10 seconds. At 17:59:55, Alice submits a transaction to redeem an lou. One second later, the transaction is assigned a LT of 17:59:56, but then takes 10 seconds to commit and is recorded on the ledger at 18:00:06. Even though it was committed after business hours, it would be a valid transaction and be committed successfully as getTime will return 17:59:56 so hrs == 17. Since the RT is 18:00:06, LT - RT <= 10 seconds and the transaction won't be rejected.

Time therefore has to be considered slightly fuzzy in Daml, with the fuzziness depending on the skew parameter.

For details, see Background concepts - time.

#### Time in test scripts

For tests, you can set time using the following functions:

setTime, which sets the ledger time to the given time.
passTime, which takes a RelTime (a relative time) and moves the ledger by that much.

# Time on ledgers

On a distributed Daml ledger, there are no guarantees that ledger time or record time are strictly increasing. The only guarantee is that ledger time is increasing with causality. That is, if a transaction TX2 depends on a transaction TX1, then the ledger enforces that the LT of TX2 is greater than or equal to that of TX1:

```
iou3 <- submit dora do
  createCmd SimpleIou with
  issuer = dora
  owner = alice
  cash = Cash with
   amount = 100.0
   currency = "USD"

passTime (days (-3))
  submitMustFail alice do
  exerciseCmd iou3 Redeem</pre>
```

#### 2.1.5.4 Actions and do blocks

You have come across do blocks and <- notations in two contexts by now: Script and Update. Both of these are examples of an Action, also called a Monad in functional programming. You can construct Actions conveniently using do notation.

Understanding Actions and do blocks is therefore crucial to being able to construct correct contract models and test them, so this section will explain them in some detail.

### Pure expressions compared to Actions

Expressions in Daml are pure in the sense that they have no side-effects: they neither read nor modify any external state. If you know the value of all variables in scope and write an expression, you can work out the value of that expression on pen and paper.

However, the expressions you've seen that used the <- notation are not like that. For example, take getTime, which is an Action. Here's the example we used earlier:

```
now <- getTime
```

You cannot work out the value of now based on any variable in scope. To put it another way, there is no expression expr that you could put on the right hand side of now = expr. To get the ledger time, you must be in the context of a submitted transaction, and then look at that context.

Similarly, you've come across fetch. If you have cid: ContractId Account in scope and you come across the expression fetch cid, you can't evaluate that to an Account so you can't write account = fetch cid. To do so, you'd have to have a ledger you can look that contract ID up on.

## Actions and impurity

Actions are a way to handle such impure expressions. Action a is a type class with a single parameter a, and Update and Script are instances of Action. A value of such a type m a where m

is an instance of Action can be interpreted as a recipe for an action of type m, which, when executed, returns a value a .

You can always write a recipe using just pen and paper, but you can't cook it up unless you are in the context of a kitchen with the right ingredients and utensils. When cooking the recipe you have an effect – you change the state of the kitchen – and a return value – the thing you leave the kitchen with.

An Update a is a recipe to update a Daml ledger, which, when committed, has the effect of changing the ledger, and returns a value of type a . An update to a Daml ledger is a transaction so equivalently, an Update a is a recipe to construct a transaction, which, when executed in the context of a ledger, returns a value of type a .

A Script a is a recipe for a test, which, when performed against a ledger, has the effect of changing the ledger in ways analogous to those available via the API, and returns a value of type a .

Expressions like getTime, allocateParty party, passTime time, submit party commands, create contract and exercise choice should make more sense in that light. For example:

getTime: Update Time is the recipe for an empty transaction that also happens to return a value of type Time.

passTime (days 10): Script () is a recipe for a transaction that doesn't submit any transactions, but has the side-effect of changing the LT of the test ledger. It returns (), also called Unit and can be thought of as a zero-tuple.

create iou : Update (ContractId Iou), where iou : Iouis a recipe for a transaction consisting of a single create action, and returns the contract id of the created contract if successful.

submit alice (createCmd iou): Script (ContractId Iou) is a recipe for a script in which Alice sends the command createCmd iou to the ledger which produces a transaction and a return value of type ContractId Iou and returns that back to Alice.

Commands is a bit more restricted than Script and Update as it represents a list of independent commands sent to the ledger. You can still use do blocks but if you have more than one command in a single do block you need to enable the ApplicativeDo extension at the beginning of your file. In addition to that, the last statement in such a do block must be of the form return expror pure expr. Applicative is a more restricted version of Action that enforces that there are no dependencies between commands. If you do have dependencies between commands, you can always wrap it in a choice in a helper template and call that via createAndExerciseCmd just like we did to call fetchByKey. Alternatively, if you do not need them to be part of the same transaction, you can make multiple calls to submit.

```
{-# LANGUAGE ApplicativeDo #-}
module Restrictions where
```

## Chaining up actions with do blocks

An action followed by another action, possibly depending on the result of the first action, is just another action. Specifically:

A transaction is a list of actions. So a transaction followed by another transaction is again a transaction.

A script is a list of interactions with the ledger (submit, allocateParty, passTime, etc). So a script followed by another script is again a script.

This is where do blocks come in. do blocks allow you to build complex actions from simple ones, using the results of earlier actions in later ones.

```
sub script1 (alice, dora) = do
  submit dora do
    createCmd SimpleIou with
      issuer = dora
      owner = alice
      cash = Cash with
        amount = 100.0
        currency = "USD"
sub script2 = do
  passTime (days 1)
 passTime (days (-1))
  return 42
sub script3 (bob, dora) = do
  submit dora do
    createCmd SimpleIou with
      issuer = dora
      owner = bob
      cash = Cash with
        amount = 100.0
        currency = "USD"
main : Script () = do
  dora <- allocateParty "Dora"</pre>
  alice <- allocateParty "Alice"</pre>
 bob <- allocateParty "Bob"</pre>
  iou1 <- sub script1 (alice, dora)
  sub script2
  iou2 <- sub script3 (bob, dora)
  submit dora do
    archiveCmd iou1
    archiveCmd iou2
    pure ()
```

Above, we see do blocks in action for both Script and Update.

## Wrapping values in actions

You may already have noticed the use of return in the redeem choice. return  $\times$  is a no-op action which returns value  $\times$  so return 42: Update Int. Since do blocks always return the value of their last action, sub script2: Script Int.

# 2.1.5.5 Failing actions

Not only are Update and Script examples of Action, they are both examples of actions that can fail, e.g. because a transaction is illegal or the party retrieved via allocateParty doesn't exist on

the ledger.

Each has a special action abort txt that represents failure, and that takes on type Update () or Script () depending on context.

Transactions succeed or fail atomically as a whole. Scripts on the other hand do not fail atomically: while each submit is atomic, if a submit succeeded and the script fails later, the effects of that submit will still be applied to the ledger.

The last expression in the do block of the Redeem choice is a pattern matching expression on dow. It has type <code>Update</code> () and is either an abort or return depending on the day of week. So during the week, it's a no-op and on weekends, it's the special failure action. Thanks to the atomicity of transactions, no transaction can ever make use of the <code>Redeem</code> choice on weekends, because it fails the entire transaction.

## 2.1.5.6 A sample Action

If the above didn't make complete sense, here's another example to explain what actions are more generally, by creating a new type that is also an action. CoinGame a is an Action a in which a Coin is flipped. The Coin is a pseudo-random number generator and each flip has the effect of changing the random number generator's state. Based on the Heads and Tails results, a return value of type a is calculated.

```
data Face = Heads | Tails
  deriving (Eq, Show, Enum)

data CoinGame a = CoinGame with
  play : Coin -> (Coin, a)

flipCoin : CoinGame Face
getCoin : Script Coin
```

A CoinGame a exposes a function play which takes a Coin and returns a new Coin and a result a. More on the -> syntax for functions later.

Coin and play are deliberately left obscure in the above. All you have is an action getCoin to get your hands on a Coin in a Script context and an action flipCoin which represents the simplest possible game: a single coin flip resulting in a Face.

You can't play any CoinGame game on pen and paper as you don't have a coin, but you can write down a script or recipe for a game:

```
if all (== Heads) [f1r, f2r, f3r]
    then return "Win"
    else return "Loss"
    (newCoin, result) = game.play coin

assert (result == "Win")
```

The game expression is a CoinGame in which a coin is flipped three times. If all three tosses return Heads, the result is "Win", or else "Loss".

In a Script context you can get a Coin using the getCoin action, which uses the LT to calculate a seed, and play the game.

Somehow the Coin is threaded through the various actions. If you want to look through the looking glass and understand in-depth what's going on, you can look at the source file to see how the CoinGame action is implemented, though be warned that the implementation uses a lot of Daml features we haven't introduced yet in this introduction.

More generally, if you want to learn more about Actions (aka Monads), we recommend a general course on functional programming, and Haskell in particular. See <u>The Haskell Connection</u> for some suggestions.

#### 2.1.5.7 Errors

Above, you've learnt about assertMsg and abort, which represent (potentially) failing actions. Actions only have an effect when they are performed, so the following script succeeds or fails depending on the value of abortScript:

```
nonPerformedAbort = do
let abortScript = False
let failingAction : Script () = abort "Foo"
let successfulAction : Script () = return ()
if abortScript then failingAction else successfulAction
```

However, what about errors in contexts other than actions? Suppose we wanted to implement a function pow that takes an integer to the power of another positive integer. How do we handle that the second parameter has to be positive?

One option is to make the function explicitly partial by returning an Optional:

This is a useful pattern if we need to be able to handle the error case, but it also forces us to always handle it as we need to extract the result from an Optional. We can see the impact on convenience in the definition of the above function. In cases, like division by zero or the above function, it can therefore be preferable to fail catastrophically instead:

```
errPow : Int -> Int
errPow base exponent
| exponent == 0 = 1
| exponent > 0 = base * errPow base (exponent - 1)
| otherwise = error "Negative exponent not supported"
```

The big downside to this is that even unused errors cause failures. The following script will fail, because failingComputation is evaluated:

```
nonPerformedError = script do
let causeError = False
let failingComputation = errPow 1 (-1)
let successfulComputation = errPow 1 1
return if causeError then failingComputation else successfulComputation
```

error should therefore only be used in cases where the error case is unlikely to be encountered, and where explicit partiality would unduly impact usability of the function.

## 2.1.5.8 Next up

You can now specify a precise data and data-transformation model for Daml ledgers. In 6 Parties and authority, you will learn how to properly involve multiple parties in contracts, how authority works in Daml, and how to build contract models with strong guarantees in contexts with mutually distrusting entities.

# 2.1.6 6 Parties and authority

Daml is designed for distributed applications involving mutually distrusting parties. In a well-constructed contract model, all parties have strong guarantees that nobody cheats or circumvents the rules laid out by templates and choices.

In this section you will learn about Daml's authorization rules and how to develop contract models that give all parties the required guarantees. In particular, you'll learn how to:

Pass authority from one contract to another Write advanced choices Reason through Daml's Authorization model

Hint: Remember that you can load all the code for this section into a folder called 6\_Parties by running daml new 6\_Parties --template daml-intro-6

# 2.1.6.1 Preventing IOU revocation

The SimpleIou contract from 4 Transforming data using choices and 5 Adding constraints to a contract has one major problem: The contract is only signed by the issuer. The signatories are the parties with the power to create and archive contracts. If Alice gave Bob a SimpleIou for \$100 in exchange for some goods, she could just archive it after receiving the goods. Bob would have a record of such actions, but would have to resort to off-ledger means to get his money back.

```
template SimpleIou with
```

```
issuer : Party
owner : Party
cash : Cash
where
signatory issuer
```

```
simple iou test = do
 alice <- allocateParty "Alice"</pre>
 bob <- allocateParty "Bob"</pre>
  -- Alice and Bob enter into a trade.
  -- Alice transfers the payment as a SimpleIou.
  iou <- submit alice do
   createCmd SimpleIou with
      issuer = alice
      owner = bob
      cash = Cash with
        amount = 100.0
        currency = "USD"
 passTime (days 1)
  -- Bob delivers the goods.
 passTime (minutes 10)
 -- Alice just deletes the payment.
 submit alice do
   archiveCmd iou
```

For a party to have any guarantees that only those transformations specified in the choices are actually followed, they either need to be a signatory themselves, or trust one of the signatories to not agree to transactions that archive and re-create contracts in unexpected ways. To make the SimpleIou safe for Bob, you need to add him as a signatory.

```
create this with
owner = newOwner
```

There's a new problem here: There is no way for Alice to issue or transfer this Iou to Bob. To get an Iou with Bob's signature as owner onto the ledger, his authority is needed.

```
iou test = do
 alice <- allocateParty "Alice"
 bob <- allocateParty "Bob"</pre>
  -- Alice and Bob enter into a trade.
  -- Alice wants to give Bob an Iou, but she can't without Bob's authority.
  submitMustFail alice do
   createCmd Iou with
     issuer = alice
     owner = bob
      cash = Cash with
        amount = 100.0
        currency = "USD"
  -- She can issue herself an Iou.
  iou <- submit alice do
   createCmd Iou with
      issuer = alice
     owner = alice
      cash = Cash with
        amount = 100.0
        currency = "USD"
  -- However, she can't transfer it to Bob.
  submitMustFail alice do
   exerciseCmd iou Transfer with
     newOwner = bob
```

This may seem awkward, but notice that the <code>ensure</code> clause is gone from the <code>Iou</code> again. The above <code>Iou</code> can contain negative values so Bob should be glad that <code>Alice</code> cannot put his signature on any <code>Iou</code>.

You'll now learn a couple of common ways of building issuance and transfer workflows for the above Iou, before diving into the authorization model in full.

#### 2.1.6.2 Use propose-accept workflows for one-off authorization

If there is no standing relationship between Alice and Bob, Alice can propose the issuance of an lou to Bob, giving him the choice to accept. You can do so by introducing a proposal contract IouProposal:

```
template IouProposal
with
iou: Iou
where
signatory iou.issuer
```

```
controller iou.owner can
    IouProposal_Accept
    : ContractId Iou
    do
        create iou
```

Note how we have used the fact that templates are records here to store the Iou in a single field.

```
iouProposal <- submit alice do
  createCmd IouProposal with
  iou = Iou with
  issuer = alice
  owner = bob
  cash = Cash with
   amount = 100.0
   currency = "USD"

submit bob do
  exerciseCmd iouProposal IouProposal_Accept</pre>
```

The IouProposal contract carries the authorithy of iou.issuer by virtue of them being a signatory. By exercising the IouProposal\_Accept choice, Bob adds his authority to that of Alice, which is why an Iou with both signatories can be created in the context of that choice.

The choice is called <code>IouProposal\_Accept</code>, not <code>Accept</code>, because propose-accept patterns are very common. In fact, you'll see another one just below. As each choice defines a record type, you cannot have two choices of the same name in scope. It's a good idea to qualify choice names to ensure uniqueness.

The above solves issuance, but not transfers. You can solve transfers exactly the same way, though, by creating a TransferProposal:

```
template IouTransferProposal
  with
    iou : Iou
    newOwner : Party
where
    signatory (signatory iou)

controller iou.owner can
    IouTransferProposal_Cancel
    : ContractId Iou
    do
        create iou

controller newOwner can
    IouTransferProposal_Reject
    : ContractId Iou
    do
        create iou
```

```
IouTransferProposal_Accept
    : ContractId Iou
    do
        create iou with
        owner = newOwner
```

In addition to defining the signatories of a contract, signatory can also be used to extract the signatories from another contract. Instead of writing signatory (signatory iou), you could write signatory iou.issuer, iou.owner.

Note also how newOwner is given multiple choices using a single controller newOwner can block. The IouProposal had a single signatory so it could be cancelled easily by archiving it. Without a Cancel choice, the newOwner could abuse an open TransferProposal as an option. The triple Accept, Reject, Cancel is common to most proposal templates.

To allow an iou.owner to create such a proposal, you need to give them the choice to propose a transfer on the Iou contract. The choice looks just like the above Transfer choice, except that a IouTransferProposal is created instead of an Iou:

```
ProposeTransfer

: ContractId IouTransferProposal
with
newOwner: Party
do
assertMsg "newOwner cannot be equal to owner." (owner /=□
→newOwner)
create IouTransferProposal with
iou = this
newOwner
```

Bob can now transfer his Iou. The transfer workflow can even be used for issuance:

```
charlie <- allocateParty "Charlie"

-- Alice issues an Iou using a transfer proposal.
tpab <- submit alice do
    createCmd IouTransferProposal with
    newOwner = bob
    iou = Iou with
        issuer = alice
        owner = alice
        cash = Cash with
            amount = 100.0
            currency = "USD"

-- Bob accepts the transfer from Alice.
iou2 <- submit bob do
        exerciseCmd tpab IouTransferProposal_Accept

-- Bob offers Charlie a transfer.</pre>
```

```
tpbc <- submit bob do
  exerciseCmd iou2 ProposeTransfer with
   newOwner = charlie

-- Charlie accepts the transfer from Bob.
submit charlie do
  exerciseCmd tpbc IouTransferProposal_Accept</pre>
```

# 2.1.6.3 Use role contracts for ongoing authorization

Many actions, like the issuance of assets or their transfer, can be pre-agreed. You can represent this succinctly in Daml through relationship or role contracts.

Jointly, an owner and newOwner can transfer an asset, as demonstrated in the script above. In 7 Composing choices, you will see how to compose the ProposeTransfer and IouTransferProposal\_Accept choices into a single new choice, but for now, here is a different way. You can give them the joint right to transfer an IOU:

```
choice Mutual_Transfer
    : ContractId Iou
    with
        newOwner : Party
    controller owner, newOwner
    do
        create this with
        owner = newOwner
```

Up to now, the controllers of choices were known from the current contract. Here, the newOwner variable is part of the choice arguments, not the Iou.

The above syntax is an alternative to controller c can, which allows for this. Such choices live outside any controller c can block. They declared using the choice keyword, and have an extra clause controller c, which takes the place of controller c can, and has access to the choice arguments.

This is also the first time we have shown a choice with more than one controller. If multiple controllers are specified, the authority of all the controllers is needed. Here, neither owner, nor newOwner can execute a transfer unilaterally, hence the name Mutual Transfer.

```
iou <- fetch iouCid
assert (iou.cash.amount > 0.0)
assert (sender == iou.owner)
exercise iouCid Mutual_Transfer with
newOwner = receiver
```

The above IouSender contract now gives one party, the sender the right to send Iou contracts with positive amounts to a receiver. The nonconsuming keyword on the choice Send\_Iou changes the behaviour of the choice so that the contract it's exercised on does not get archived when the choice is exercised. That way the sender can use the contract to send multiple lous.

Here it is in action:

```
-- Bob allows Alice to send him Ious.
sab <- submit bob do
 createCmd IouSender with
   sender = alice
   receiver = bob
-- Charlie allows Bob to send him Ious.
sbc <- submit charlie do
 createCmd IouSender with
    sender = bob
   receiver = charlie
-- Alice can now send the Iou she issued herself earlier.
iou4 <- submit alice do
 exerciseCmd sab Send Iou with
   iouCid = iou
-- Bob sends it on to Charlie.
submit bob do
 exerciseCmd sbc Send Iou with
   iouCid = iou4
```

### 2.1.6.4 Daml's authorization model

Hopefully, the above will have given you a good intuition for how authority is passed around in Daml. In this section you'll learn about the formal authorization model to allow you to reason through your contract models. This will allow you to construct them in such a way that you don't run into authorization errors at runtime, or, worse still, allow malicious transactions.

In Choices in the Ledger Model you learned that a transaction is, equivalently, a tree of transactions, or a forest of actions, where each transaction is a list of actions, and each action has a child-transaction called its consequences.

Each action has a set of required authorizers – the parties that must authorize that action – and each transaction has a set of authorizers – the parties that did actually authorize the transaction.

The authorization rule is that the required authorizers of every action are a subset of the authorizers of the parent transaction.

The required authorizers of actions are:

The required authorizers of an **exercise action** are the controllers on the corresponding choice. Remember that Archive and archive are just an implicit choice with the signatories as controllers

The required authorizers of a **create action** are the signatories of the contract.

The required authorizers of a **fetch action** (which also includes fetchByKey) are somewhat dynamic and covered later.

The authorizers of transactions are:

The root transaction of a commit is authorized by the submitting party.

The consequences of an exercise action are authorized by the actors of that action plus the signatories of the contract on which the action was taken.

# An authorization example

Consider the transaction from the script above where Bob sends an Iou to Charlie using a Send\_Iou contract. It is authorized as follows, ignoring fetches:

Bob submits the transaction so he's the authorizer on the root transaction.

The root transaction has a single action, which is to exercise <code>Send\_Iou</code> on a <code>IouSender</code> contract with Bob as <code>sender</code> and Charlie as <code>receiver</code>. Since the controller of that choice is the <code>sender</code>, Bob is the required authorizer.

The consequences of the <code>Send\_Iou</code> action are authorized by its actors, Bob, as well as signatories of the contract on which the action was taken. That's Charlie in this case, so the consequences are authorized by both Bob and Charlie.

The consequences contain a single action, which is a Mutual\_Transfer with Charlie as newOwner on an Iou with issuer Alice and owner Bob. The required authorizers of the action are the owner, Bob, and the newOwner, Charlie, which matches the parent's authorizers.

The consequences of Mutual\_Transfer are authorized by the actors (Bob and Charlie), as well as the signatories on the lou (Alice and Bob).

The single action on the consequences, the creation of an lou with issuer Alice and owner Charlie has required authorizers Alice and Charlie, which is a proper subset of the parent's authorizers.

You can see the graph of this transaction in the transaction view of the IDE:

Note that authority is not automatically transferred transitively.

```
template NonTransitive
 with
   partyA : Party
   partyB : Party
 where
    signatory partyA
    controller partyA can
      TryA
        : ContractId NonTransitive
          create NonTransitive with
            partyA = partyB
            partyB = partyA
   controller partyB can
      TryB
        : ContractId NonTransitive
          with
            other : ContractId NonTransitive
        do
          exercise other TryA
```

```
nt1 <- submit alice do
    createCmd NonTransitive with
    partyA = alice
    partyB = bob
nt2 <- submit alice do
    createCmd NonTransitive with
    partyA = alice
    partyB = bob

submitMustFail bob do
    exerciseCmd nt1 TryB with
    other = nt2</pre>
```

The consequences of TryB are authorized by both Alice and Bob, but the action TryA only has Alice as an actor and Alice is the only signatory on the contract.

Therefore, the consequences of TryA are only authorized by Alice. Bob's authority is now missing to create the flipped NonTransitive so the transaction fails.

### 2.1.6.5 Next up

In 7 Composing choices you will put everything you have learned together to build a simple asset holding and trading model akin to that in the IOU Quickstart Tutorial. In that context you'll learn a bit more about the Update action and how to use it to compose transactions, as well as about privacy on Daml ledgers.

# 2.1.7 7 Composing choices

It's time to put everything you've learnt so far together into a complete and secure Daml model for asset issuance, management, transfer, and trading. This application will have capabilities similar to the one in IOU Quickstart Tutorial. In the process you will learn about a few more concepts:

Daml projects, packages and modules Composition of transactions Observers and stakeholders Daml's execution model Privacy

The model in this section is not a single Daml file, but a Daml project consisting of several files that depend on each other.

**Hint:** Remember that you can load all the code for this section into a folder called 7\_Composing by running daml new 7Composing --template daml-intro-7

## 2.1.7.1 Daml projects

Daml is organized in projects, packages and modules. A Daml project is specified using a single daml.yaml file, and compiles into a package in Daml's intermediate language, or bytecode equivalent, Daml-LF. Each Daml file within a project becomes a Daml module, which is a bit like a namespace. Each Daml project has a source root specified in the source parameter in the project's daml. yaml file. The package will include all modules specified in \*.daml files beneath that source directory.

You can start a new project with a skeleton structure using daml new project\_name in the terminal. A minimal project would contain just a daml.yaml file and an empty directory of source files.

Take a look at the daml.yaml for the chapter 7 project:

```
sdk-version: __VERSION__
name: __PROJECT_NAME__
source: daml
version: 1.0.0
dependencies:
   - daml-prim
   - daml-stdlib
   - daml-script
```

```
sandbox-options:
   - --wall-clock-time
```

You can generally set name and version freely to describe your project. dependencies does what the name suggests: It includes dependencies. You should always include daml-prim and daml-stdlib. The former contains internals of compiler and Daml Runtime, the latter gives access to the Daml Standard Library. daml-script contains the types and standard library for Daml Script.

You compile a Daml project by running daml build from the project root directory. This creates a dar file in .daml/dist/dist/project\_name-project\_version.dar. A dar file is Daml's equivalent of a JAR file in Java: it's the artifact that gets deployed to a ledger to load the package and its dependencies. dar files are fully self-contained in that they contain all dependencies of the main package. More on all of this in 8 Working with Dependencies.

## 2.1.7.2 Project structure

This project contains an asset holding model for transferable, fungible assets and a separate trade workflow. The templates are structured in three modules: Intro.Asset, Intro.Asset.Role, and Intro.Asset.Trade.

In addition, there are tests in modules Test. Intro. Asset, Test. Intro. Asset. Role, and Test. Intro. Asset. Trade.

All but the last .-separated segment in module names correspond to paths relative to the project source directory, and the last one to a file name. The folder structure therefore looks like this:

```
daml
Intro
Asset
Role.daml
Asset.daml
Test
Intro
Asset
Role.daml
Asset.daml
Asset
Asset.daml
Asset.daml
Asset.daml
```

Each file contains a module header. For example, daml/Intro/Asset/Role.daml:

```
module Intro.Asset.Role where
```

You can import one module into another using the import keyword. The LibraryModules module imports all six modules:

```
import Intro.Asset
```

Imports always have to appear just below the module declaration. You can optionally add a list of names after the import to import only the selected names:

```
import DA.List (sortOn, groupOn)
```

If your module contains any Daml Scripts, you need to import the corresponding functionality:

```
import Daml.Script
```

## 2.1.7.3 Project overview

The project both changes and adds to the Iou model presented in 6 Parties and authority:

Assets are fungible in the sense that they have Merge and Split choices that allow the owner to manage their holdings.

Transfer proposals now need the authorities of both issuer and newOwner to accept. This makes Asset safer than Iou from the issuer's point of view.

With the Iou model, an issuer could end up owing cash to anyone as transfers were authorized by just owner and newOwner. In this project, only parties having an AssetHolder contract can end up owning assets. This allows the issuer to determine which parties may own their assets.

The Trade template adds a swap of two assets to the model.

## 2.1.7.4 Composed choices and scripts

This project showcases how you can put the <code>Update</code> and <code>Script</code> actions you learnt about in 6 Parties and authority to good use. For example, the <code>Merge</code> and <code>Split</code> choices each perform several actions in their consequences.

Two create actions in case of Split
One create and one archive action in case of Merge

```
Split
  : SplitResult
  with
    splitQuantity : Decimal
  do
    splitAsset <- create this with
      quantity = splitQuantity
    remainder <- create this with
      quantity = quantity - splitQuantity
    return SplitResult with
      splitAsset
      remainder
Merge
  : ContractId Asset
    otherCid : ContractId Asset
  do
    other <- fetch otherCid
    assertMsg
      "Merge failed: issuer does not match"
      (issuer == other.issuer)
    assertMsq
```

```
"Merge failed: owner does not match"
    (owner == other.owner)
assertMsg
    "Merge failed: symbol does not match"
    (symbol == other.symbol)
archive otherCid
create this with
    quantity = quantity + other.quantity
```

The return function used in Split is available in any Action context. The result of return  $\, x$  is a no-op containing the value  $\, x$ . It has an alias pure, indicating that it's a pure value, as opposed to a value with side-effects. The return name makes sense when it's used as the last statement in a do block as its argument is indeed the return -value of the do block in that case.

Taking transaction composition a step further, the Trade\_Settle choice on Trade composes two exercise actions:

```
Trade Settle
  : (ContractId Asset, ContractId Asset)
 with
    quoteAssetCid : ContractId Asset
    baseApprovalCid : ContractId TransferApproval
 do
    fetchedBaseAsset <- fetch baseAssetCid</pre>
    assertMsq
      "Base asset mismatch"
      (baseAsset == fetchedBaseAsset with
        observers = baseAsset.observers)
    fetchedQuoteAsset <- fetch quoteAssetCid</pre>
    assertMsg
      "Quote asset mismatch"
      (quoteAsset == fetchedQuoteAsset with
        observers = quoteAsset.observers)
    transferredBaseCid <- exercise
      baseApprovalCid TransferApproval Transfer with
        assetCid = baseAssetCid
    transferredOuoteCid <- exercise
      quoteApprovalCid TransferApproval Transfer with
        assetCid = quoteAssetCid
    return (transferredBaseCid, transferredQuoteCid)
```

The resulting transaction, with its two nested levels of consequences, can be seen in the test\_trade script in Test.Intro.Asset.Trade:

```
TX #15 1970-01-01T00:00:00Z (Test.Intro.Asset.Trade:77:23) #15:0
```

```
known to (since): 'Alice' (#15), 'Bob' (#15)
-> 'Bob' exercises Trade Settle on #13:1 (Intro.Asset.Trade:Trade)
           quoteAssetCid = #10:1; baseApprovalCid = #14:2
   children:
   #15:1
      known to (since): 'Alice' (#15), 'Bob' (#15)
   -> fetch #11:1 (Intro.Asset:Asset)
   #15:2
      known to (since): 'Alice' (#15), 'Bob' (#15)
   -> fetch #10:1 (Intro.Asset:Asset)
   #15:3
       known to (since): 'USD Bank' (#15), 'Bob' (#15), 'Alice' (#15)
   └─> 'Alice',
       'Bob' exercises TransferApproval Transfer on #14:2 (Intro.
→Asset:TransferApproval)
             with
               assetCid = #11:1
       children:
       #15:4
           known to (since): 'USD Bank' (#15), 'Bob' (#15), 'Alice' (#15)
       -> fetch #11:1 (Intro.Asset:Asset)
       #15:5
           known to (since): 'Alice' (#15), 'USD Bank' (#15), 'Bob' (#15)
       └> 'Alice', 'USD Bank' exercises Archive on #11:1 (Intro.
→Asset:Asset)
       #15:6
           referenced by #17:0
           known to (since): 'Bob' (#15), 'USD Bank' (#15), 'Alice' (#15)
       -> create Intro.Asset:Asset
             issuer = 'USD Bank'; owner = 'Bob'; symbol = "USD"; quantity□
\rightarrow= 100.0; observers = []
   #15:7
       known to (since): 'EUR Bank' (#15), 'Alice' (#15), 'Bob' (#15)
    └─> 'Bob',
       'Alice' exercises TransferApproval Transfer on #12:1 (Intro.
→Asset:TransferApproval)
               with
                 assetCid = #10:1
       children:
       #15:8
           known to (since): 'EUR_Bank' (#15), 'Alice' (#15), 'Bob' (#15)
       -> fetch #10:1 (Intro.Asset:Asset)
```

```
#15:9
| known to (since): 'Bob' (#15), 'EUR_Bank' (#15), 'Alice' (#15)
| > 'Bob', 'EUR_Bank' exercises Archive on #10:1 (Intro.

Asset:Asset)

#15:10
| referenced by #16:0
| known to (since): 'Alice' (#15), 'EUR_Bank' (#15), 'Bob' (#15)
| > create Intro.Asset:Asset
    with
        issuer = 'EUR_Bank'; owner = 'Alice'; symbol = "EUR";

Aquantity = 90.0; observers = []
```

Similar to choices, you can see how the scripts in this project are built up from each other:

```
test_issuance = do
    setupResult@(alice, bob, bank, aha, ahb) <- setupRoles

assetCid <- submit bank do
    exerciseCmd aha Issue_Asset
    with
        symbol = "USD"
        quantity = 100.0

Some asset <- queryContractId bank assetCid
assert (asset == Asset with
    issuer = bank
    owner = alice
    symbol = "USD"
    quantity = 100.0
    observers = []
    )

return (setupResult, assetCid)</pre>
```

In the above, the test\_issuance script in Test.Intro.Asset.Role uses the output of the setupRoles script in the same module.

The same line shows a new kind of pattern matching. Rather than writing <code>setupResult <-setupRoles</code> and then accessing the components of <code>setupResult</code> using <code>\_1</code>, <code>\_2</code>, etc., you can give them names. It's equivalent to writing

```
setupResult <- setupRoles
case setupResult of
  (alice, bob, bank, aha, ahb) -> ...
```

Just writing (alice, bob, bank, aha, ahb) <- setupRoles would also be legal, but setupResult is used in the return value of test\_issuance so it makes sense to give it a name, too. The notation with @ allows you to give both the whole value as well as its constituents names in one go.

#### 2.1.7.5 Daml's execution model

Daml's execution model is fairly easy to understand, but has some important consequences. You can imagine the life of a transaction as follows:

**Command Submission** A user submits a list of Commands via the Ledger API of a Participant Node, acting as a *Party* hosted on that Node. That party is called the requester.

Interpretation Each Command corresponds to one or more Actions. During this step, the <code>Update</code> corresponding to each Action is evaluated in the context of the ledger to calculate all consequences, including transitive ones (consequences of consequences, etc.). The result of this is a complete Transaction. Together with its requestor, this is also known as a Commit.

**Blinding** On ledgers with strong privacy, projections (see *Privacy*) for all involved parties are created. This is also called *projecting*.

Transaction Submission The Transaction/Commit is submitted to the network.

**Validation** The Transaction/Commit is validated by the network. Who exactly validates can differ from implementation to implementation. Validation also involves scheduling and collision detection, ensuring that the transaction has a well-defined place in the (partial) ordering of Commits, and no double spends occur.

**Commitment** The Commit is actually committed according to the commit or consensus protocol of the Ledger.

**Confirmation** The network sends confirmations of the commitment back to all involved Participant Nodes.

**Completion** The user gets back a confirmation through the Ledger API of the submitting Participant Node.

The first important consequence of the above is that all transactions are committed atomically. Either a transaction is committed as a whole and for all participants, or it fails.

That's important in the context of the <code>Trade\_Settle</code> choice shown above. The choice transfers a <code>baseAsset</code> one way and a <code>quoteAsset</code> the other way. Thanks to transaction atomicity, there is no chance that either party is left out of pocket.

The second consequence is that the requester of a transaction knows all consequences of their submitted transaction – there are no surprises in Daml. However, it also means that the requester must have all the information to interpret the transaction. We also refer to this as Principle 2 a bit later on this page.

That's also important in the context of Trade. In order to allow Bob to interpret a transaction that transfers Alice's cash to Bob, Bob needs to know both about Alice's Asset contract, as well as about some way for Alice to accept a transfer – remember, accepting a transfer needs the authority of issuer in this example.

#### 2.1.7.6 Observers

Observers are Daml's mechanism to disclose contracts to other parties. They are declared just like signatories, but using the <code>observer</code> keyword, as shown in the <code>Asset</code> template:

```
template Asset
  with
   issuer : Party
  owner : Party
  symbol : Text
  quantity : Decimal
  observers : [Party]
```

```
where
    signatory issuer, owner
    ensure quantity > 0.0

observer observers
```

The Asset template also gives the owner a choice to set the observers, and you can see how Alice uses it to show her Asset to Bob just before proposing the trade. You can try out what happens if she didn't do that by removing that transaction.

```
usdCid <- submit alice do
exerciseCmd usdCid SetObservers with
newObservers = [bob]</pre>
```

Observers have guarantees in Daml. In particular, they are guaranteed to see actions that create and archive the contract on which they are an observer.

Since observers are calculated from the arguments of the contract, they always know about each other. That's why, rather than adding Bob as an observer on Alice's AssetHolder contract, and using that to authorize the transfer in Trade\_Settle, Alice creates a one-time authorization in the form of a TransferAuthorization. If Alice had lots of counterparties, she would otherwise end up leaking them to each other.

Controllers declared via the controller cs can syntax are automatically made observers. Controllers declared in the choice syntax are not, as they can only be calculated at the point in time when the choice arguments are known.

### 2.1.7.7 Privacy

Daml's privacy model is based on two principles:

Principle 1. Parties see those actions that they have a stake in. Principle 2. Every party that sees an action sees its (transitive) consequences.

Principle 2 is necessary to ensure that every party can independently verify the validity of every transaction they see.

A party has a stake in an action if

they are a required authorizer of it they are a signatory of the contract on which the action is performed they are an observer on the contract, and the action creates or archives it

What does that mean for the exercise tradeCid Trade Settle action from test trade?

Alice is the signatory of tradeCid and Bob a required authorizer of the Trade\_Settled action, so both of them see it. According to rule 2. above, that means they get to see everything in the transaction.

The consequences contain, next to some fetch actions, two exercise actions of the choice TransferApproval Transfer.

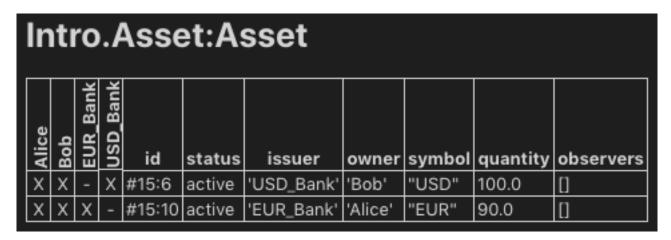
Each of the two involved TransferApproval contracts is signed by a different issuer, which see the action on their contract. So the EUR\_Bank sees the TransferApproval\_Transfer action for the EUR Asset and the USD\_Bank sees the TransferApproval\_Transfer action for the USD Asset.

Some Daml ledgers, like the script runner and the Sandbox, work on the principle of data minimization , meaning nothing more than the above information is distributed. That is, the projection of the overall transaction that gets distributed to EUR\_Bank in step 4 of <code>Daml's</code> execution model would consist only of the <code>TransferApproval Transfer</code> and its consequences.

Other implementations, in particular those on public blockchains, may have weaker privacy constraints.

## Divulgence

Note that Principle 2 of the privacy model means that sometimes parties see contracts that they are not signatories or observers on. If you look at the final ledger state of the test\_trade script, for example, you may notice that both Alice and Bob now see both assets, as indicated by the Xs in their respective columns:



This is because the create action of these contracts are in the transitive consequences of the Trade\_Settle action both of them have a stake in. This kind of disclosure is often called divulgence and needs to be considered when designing Daml models for privacy sensitive applications.

### 2.1.7.8 Next up

The model presented here is safe and sound so we could deploy it to production and start trading. But the journey doesn't stop there. In 8 Working with Dependencies you will learn how to extend an already running application to enhance it with new features. In that context you'll learn a bit more about the architecture of Daml, about dependencies, and identifiers.

# 2.1.8 8 Working with Dependencies

The application from Chapter 7 is a complete and secure model for atomic swaps of assets, but there is plenty of room for improvement. However, one can't implement all feature before going live with an application so it's important to understand way to change already running code. There are fundamentally two types of change one may want to make:

- 1. Upgrades, which change existing logic. For example, one might want the Asset template to have multiple signatories.
- 2. Extensions, which merely add new functionality though additional templates.

Upgrades are covered in their own section outside this introduction to Daml: *Upgrading and Extending Daml applications* so in this section we will extend the chapter 7 model with a simple second workflow: a multi-leg trade. In doing so, you'll learn about:

The software architecture of the Daml Stack Dependencies and Data Dependencies Identifiers

Since we are extending chapter 7, the setup for this chapter is slightly more complex:

- 1. In a base directory, load the chapter 7 project using daml new 7Composing --template daml-intro-7. The directory 7Composing here is important as it'll be referenced by the other project we are creating.
- 2. In the same directory, load the chapter 8 project using daml new 8Dependencies -- template daml-intro-8.

8Dependencies contains a new module Intro.Asset.MultiTrade and a corresponding test module Test.Intro.Asset.MultiTrade.

## 2.1.8.1 DAR, DALF, Daml-LF, and the Engine

In 7 Composing choices you already learnt a little about projects, Daml-LF, DAR files, and dependencies. In this chapter we will actually need to have dependencies from the chapter 8 project to the chapter 7 project so it's time to learn a little more about all this.

Let's have a look inside the DAR file of chapter 7. DAR files, like Java JAR files are just ZIP archives, but the SDK also has a utility to inspect DARs out of the box:

- 1. Navigate into the 7Composing directory.
- 2. Build using daml build -o assets.dar
- 3. Run daml damlc inspect-dar assets.dar

You'll get a whole lot of output. Under the header DAR archive contains the following files: you'll see that the DAR contains

- 1. \*.dalf files for the project and all its dependencies
- 2. The original Daml source code
- 3. \*.hi and \*.hie files for each \*.daml file
- 4. Some meta-inf and config files

The first file is something like 7Composing-1.0.0-887056cbb313b94ab9a6caf34f7fe4fbfe19cb0c861edalf which is the actual compiled package for the project. \*.dalf files contain Daml-LF, which is Daml's intermediate language. The file contents are a binary encoded protobuf message from the daml-If schema. Daml-LF is evaluated on the Ledger by the Daml Engine, which is a JVM component that is part of tools like the IDE's Script runner, the Sandbox, or proper production ledgers. If Daml-LF is to Daml what Java Bytecode is to Java, the Daml Engine is to Daml what the JVM is to Java.

## 2.1.8.2 Hashes and Identifiers

Under the heading DAR archive contains the following packages: you get a similar looking list of package names, paired with only the long random string repeated. That hexadecimal string, 887056cbb313b94ab9a6caf34f7fe4fbfe19cb0c861e50d1594c665567ab7625 in this case, is the package hash and the primary and only identifier for a package that's guaranteed to be available and preserved. Meta information like name ( 7Composing ) and version ( 1.0.0 ) help make it human readable but should not be relied upon. You may not always get DAR files from your compiler, but be loading them from a running Ledger, or get them from an artifact repository.

We can see this in action. When a DAR file gets deployed to a ledger, not all meta information is preserved.

1. Note down your main package hash from running inspect-dar above

- 2. Start the project using daml start
- 3. Open a second terminal and run daml ledger fetch-dar --host localhost --port 6865 --main-package-id "887056cbb313b94ab9a6caf34f7fe4fbfe19cb0c861e50d1594c665 -o assets ledger.dar, making sure to replace the hash with the appropriate one.
- 4. Run daml damlc inspect-dar assets\_ledger.dar

You'll notice two things. Firstly, a lot of the dependencies have lost their names, they are now only identifiable by hash. We could of course also create a second project 7Composing-1.0.0 with completely different contents so even when name and version are available, package hash is the only safe identifier.

That's why over the Ledger API, all types, like templates and records are identified by the triple (entity name, module name, package hash). Your client application should know the package hashes it wants to interact with. To aid that, inspect-dar also provides a machine-readable format for the information it emits: daml damlc inspect-dar --json assets\_ledger.dar. The main\_package\_id field in the resulting JSON payload is the package hash of our project.

Secondly, you'll notice that all the \*.daml, \*.hi and \*.hie files are gone. This leads us to data dependencies.

# 2.1.8.3 Dependencies and Data Dependencies

Dependencies under the daml.yaml dependencies group rely on the \*.hi files. The information in these files is crucial for dependencies like the Standard Library, which provide functions, types and typeclasses.

However, as you can see above, this information isn't preserved. Furthermore, preserving this information may not even be desirable. Imagine we had built 7Composing with SDK 1.100.0, and are building 8Dependencies with SDK 1.101.0. All the typeclasses and instances on the inbuilt types may have changed and are now present twice – once from the current SDK and once from the dependency. This gets messy fast, which is why the SDK does not support dependencies across SDK versions. For dependencies on contract models that were fetched from a ledger, or come from an older SDK version, there is a simpler kind of dependency called data-dependencies. The syntax for data-dependencies is the same, but they only rely on the binary \*.dalf files. The name tries to confer that the main purpose of such dependencies is to handle data: Records, Choices, Templates. The stuff one needs to use contract composability across projects.

For an extension model like this one, data-dependencies are appropriate so the chapter 8 project includes the chapter 7 that way.

```
- daml-script
data-dependencies:
- ../7Composing/assets.dar
```

You'll notice a module Test.Intro.Asset.TradeSetup, which is almost a carbon copy of the Chapter 7 trade setup Scripts. data-dependencies is designed to use existing contracts and data types. Daml Script is not imported. In practice, we also shouldn't expect that the DAR file we download from the ledger using daml ledger fetch-dar contains test scripts. For larger projects it's good practice to keep them separate and only deploy templates to the ledger.

# 2.1.8.4 Structuring Projects

As you've seen here, identifiers depend on the package as a whole and packages always bring all their dependencies with them. Thus changing anything in a complex dependency graph can have signif-

icant repercussions. It is therefore advisable to keep dependency graphs simple, and to separate concerns which are likely to change at different rates into separate packages.

For example, in all our projects in this intro, including this chapter, our scripts are in the same project as our templates. In practice, that means changing a test changes all identifiers, which is not desirable. It's better for maintainability to separate tests from main templates. If we had done that in chapter 7, that would also have saved us from copying the chapter 7

Similarly, we included Trade in the same project as Asset in chapter 7, even though Trade is a pure extension to the core Asset model. If we expect Trade to need more frequent changes, it may be a good idea to split it out into a separate project from the start.

## 2.1.8.5 Next up

The MultiTrade model has more complex control flow and data handling than previous models. In 9 Functional Programming 101 you'll learn how to write more advanced logic: control flow, folds, common typeclasses, custom functions, and the Standard Library. We'll be using the same projects so don't delete your chapter 7 and 8 folders just yet.

# 2.1.9 9 Functional Programming 101

In this chapter, you will learn more about expressing complex logic in a functional language like Daml. Specifically, you'll learn about

Function signatures and functions
Advanced control flow (if...else, folds, recursion, when)

If you no longer have your chapter 7 and 8 projects set up, and want to look back at the code, please follow the setup instructions in 8 Working with Dependencies to get hold of the code for this chapter.

**Note:** There is a project template daml-intro-9 for this chapter, but it only contains a single source file with the code snippets embedded in this section.

# 2.1.9.1 The Haskell Connection

The previous chapters of this introduction to Daml have mostly covered the structure of templates, and their connection to the *Daml Ledger Model*. The logic of what happens within the do blocks of choices has been kept relatively simple. In this chapter, we will dive deeper into Daml's expression language, the part that allows you to write logic inside those do blocks. But we can only scratch the surface here. Daml borrows a lot of its language from Haskell. If you want to dive deeper, or learn about specific aspects of the language you can refer to standard literature on Haskell. Some recommendations:

Finding Success and Failure in Haskell (Julie Maronuki, Chris Martin)
Haskell Programming from first principles (Christopher Allen, Julie Moronuki)
Learn You a Haskell for Great Good! (Miran Lipova a)
Programming in Haskell (Graham Hutton)
Real World Haskell (Bryan O'Sullivan, Don Stewart, John Goerzen)

When comparing Daml to Haskell it's worth noting:

Haskell is a lazy language, which allows you to write things like head [1..], meaning take the first element of an infinite list. Daml by contrast is strict. Expressions are fully evaluated, which means it is not possible to work with infinite data structures.

Daml has a with syntax for records, and dot syntax for record field access, neither of which present in Haskell. But Daml supports Haskell's curly brace record notation.

Daml has a number of Haskell compiler extensions active by default.

Daml doesn't support all features of Haskell's type system. For example, there are no existential types or GADTs.

Actions are called Monads in Haskell.

### 2.1.9.2 Functions

In 3 Data types you learnt about one half of Daml's type system: Data types. It's now time to learn about the other, which are Function types. Function types in Daml can be spotted by looking for -> which can be read as maps to .

For example, the function signature Int -> Int maps an integer to another integer. There are many such functions, but one would be:

```
increment : Int -> Int
increment n = n + 1
```

You can see here that the function declaration and the function definitions are separate. The declaration can be omitted in cases where the type can be inferred by the compiler, but for top-level functions (ie ones at the same level as templates, directly under a module), it's often a good idea to include them for readability.

In the case of increment it could have been omitted. Similarly, we could define a function add without a declaration:

```
add n m = n + m
```

If you do this, and wonder what type the compiler has inferred, you can hover over the function name in the IDE:

```
add
: Additive a
=> a -> a -> a

Defined at /tmp/daml-intro-9/daml/Main.daml:20:1

add n m = n + m
```

What you see here is a slightly more complex signature:

```
add : Additive a => a -> a -> a
```

There are two interesting things going on here:

- 1. We have more than one ->.
- 2. We have a type parameter a with a constraint Additive a.

# **Function Application**

Let's start by looking at the right hand part  $a \rightarrow a \rightarrow a$ . The -> is right associative, meaning  $a \rightarrow a \rightarrow a$  is equivalent to  $a \rightarrow a \rightarrow a$ . Using the maps to way of reading -> we get a maps to a function that maps a to a .

And this is indeed what happens. We can define a different version of increment by partially applying add:

```
increment2 = add 1
```

If you try this out in your IDE, you'll see that the compiler infers type Int -> Int again. It can do so because of the literal 1 : Int.

So if we have a function  $f:a \rightarrow b \rightarrow c \rightarrow d$  and a value valA:a, we get  $f:valA:b \rightarrow c \rightarrow d$ , ie we can apply the function argument by argument. If we also had valB:b, we would have  $f:valA:valB:c \rightarrow d$ . What this tells you is that function application is left associative: f:valA:valB:=(f:valA):valB.

### Infix Functions

Now add is clearly just an alias for +, but what is +? + is just a function. It's only special because it starts with a symbol. Functions that start with a symbol are *infix* by default which means they can be written between two arguments. That's why we can write 1 + 2 rather than + 1 + 2. The rules for converting between normal and infix functions are simple. Wrap an infix function in parentheses to use it as a normal function, and wrap a normal function in backticks to make it infix:

```
three = 1 `add` 2
```

With that knowledge, we could have defined add more succinctly as the alias that it is:

```
add2 : Additive a => a -> a -> a add2 = (+)
```

If we want to partially apply an infix operation we can also do that as follows:

```
increment3 = (1 +) decrement = (-1)
```

**Note:** While function application is left associative by default, infix operators can be declared left or right associative and given a precedence. Good examples are the boolean operations && and ||, which are declared right associative with precedences 3, and 2, respectively. This allows you to write True || True && False and get value True. See section 4.4.2 of the Haskell 98 report for more on fixities.

### Type Constraints

The Additive a => part of the signature of add is a type constraint on the type parameter a. Additive here is a typeclass. You already met typeclasses like Eq and Show in 3 Data types. The Additive typeclass says that you can add a thing. lethere is a function (+): a -> a -> a. Now the way to read the full signature of add is Given that a has an instance for the Additive typeclass, a maps to a function which maps a to a.

Typeclasses in Daml are a bit like interfaces in other languages. To be able to add two things using the + function, those things need to expose the + interface.

Unlike interfaces, typeclasses can have multiple type parameters. A good example, which also demonstrates the use of multiple constraints at the same time, is the signature of the exercise function:

```
exercise : (Template t, Choice t c r) => ContractId t -> c -> Update r
```

Let's turn this into prose: Given that t is the type of a template, and that t has a choice c with return type r, map a ContractId for a contract of type t to a function that takes the choice arguments of type c and returns an Update resulting in type r.

That's quite a mouthful, and does require one to know what meaning the typeclass Choice gives to parameters t c and r, but in many cases, that's obvious from the context or names of typeclasses and variables.

## Pattern Matching in Arguments

You met pattern matching in 3 Data types, using case statements which is one way of pattern matching. However, it can also be convenient to do the pattern matching at the level of function arguments. Think about implementing the function uncurry:

```
uncurry : (a -> b -> c) -> (a, b) -> c
```

uncurry takes a function with two arguments (or more, since c could be a function), and turns it into a function from a 2-tuple to c. Here are three ways of implementing it, using tuple accessors, case pattern matching, and function pattern matching:

```
uncurry1 f t = f t._1 t._2
uncurry2 f t = case t of
  (x, y) -> f x y
uncurry f (x, y) = f x y
```

Using function pattern matching is clearly the most elegant here. We never need the tuple as a whole, just its members. Any pattern matching you can do in case you can also do at the function level, and the compiler helpfully warns you if you did not cover all cases, which is called non-exhaustive.

```
fromSome : Optional a -> a
fromSome (Some x) = x
```

The above will give you a warning:

```
warning:
  Pattern match(es) are non-exhaustive
  In an equation for 'fromSome': Patterns not matched: None
```

This means from Some is a partial function. from Some None will cause a runtime error.

We can use function level pattern matching together with a feature called Record Wildcards to write the function <code>issueAsset</code> in chapter 8:

```
issueAsset : Asset -> Script (ContractId Asset)
issueAsset asset@(Asset with ..) = do
   assetHolders <- queryFilter @AssetHolder issuer
   (\ah -> (ah.issuer == issuer) && (ah.owner == owner))

case assetHolders of
   (ahCid, _)::_ -> submit asset.issuer do
        exerciseCmd ahCid Issue_Asset with ..
[] -> abort ("No AssetHolder found for " <> show asset)
```

The .. in the pattern match here means bind all fields from the given record to local variables, so we have local variables issuer, owner, etc.

The .. in the second to last line means fill all fields of the new record using local variables of the matching name. So the function succinctly transfers all fields except for owner, which is set explicitly, from the V1 Asset to the V2 Asset.

## **Functions Everywhere**

You have probably already guessed it: Anywhere you can put a value in Daml you can also put a function. Even inside data types:

```
data Predicate a = Predicate with
  test : a -> Bool
```

More commonly, it makes sense to define functions locally, inside a let clause or similar. A good example of this are the validate and transfer functions defined locally in the Trade\_Settle choice of the model from chapter 8:

```
let
            validate (asset, assetCid) = do
              fetchedAsset <- fetch assetCid</pre>
              assertMsq
                "Asset mismatch"
                (asset == fetchedAsset with
                  observers = asset.observers)
         mapA validate (zip baseAssets baseAssetCids)
         mapA validate (zip quoteAssets quoteAssetCids)
         let
            transfer (assetCid, approvalCid) = do
              exercise approvalCid TransferApproval Transfer with assetCid
         transferredBaseCids <- mapA transfer (zip baseAssetCids□
\hookrightarrowbaseApprovalCids)
         transferredQuoteCids <- mapA transfer (zip quoteAssetCids□
→quoteApprovalCids)
```

You can see that the function signature is inferred from the context here. If you look closely (or hover over the function in the IDE), you'll see that it has signature

**Note:** Bear in mind that functions are not serializable, so you can't use them inside template arguments, or as choice in- or outputs. They also don't have instances of the Eq or Show typeclasses which one would commonly want on data types.

You can probably guess what the <code>mapA</code> and <code>mapA\_s</code> in the above choice do. They somehow loop through the lists of assets, and approvals, and the functions <code>validate</code> and <code>transfer</code> to each, performing the resulting <code>Update</code> action in the process. We'll look at that more closely under <code>Looping</code> below.

### Lambdas

Like in most modern languages, Daml also supports inline functions called lambdas. They are defined using ( $\x y z -> \dots$ ) syntax. For example, a lambda version of increment would be ( $\n$  -> n + 1).

### 2.1.9.3 Control Flow

In this section, we will cover branching and looping, and look at a few common patterns of how to translate procedural code into functional code.

### **Branching**

Until Chapter 7 the only real kind of control flow introduced has been case, which is a powerful tool for branching.

### If..Else

Chapter 5 also showed a seemingly self-explanatory if..else statement, but didn't explain it further. And they are actually the same thing. Let's implement the function boolToInt: Bool -> Int which in tyipcal fashion maps True to 1 and False to 0. Here is an implementation using case:

```
boolToInt b = case b of
True -> 1
False -> 0
```

If you write this function in the IDE, you'll get a warning from the linter:

```
Suggestion: Use if
Found:
case b of
   True -> 1
   False -> 0
Perhaps:
if b then 1 else 0
```

The linter knows the equivalence and suggests a better implementation:

```
boolToInt2 b = if b
then 1
else 0
```

In short: if..else statements are equivalent to a case statement, but are easier to read.

# Control Flow as Expressions

case statements and if..else really are control flow in the sense that they short circuit:

```
doError t = case t of
  "True" -> True
  "False" -> False
  _ -> error ("Not a Bool: " <> t)
```

This function behaves as you expect. The error only gets evaluated if an invalid text is passed in.

This is different to functions, where all arguments are evaluated immediately:

```
ifelse b t e = if b then t else e
boom = ifelse True 1 (error "Boom")
```

In the above, boom is an error.

But while being proper control flow, case and if..else statements are also expressions in the sense that they result in a value when evaluated. You can actually see that in the function definitions above. Since each of the functions is defined just as a case or if statement, the value of the evaluated function is just the value of the case/if statement. Things that have a value have a type. The if..else expression in boolToInt2 has type Int as that's what the function returns, the case expression in doError has type Bool. To be able to give such expressions an unambiguous type, each branch needs to have the same type. The below function does not compile as one branch tries to return an Int and the other a Text:

```
typeError b = if b
then 1
else "a"
```

If we need functions that can return two (or more) types of things we need to encode that in the return type. For two possibilities, it's common to use the Either type:

```
intOrText : Bool -> Either Int Text
intOrText b = if b
  then Left 1
  else Right "a"
```

## **Branching in Actions**

The most common case where this becomes important is inside do blocks. Say we want to create a contract of one type in one case, and of another type in another case. Let's say we have two template types and want to write a function that creates an S if a condition is met, and a T otherwise.

```
template T
  with
   p: Party
  where
    signatory p

template S
  with
   p: Party
  where
    signatory p
```

It would be tempting to write a simple if..else, but it won't typecheck:

```
typeError b p = if b
then create T with p
else create S with p
```

We have two options:

- 1. Use the Either trick from above.
- 2. Get rid of the return types.

```
ifThenSElseT1 b p = if b
  then do
    cid <- create S with p
    return (Left cid)
  else do
    cid <- create T with p
    return (Right cid)

ifThenSElseT2 b p = if b
  then do
    create S with p
  return ()
  else do
    create T with p
  return ()</pre>
```

The latter is so common that there is a utility function in DA.Action to get rid of the return type: void: Functor  $f \Rightarrow f a \rightarrow f$  ().

```
ifThenSElseT3 b p = if b
  then void (create S with p)
  else void (create T with p)
```

void also helps express control flow of the type Create a T only if a condition is met.

```
conditionalS b p = if b
  then void (create S with p)
  else return ()
```

Note that we still need the else clause of the same type (). This pattern is so common, it's encapsulated in the standard library function DA.Action.when : (Applicative f)  $\Rightarrow$  Bool  $\Rightarrow$  f ()  $\Rightarrow$  f ().

```
conditionalS2 b p = when b (void (create S with p))
```

Despite when looking like a simple function, the compiler does some magic so that is short circuits evaluation just like if..else. noop is a no-op, not an error as one might otherwise expect:

```
noop : Update () = when False (error "Foo")
```

With case, if..else, void and when, you can express all branching. However, one additional feature you may want to learn is guards. They are not covered here, but can help avoid deeply nested if..else blocks. Here's just one example. The Haskell sources at the beginning of the chapter cover this topic in more depth.

```
tellSize : Int -> Text
tellSize d
    | d < 0 = "Negative"
    | d == 0 = "Zero"
    | d == 1 = "Non-Zero"
    | d < 10 = "Small"
    | d < 100 = "Big"
    | d < 1000 = "Huge"
    | otherwise = "Enormous"</pre>
```

# Looping

Other than branching, the most common form of control flow is looping. Looping is usually used to iteratively modify some state. We'll use JavaScript in this section to illustrate the procedural way of doing things.

```
function sum(intArr) {
  var result = 0;
  intarr.forEach (i => {
    result += i;
  });
  return result;
}
```

A more general loop looks like this:

```
function whileFunction(arr) {
  var rev = initialize(input);
  while (doContinue (state)) {
    state = process (state);
  }
  return finalize(state);
}
```

The only real difference is that the iterator is explicit in the former, and implicit in the latter.

In both cases, state is being mutated: result in the former, state in the latter. Values in Daml are immutable, so it needs to work differently. In Daml we will do this with folds and recursion.

### **Folds**

Folds correspond to looping with an explicit iterator: for and forEach loops in procedural languages. The most common iterator is a list, as is the case in the sum function above. For such cases, Daml has the foldl function. The 1 stands for left and means the list is processed from the left. There is also a corresponding foldr which processes from the right.

```
foldl : (b -> a -> b) -> b -> [a] -> b
```

Let's give the type parameters semantic names. b is the state, a is an item. foldls first argument is a function which takes a state and an item and returns a new state. That's the equivalent of the inner block of the forEach. It then takes a state, which is the initial state, and a list of items, which is the iterator. The result is again a state. The sum function above can be translated to Daml almost instantly with those correspondences in mind:

```
sum ints = foldl (+) 0 ints
```

If we wanted to be more verbose, we could replace (+) with a lambda (\result i -> result + i) which makes the correspondence to result += i from the JavaScript clearer.

Almost all loops with explicit iterators can be translated to folds, though we have to take a bit of care with performance when it comes to translating for loops:

```
function sumArrs(arr1, arr2) {
    var 1 = min (arr1.length, arr2.length);
    var result = new int[1];
    for(var i = 0; i < 1; i++) {
        result[i] = arr1[i] + arr2[i];
    }
    return result;
}</pre>
```

Translating the for into a forEach is easy if you can get your hands on an array containing values [0..(1-1)]. And that's literally how you do it in Daml, using ranges. [0..(1-1)] is shorthand for enumFromTo [0..(1-1)], which returns the list you'd expect.

Daml also has an operator (!!) : [a] -> Int -> a which returns an element in a list. You may now be tempted to write sumArrs like this:

```
sumArrs : [Int] -> [Int] -> [Int]
sumArrs arr1 arr2 =
  let l = min (length arr1) (length arr2)
     sumAtI i = (arr1 !! i) + (arr2 !! i)
  in foldl (\state i -> (sumAtI i) :: state) [] [1..(l-1)]
```

But you should immediately forget again that you just learnt about (!!). Lists in Daml are linked lists, which makes access using (!!) slow and idiosyncratic. The way to do this in Daml is to get rid of the i altogether and instead merge the lists first, and then iterate over the zipped up lists:

```
sumArrs2 arr1 arr2 = foldl (\state (x, y) -> (x + y) :: state) [] (zip\Box \rightarrowarr1 arr2)
```

zip: [a] -> [b] -> [(a, b)] takes two lists, and merges them into a single list where the first element is the 2-tuple containing the first elements to the two input lists, and so on. It drops any left-over elements of the longer list, thus making the min logic unnecessary.

## Maps

You've probably noticed that what we've done in this second version of sumArr is pretty standard, we have taken a list zip arr1 arr2 applied a function  $\ (x, y) \rightarrow x + y$  to each element, and returned the list of results. This operation is called map:  $(a \rightarrow b) \rightarrow [a] \rightarrow [b]$ . We can now write sumArr even more nicely:

```
sumArrs3 arr1 arr2 = map (\((x, y) -> (x + y)) (zip arr1 arr2)
```

As a rule of thumb: Use map if the result has the same shape as the input and you don't need to carry state from one iteration to the next. Use folds if you need to accumulate state in any way.

### Recursion

If there is no explicit iterator, you can use recursion. Let's try to write a function that reverses a list, for example. We want to avoid (!!) so there is no sensible iterator here. Instead, we use recursion:

```
reverseWorker rev rem = case rem of
[] -> rev
    x::xs -> reverseWorker (x::rev) xs
reverse xs = reverseWorker [] xs
```

You may be tempted to make reverseWorker a local definition inside reverse, but Daml only supports recursion for top-level functions so the recursive part recurseWorker has to be its own top-level function.

# Folds and Maps in Action Contexts

The folds and map function above are pure in the sense introduced in 5 Adding constraints to a contract: The functions used to map or process items have no side-effects. In day-to-day Daml that's the exception rather than the rule. If you have looked at the chapter 8 models, you'll have noticed mapA, mapA, and forA all over the place. A good example are the mapA in the testMultiTrade script:

```
let rels =
    [ Relationship chfbank alice
    , Relationship chfbank bob
    , Relationship gbpbank alice
    , Relationship gbpbank bob
    ]
    [chfha, chfhb, gbpha, gbphb] <- mapA setupRelationship rels</pre>
```

Here we have a list of relationships (type [Relationship] and a function setupRelationship: Relationship -> Script (ContractId AssetHolder). We want the AssetHolder contracts for those relationships, ie something of type [ContractId AssetHolder]. Using the map function almost gets us there map setupRelationship rels: [Update (ContractId

AssetHolder)]. This is a list of Update actions, each resulting in a ContractId AssetHolder. What we need is an Update action resulting in a [ContractId AssetHolder]. The list and Update are the wrong way around for our purposes.

Intuitively, it's clear how to fix this: we want the compound action consisting of performing each of the actions in the list in turn. There's a function for that, of course. sequence: Applicative  $m = [m \ a] - m \ [a]$  implements that intuition and allows us to take the Update out of the list. So we could write sequence (map setupRelationship rels). This is so common that it's encapsulated in the mapA function, a possible implementation of which is

```
mapA f xs = sequence (map f xs)
```

The A in mapA stands for Action of course, and you'll find that many functions that have something to do with looping have an A equivalent. The most fundamental of all of these is foldlA: Action m => (b -> a -> m b) -> b -> [a] -> m b, a left fold with side effects. Here the inner function has a side-effect indicated by the m so the end result m b also has a side effect: the sum of all the side effects of the inner function.

Have a go at implementing foldlA in terms of foldl and sequence and mapA in terms of foldA. Here are some possible implementations:

```
foldlA2 fn init xs =
  let.
    work accA x = do
      acc <- accA
      fn acc x
   in foldl work (pure init) xs
mapA2 fn xs =
  let
    work ys x = do
      y <- fn x
      return (y :: ys)
   in foldlA2 work [] xs
sequence2 actions =
  let
    work ys action = do
      y <- action
      return (y :: ys)
   in foldlA2 work [] actions
```

forA is just mapA with its arguments reversed. This is useful for readability if the list of items is already in a variable, but the function is a lengthy lambda.

```
[usdCid, chfCid] <- forA [usdCid, chfCid] (\cid -> submit alice do
  exerciseCmd cid SetObservers with
   newObservers = [bob]
)
```

Lastly, you'll have noticed that in some cases we used mapA\_, not mapA. The underscore indicates that the result is not used. mapA\_ fn xs fn = void (mapA fn xs). The Daml Linter will alert you if you could use mapA\_ instead of mapA, and similarly for for A\_.

## 2.1.9.4 Next up

You now know the basics of functions and control flow, both in pure and Action contexts. The Chapter 8 example shows just how much can be done with just the tools you have encountered here, but there are many more tools at your disposal in the Daml Standard Library. It provides functions and typeclasses for many common circumstances and in 10 Intro to the Daml Standard Library, you'll get an overview of the library and learn how to search and browse it.

# 2.1.10 10 Intro to the Daml Standard Library

In chapters 3 Data types and 9 Functional Programming 101 you learnt how to define your own data types and functions. But of course you don't have to implement everything from scratch. Daml comes with the Daml Standard Library which contains types, functions, and typeclasses that cover a large range of use-cases. In this chapter, you'll get an overview of the essentials, but also learn how to browse and search this library to find functions. Being proficient with the Standard Library will make you considerably more efficient writing Daml code. Specifically, this chapter covers:

The Prelude

Important types from the Standard Library, and associated functions and typeclasses

**Typeclasses** 

Important typeclasses like Functor, Foldable, and Traversable

How to search the Standard Library

To go in depth on some of these topics, the literature referenced in *The Haskell Connection* covers them in much greater detail. The Standard Library typeclasses like Applicative, Foldable, Traversable, Action (called Monad in Haskell), and many more, are the bread and butter of Haskell programmers.

**Note:** There is a project template daml-intro-10 for this chapter, but it only contains a single source file with the code snippets embedded in this section.

## 2.1.10.1 The Prelude

You've already used a lot of functions, types, and typeclasses without importing anything. Functions like create, exercise, and (==), types like [], (,), Optional, and typeclasses like Eq, Show, and Ord. These all come from the Prelude. The Prelude is module that gets implicitly imported into every other Daml module and contains both Daml specific machinery as well as the essentials needed to work with the inbuilt types and typeclasses.

## 2.1.10.2 Important Types from the Prelude

In addition to the *Native types*, the Prelude defines a number of common types:

#### Lists

You've already met lists. Lists have two constructors [] and x :: xs, the latter of which is prepend in the sense that 1 :: [2] == [1, 2]. In fact [1,2] is just syntactical sugar for 1 :: 2 :: [].

### **Tuples**

In addition to the 2-tuple you have already seen, the Prelude contains definitions for tuples of size up to 15. Tuples allow you to store mixed data in an ad-hoc fashion. Common use-cases are return

values from functions consisting of several pieces or passing around data in folds, as you saw in Folds. An example of a relatively wide Tuple can be found in the test modules of the chapter 8 project. Test.Intro.Asset.TradeSetup.tradeSetup returns the allocated parties and active contracts in a long tuple. Test.Intro.Asset.MultiTrade.testMultiTrade puts them back into scope using pattern matching.

```
return (alice, bob, usdbank, eurbank, usdha, usdhb, eurha, eurhb, usdCid, → eurCid)
```

```
(alice, bob, usdbank, eurbank, usdha, usdhb, eurha, eurhb, usdCid,□
→eurCid) <- tradeSetup
```

Tuples, like lists have some syntactic magic. Both the types as well as the constructors for tuples are (,,,) where the number of commas determines the arity of the tuple. Type and data constructor can be applied with values inside the brackets, or outside, and partial application is possible:

```
t1 : (Int, Text) = (1, "a")

t2 : (,) Int Text = (1, "a")

t3 : (Int, Text) = (1,) "a"

t4 : a -> (a, Text) = (,"a")
```

**Note:** While tuples of great lengths are available, it is often advisable to define custom records with named fields for complex structures or long-lived values. Overuse of tuples can harm code readability.

## **Optional**

The Optional type represents a value that may be missing. It's the closest thing Daml has to a nullable value. Optional has two constructors: Some, which takes a value, and None, which doesn't take a value. In many languages one would write code like this:

```
lookupResult = lookupByKey(k);

if( lookupResult == null) {
    // Do something
} else {
    // Do something else
}
```

In Daml the same thing would be expressed as

```
lookupResult <- lookupByKey @T k
case lookupResult of
None -> do -- Do Something
   return ()
Some cid -> do -- Do Something
   return ()
```

#### Either

Either is used in cases where a value should store one of two types. It has two constructors, Left and Right, each of which take a value of one or the other of the two types. One typical use-case of Either is as an extended Optional where Right takes the role of Some and Left the role of None, but with the ability to store an error value. Either Text, for example behaves just like Optional, except that values with constructor Left have a text associated to them.

**Note:** As with tuples, it's easy to overuse Either and harm readability. Consider writing your own more explicit type instead. For example if you were returning South a vs North b using your own type over Either would make your code clearer.

## 2.1.10.3 Typeclasses

You've seen typeclasses in use all the way from 3 Data types. It's now time to look under the hood.

Typeclasses are declared using the class keyword:

```
class HasQuantity a q where
  getQuantity : a -> q
  setQuantity : q -> a -> a
```

This is akin to an interface declaration of an interface with a getter and setter for a quantity. To implement this interface, you need to define instances of this typeclass:

```
data Foo = Foo with
  amount : Decimal
instance HasQuantity Foo Decimal where
  getQuantity foo = foo.amount
  setQuantity amount foo = foo with amount
```

Typeclasses can have constraints like functions. For example: class Eq a => Ord a means everything that is orderable can also be compared for equality . And that's almost all there's to it.

# 2.1.10.4 Important Typeclasses from the Prelude

### Eq

The Eq typeclass allows values of a type to be compared for (in)-equality. It makes available two function: == and /=. Most data types from the Standard Library have an instance of Eq. As you already learned in 3 Data types, you can let the compiler automatically derive instances of Eq for you using the deriving keyword.

Templates always have an Eq instance, and all types stored on a template need to have one.

## Ord

The Ord typeclass allows values of a type to be compared for order. It makes available functions: <, >, <=, and >=. Most of the inbuilt data types have an instance of Ord. Furthermore, types like List and Optional get an instance of Ord if the type they contain has one. You can let the compiler automatically derive instances of Ord for you using the deriving keyword.

#### Show

Show indicates that a type can be serialized to <code>Text</code>, ie shown in a shell. Its key function is <code>show</code>, which takes a value and converts it to <code>Text</code>. All inbuilt data types have an instance for <code>Show</code> and types like <code>List</code> and <code>Optional</code> get an instance if the type they contain has one. It also supports the deriving keyword.

### **Functor**

Functors are the closest thing to containers that Daml has. Whenever you see a type with a single type parameter, you are probably looking at a Functor: [a], Optional a, Either Text a, Update a. Functors are things that can be mapped over and as such, the key function of Functor is fmap, which does generically what the map function does for lists.

Other classic examples of Functors are Sets, Maps, Trees, etc.

# **Applicative Functor**

Applicative Functors are a bit like Actions, which you met in 5 Adding constraints to a contract, except that you can't use the result of one action as the input to another action. The only important Applicative Functor that isn't an action in Daml is the Commands type submitted in a submit block in Daml Script. That's why in order to use do notation in Daml Script, you have to enable the Applicative Do language extension.

### Actions

Actions were already covered in 5 Adding constraints to a contract. One way to think of them is as recipes for a value, which need to be executed to get at that value. Actions are always Functors (and Applicative Functors). The intuition for that is simply that fmap f x is the recipe in x with the extra instruction to apply the pure function f to the result.

The really important Actions in Daml are Update and Script, but there are many others, like [], Optional, and Either a.

# Semigroups and Monoids

Semigroups and monoids are about binary operations, but in practice, their important use is for Text and [], where they allow concatenation using the {<>} operator.

## Additive and Multiplicative

Additive and Multiplicative abstract out arithmetic operations, so that (+), (-), (\*), and some other functions can be used uniformly between Decimal and Int.

# 2.1.10.5 Important Modules in the Standard Library

For almost all the types and typeclasses presented above, the Standard Library contains a module:

Module DA.List for Lists

Module DA.Optional for Optional

Module DA.Tuple for Tuples

Module DA.Either for Either

Module DA.Functor for Functors

Module DA.Action for Actions

```
Module DA.Monoid and Module DA.Semigroup for Monoids and Semigroups
Module DA.Text for working with Text
Module DA.Time for working with Time
Module DA.Date for working with Date
```

You get the idea, the names are fairly descriptive.

Other than the typeclasses defined in Prelude, there are two modules generalizing concepts you've already learnt about, which are worth knowing about: Foldable and Traversable. In Looping you learned all about folds and their Action equivalents. All the examples there were based on lists, but there are many other possible iterators. This is expressed in two additional typeclasses: Module DA.Traversable, and Module DA.Foldable. For more detail on these concepts, please refer to the literature in The Haskell Connection, or https://wiki.haskell.org/Foldable\_and\_Traversable.

# 2.1.10.6 Searching the Standard Library

Being able to browse the Standard Library starting from *The standard library* is a start, and the module naming helps, but it's not an efficient process for finding out what a function you've encountered does, or even less so to find a function that does a thing you need to do.

Daml has it's own version of the Hoogle search engine, which offers search both by name and by signature. It's fully integrated into the search bar on https://docs.daml.com/, but for those wanting a pure Standard Library search, it's also available on https://hoogle.daml.com.

# Searching for functions by Name

Say you come across some functions you haven't seen before, like the ones in the ensure clause of the MultiTrade.

```
ensure (length baseAssetCids == length baseAssets) &&
  (length quoteApprovalCids == length quoteAssets) &&
  not (null baseAssets) &&
  not (null quoteAssets)
```

You may be able to guess what not and null do, but try searching those names in the documentation search. Search results from the Standard Library will show on top. not, for example, gives

```
not
: Bool -> Bool
Boolean "not"
```

Signature (including type constraints) and description usually give a pretty clear picture of what a function does.

# Searching for functions by Signature

The other very common use-case for the search is that you have some values that you want to do something with, but don't know the standard library function you need. On the MultiTrade template we have a list baseAssets, and thanks to your ensure clause we know it's non-empty. In the original Trade we used baseAsset.owner as the signatory. How do you get the first element of this list to extract the owner without going through the motions of a complete pattern match using case?

The trick is to think about the signature of the function that's needed, and then to search for that signature. In this case, we want a single distinguished element from a list so the signature should be [a] -> a. If you search for that, you'll get a whole range of results, but again, Standard Library results are shown at the top.

Scanning the descriptions, head is the obvious choice, as used in the let of the MultiTrade template.

You may notice that in the search results you also get some hits that don't mention [] explicitly. For example:

The reason is that there is an instance for Foldable [a].

Let's try another search. Suppose you didn't want the first element, but the one at index n. Remember that  $(!\,!)$  operator from 9 Functional Programming 101? There are now two possible signatures we could search for: [a]  $\rightarrow$  Int  $\rightarrow$  a and Int  $\rightarrow$  [a]  $\rightarrow$  a. Try searching for both. You'll see that the search returns  $(!\,!)$  in both cases. You don't have to worry about the order of arguments.

## 2.1.10.7 Next up

There's little more to learn about writing Daml at this point that isn't best learnt by practice and consulting reference material for both Daml and Haskell. To finish off this course, you'll learn a little more about your options for testing and interacting with Daml code in 11 Testing Daml Contracts, and about the operational semantics of some keywords and common associated failures.

# 2.1.11 11 Testing Daml Contracts

This chapter is all about testing and debugging the Daml contracts you've built using the tools from chapters 1-10. You've already met Daml Script as a way of testing your code inside the IDE. In this chapter you'll learn about more ways to test with Daml Script and its other uses, as well as other tools you can use for testing and debugging. You'll also learn about a few error cases that are most likely to crop up only in actual distributed testing, and which need some care to avoid. Specifically we will cover:

Daml Test tooling - Script, REPL, and Navigator The trace and debug functions Contention

Note that this section only covers testing your Daml contracts. For more holistic application testing, please refer to *Testing Your App*.

If you no longer have your projects set up, please follow the setup instructions in 8 Working with Dependencies to get hold of the code for this chapter. There is no code specific to this chapter.

# 2.1.11.1 Daml Test Tooling

There are three primary tools available in the SDK to test and interact with Daml contracts. It is highly recommended to explore the respective docs. The chapter 8 model lends itself well to being tested using these tools.

# Daml Script

Daml Script should be familiar by now. It's a way to script commands and queries from multiple parties against a Daml Ledger. Unless you've browsed other sections of the documentation already, you have probably used it mostly in the IDE. However, Daml Script can do much more than that. It has four different modes of operation:

- 1. Run on a special Script Service in the IDE, providing the Script Views.
- 2. Run the Script Service via the CLI, which is useful for quick regression testing.
- 3. Start a Sandbox and run against that for regression testing against an actual Ledger API.
- 4. Run against any other already running Ledger.

### Daml Navigator

Daml Navigator is a UI that runs against a Ledger API and allows interaction with contracts

#### Daml REPL

If you want to do things interactively, Daml REPL is the tool to use. The best way to think of Daml REPL is as an interactive version of Daml Script, but it doubles up as a language REPL (Read-Evaluate-Print Loop), allowing you to evaluate pure expressions and inspect the results.

## 2.1.11.2 Debug, Trace, and Stacktraces

The above demonstrates nicely how to test the happy path, but what if a function doesn't behave as you expected? Daml has two functions that allow you to do fine-grained printf debugging: debug and trace. Both allow you to print something to StdOut if the code is reached. The difference between debug and trace is similar to the relationship between abort and error:

```
debug: Text \rightarrow m () maps a text to an Action that has the side-effect of printing to Std-Out.
```

trace: Text -> a -> a prints to StdOut when the expression is evaluated.

```
daml> let a : Script () = debug "foo"
daml> let b : Script () = trace "bar" (debug "baz")
[Daml.Script:378]: "bar"
daml> a
[DA.Internal.Prelude:532]: "foo"
daml> b
[DA.Internal.Prelude:532]: "baz"
daml>
```

If in doubt, use debug. It's the easier of the two to interpret the results of.

The thing in the square brackets is the last location. It'll tell you the Daml file and line number that triggered the printing, but often no more than that because full stacktraces could violate subtransaction privacy quite easily. If you want to enable stacktraces for some purely functional code in your modules, you can use the machinery in *Module DA.Stack* to do so, but we won't cover that any further here.

# 2.1.11.3 Diagnosing Contention Errors

The above tools and functions allow you to diagnose most problems with Daml code, but they are all synchronous. The sequence of commands is determined by the sequence of inputs. That means one of the main pitfalls of distributed applications doesn't come into play: Contention.

Contention refers to conflicts over access to contracts. Daml guarantees that there can only be one consuming choice exercised per contract so what if two parties simultaneously submit an exercise command on the same contract? Only one can succeed. Contention can also occur due to incomplete or stale knowledge. Maybe a contract was archived a little while ago, but due to latencies, a client

hasn't found out yet, or maybe due to the privacy model, they never will. What all these cases have in common is that someone has incomplete knowledge of the state the ledger will be in at the time a transaction will be processed and/or committed.

If we look back at Daml's execution model we'll see there are three places where ledger state is consumed:

- 1. A command is submitted by some client, probably looking at the state of the ledger to build that command. Maybe the command includes references to ContractIds that the client believes are active.
- 2. During interpretation, ledger state is used to look up active contracts.
- 3. During commit, ledger state is again used to look up contracts and validate the transaction by reinterpreting it.

Collisions can occur both between 1 and 2 and between 2 and 3. Only during the commit phase is the complete relevant ledger state at the time of the transaction known, which means the ledger state at commit time is king. As a Daml contract developer, you need to understand the different causes of contention, be able to diagnose the root cause if errors of this type occur, and be able to avoid collisions by designing contracts appropriately.

### **Common Errors**

The most common error messages you'll see are listed below. All of them can be due to one of three reasons.

- 1. Race Conditions knowledge of a state change is not yet known during command submission
- 2. Stale References the state change is known, but contracts have stale references to keys or ContractIds
- 3. Ignorance due to privacy or operational semantics, the requester doesn't know the current state

Following the possible error messages, we'll discuss a few possible causes and remedies.

## ContractId Not Found During Interpretation

## ContractId Not Found During Validation

```
Disputed: dependency error: couldn't find contract ContractId\square \hookrightarrow (00c06fa370f8858b20fd100423d928b1d200d8e3c9975600b9c038307ed6e25d6f).
```

## fetchByKey Error during Interpretation

```
Command interpretation error in LF-Damle: dependency error: couldn't find□ ⇒key com.daml.lf.transaction.GlobalKey@11f4913d.
```

# fetchByKey Dispute During Validation

```
Disputed: dependency error: couldn't find key com.daml.lf.transaction.

→GlobalKey@11f4913d
```

## lookupByKey Dispute During Validation

```
Disputed: recreated and original transaction mismatch□

→VersionedTransaction(...) expected, but VersionedTransaction(...) is□

→recreated.
```

## **Avoiding Race Conditions and Stale References**

The first thing to avoid is write-write or write-read contention on contracts. In other words, one requester submitting a transaction with a consuming exercise on a contract while another requester submits another exercise or fetch on the same contract. This type of contention cannot be eliminated entirely, for there will always be some latency between a client submitting a command to a participant, and other clients learning of the committed transaction.

Here are a few scenarios and measures you can take to reduce this type of collision:

- 1. Shard data. Imagine you want to store a user directory on the Ledger. At the core, this is of type [(Text, Party)], where Text is a display name and Party the associated Party. If you store this entire list on a single contract, any two users wanting to update their display name at the same time will cause a collision. If you instead keep each (Text, Party) on a separate contract, these write operations become independent from each other.
  - The Analogy to keep in mind when structuring your data is that a template defines a table, and a contract is a row in that table. Keeping large pieces of data on a contract is like storing big blobs in a database row. If these blobs can change through different actions, you get write conflicts.
- 2. Use nonconsuming choices if you can. Nonconsuming exercises have the same contention properties as fetches: they don't collide with each other.
  - Contract keys can seem like a way out, but they are not. Contract keys are resolved to Contract IDs during the interpretation phase on the participant node. So it reduces latencies slightly by moving resolution from the client layer to the participant layer, but it doesn't remove the issue. Going back to the auction example above, if Alice sent a command exerciseByKey @Auction auctionKey Bid with amount = 100, this would be resolved to an exercise cid Bid with amount = 100 during interpretation, where cid is the participant's best guess what ContractId the key refers to.
- 3. Avoid workflows that encourage multiple parties to simultaneously try to exercise a consuming choice on the same contract. For example, imagine an Auction contract containing a field highestBid: (Party, Decimal). If Alice tries to bid \$100 at the same time that Bob tries to bid \$90, it doesn't matter that Alice's bid is higher. The second transaction to be sequenced will be rejected as it has a write collision with the first. It's better to record the bids in separate Bid contracts, which can be written to independently. Again, think about how you would structure this data in a relational database to avoid data loss due to race conditions.
- 4. Think carefully about storing ContractIds. Imagine you had created a sharded user directory according to 1. Each user has a User contract that store their display name and party. Now you write a chat application where each Message contract refers to the sender by ContractId User. If the user changes their display name, that reference goes stale. You either have to modify all messages that user ever sent, or become unable to use the sender contract in Daml.

If you need to be able to make this link inside Daml, Contract Keys help here. If the only place you need to link Party to User is the UI, it might be best to not store contract references in Daml at all.

## Collisions due to Ignorance

The Daml Ledger Model specifies authorization rules, and privacy rules. Ie it specifies what makes a transaction conformant, and who gets to see which parts of a committed transaction. It does not specify how a command is translated to a transaction. This may seem strange at first since the commands - create, exercise, exerciseByKey, createAndExercise - correspond so closely to actions in the ledger model. But the subtlety comes in on the read side. What happens when the participant, during interpretation, encounters a fetch, fetchByKey, or lookupByKey?

To illustrate the problem, let's assume there is a template <code>T</code> with a contract key, and Alice has witnessed two <code>Create</code> nodes of a contract of type <code>T</code> with key <code>k</code>, but no corresponding archive nodes. Alice may not be able to order these two nodes causally in the sense of one create came before the other . See <code>Causality</code> and <code>Local Ledgers</code> for an in-depth treatment of causality on <code>Daml Ledgers</code>.

So what should happen now if Alice's participant encounters a fetchByKey @T k or lookupByKey @T k during interpretation? What if it encounters a fetch node? These decisions are part of the operational semantics, and the decision of what should happen is based on the consideration that the chance of a participant submitting an invalid transaction should be minimized.

If a fetch or exercise is encountered, the participant resolves the contract as long as it has not witnessed an archive node for that contract - ie as long as it can't guarantee that the contract is no longer active. The rationale behind this is that fetch and exercise use ContractIds, which need to come from somewhere: Command arguments, Contract arguments, or key lookups. In all three cases, someone believes the ContractId to be active still so it's worth trying.

If a fetchByKey or lookupByKey node is encountered, the contract is only resolved if the requester is a stakeholder on an active contract with the given key. If that's not the case, there is no reason to believe that the key still resolves to some contract that was witnessed earlier. Thus, when using contract keys, make sure you make the likely requesters of transactions observers on your contracts. If you don't, fetchByKey will always fail, and lookupByKey will always return None.

Let's illustrate how collisions and operational semantics and interleave:

- 1. Bob creates  $\ensuremath{\mathbb{T}}$  with key  $\ensuremath{k}.$  Alice is not a stakeholder.
- 2. Alice submits a command resulting in well-authorized <code>lookupByKey</code> @T k during interpretation. Even if Alice witnessed 1, this will resolve to a <code>None</code> as Alice is not a stakeholder. This transaction is invalid at the time of interpretation, but Alice doesn't know that.
- 3. Bob submits an exerciseByKey @T k Archive.
- 4. Depending on which of the transactions from 2 and 3 gets sequenced first, either just 3, or both 2 and 3 get committed. If 3 is committed before 2, 2 becomes valid while in transit.

As you can see, the behavior of fetch, fetchByKey and lookupByKey at interpretation time depend on what information is available to the requester at that time. That's something to keep in mind when writing Daml contracts, and something to think about when encountering frequent Disputed errors.

### 2.1.11.4 Next up

You've reached the end of the Introduction to Daml. Congratulations. If you think you understand all this material, you could test yourself by getting Daml certified at https://academy.daml.com. Or put

your skills to good use by developing a Daml application. There are plenty of examples to inspire you on the Examples page.

# 2.2 Language reference docs

This section contains a reference to writing templates for Daml contracts. It includes:

## 2.2.1 Overview: template structure

This page covers what a template looks like: what parts of a template there are, and where they go.

For the structure of a Daml file outside a template, see Reference: Daml file structure.

### 2.2.1.1 Template outline structure

Here's the structure of a Daml template:

```
template NameOfTemplate
 with
   exampleParty : Party
   exampleParty2 : Party
   exampleParty3 : Party
   exampleParameter : Text
    -- more parameters here
 where
   signatory exampleParty
   observer exampleParty2
   agreement
      -- some text
   ensure
     -- boolean condition
      True
   key (exampleParty, exampleParameter) : (Party, Text)
   maintainer (exampleFunction key)
    -- a choice goes here; see next section
```

template name template keyword

parameters with followed by the names of parameters and their types

template body where keyword

Can include:

template-local definitions let keyword

Lets you make definitions that have access to the contract arguments and are available in the rest of the template definition.

signatories signatory keyword

Required. The parties (see the *Party* type) who must consent to the creation of this contract. You won't be able to create this contract until all of these parties have authorized it.

observers observer keyword

Optional. Parties that aren't signatories but who you still want to be able to see this contract.

an agreement agreement keyword

Optional. Text that describes the agreement that this contract represents.

```
a precondition ensure keyword
   Only create the contract if the conditions after ensure evaluate to true.
a contract key key keyword
   Optional. Lets you specify a combination of a party and other data that uniquely identifies a contract of this template. See Contract keys.
maintainers maintainer keyword
   Required if you have specified a key. Keys are only unique to a maintainer. See Contract keys.
choices choice NameOfChoice : ReturnType controller nameOfParty do
   or
   controller nameOfParty can NameOfChoice : ReturnType do
```

Defines choices that can be exercised. See Choice structure for what can go in a choice.

### 2.2.1.2 Choice structure

Here's the structure of a choice inside a template. There are two ways of specifying a choice:

start with the choice keyword
start with the controller keyword

a controller (or controllers) controller keyword

Who can exercise the choice.

choice observers observer keyword

Optional. Additional parties that are guaranteed to be informed of an exercise of the choice.

To specify choice observers, you must start you choice with the choice keyword.

The optional observer keyword must precede the mandatory controller keyword.

consumption annotation Optionally one of preconsuming, postconsuming, nonconsuming, which changes the behavior of the choice with respect to privacy and if and when the contract is archived. See contract consumption in choices for more details.

a name Must begin with a capital letter. Must be unique - choices in different templates can't have the same name.

```
a return type after a :, the return type of the choice choice arguments with keyword
```

If you start your choice with choice and include a Party as a parameter, you can make that

Party the controller of the choice. This is a feature called flexible controllers, and it means you don't have to specify the controller when you create the contract - you can specify it when you exercise the choice. To exercise a choice, the party needs to be a signatory or an observer of the contract and must be explicitly declared as such.

a choice body After do keyword

What happens when someone exercises the choice. A choice body can contain update statements: see *Choice body structure* below.

### 2.2.1.3 Choice body structure

A choice body contains Update expressions, wrapped in a do block.

The update expressions are:

```
create Create a new contract of this template.
```

```
create NameOfContract with contractArgument1 = value1;
contractArgument2 = value2; ...
```

exercise Exercise a choice on a particular contract.

```
exercise idOfContract NameOfChoiceOnContract with choiceArgument1 =
value1; choiceArgument2 = value 2; ...
```

fetch Fetch a contract using its ID. Often used with assert to check conditions on the contract's content.

fetchedContract <- fetch IdOfContract</pre>

fetchByKey Like fetch, but uses a contract key rather than an ID.

fetchedContract <- fetchByKey @ContractType contractKey</pre>

lookupByKey Confirm that a contract with the given contract key exists.

fetchedContractId <- lookupByKey @ContractType contractKey</pre>

abort Stop execution of the choice, fail the update.

```
if False then abort
```

**assert** Fail the update unless the condition is true. Usually used to limit the arguments that can be supplied to a contract choice.

```
assert (amount > 0)
```

getTime Gets the ledger time. Usually used to restrict when a choice can be exercised.

```
currentTime <- getTime</pre>
```

return Explicitly return a value. By default, a choice returns the result of its last update expression. This means you only need to use return if you want to return something else.

```
return ContractID ExampleTemplate
```

The choice body can also contain:

let keyword Used to assign values or functions.

assign a value to the result of an update statement For example: contractFetched <- fetch
 someContractId</pre>

### 2.2.2 Reference: templates

This page gives reference information on templates:

For the structure of a template, see Overview: template structure.

## 2.2.2.1 Template name

```
template NameOfTemplate
```

This is the name of the template. It's preceded by template keyword. Must begin with a capital letter.

This is the highest level of nesting.

The name is used when *creating* a contract of this template (usually, from within a choice).

### 2.2.2.2 Template parameters

```
with
  exampleParty : Party
  exampleParty2 : Party
  exampleParty3 : Party
  exampleParam : Text
  -- more parameters here
```

with keyword. The parameters are in the form of a record type.

Passed in when *creating* a contract from this template. These are then in scope inside the template body.

A template parameter can't have the same name as any choice arguments inside the template. For all parties involved in the contract (whether they're a signatory, observer, or controller) you must pass them in as parameters to the contract, whether individually or as a list ([Party]).

## 2.2.2.3 Template-local Definitions

```
where
let
allParties = [exampleParty, exampleParty2, exampleParty3]
```

let keyword. Starts a block and is followed by any number of definitions, just like any other let block.

Template parameters as well as this are in scope, but self is not.

Definitions from the let block can be used anywhere else in the template's where block.

## 2.2.2.4 Signatory parties

```
signatory exampleParty
```

signatory keyword. After where. Followed by at least one Party.

Signatories are the parties (see the Party type) who must consent to the creation of this contract. They are the parties who would be put into an *obligable position* when this contract is created

Daml won't let you put someone into an obligable position without their consent. So if the contract will cause obligations for a party, they must be a signatory. If they haven't authorized it, you won't be able to create the contract. In this situation, you may see errors like:

NameOfTemplate requires authorizers Party1, Party2, Party, but only Party1 were given.

When a signatory consents to the contract creation, this means they also authorize the consequences of *choices* that can be exercised on this contract.

The contract is visible to all signatories (as well as the other stakeholders of the contract). That is, the compiler automatically adds signatories as observers.

Each template **must** have at least one signatory. A signatory declaration consists of the signatory keyword followed by a comma-separated list of one or more expressions, each expression

denoting a Party or collection thereof.

#### 2.2.2.5 Observers

```
observer exampleParty2
```

observer keyword. After where. Followed by at least one Party.

Observers are additional stakeholders, so the contract is visible to these parties (see the Party type).

Optional. You can have many, either as a comma-separated list or reusing the keyword. You could pass in a list (of type [Party]).

Use when a party needs visibility on a contract, or be informed or contract events, but is not a signatory or controller.

If you start your choice with choice rather than controller (see *Choices* below), you must make sure to add any potential controller as an observer. Otherwise, they will not be able to exercise the choice, because they won't be able to see the contract.

#### 2.2.2.6 Choices

```
-- option 1 for specifying choices: choice name first
choice NameOfChoice1
      : () -- replace () with the actual return type
   with
      exampleParameter : Text -- parameters here
  controller exampleParty
   do
      return () -- replace this line with the choice body
-- option 2 for specifying choices: controller first
controller exampleParty can
 NameOfChoice2
      : () -- replace () with the actual return type
   with
      exampleParameter : Text -- parameters here
      return () -- replace this line with the choice body
  nonconsuming NameOfChoice3
      : () -- replace () with the actual return type
   with
      exampleParameter : Text -- parameters here
    do
      return () -- replace this line with the choice body
```

A right that the contract gives the controlling party. Can be exercised.

This is essentially where all the logic of the template goes.

By default, choices are consuming: that is, exercising the choice archives the contract, so no further choices can be exercised on it. You can make a choice non-consuming using the nonconsuming keyword.

There are two ways of specifying a choice: start with the choice keyword or start with the controller keyword.

Starting with choice lets you pass in a Party to use as a controller. But you must make sure to add that party as an observer.

See Reference: choices for full reference information.

#### 2.2.2.7 Agreements

```
agreement
-- text representing the contract
""
```

agreement keyword, followed by text.

Represents what the contract means in text. They're usually the boundary between on-ledger and off-ledger rights and obligations.

Usually, they look like agreement tx, where tx is of type Text.

You can use the built-in operator  ${\tt show}$  to convert party names to a string, and concatenate with <> .

#### 2.2.2.8 Preconditions

```
ensure
True -- a boolean condition goes here
```

ensure keyword, followed by a boolean condition.

Used on contract creation. ensure limits the values on parameters that can be passed to the contract: the contract can only be created if the boolean condition is true.

## 2.2.2.9 Contract keys and maintainers

```
key (exampleParty, exampleParam) : (Party, Text)
maintainer (exampleFunction key)
```

key and maintainer keywords.

This feature lets you specify a key that you can use to uniquely identify this contract as an instance of this template.

If you specify a key, you must also specify a maintainer. This is a Party that will ensure the uniqueness of all the keys it is aware of.

Because of this, the key must include the maintainer Party or parties (for example, as part of a tuple or record), and the maintainer must be a signatory.

For a full explanation, see Contract keys.

#### 2.2.3 Reference: choices

This page gives reference information on choices. For information on the high-level structure of a choice, see *Overview: template structure*.

### 2.2.3.1 choice first or controller first

There are two ways you can start a choice:

start with the choice keyword start with the controller keyword

```
-- option 1 for specifying choices: choice name first choice NameOfChoice:
```

(continues on next page)

(continued from previous page)

```
() -- replace () with the actual return type
with
  party : Party -- parameters here
controller party
  do
    return () -- replace this line with the choice body

-- option 2 for specifying choices: controller first
controller exampleParty can
NameOfAnotherChoice :
    () -- replace () with the actual return type
  with
    party : Party -- parameters here
  do
    return () -- replace the line with the choice body
```

The main difference is that starting with choice means that you can pass in a Party to use as a controller. If you do this, you must make sure that you add that party as an observer, otherwise they won't be able to see the contract (and therefore won't be able to exercise the choice).

In contrast, if you start with controller, the controller is automatically added as an observer when you compile your Daml files.

A secondary difference is that starting with choice allows choice observers to be attached to the choice using the observer keyword. The choice observers are a list of parties that, in addition to the stakeholders, will see all consequences of the action.

#### 2.2.3.2 Choice name

Listing 2: Option 1 for specifying choices: choice name first

```
choice ExampleChoice1
: () -- replace () with the actual return type
```

Listing 3: Option 2 for specifying choices: controller first

```
ExampleChoice2
: () -- replace () with the actual return type
```

The name of the choice. Must begin with a capital letter.

If you're using choice-first, preface with choice. Otherwise, this isn't needed.

Must be unique in your project. Choices in different templates can't have the same name.

If you're using controller-first, you can have multiple choices after one can, for tidiness.

#### 2.2.3.3 Controllers

### Listing 4: Option 1 for specifying choices: choice name first

```
controller exampleParty
```

### Listing 5: Option 2 for specifying choices: controller first

```
controller exampleParty can
```

controller keyword

The controller is a comma-separated list of values, where each value is either a party or a collection of parties.

The conjunction of all the parties are required to authorize when this choice is exercised.

## Contract consumption

If no qualifier is present, choices are consuming: the contract is archived before the evaluation of the choice body and both the controllers and all contract stakeholders see all consequences of the action.

## 2.2.3.4 Preconsuming choices

### Listing 6: Option 1 for specifying choices: choice name first

```
preconsuming choice ExampleChoice5
: () -- replace () with the actual return type
```

## Listing 7: Option 2 for specifying choices: controller first

```
preconsuming ExampleChoice7
: () -- replace () with the actual return type
```

preconsuming keyword. Optional.

Makes a choice pre-consuming: the contract is archived before the body of the exercise is executed.

The create arguments of the contract can still be used in the body of the exercise, but cannot be fetched by its contract id.

The archival behavior is analogous to the consuming default behavior.

Only the controllers and signatories of the contract see all consequences of the action. Other stakeholders merely see an archive action.

Can be thought as a non-consuming choice that implicitly archives the contract before anything else happens

#### 2.2.3.5 Postconsuming choices

## Listing 8: Option 1 for specifying choices: choice name first

```
postconsuming choice ExampleChoice6
: () -- replace () with the actual return type
```

### Listing 9: Option 2 for specifying choices: controller first

```
postconsuming ExampleChoice8
: () -- replace () with the actual return type
```

postconsuming keyword. Optional.

Makes a choice post-consuming: the contract is archived after the body of the exercise is executed.

The create arguments of the contract can still be used in the body of the exercise as well as the contract id for fetching it.

Only the controllers and signatories of the contract see all consequences of the action. Other stakeholders merely see an archive action.

Can be thought as a non-consuming choice that implicitly archives the contract after the choice has been exercised

## 2.2.3.6 Non-consuming choices

Listing 10: Option 1 for specifying choices: choice name first

```
nonconsuming choice ExampleChoice3
: () -- replace () with the actual return type
```

## Listing 11: Option 2 for specifying choices: controller first

```
nonconsuming ExampleChoice4
: () -- replace () with the actual return type
```

nonconsuming keyword. Optional.

Makes a choice non-consuming: that is, exercising the choice does not archive the contract. Only the controllers and signatories of the contract see all consequences of the action. Useful in the many situations when you want to be able to exercise a choice more than once.

## Return type

Return type is written immediately after choice name.

All choices have a return type. A contract returning nothing should be marked as returning a unit , ie ().

If a contract is/contracts are created in the choice body, usually you would return the contract ID(s) (which have the type ContractId <name of template>). This is returned when the choice is exercised, and can be used in a variety of ways.

### 2.2.3.7 Choice arguments

```
with
  exampleParameter : Text
```

with keyword.

Choice arguments are similar in structure to Template parameters: a record type.

A choice argument can't have the same name as any *parameter to the template* the choice is in. Optional - only if you need extra information passed in to exercise the choice.

### 2.2.3.8 Choice body

Introduced with do

The logic in this section is what is executed when the choice gets exercised.

The choice body contains Update expressions. For detail on this, see Reference: updates.

By default, the last expression in the choice is returned. You can return multiple updates in tuple form or in a custom data type. To return something that isn't of type <code>Update</code>, use the <code>return keyword</code>.

## 2.2.4 Reference: updates

This page gives reference information on Updates. For the structure around them, see *Overview*: template structure.

### 2.2.4.1 Background

An Update is ledger update. There are many different kinds of these, and they're listed below. They are what can go in a *choice body*.

### 2.2.4.2 Binding variables

```
boundVariable <- UpdateExpression1</pre>
```

One of the things you can do in a choice body is bind (assign) an Update expression to a variable. This works for any of the Updates below.

### 2.2.4.3 do

do

updateExpression1
updateExpression2

do can be used to group Update expressions. You can only have one update expression in a choice, so any choice beyond the very simple will use a do block.

Anything you can put into a choice body, you can put into a do block.

By default, do returns whatever is returned by the last expression in the block.

So if you want to return something else, you'll need to use return explicitly - see return for an example.

## 2.2.4.4 create

create NameOfTemplate with exampleParameters

create function.

Creates a contract on the ledger. When a contract is committed to the ledger, it is given a unique contract identifier of type ContractId <name of template>.

Creating the contract returns that ContractId.

Use with to specify the template parameters.

Requires authorization from the signatories of the contract being created. This is given by being signatories of the contract from which the other contract is created, being the controller, or explicitly creating the contract itself.

If the required authorization is not given, the transaction fails. For more detail on authorization, see Signatory parties.

#### 2.2.4.5 exercise

exercise IdOfContract NameOfChoiceOnContract with choiceArgument1 = value1

exercise function.

Exercises the specified choice on the specified contract.

Use with to specify the choice parameters.

Requires authorization from the controller(s) of the choice. If the authorization is not given, the transaction fails.

### 2.2.4.6 exerciseByKey

```
exerciseByKey @ContractType contractKey NameOfChoiceOnContract with

choiceArgument1 = value1
```

exerciseByKey function.

Exercises the specified choice on the specified contract.

Use with to specify the choice parameters.

Requires authorization from the controller(s) of the choice **and** from at least one of the maintainers of the key. If the authorization is not given, the transaction fails.

#### 2.2.4.7 fetch

```
fetchedContract <- fetch IdOfContract</pre>
```

fetch function.

Fetches the contract with that ID. Usually used with a bound variable, as in the example above. Often used to check the details of a contract before exercising a choice on that contract. Also used when referring to some reference data.

fetch cid fails if cid is not the contract id of an active contract, and thus causes the entire transaction to abort.

The submitting party must be an observer or signatory on the contract, otherwise fetch fails, and similarly causes the entire transaction to abort.

### 2.2.4.8 fetchByKey

```
fetchedContract <- fetchByKey @ContractType contractKey</pre>
```

fetchByKey function.

The same as fetch, but fetches the contract with that *contract key*, instead of the contract ID. Like fetch, fetchByKey needs to be authorized by at least one stakeholder of the contract. Fails if no contract can be found.

# 2.2.4.9 lookupByKey

```
fetchedContractId <- lookupByKey @ContractType contractKey</pre>
```

lookupByKey function.

Use this to confirm that a contract with the given contract key exists.

If the submitting party is a stakeholder of a matching contract, lookupByKey returns the ContractId of the contract; otherwise, it returns None. Transactions may fail due to contention because the key changes between the lookup and committing the transaction, or because the submitter didn't know about the existence of a matching contract.

**All** of the maintainers of the key must authorize the lookup (by either being signatories or by submitting the command to lookup).

#### 2.2.4.10 abort

```
abort errorMessage
```

abort function.

Fails the transaction - nothing in it will be committed to the ledger.

errorMessage is of type Text. Use the error message to provide more context to an external system (e.g., it gets displayed in Daml Studio scenario results).

You could use assert False as an alternative.

#### 2.2.4.11 assert

```
assert (condition == True)
```

assert **keyword**.

Fails the transaction if the condition is false. So the choice can only be exercised if the boolean expression evaluates to True.

Often used to restrict the arguments that can be supplied to a contract choice.

Here's an example of using assert to prevent a choice being exercised if the Party passed as a parameter is on a blacklist:

```
Transfer : ContractId RestrictedPayout
    with newReceiver : Party
    do
        assert (newReceiver /= blacklisted)
        create RestrictedPayout with receiver = newReceiver; giver;□
    →blacklisted; qty
```

### 2.2.4.12 getTime

```
currentTime <- getTime
```

getTime keyword.

Gets the ledger time. (You will usually want to immediately bind it to a variable in order to be able to access the value.)

Used to restrict when a choice can be made. For example, with an assert that the time is later than a certain time.

Here's an example of a choice that uses a check on the current time:

#### 2.2.4.13 return

```
return ()
```

return keyword.

Used to return a value from do block that is not of type Update.

Here's an example where two contracts are created in a choice and both their ids are returned as a tuple:

```
do
  firstContract <- create SomeContractTemplate with arg1; arg2
  secondContract <- create SomeContractTemplate with arg1; arg2
  return (firstContract, secondContract)</pre>
```

## 2.2.4.14 let

See the documentation on Let.

Let looks similar to binding variables, but it's very different! This code example shows how:

```
do

-- defines a function, createdContract, taking a single argument that

→ when

-- called _will_ create the new contract using argument for issuer and

→ owner

let createContract x = create NameOfContract with issuer = x; owner = x

createContract party1
createContract party2
```

### 2.2.4.15 this

this lets you refer to the current contract from within the choice body. This refers to the contract, not the contract ID.

It's useful, for example, if you want to pass the current contract to a helper function outside the template.

## 2.2.5 Reference: data types

This page gives reference information on Daml's data types.

### 2.2.5.1 Built-in types

# Table of built-in primitive types

Туре	For	Example	Notes
Int	integers	1, 1000000, 1_000_000	Int values are signed 64-bit integers which represent numbers between -9, 223, 372, 036, 854, 775, 808 and 9, 223, 372, 036, 854, 775, 807 inclusive. Arithmetic operations raise an error on overflows and division by 0. To make long numbers more readable you can optionally add underscores.
Decimal	<pre>short for Numeric 10</pre>	1.0	Decimal values are rational numbers with precision 38 and scale 10.
Numeric n	fixed point decimal numbers	1.0	Numeric n values are rational numbers with up to 38 digits. The scale parameter n controls the number of digits after the decimal point, so for example, Numeric 10 values have 10 decimal places, and Numeric 20 values have 20 decimal places. The value of n must be between 0 and 37 inclusive.
Text	strings	"hello"	Text values are strings of characters enclosed by double quotes.
Bool	boolean values	True, False	
Party	unicode string rep- resenting a party	alice <- getParty "Alice"	Every party in a Daml system has a unique identifier of type Party. To create a value of type Party, use binding on the result of calling getParty. The party text can only contain alphanumeric characters, -, _ and spaces.
Date	models dates	date 2007 Apr 5	To create a value of type Date, use the function date (to get this function, import DA. Date).
Time	models absolute time (UTC)	time (date 2007 Apr 5) 14 30 05	Time values have microsecond precision. To create a value of type Time, use a Date and the function time (to get this function, import DA. Time).
RelTime	models differences between time values	seconds 1, seconds (-2)	seconds 1 and seconds (-2) represent the values for 1 and -2 seconds. There are no literals for RelTime. Instead they are created using one of days, hours, minutes and seconds (to get these functions, import DA.Time).

# **Escaping characters**

 ${\tt Text\ literals\ support\ backslash\ escapes\ to\ include\ their\ delimiter\ (\verb|\"|)\ and\ a\ backslash\ itself\ (\verb|\||).}$ 

#### Time

Definition of time on the ledger is a property of the execution environment. Daml assumes there is a shared understanding of what time is among the stakeholders of contracts.

#### 2.2.5.2 Lists

[a] is the built-in data type for a list of elements of type a. The empty list is denoted by [] and [1, 3, 2] is an example of a list of type [Int].

You can also construct lists using [] (the empty list) and :: (which is an operator that appends an element to the front of a list). For example:

```
twoEquivalentListConstructions =
  scenario do
  assert ( [1, 2, 3] == 1 :: 2 :: 3 :: [] )
```

## Summing a list

To sum a list, use a fold (because there are no loops in Daml). See Folding for details.

### 2.2.5.3 Records and record types

You declare a new record type using the data and with keyword:

```
data MyRecord = MyRecord
  with
    label1 : type1
    label2 : type2
    ...
    labelN : typeN
    deriving (Eq, Show)
```

#### where:

label1, label2, ,labelN are labels, which must be unique in the record type type1, type2, ,typeN are the types of the fields

There's an alternative way to write record types:

The format using with and the format using { } are exactly the same syntactically. The main difference is that when you use with, you can use newlines and proper indentation to avoid the delimiting semicolons.

The deriving (Eq. Show) ensures the data type can be compared (using ==) and displayed (using show). The line starting deriving is required for data types used in fields of a template.

In general, add the deriving unless the data type contains function types (e.g. Int -> Int), which cannot be compared or shown.

For example:

```
-- This is a record type with two fields, called first and second,
-- both of type `Int`

data MyRecord = MyRecord with first : Int; second : Int
    deriving (Eq, Show)

-- An example value of this type is:
newRecord = MyRecord with first = 1; second = 2

-- You can also write:
newRecord = MyRecord 1 2
```

### Data constructors

You can use data keyword to define a new data type, for example data Floor a = Floor a for some type a.

The first Floor in the expression is the type constructor. The second Floor is a data constructor that can be used to specify values of the Floor Int type: for example, Floor 0, Floor 1.

In Daml, data constructors may take at most one argument.

An example of a data constructor with zero arguments is data Empty = Empty { }. The only value of the Empty type is Empty.

**Note:** In data Confusing = Int, the Int is a data constructor with no arguments. It has nothing to do with the built-in Int type.

### Accessing record fields

To access the fields of a record type, use dot notation. For example:

```
-- Access the value of the field `first`
val.first
-- Access the value of the field `second`
val.second
```

### Updating record fields

You can also use the with keyword to create a new record on the basis of an existing replacing select fields.

For example:

```
myRecord = MyRecord with first = 1; second = 2
myRecord2 = myRecord with second = 5
```

produces the new record value MyRecord with first = 1; second = 5.

If you have a variable with the same name as the label, Daml lets you use this without assigning it to make things look nicer:

```
-- if you have a variable called `second` equal to 5
second = 5

-- you could construct the same value as before with
myRecord2 = myRecord with second = second

-- or with
myRecord3 = MyRecord with first = 1; second = second

-- but Daml has a nicer way of putting this:
myRecord4 = MyRecord with first = 1; second

-- or even
myRecord5 = r with second
```

Note: The with keyword binds more strongly than function application. So for a function, say return, either write return IntegerCoordinate with first = 1; second = 5 or return (IntegerCoordinate {first = 1; second = 5}), where the latter expression is enclosed in parentheses.

### Parameterized data types

Daml supports parameterized data types.

For example, to express a more general type for 2D coordinates:

```
-- Here, a and b are type parameters.
-- The Coordinate after the data keyword is a type constructor.

data Coordinate a b = Coordinate with first : a; second : b
```

An example of a type that can be constructed with Coordinate is Coordinate Int Int.

#### 2.2.5.4 Type synonyms

To declare a synonym for a type, use the type keyword.

For example:

```
type IntegerTuple = (Int, Int)
```

This makes IntegerTuple and (Int, Int) synonyms: they have the same type and can be used interchangeably.

You can use the type keyword for any type, including Built-in types.

## **Function types**

A function's type includes its parameter and result types. A function foo with two parameters has type ParamType1 -> ParamType2 -> ReturnType.

Note that this can be treated as any other type. You could for instance give it a synonym using type FooType = ParamType1 -> ParamType2 -> ReturnType.

### 2.2.5.5 Algebraic data types

An algebraic data type is a composite type: a type formed by a combination of other types. The enumeration data type is an example. This section introduces more powerful algebraic data types.

### **Product types**

The following data constructor is not valid in Daml: data AlternativeCoordinate a b = AlternativeCoordinate a b. This is because data constructors can only have one argument.

To get around this, wrap the values in a record: data Coordinate a b = Coordinate {first: a; second: b}.

These kinds of types are called product types.

A way of thinking about this is that the Coordinate Int Int type has a first and second dimension (that is, a 2D product space). By adding an extra type to the record, you get a third dimension, and so on.

### Sum types

Sum types capture the notion of being of one kind or another.

An example is the built-in data type Bool. This is defined by data Bool = True | False deriving (Eq, Show), where True and False are data constructors with zero arguments. This means that a Bool value is either True or False and cannot be instantiated with any other value.

Please note that all types which you intend to use as template or choice arguments need to derive at least from (Eq, Show).

A very useful sum type is data Optional a = None | Some a deriving (Eq, Show). It is part of the Daml standard library.

Optional captures the concept of a box, which can be empty or contain a value of type a.

Optional is a sum type constructor taking a type a as parameter. It produces the sum type defined by the data constructors None and Some.

The Some data constructor takes one argument, and it expects a value of type a as a parameter.

#### Pattern matching

You can match a value to a specific pattern using the case keyword.

The pattern is expressed with data constructors. For example, the Optional Int sum type:

```
optionalIntegerToText (x : Optional Int) : Text =
  case x of
  None -> "Box is empty"
  Some val -> "The content of the box is " <> show val

optionalIntegerToTextTest =
  scenario do
  let
    x = Some 3
  assert (optionalIntegerToText x == "The content of the box is 3")
```

In the <code>optionalIntegerToText</code> function, the case construct first tries to match the x argument against the <code>None</code> data constructor, and in case of a match, the "Box is empty" text is returned. In case of no match, a match is attempted for x against the next pattern in the list, i.e., with the <code>Some</code> data constructor. In case of a match, the content of the value attached to the <code>Some</code> label is bound to the <code>val</code> variable, which is then used in the corresponding output text string.

Note that all patterns in the case construct need to be *complete*, i.e., for each x there must be at least one pattern that matches. The patterns are tested from top to bottom, and the expression for the first pattern that matches will be executed. Note that x can be used as a catch-all pattern.

You could also case distinguish a Bool variable using the True and False data constructors and achieve the same behavior as an if-then-else expression.

As an example, the following is an expression for a Text:

```
let
    1 = [1, 2, 3]
in case 1 of
    [] -> "List is empty"
    _ :: [] -> "List has one element"
    _ :: _ :: _ -> "List has at least two elements"
```

Notice the use of nested pattern matching above.

**Note:** An underscore was used in place of a variable name. The reason for this is that *Daml Studio* produces a warning for all variables that are not being used. This is useful in detecting unused variables. You can suppress the warning by naming the variable with an initial underscore.

### 2.2.6 Reference: built-in functions

This page gives reference information on functions for.

### 2.2.6.1 Working with time

Daml has these built-in functions for working with time:

datetime: creates a Time given year, month, day, hours, minutes, and seconds as argument. subTime: subtracts one time from another. Returns the RelTime difference between time1 and time2.

addRelTime: add times. Takes a Time and RelTime and adds the RelTime to the Time. days, hours, minutes, seconds: constructs a RelTime of the specified length.

pass: (in scenario tests only) use pass: RelTime -> Scenario Time to advance the ledger time by the argument amount. Returns the new time.

## 2.2.6.2 Working with numbers

Daml has these built-in functions for working with numbers:

```
round: rounds a Decimal number to Int.
```

round dis the nearest Int to d. Tie-breaks are resolved by rounding away from zero, for example:

```
round 2.5 == 3 round (-2.5) == -3 round 3.4 == 3 round (-3.7) == -4
```

truncate: converts a Decimal number to Int, truncating the value towards zero, for example:

intToDecimal: converts an Int to Decimal.

The set of numbers expressed by Decimal is not closed under division as the result may require more than 10 decimal places to represent. For example, 1.0 / 3.0 == 0.3333... is a rational number, but not a Decimal.

### 2.2.6.3 Working with text

Daml has these built-in functions for working with text:

<> operator: concatenates two Text values.
show converts a value of the primitive types (Bool, Int, Decimal, Party, Time, RelTime) to
a Text.

To escape text in Daml strings, use \:

Character	How to escape it
\	\\
п	\"
1	\'
Newline	\n
Tab	\t
Carriage return	\r
Unicode (using! as an example)	Decimal code: \33 Octal code: \041 Hexadecimal code: \x21

### 2.2.6.4 Working with lists

Daml has these built-in functions for working with lists:

foldl and foldr: see Folding below.

### **Folding**

A fold takes:

- a binary operator
- a first accumulator value
- a list of values

The elements of the list are processed one-by-one (from the left in a fold), or from the right in a foldr).

**Note:** We'd usually recommend using foldl, as foldr is usually slower. This is because it needs to traverse the whole list before starting to discharge its elements.

Processing goes like this:

- 1. The binary operator is applied to the first accumulator value and the first element in the list. This produces a second accumulator value.
- 2. The binary operator is applied to the second accumulator value and the second element in the list. This produces a third accumulator value.
- 3. This continues until there are no more elements in the list. Then, the last accumulator value is returned.

As an example, to sum up a list of integers in Daml:

```
sumList =
  scenario do
  assert (foldl (+) 0 [1, 2, 3] == 6)
```

### 2.2.7 Reference: expressions

This page gives reference information for Daml expressions that are not updates.

#### 2.2.7.1 Definitions

Use assignment to bind values or functions at the top level of a Daml file or in a contract template body.

#### **Values**

For example:

```
pi = 3.1415926535
```

The fact that pi has type Decimal is inferred from the value. To explicitly annotate the type, mention it after a colon following the variable name:

```
pi : Decimal = 3.1415926535
```

### **Functions**

You can define functions. Here's an example: a function for computing the surface area of a tube:

```
tubeSurfaceArea : Decimal -> Decimal
tubeSurfaceArea r h =
  2.0 * pi * r * h
```

## Here you see:

```
the name of the function
the function's type signature Decimal -> Decimal -> Decimal
This means it takes two Decimals and returns another Decimal.
the definition = 2.0 * pi * r * h (which uses the previously defined pi)
```

### 2.2.7.2 Arithmetic operators

Operator	Works for
+	Int, Decimal, RelTime
-	Int, Decimal, RelTime
*	Int, Decimal
/ (integer division)	Int
% (integer remainder opera-	Int
tion)	
^ (integer exponentiation)	Int

The result of the modulo operation has the same sign as the dividend:

```
7 / 3 and (-7) / (-3) evaluate to 2 (-7) / 3 and 7 / (-3) evaluate to -2 7 % 3 and 7 % (-3) evaluate to 1 (-7) % 3 and (-7) % (-3) evaluate to -1
```

To write infix expressions in prefix form, wrap the operators in parentheses. For example, (+) 1 2 is another way of writing 1 + 2.

### 2.2.7.3 Comparison operators

Operator	Works for	
<, <=, >, >=	Bool, Text, Int, Decimal, Party, Time	
==, /=	Bool, Text, Int, Decimal, Party, Time, and identifiers of con-	
	tracts stemming from the same contract template	

### 2.2.7.4 Logical operators

The logical operators in Daml are:

```
not for negation, e.g., not True == False && for conjunction, where a && b == and a b || for disjunction, where a || b == or a b
```

for Bool variables a and b.

### 2.2.7.5 If-then-else

You can use conditional if-then-else expressions, for example:

```
if owner == scroogeMcDuck then "sell" else "buy"
```

## 2.2.7.6 Let

To bind values or functions to be in scope beneath the expression, use the block keyword let:

```
doubled =
   -- let binds values or functions to be in scope beneath the expression
let
   double (x : Int) = 2 * x
```

(continues on next page)

(continued from previous page)

```
up = 5
in double up
```

You can use let inside do and scenario blocks:

```
blah = scenario

do

let

x = 1

y = 2

-- x and y are in scope for all subsequent expressions of the do□

→block,

-- so can be used in expression1 and expression2.

expression1

expression2
```

Lastly, a template may contain a single let block.

```
template Iou
 with
   issuer : Party
   owner : Party
 where
   signatory issuer
   let updateOwner o = create this with owner = o
        updateAmount a = create this with owner = a
   -- Expressions bound in a template let block can be referenced
    -- from any and all of the signatory, consuming, ensure and
   -- agreement expressions and from within any choice do blocks.
   controller owner can
     Transfer : ContractId Iou
       with newOwner : Party
       do
         updateOwner newOwner
```

## 2.2.8 Reference: functions

This page gives reference information on functions in Daml.

Daml is a functional language. It lets you apply functions partially and also have functions that take other functions as arguments. This page discusses these higher-order functions.

### 2.2.8.1 Defining functions

In Reference: expressions, the tubeSurfaceArea function was defined as:

```
tubeSurfaceArea : Decimal -> Decimal
tubeSurfaceArea r h =
  2.0 * pi * r * h
```

You can define this function equivalently using lambdas, involving  $\setminus$ , a sequence of parameters, and an arrow -> as:

```
tubeSurfaceArea : BinaryDecimalFunction =
  \ (r : Decimal) (h : Decimal) -> 2.0 * pi * r * h
```

### 2.2.8.2 Partial application

The type of the tubeSurfaceArea function described previously, is Decimal -> Decimal -> Decimal. An equivalent, but more instructive, way to read its type is: Decimal -> (Decimal -> Decimal): saying that tubeSurfaceArea is a function that takes one argument and returns another function.

So tubeSurfaceArea expects one argument of type Decimal and returns a function of type Decimal -> Decimal. In other words, this function returns another function. Only the last application of an argument yields a non-function.

This is called *currying*: currying is the process of converting a function of multiple arguments to a function that takes just a single argument and returns another function. In Daml, all functions are curried.

This doesn't affect things that much. If you use functions in the classical way (by applying them to all parameters) then there is no difference.

If you only apply a few arguments to the function, this is called partial application. The result is a function with partially defined arguments. For example:

```
multiplyThreeNumbers : Int -> Int -> Int
multiplyThreeNumbers xx yy zz =
    xx * yy * zz

multiplyTwoNumbersWith7 = multiplyThreeNumbers 7

multiplyWith21 = multiplyTwoNumbersWith7 3

multiplyWith18 = multiplyThreeNumbers 3 6
```

You could also define equivalent lambda functions:

```
multiplyWith18_v2 : Int -> Int
multiplyWith18_v2 xx =
  multiplyThreeNumbers 3 6 xx
```

#### 2.2.8.3 Functions are values

The function type can be explicitly added to the tubeSurfaceArea function (when it is written with the lambda notation):

```
-- Type synonym for Decimal -> Decimal -> Decimal

type BinaryDecimalFunction = Decimal -> Decimal -> Decimal

pi : Decimal = 3.1415926535

tubeSurfaceArea : BinaryDecimalFunction =

\ (r : Decimal) (h : Decimal) -> 2.0 * pi * r * h
```

Note that tubeSurfaceArea: BinaryDecimalFunction = ... follows the same pattern as when binding values, e.g., pi: Decimal = 3.14159265359.

Functions have types, just like values. Which means they can be used just like normal variables. In fact, in Daml, functions are values.

This means a function can take another function as an argument. For example, define a function applyFilter: (Int -> Int -> Bool) -> Int -> Int -> Bool which applies the first argument, a higher-order function, to the second and the third arguments to yield the result.

```
applyFilter (filter : Int -> Int -> Bool)
    (x : Int)
    (y : Int) = filter x y

compute = scenario do
    assert (applyFilter (<) 3 2 == False)
    assert (applyFilter (/=) 3 2 == True)

assert (round (2.5 : Decimal) == 3)
    assert (round (3.5 : Decimal) == 4)

assert (explode "me" == ["m", "e"])

assert (applyFilter (\a b -> a /= b) 3 2 == True)
```

The Folding section looks into two useful built-in functions, foldl and foldr, that also take a function as an argument.

**Note:** Daml does not allow functions as parameters of contract templates and contract choices. However, a follow up of a choice can use built-in functions, defined at the top level or in the contract template body.

## 2.2.8.4 Generic functions

A function is parametrically polymorphic if it behaves uniformly for all types, in at least one of its type parameters. For example, you can define function composition as follows:

```
compose (f : b -> c) (g : a -> b) (x : a) : c = f (g x)
```

where a, b, and c are any data types. Both compose ((+) 4) ((\*) 2) 3 == 10 and compose not ((&&) True) False evaluate to True. Note that ((+) 4) has type Int -> Int, whereas not has type Bool -> Bool.

You can find many other generic functions including this one in the Daml standard library.

**Note:** Daml currently does not support generic functions for a specific set of types, such as Int and Decimal numbers. For example, sum (x: a) (y: a) = x + y is undefined when a equals the type Party. Bounded polymorphism might be added to Daml in a later version.

### 2.2.9 Reference: scenarios

This page gives reference information on scenario syntax, used for testing templates.

For an introduction to scenarios, see Testing using scenarios.

### 2.2.9.1 Scenario keyword

scenario function. Introduces a series of transactions to be submitted to the ledger.

### 2.2.9.2 Submit

submit keyword.

Submits an action (a create or an exercise) to the ledger.

Takes two arguments, the party submitting followed by the expression, for example: submit bankOfEngland do create ...

#### 2.2.9.3 submitMustFail

submitMustFail keyword.

Like submit, but you're asserting it should fail.

Takes two arguments, the party submitting followed by the expression by a party, for example: submitMustFail bankOfEngland do create ...

## 2.2.9.4 Scenario body

### **Updates**

Usually create and exercise. But you can also use other updates, like assert and fetch. Parties can only be named explicitly in scenarios.

### Passing time

In a scenario, you may want time to pass so you can test something properly. You can do this with pass.

Here's an example of passing time:

```
timeTravel =
   scenario do
   -- Get current ledger effective time
   t1 <- getTime
   assert (t1 == datetime 1970 Jan 1 0 0 0)
   -- Pass 1 day
   pass (days 1)
   -- Get new ledger effective time</pre>
```

(continues on next page)

(continued from previous page)

```
t2 <- getTime assert (t2 == datetime 1970 Jan 2 0 0 0)
```

### Binding variables

As in choices, you can bind to variables. Usually, you'd bind commits to variables in order to get the returned value (usually the contract).

### 2.2.10 Reference: Daml file structure

This page gives reference information on the structure of Daml files outside of templates.

#### 2.2.10.1 File structure

This file's module name (module NameOfThisFile where).

Part of a hierarchical module system to facilitate code reuse. Must be the same as the Daml file name, without the file extension.

For a file with path ./Scenarios/Demo.daml, use module Scenarios.Demo where.

### 2.2.10.2 Imports

You can import other modules (import OtherModuleName), including qualified imports (import qualified AndYetOtherModuleName, import qualified AndYetOtherModuleName as Signifier). Can't have circular import references.

To import the Prelude module of ./Prelude.daml, use import Prelude.

To import a module of ./Scenarios/Demo.daml, use import Scenarios.Demo.

If you leave out qualified, and a module alias is specified, top-level declarations of the imported module are imported into the module's namespace as well as the namespace specified by the given alias.

#### 2.2.10.3 Libraries

A Daml library is a collection of related Daml modules.

Define a Daml library using a LibraryModules.daml file: a normal Daml file that imports the root modules of the library. The library consists of the LibraryModules.daml file and all its dependencies, found by recursively following the imports of each module.

Errors are reported in Daml Studio on a per-library basis. This means that breaking changes on shared Daml modules are displayed even when the files are not explicitly open.

### 2.2.10.4 Comments

Use —— for a single line comment. Use { — and —} for a comment extending over multiple lines.

### 2.2.10.5 Contract identifiers

When an instance of a template (that is, a contract) is added to the ledger, it's assigned a unique identifier, of type ContractId <name of template>.

The runtime representation of these identifiers depends on the execution environment: a contract identifier from the Sandbox may look different to ones on other Daml Ledgers.

You can use == and /= on contract identifiers of the same type.

## 2.2.11 Reference: Daml packages

This page gives reference information on Daml package dependencies.

### 2.2.11.1 Building Daml archives

When a Daml project is compiled, the compiler produces a *Daml archive*. These are platform-independent packages of compiled Daml code that can be uploaded to a Daml ledger or imported in other Daml projects.

Daml archives have a .dar file ending. By default, when you run daml build, it will generate the .dar file in the .daml/dist folder in the project root folder. For example, running daml build in project foo with project version 0.0.1 will result in a Daml archive .daml/dist/foo-0.0.1.dar.

You can specify a different path for the Daml archive by using the −o flag:

```
daml build -o foo.dar
```

For details on how to upload a Daml archive to the ledger, see the <u>deploy documentation</u>. The rest of this page will focus on how to import a Daml package in other Daml projects.

### 2.2.11.2 Inspecting DARs

To inspect a DAR and get information about the packages inside it, you can use the daml damlc inspect-dar command. This is often useful to find the package id of the project you just built.

You can run daml damlc inspect-dar /path/to/your.dar to get a human-readable listing of the files inside it and a list of packages and their package ids. Here is a (shortened) example output:

```
$ daml damlc inspect-dar .daml/dist/create-daml-app-0.1.0.dar
DAR archive contains the following files:
create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/create-
\rightarrowdaml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d.dalf
create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
\negprim-75b070729b1fbd37a618493652121b0d6f5983b787e35179e52d048db70e9f15.
-dalf
create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
⇒stdlib-0.0.0-
-a535cbc3657b8df953a50aaef5a4cd224574549c83ca4377e8219aadea14f21a.dalf
create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
⇒stdlib-DA-Internal-Template-
→d14e08374fc7197d6a0de468c968ae8ba3aadbf9315476fd39071831f5923662.dalf
create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/data/
→create-daml-app-0.1.0.conf
create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/User.
-daml
```

(continues on next page)

(continued from previous page)

```
create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/User.hi
create-daml-app-0.1.0-
\Rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/User.hie
META-INF/MANIFEST.MF
DAR archive contains the following packages:
create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d
→"29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d"
daml-stdlib-DA-Internal-Template-
-d14e08374fc7197d6a0de468c968ae8ba3aadbf9315476fd39071831f5923662
→"d14e08374fc7197d6a0de468c968ae8ba3aadbf9315476fd39071831f5923662"
daml-prim-75b070729b1fbd37a618493652121b0d6f5983b787e35179e52d048db70e9f15
→"75b070729b1fbd37a618493652121b0d6f5983b787e35179e52d048db70e9f15"
daml-stdlib-0.0.0-
→a535cbc3657b8df953a50aaef5a4cd224574549c83ca4377e8219aadea14f21a
→"a535cbc3657b8df953a50aaef5a4cd224574549c83ca4377e8219aadea14f21a"
```

In addition to the human-readable output, you can also get the output as JSON. This is easier to consume programmatically and it is more robust to changes across SDK versions:

```
$ daml damlc inspect-dar -- json .daml/dist/create-daml-app-0.1.0.dar
    "packages": {
        "29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d
": {
            "path": "create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/create-
\rightarrowdaml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d.dalf",
            "name": "create-daml-app",
            "version": "0.1.0"
        "d14e08374fc7197d6a0de468c968ae8ba3aadbf9315476fd39071831f5923662
" : {
            "path": "create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
→stdlib-DA-Internal-Template-
→d14e08374fc7197d6a0de468c968ae8ba3aadbf9315476fd39071831f5923662.dalf",
            "name": null,
            "version": null
        "75b070729b1fbd37a618493652121b0d6f5983b787e35179e52d048db70e9f15
→": {
            "path": "create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
\rightarrowprim-75b070729b1fbd37a618493652121b0d6f5983b787e35179e52d048db70e9f15.
⇔dalf",
```

(continues on next page)

(continued from previous page)

```
"name": "daml-prim",
            "version": "0.0.0"
       },
       "a535cbc3657b8df953a50aaef5a4cd224574549c83ca4377e8219aadea14f21a
→": {
            "path": "create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
⇒stdlib-0.0.0-
→a535cbc3657b8df953a50aaef5a4cd224574549c83ca4377e8219aadea14f21a.dalf",
            "name": "daml-stdlib",
            "version": "0.0.0"
   },
   "main package id":
→"29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d",
   "files": [
       "create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/create-
→daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d.dalf",
       "create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
→prim-75b070729b1fbd37a618493652121b0d6f5983b787e35179e52d048db70e9f15.
\rightarrowdalf",
       "create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
⇒stdlib-0.0.0-
→a535cbc3657b8df953a50aaef5a4cd224574549c83ca4377e8219aadea14f21a.dalf",
       "create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/daml-
→stdlib-DA-Internal-Template-
→d14e08374fc7197d6a0de468c968ae8ba3aadbf9315476fd39071831f5923662.dalf",
       "create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/data/
⇒create-daml-app-0.1.0.conf",
       "create-daml-app-0.1.0-
\rightarrow29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/User.
→daml",
       "create-daml-app-0.1.0-
→29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/User.hi
       "create-daml-app-0.1.0-
-29b501bcf541a40e9f75750246874e0a35de72e00616372da435e4b69966db5d/User.hie
\hookrightarrow",
       "META-INF/MANIFEST.MF"
```

Note that name and version will be null for packages in Daml-LF < 1.8.

### 2.2.11.3 Importing Daml packages

There are two ways to import a Daml package in a project: via dependencies, and via data-dependencies. They each have certain advantages and disadvantages. To summarize:

dependencies allow you to import a Daml archive as a library. The definitions in the dependency will all be made available to the importing project. However, the dependency must be compiled with the same SDK version, so this method is only suitable for breaking up large projects into smaller projects that depend on each other, or to reuse existing libraries. data-dependencies allow you to import a Daml archive (.dar) or a Daml-LF package (.dalf), including packages that have already been deployed to a ledger. These packages can be compiled with any previous SDK version. On the other hand, not all definitions can be carried over perfectly, since the Daml interface needs to be reconstructed from the binary.

The following sections will cover these two approaches in more depth.

### Importing a Daml package via dependencies

A Daml project can declare a Daml archive as a dependency in the dependencies field of daml. yaml. This lets you import modules and reuse definitions from another Daml project. The main limitation of this method is that the dependency must be built for the same SDK version as the importing project.

Let's go through an example. Suppose you have an existing Daml project foo, located at /home/user/foo, and you want to use it as a dependency in a project bar, located at /home/user/bar.

To do so, you first need to generate the Daml archive of foo. Go into /home/user/foo and run daml build -o foo.dar. This will create the Daml archive, /home/user/foo/foo.dar.

Next, we will update the project config for bar to use the generated Daml archive as a dependency. Go into /home/user/bar and change the dependencies field in daml.yaml to point to the created Daml archive:

### dependencies:

- daml-prim
- daml-stdlib
- ../foo/foo.dar

The import path can also be absolute, for example, by changing the last line to:

- /home/user/foo/foo.dar

When you run daml build in the bar project, the compiler will make the definitions in foo.dar available for importing. For example, if foo exports the module Foo, you can import it in the usual way:

## import Foo

By default, all modules of foo are made available when importing foo as a dependency. To limit which modules of foo get exported, you may add an exposed-modules field in the daml. yaml file for foo:

#### exposed-modules:

- Foo

### Importing a Daml archive via data-dependencies

You can import a Daml archive (.dar) or Daml-LF package (.dalf) using data-dependencies. Unlike dependencies, this can be used when the SDK versions do not match.

For example, you can import foo.dar as follows:

### dependencies:

- daml-prim
- daml-stdlib

### data-dependencies:

- ../foo/foo.dar

When importing packages this way, the Daml compiler will try to reconstruct the original Daml interface from the compiled binaries. However, to allow data-dependencies to work across SDK versions, the compiler has to abstract over some details which are not compatible across SDK versions. This means that there are some Daml features that cannot be recovered when using data-dependencies. In particular:

- 1. Export lists cannot be recovered, so imports via data-dependencies can access definitions that were originally hidden. This means it is up to the importing module to respect the data abstraction of the original module. Note that this is the same for all code that runs on the ledger, since the ledger does not provide special support for data abstraction.
- 2. If you have a dependency that limits the modules that can be accessed via exposed-modules, you can get an error if you also have a data-dependency that references something from the hidden modules (even if it is only reexported). Since exposed-modules are not available on the ledger in general, we recommend to not make use of them and instead rely on naming conventions (e.g., suffix module names with .Internal) to make it clear which modules are part of the public API.
- 3. Prior to Daml-LF version 1.8, typeclasses could not be reconstructed. This means if you have a package that is compiled with an older version of Daml-LF, typeclasses and typeclass instances will not be carried over via data-dependencies, and you won't be able to call functions that rely on typeclass instances. This includes the template functions, such as create, signatory, and exercise, as these rely on typeclass instances.
- 4. Starting from Daml-LF version 1.8, when possible, typeclass instances will be reconstructed by re-using the typeclass definitions from dependencies, such as the typeclasses exported in daml-stdlib. However, if the typeclass signature has changed, you will get an instance for a reconstructed typeclass instead, which will not interoperate with code from dependencies. Furthermore, if the typeclass definition uses the FunctionalDependencies language extension, this may cause additional problems, since the functional dependencies cannot be recovered. So this is something to keep in mind when redefining typeclasses and when using FunctionalDependencies.
- 5. Certain advanced type system features cannot be reconstructed. In particular, DA.Generics and DeriveGeneric cannot be reconstructed. This may result in certain definitions being unavailable when importing a module that uses these advanced features.

Because of their flexibility, data-dependencies are a tool that is recommended for performing Daml model upgrades. See the upgrade documentation for more details.

## Referencing Daml packages already on the ledger

Daml packages that have been uploaded to a ledger can be imported as data dependencies, given you have the necessary permissions to download these packages. To import such a package, add

the package name and version separated by a colon to the data-dependencies stanza as follows:

```
ledger:
   host: localhost
   port: 6865
dependencies:
   - daml-prim
   - daml-stdlib
data-dependencies:
   - foo:1.0.0
```

If your ledger runs at the default host and port (localhost: 6865), the ledger stanza can be omitted. This will fetch and install the package foo-1.0.0. A daml.lock file is created at the root of your project directory, pinning the resolved packages to their exact package ID:

```
dependencies:
    pkgId: 51255efad65a1751bcee749d962a135a65d12b87eb81ac961142196d8bbca535
    name: foo
    version: 1.0.0
```

The daml.lock file needs to be checked into version control of your project. This assures that package name/version tuples specified in your data dependencies are always resolved to the same package ID. To recreate or update your daml.lock file, delete it and run daml build again.

### 2.2.11.4 Handling module name collisions

Sometimes you will have multiple packages with the same module name. In that case, a simple import will fail, since the compiler doesn't know which version of the module to load. Fortunately, there are a few tools you can use to approach this problem.

The first is to use package qualified imports. Supposing you have packages with different names, foo and bar, which both expose a module X, you can select which one you want with a package qualified import.

To get X from foo:

```
import "foo" X
```

To get X from bar:

```
import "bar" X
```

To get both, you need to rename the module as you perform the import:

```
import "foo" X as FooX
import "bar" X as BarX
```

Sometimes, package qualified imports will not help, because you are importing two packages with the same name. For example, if you're loading different versions of the same package. To handle this case, you need the <code>--package</code> build option.

Suppose you are importing packages foo-1.0.0 and foo-2.0.0. Notice they have the same name foo but different versions. To get modules that are exposed in both packages, you will need to provide module aliases. You can do this by passing the --package build option. Open daml.yaml and add the following build-options:

```
build-options:
- '--package'
- 'foo-1.0.0 with (X as Foo1.X)'
- '--package'
- 'foo-2.0.0 with (X as Foo2.X)'
```

This will alias the X in foo-1.0.0 as Foo1.X, and alias the X in foo-2.0.0 as Foo2.X. Now you will be able to import both X by using the new names:

```
import qualified Foo1.X
import qualified Foo2.X
```

It is also possible to add a prefix to all modules in a package using the module-prefixes field in your daml. Yaml. This is particularly useful for upgrades where you can map all modules of version v of your package under V\$v. For the example above you can use the following:

```
module-prefixes:
foo-1.0.0: Foo1
foo-2.0.0: Foo2
```

That will allow you to import module X from package foo-1.0.0 as Foo1.X and X from package foo-2.0.0 as Foo2.

You can also use more complex module prefixes, e.g., foo-1.0.0: Foo1.Bar which will make module X available under Foo1.Bar.X.

### 2.2.12 Contract keys

Contract keys are an optional addition to templates. They let you specify a way of uniquely identifying contracts, using the parameters to the template - similar to a primary key for a database.

You can use contract keys to stably refer to a contract, even through iterations of instances of it.

Here's an example of setting up a contract key for a bank account, to act as a bank account ID:

```
type AccountKey = (Party, Text)

template Account with
   bank : Party
   number : Text
   owner : Party
   balance : Decimal
   observers : [Party]

where
   signatory [bank, owner]
   observer observers

key (bank, number) : AccountKey
   maintainer key._1
```

### 2.2.12.1 What can be a contract key

The key can be an arbitrary serializable expression that does **not** contain contract IDs. However, it **must** include every party that you want to use as a maintainer (see Specifying maintainers below).

It's best to use simple types for your keys like Text or Int, rather than a list or more complex type.

## 2.2.12.2 Specifying maintainers

If you specify a contract key for a template, you must also specify a maintainer or maintainers, in a similar way to specifying signatories or observers. The maintainers own the key in the same way the signatories own a contract. Just like signatories of contracts prevent double spends or use of false contract data, maintainers of keys prevent double allocation or incorrect lookups. Since the key is part of the contract, the maintainers **must** be signatories of the contract. However, maintainers are computed from the key instead of the template arguments. In the example above, the bank is ultimately the maintainer of the key.

Uniqueness of keys is guaranteed per template. Since multiple templates may use the same key type, some key-related functions must be annotated using the @ContractType as shown in the examples below.

When you are writing Daml models, the maintainers matter since they affect authorization – much like signatories and observers. You don't need to do anything to maintain the keys. In the above example, it is guaranteed that there can only be one Account with a given number at a given bank.

Checking of the keys is done automatically at execution time, by the Daml execution engine: if someone tries to create a new contract that duplicates an existing contract key, the execution engine will cause that creation to fail.

### 2.2.12.3 Contract Lookups

The primary purpose of contract keys is to provide a stable, and possibly meaningful, identifier that can be used in Daml to fetch contracts. There are two functions to perform such lookups: fetchByKey and lookupByKey. Both types of lookup are performed at interpretation time on the submitting Participant Node, on a best-effort basis. Currently, that best-effort means lookups only return contracts if the submitting Party is a stakeholder of that contract.

In particular, the above means that if multiple commands are submitted simultaneously, all using contract lookups to find and consume a given contract, there will be contention between these commands, and at most one will succeed.

Limiting key usage to stakeholders also means that keys cannot be used to access a divulged contract, i.e. there can be cases where fetch succeeds and fetchByKey does not. See the example at the end of this section for details.

### fetchByKey

(fetchedContractId, fetchedContract) <- fetchByKey @ContractType
contractKey</pre>

Use fetchByKey to fetch the ID and data of the contract with the specified key. It is an alternative to fetch and behaves the same in most ways.

It returns a tuple of the ID and the contract object (containing all its data).

Like fetch, fetchByKey needs to be authorized by at least one stakeholder.

fetchByKey fails and aborts the transaction if:

The submitting Party is not a stakeholder on a contract with the given key, or A contract was found, but the fetchByKey violates the authorization rule, meaning no stakeholder authorized the fetch.

This means that if it fails, it doesn't guarantee that a contract with that key doesn't exist, just that the submitting Party doesn't know about it, or there are issues with authorization.

## visibleByKey

```
boolean <- visibleByKey @ContractType contractKey</pre>
```

Use <code>visibleByKey</code> to check whether you can see an active contract for the given key with the current authorizations. If the contract exists and you have permission to see it, returns <code>True</code>, otherwise returns <code>False</code>.

To clarify, ignoring contention:

- 1. visibleByKey will return True if all of these are true: there exists a contract for the given key, the submitter is a stakeholder on that contract, and at the point of call we have the authorization of all of the maintainers of the key.
- 2. visibleByKey will return False if all of those are true: there is no contract for the given key, and at the point of call we have authorization from all the maintainers of the key.
- 3. visibleByKey will abort the transaction at interpretation time if, at the point of call, we are missing the authorization from any one maintainer of the key.
- 4. visibleByKey will fail at validation time (after returning False at interpretation time) if all of these are true: at the point of call, we have the authorization of **all** the maintainers, and a valid contract exists for the given key, but the submitter is not a stakeholder on that contract.

While it may at first seem too restrictive to require **all** maintainers to authorize the call, this is actually required in order to validate negative lookups. In the positive case, when you can see the contract, it's easy for the transaction to mention which contract it found, and therefore for validators to check that this contract does indeed exist, and is active as of the time of executing the transaction.

For the negative case, however, the transaction submitted for execution cannot say which contract it has not found (as, by definition, it has not found it, and it may not even exist). Still, validators have to be able to reproduce the result of not finding the contract, and therefore they need to be able to look for it, which means having the authorization to ask the maintainers about it.

### lookupByKey

```
optionalContractId <- lookupByKey @ContractType contractKey</pre>
```

Use lookupByKey to check whether a contract with the specified key exists. If it does exist, lookupByKey returns the Some contractId, where contractId is the ID of the contract; otherwise, it returns None.

lookupByKey is conceptually equivalent to

(continues on next page)

```
else return None
```

Therefore, lookupByKey needs all the same authorizations as visibleByKey, for the same reasons, and fails in the same cases.

To get the data from the contract once you've confirmed it exists, you'll still need to use fetch.

# 2.2.12.4 exerciseByKey

```
exerciseByKey @ContractType contractKey
```

Use exerciseByKey to exercise a choice on a contract identified by its key (compared to exercise, which lets you exercise a contract identified by its ContractId). To run exerciseByKey you need authorization from the controllers of the choice and at least one stakeholder. This is equivalent to the authorization needed to do a fetchByKey followed by an exercise.

# 2.2.12.5 Example

A complete example of possible success and failure scenarios of fetchByKey and lookupByKey is shown below.

```
-- Copyright (c) 2021 Digital Asset (Switzerland) GmbH and/or its□
→affiliates. All rights reserved.
-- SPDX-License-Identifier: Apache-2.0
module Keys where
import DA.Optional
template Keyed
  with
    sig : Party
    obs : Party
  where
    signatory sig
    observer obs
    key sig : Party
    maintainer key
template Divulger
  with
    divulgee : Party
    sig : Party
  where
    signatory divulgee
    controller sig can
      nonconsuming DivulgeKeyed
        : Keyed
```

```
with
          keyedCid : ContractId Keyed
          fetch keyedCid
template Delegation
 with
   sig : Party
   delegees : [Party]
 where
   signatory sig
   observer delegees
   nonconsuming choice CreateKeyed
      : ContractId Keyed
      with
        delegee : Party
        obs : Party
      controller delegee
        create Keyed with sig; obs
   nonconsuming choice ArchiveKeyed
      : ()
      with
        delegee : Party
        keyedCid : ContractId Keyed
      controller delegee
      do
        archive keyedCid
   nonconsuming choice UnkeyedFetch
      : Keyed
      with
        cid : ContractId Keyed
        delegee : Party
      controller delegee
        fetch cid
   nonconsuming choice VisibleKeyed
      : Bool
      with
        key : Party
        delegee : Party
      controller delegee
      do
        visibleByKey @Keyed key
```

```
nonconsuming choice LookupKeyed
      : Optional (ContractId Keyed)
      with
        lookupKey : Party
        delegee : Party
      controller delegee
      do
        lookupByKey @Keyed lookupKey
   nonconsuming choice FetchKeyed
      : (ContractId Keyed, Keyed)
      with
        lookupKey : Party
        delegee : Party
      controller delegee
      do
        fetchByKey @Keyed lookupKey
lookupTest = scenario do
  -- Put four parties in the four possible relationships with a `Keyed`
  sig <- getParty "s" -- Signatory</pre>
  obs <- getParty "o" -- Observer
 divulgee <- getParty "d" -- Divulgee
 blind <- getParty "b" -- Blind
  keyedCid <- submit sig do create Keyed with ...
  divulgercid <- submit divulgee do create Divulger with ...
  submit sig do exercise divulgercid DivulgeKeyed with ...
  -- Now the signatory and observer delegate their choices
  sigDelegationCid <- submit sig do</pre>
   create Delegation with
      sia
      delegees = [obs, divulgee, blind]
  obsDelegationCid <- submit obs do
   create Delegation with
      sig = obs
      delegees = [divulgee, blind]
  -- TESTING LOOKUPS AND FETCHES
  -- Maintainer can fetch
  submit siq do
    (cid, keyed) <- fetchByKey @Keyed sig
   assert (keyedCid == cid)
  -- Maintainer can see
  submit siq do
   b <- visibleByKey @Keyed sig
```

```
assert b
-- Maintainer can lookup
submit sig do
 mcid <- lookupByKey @Keyed sig
 assert (mcid == Some keyedCid)
-- Stakeholder can fetch
submit obs do
  (cid, 1) <- fetchByKey @Keyed sig
 assert (keyedCid == cid)
-- Stakeholder can't see without authorization
submitMustFail obs do visibleByKey @Keyed sig
-- Stakeholder can see with authorization
submit obs do
 b <- exercise sigDelegationCid VisibleKeyed with
   delegee = obs
   key = sig
 assert b
-- Stakeholder can't lookup without authorization
submitMustFail obs do lookupByKey @Keyed sig
-- Stakeholder can lookup with authorization
submit obs do
 mcid <- exercise sigDelegationCid LookupKeyed with</pre>
   delegee = obs
    lookupKey = sig
 assert (mcid == Some keyedCid)
-- Divulgee can fetch the contract directly
submit divulgee do
 exercise obsDelegationCid UnkeyedFetch with
     delegee = divulgee
      cid = keyedCid
-- Divulgee can't fetch through the key
submitMustFail divulgee do fetchByKey @Keyed sig
-- Divulgee can't see
submitMustFail divulgee do visibleByKey @Keyed sig
-- Divulgee can't see with stakeholder authority
submitMustFail divulgee do
 exercise obsDelegationCid VisibleKeyed with
     delegee = divulgee
     key = sig
-- Divulgee can't lookup
submitMustFail divulgee do lookupByKey @Keyed sig
-- Divulgee can't lookup with stakeholder authority
submitMustFail divulgee do
 exercise obsDelegationCid LookupKeyed with
     delegee = divulgee
      lookupKey = sig
-- Divulgee can't do positive lookup with maintainer authority.
```

```
submitMustFail divulgee do
 b <- exercise sigDelegationCid VisibleKeyed with
   delegee = divulgee
   key = sig
 assert $ not b
-- Divulgee can't do positive lookup with maintainer authority.
-- Note that the lookup returns `None` so the assertion passes.
-- If the assertion is changed to `isSome`, the assertion fails,
-- which means the error message changes. The reason is that the
-- assertion is checked at interpretation time, before the lookup
-- is checked at validation time.
submitMustFail divulgee do
 mcid <- exercise sigDelegationCid LookupKeyed with
   delegee = divulgee
   lookupKey = sig
 assert (isNone mcid)
-- Divulgee can't fetch with stakeholder authority
submitMustFail divulgee do
  (cid, keyed) <- exercise obsDelegationCid FetchKeyed with
   delegee = divulgee
   lookupKey = sig
 assert (keyedCid == cid)
-- Blind party can't fetch
submitMustFail blind do fetchByKey @Keyed sig
-- Blind party can't see
submitMustFail blind do visibleByKey @Keyed sig
-- Blind party can't see with stakeholder authority
submitMustFail blind do
 exercise obsDelegationCid VisibleKeyed with
   delegee = blind
   key = siq
-- Blind party can't see with maintainer authority
submitMustFail blind do
 b <- exercise sigDelegationCid VisibleKeyed with
   delegee = blind
   key = sig
 assert $ not b
-- Blind party can't lookup
submitMustFail blind do lookupByKey @Keyed sig
-- Blind party can't lookup with stakeholder authority
submitMustFail blind do
 exercise obsDelegationCid LookupKeyed with
   delegee = blind
   lookupKey = sig
-- Blind party can't lookup with maintainer authority.
-- The lookup initially returns `None`, but is rejected at
-- validation time
submitMustFail blind do
```

```
mcid <- exercise sigDelegationCid LookupKeyed with</pre>
     delegee = blind
     lookupKey = sig
   assert (isNone mcid)
 -- Blind party can't fetch with stakeholder authority as lookup is□
→negative
 submitMustFail blind do
   exercise obsDelegationCid FetchKeyed with
     delegee = blind
     lookupKey = sig
 -- Blind party can see nonexistence of a contract
 submit blind do
   b <- exercise obsDelegationCid VisibleKeyed with
     delegee = blind
     key = obs
   assert $ not b
 -- Blind can do a negative lookup on a truly nonexistant contract
 submit blind do
   mcid <- exercise obsDelegationCid LookupKeyed with</pre>
     delegee = blind
     lookupKev = obs
   assert (isNone mcid)
 -- TESTING CREATES AND ARCHIVES
 -- Divulgee can archive
 submit divulgee do
   exercise sigDelegationCid ArchiveKeyed with
     delegee = divulgee
     keyedCid
 -- Divulgee can create
 keyedCid2 <- submit divulgee do</pre>
   exercise sigDelegationCid CreateKeyed with
     delegee = divulgee
     obs
 -- Stakeholder can archive
 submit obs do
   exercise sigDelegationCid ArchiveKeyed with
     delegee = obs
     keyedCid = keyedCid2
 -- Stakeholder can create
 keyedCid3 <- submit obs do</pre>
   exercise sigDelegationCid CreateKeyed with
     delegee = obs
     obs
 return ()
```

# 2.3 The standard library

The Daml standard library is a collection of Daml modules that are bundled with the SDK, and can be used to implement Daml applications.

The *Prelude* module is imported automatically in every Daml module. Other modules must be imported manually, just like your own project's modules. For example:

```
import DA.Optional
import DA.Time
```

Here is a complete list of modules in the standard library:

# 2.3.1 Module Prelude

The pieces that make up the Daml language.

# 2.3.1.1 Typeclasses

```
class HasTime m where
```

The HasTime class is for where the time is available: Scenario and Update.

```
getTime : HasCallStack => m Time
Get the current time.
```

instance HasTime Scenario

instance HasTime Update

class Action m => CanAbort m where

The CanAbort class is for Action s that can be aborted.

```
abort : Text -> m a
```

Abort the current action with a message.

instance CanAbort Scenario

instance CanAbort Update

instance CanAbort (Either Text)

class HasSubmit m cmds where

```
submit : HasCallStack => Party -> cmds a -> m a
```

submit p cmds submits the commands cmds as a single transaction from party p and returns the value returned by cmds.

If the transaction fails, submit also fails.

```
submitMustFail : HasCallStack => Party -> cmds a -> m ()
```

 $\verb|submitMustFail| p cmds \verb|submits| the commands| cmds as a single transaction | from party p. \\$ 

It only succeeds if the submitting the transaction fails.

instance HasSubmit Scenario Update

```
class Functor f => Applicative f where
```

```
pure: a -> f a
Lift a value.
```

(<\*>) : f(a -> b) -> fa -> fb

```
Sequentially apply the function.
          A few functors support an implementation of <*> that is more efficient than the
          default one.
     liftA2: (a -> b -> c) -> f a -> f b -> f c
          Lift a binary function to actions.
          Some functors support an implementation of liftA2 that is more efficient than the
          default one. In particular, if fmap is an expensive operation, it is likely better to use
          liftA2 than to fmap over the structure and then use <*>.
     (*>): fa -> fb -> fb
          Sequence actions, discarding the value of the first argument.
     (<*): fa -> fb -> fa
          Sequence actions, discarding the value of the second argument.
     instance Applicative ((->) r)
     instance Applicative (State s)
     instance Applicative Down
     instance Applicative Scenario
     instance Applicative Update
     instance Applicative Optional
     instance Applicative Formula
     instance Applicative NonEmpty
     instance Applicative (Validation err)
     instance Applicative (Either e)
     instance Applicative ([])
class Applicative m => Action m where
     ( =) : m a -> (a -> m b) -> m b
          Sequentially compose two actions, passing any value produced by the first as an
          argument to the second.
     instance Action ((->) r)
     instance Action (State s)
     instance Action Down
     instance Action Scenario
     instance Action Update
     instance Action Optional
     instance Action Formula
     instance Action NonEmpty
     instance Action (Either e)
     instance Action ([])
```

```
class Action m => ActionFail m where
     fail: Text -> m a
          Fail with an error message.
     instance ActionFail Scenario
     instance ActionFail Update
     instance ActionFail Optional
     instance ActionFail (Either Text)
     instance ActionFail (□)
class Semigroup a where
     The class of semigroups (types with an associative binary operation).
     (<>) : a -> a -> a
          An associative operation.
     instance Ord k => Semigroup (Map k v)
     instance Semigroup (TextMap b)
     instance Semigroup All
     instance Semigroup Any
     instance Semigroup (Endo a)
     instance Multiplicative a => Semigroup (Product a)
     instance Additive a => Semigroup (Sum a)
     instance MapKey k => Semigroup (Map k v)
     instance MapKey a => Semigroup (Set a)
     instance Semigroup (NonEmpty a)
     instance Ord a => Semigroup (Max a)
     instance Ord a => Semigroup (Min a)
     instance Ord k => Semigroup (Set k)
     instance Semigroup Ordering
     instance Semigroup Text
     instance Semigroup [a]
class Semigroup a => Monoid a where
     The class of monoids (types with an associative binary operation that has an identity).
     mempty: a
          Identity of (<>)
     mconcat: [a] -> a
          Fold a list using the monoid. For example using mconcat on a list of strings would
          concatenate all strings to one lone string.
```

```
instance Ord k => Monoid (Map k v)
     instance Monoid (TextMap b)
     instance Monoid All
     instance Monoid Any
     instance Monoid (Endo a)
     instance Multiplicative a => Monoid (Product a)
     instance Additive a => Monoid (Sum a)
     instance MapKey k => Monoid (Map k v)
     instance MapKey a => Monoid (Set a)
     instance Ord k => Monoid (Set k)
     instance Monoid Ordering
     instance Monoid Text
     instance Monoid [a]
class HasSignatory t where
     Exposes signatory function. Part of the Template constraint.
     signatory : t -> [Party]
          The signatories of a contract.
class HasObserver t where
     Exposes observer function. Part of the Template constraint.
     observer : t -> [Party]
          The observers of a contract.
class HasEnsure t where
     Exposes ensure function. Part of the Template constraint.
          A predicate that must be true, otherwise contract creation will fail.
class HasAgreement t where
     Exposes agreement function. Part of the Template constraint.
     agreement: t -> Text
          The agreement text of a contract.
class HasCreate t where
     Exposes create function. Part of the Template constraint.
     create : t -> Update (ContractId t)
          Create a contract based on a template t.
class HasFetch t where
     Exposes fetch function. Part of the Template constraint.
```

# fetch : ContractId t -> Update t

Fetch the contract data associated with the given contract ID. If the ContractId t supplied is not the contract ID of an active contract, this fails and aborts the entire transaction.

# class HasArchive t where

Exposes archive function. Part of the Template constraint.

archive: ContractId t -> Update ()

Archive the contract with the given contract ID.

# class HasTemplateTypeRep t where

Exposes templateTypeRep function in Daml-LF 1.7 or later. Part of the Template constraint.

# class HasToAnyTemplate t where

Exposes toAnyTemplate function in Daml-LF 1.7 or later. Part of the Template constraint.

# class HasFromAnyTemplate t where

Exposes fromAnyTemplate function in Daml-LF 1.7 or later. Part of the Template constraint.

# class HasExercise t c r where

Exposes exercise function. Part of the Choice constraint.

exercise: Contractld t -> c -> Update r

Exercise a choice on the contract with the given contract ID.

# class HasToAnyChoice t c r where

Exposes toAnyChoice function for Daml-LF 1.7 or later. Part of the Choice constraint.

# class HasFromAnyChoice t c r where

Exposes from Any Choice function for Daml-LF 1.7 or later. Part of the Choice constraint.

#### class HasKey t k where

Exposes  ${\tt key}$  function. Part of the  ${\tt TemplateKey}$  constraint.

**key**: t -> k

The key of a contract.

# class HasLookupByKey t k where

Exposes lookupByKey function. Part of the TemplateKey constraint.

# lookupByKey : k -> Update (Optional (ContractId t))

Look up the contract ID  ${\tt t}$  associated with a given contract key  ${\tt k}.$ 

You must pass the t using an explicit type application. For instance, if you want to look up a contract of template Account by its key k, you must call lookupByKey @Account k.

# class HasFetchByKey t k where

Exposes fetchByKey function. Part of the TemplateKey constraint.

# fetchByKey : k -> Update (ContractId t, t)

Fetch the contract ID and contract data associated with a given contract key. You must pass the t using an explicit type application. For instance, if you want to fetch a contract of template Account by its key k, you must call fetchByKey @Account k.

#### class HasMaintainer t k where

Exposes maintainer function. Part of the TemplateKey constraint.

# class HasToAnyContractKey t k where

Exposes to Any Contract Key function in Daml-LF 1.7 or later. Part of the Template Key constraint.

#### class HasFromAnyContractKey t k where

Exposes from Any Contract Key function in Daml-LF 1.7 or later. Part of the Template Key constraint.

#### class IsParties a where

Accepted ways to specify a list of parties: either a single party, or a list of parties.

```
toParties : a -> [Party]
```

Convert to list of parties.

instance IsParties Party

instance IsParties (Optional Party)

instance IsParties (Set Party)

instance IsParties (NonEmpty Party)

instance IsParties (Set Party)

instance IsParties [Party]

# class Functor f where

A Functor is a typeclass for things that can be mapped over (using its fmap function. Examples include Optional, [] and Update).

```
fmap: (a -> b) -> fa -> fb
```

fmap takes a function of type a  $\rightarrow$  b, and turns it into a function of type f a  $\rightarrow$  f b, where f is the type which is an instance of Functor.

For example, map is an fmap that only works on lists. It takes a function  $a \rightarrow b$  and a [a], and returns a [b].

# (<\$): a -> fb -> fa

Replace all locations in the input f b with the same value a. The default definition is fmap . const, but you can override this with a more efficient version.

# class Eq a where

The Eq class defines equality (==) and inequality (/=). All the basic datatypes exported by the Prelude are instances of Eq, and Eq may be derived for any datatype whose constituents are also instances of Eq.

Usually, == is expected to implement an equivalence relationship where two values comparing equal are indistinguishable by public functions, with a public function being

one not allowing to see implementation details. For example, for a type representing non-normalised natural numbers modulo 100, a public function doesn't make the difference between 1 and 201. It is expected to have the following properties:

```
Reflexivity: x == x = True
Symmetry: x == y = y = x
Transitivity: if x == y && y == z = True, then x == z = True
Substitutivity: if x == y = True and f is a public function whose return type is an
instance of Eq, then f x == f y = True
Negation: x \neq y = not (x == y)
Minimal complete definition: either == or /=.
(==) : a -> a -> Bool
(/=) : a -> a -> Bool
instance (Eq a, Eq b) => Eq (Either a b)
instance Eq Bool
instance Eq Int
instance Eq (Numeric n)
instance Eq Ordering
instance Eq Text
instance Eq a => Eq [a]
instance Eq ()
instance (Eq a, Eq b) \Rightarrow Eq (a, b)
instance (Eq a, Eq b, Eq c) => Eq (a, b, c)
instance (Eq a, Eq b, Eq c, Eq d) \Rightarrow Eq (a, b, c, d)
instance (Eq a, Eq b, Eq c, Eq d, Eq e) => Eq (a, b, c, d, e)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f) => Eq (a, b, c, d, e, f)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g) => Eq (a, b, c, d, e, f, g)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h) => Eq (a, b, c, d, e, f, g, h)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i) => Eq (a, b, c, d, e, f, g, h, i)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i, Eq j) => Eq (a, b, c, d, e, f, g, h, i, j)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i, Eq j, Eq k) => Eq (a, b, c, d, e, f, g, h, i,
j, k)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i, Eq j, Eq k, Eq l) => Eq (a, b, c, d, e, f, g,
h, i, j, k, l)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i, Eq j, Eq k, Eq l, Eq m) => Eq (a, b, c, d,
e, f, g, h, i, j, k, l, m)
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i, Eq j, Eq k, Eq l, Eq m, Eq n) => Eq (a,
b, c, d, e, f, g, h, i, j, k, l, m, n)
```

```
instance (Eq a, Eq b, Eq c, Eq d, Eq e, Eq f, Eq g, Eq h, Eq i, Eq j, Eq k, Eq l, Eq m, Eq n, Eq o) => Eq (a, b, c, d, e, f, g, h, i, j, k, l, m, n, o)
```

# class Eq a => Ord a where

The Ord class is used for totally ordered datatypes.

Instances of Ord can be derived for any user-defined datatype whose constituent types are in Ord. The declared order of the constructors in the data declaration determines the ordering in derived Ord instances. The Ordering datatype allows a single comparison to determine the precise ordering of two objects.

The Haskell Report defines no laws for Ord. However, <= is customarily expected to implement a non-strict partial order and have the following properties:

```
Transitivity: if x \le y \& y \le z = True, then x \le z = True

Reflexivity: x \le x = True

Antisymmetry: if x \le y \& y \le x = True, then x == y = True
```

Note that the following operator interactions are expected to hold:

```
    x >= y = y <= x</li>
    x < y = x <= y && x /= y</li>
    x > y = y < x</li>
    x < y = compare x y == LT</li>
    x > y = compare x y == GT
    x == y = compare x y == EQ
    min x y == if x <= y then x else y = 'True'</li>
    max x y == if x >= y then x else y = 'True'
```

Minimal complete definition: either compare or <=. Using compare can be more efficient for complex types.

```
compare : a -> a -> Ordering

(<) : a -> a -> Bool

(<=) : a -> a -> Bool

(>) : a -> a -> Bool

(>) : a -> a -> Bool

(>=) : a -> a -> Bool

max : a -> a -> a

min : a -> a -> a

min : a -> a -> a

instance (Ord a, Ord b) => Ord (Either a b)

instance Ord Bool

instance Ord Int

instance Ord (Numeric n)

instance Ord Ordering

instance Ord Text

instance Ord a => Ord [a]

instance Ord ()
```

```
instance (Ord a, Ord b) => Ord (a, b)
instance (Ord a, Ord b, Ord c) => Ord (a, b, c)
instance (Ord a, Ord b, Ord c, Ord d) => Ord (a, b, c, d)
instance (Ord a, Ord b, Ord c, Ord d, Ord e) => Ord (a, b, c, d, e)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f) => Ord (a, b, c, d, e, f)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g) => Ord (a, b, c, d, e, f, g)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h) => Ord (a, b, c, d, e, f, g, h)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i) => Ord (a, b, c, d, e, f, g, h, i)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i, Ord j) => Ord (a, b, c, d, e, f,
g, h, i, j)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i, Ord j, Ord k) => Ord (a, b, c,
d, e, f, g, h, i, j, k)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i, Ord j, Ord k, Ord l) => Ord (a,
b, c, d, e, f, g, h, i, j, k, l)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i, Ord j, Ord k, Ord l, Ord m) =>
Ord (a, b, c, d, e, f, g, h, i, j, k, l, m)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i, Ord j, Ord k, Ord l, Ord m, Ord
n) => Ord (a, b, c, d, e, f, g, h, i, j, k, l, m, n)
instance (Ord a, Ord b, Ord c, Ord d, Ord e, Ord f, Ord g, Ord h, Ord i, Ord j, Ord k, Ord l, Ord m, Ord
n, Ord o) => Ord (a, b, c, d, e, f, g, h, i, j, k, l, m, n, o)
```

#### class NumericScale n where

Is this a valid scale for the Numeric type?

This typeclass is used to prevent the creation of Numeric values with too large a scale. The scale controls the number of digits available after the decimal point, and it must be between 0 and 37 inclusive.

Thus the only available instances of this typeclass are NumericScale 0 through NumericScale 37. This cannot be extended without additional compiler and runtime support. You cannot implement a custom instance of this typeclass.

If you have an error message in your code of the form No instance for (NumericScale n) , this is probably caused by having a numeric literal whose scale cannot be inferred by the compiler. You can usually fix this by adding a type signature to the definition, or annotating the numeric literal directly (for example, instead of writing 3.14159 you can write (3.14159 : Numeric 5)).

```
numericScale : proxy n -> Int
```

Get the scale of a Numeric as an integer. For example, numericScale (3.14159 : Numeric 5) equals 5.

instance NumericScale O

instance NumericScale 1

instance NumericScale 10

instance NumericScale 11

- instance NumericScale 12
- instance NumericScale 13
- instance NumericScale 14
- instance NumericScale 15
- instance NumericScale 16
- instance NumericScale 17
- instance NumericScale 18
- instance NumericScale 19
- instance NumericScale 2
- instance NumericScale 20
- instance NumericScale 21
- instance NumericScale 22
- instance NumericScale 23
- instance NumericScale 24
- instance NumericScale 25
- instance NumericScale 26
- instance NumericScale 27
- instance NumericScale 28
- instance NumericScale 29
- instance NumericScale 3
- instance NumericScale 30
- instance NumericScale 31
- instance NumericScale 32
- instance NumericScale 33
- instance NumericScale 34
- instance NumericScale 35
- instance NumericScale 36
- instance NumericScale 37
- instance NumericScale 4
- instance NumericScale 5
- instance NumericScale 6
- instance NumericScale 7
- instance NumericScale 8
- instance NumericScale 9

#### class Bounded a where

Use the Bounded class to name the upper and lower limits of a type.

You can derive an instance of the Bounded class for any enumeration type. minBound is the first constructor listed in the data declaration and maxBound is the last.

You can also derive an instance of Bounded for single-constructor data types whose constituent types are in Bounded.

Ord is not a superclass of Bounded because types that are not totally ordered can still have upper and lower bounds.

minBound : a
maxBound : a
instance Bounded Bool

instance Bounded Int

### class Enum a where

Use the  ${\tt Enum}$  class to define operations on sequentially ordered types: that is, types that can be enumerated.  ${\tt Enum}$  members have defined successors and predecessors, which you can get with the  ${\tt succ}$  and  ${\tt pred}$  functions.

Types that are an instance of class Bounded as well as Enum should respect the following laws:

Both succ maxBound and pred minBound should result in a runtime error. fromEnum and toEnum should give a runtime error if the result value is not representable in the result type. For example, toEnum 7: Bool is an error. enumFrom and enumFromThen should be defined with an implicit bound, like this:

```
enumFrom x = enumFromTo x maxBound
enumFromThen x y = enumFromThenTo x y bound
where
bound | fromEnum y >= fromEnum x = maxBound
| otherwise = minBound
```

#### succ : a -> a

Returns the successor of the given value. For example, for numeric types, succ adds

If the type is also an instance of Bounded, succ maxBound results in a runtime error.

#### pred : a -> a

Returns the predecessor of the given value. For example, for numeric types, pred subtracts 1.

If the type is also an instance of Bounded, pred minBound results in a runtime error.

#### toEnum : Int -> a

Convert a value from an Int to an Enum value: ie, toEnum i returns the item at the i th position of (the instance of) Enum

# fromEnum : a -> Int

Convert a value from an Enum value to an Int: ie, returns the Int position of the element within the Enum.

If fromEnum is applied to a value that's too large to fit in an Int, what is returned is up to your implementation.

#### enumFrom : a -> [a]

Return a list of the Enum values starting at the Int position. For example:

```
enumFrom 6 : [Int] = [6,7,8,9,...,maxBound : Int]
```

```
enumFromThen: a -> a -> [a]
```

Returns a list of the Enum values with the first value at the first Int position, the second value at the second Int position, and further values with the same distance between them.

# For example:

```
enumFromThen 4 6 : [Int] = [4,6,8,10...]
enumFromThen 6 2 : [Int] = [6,2,-2,-6,...,minBound :: Int]
```

# enumFromTo : a -> a -> [a]

Returns a list of the  ${\tt Enum}$  values with the first value at the first  ${\tt Int}$  position, and the last value at the last  ${\tt Int}$  position.

This is what's behind the language feature that lets you write [n, m..].

For example:

```
enumFromTo 6 10 : [Int] = [6,7,8,9,10]
```

```
enumFromThenTo : a -> a -> [a]
```

Returns a list of the Enum values with the first value at the first Int position, the second value at the second Int position, and further values with the same distance between them, with the final value at the final Int position.

This is what's behind the language feature that lets you write [n, n'..m].

For example:

```
enumFromThenTo 4 2 -6 : [Int] = [4,2,0,-2,-4,-6]
enumFromThenTo 6 8 2 : [Int] = []
```

# instance Enum Bool

# instance Enum Int

# class Additive a where

Use the Additive class for types that can be added. Instances have to respect the following laws:

```
(+) must be associative, ie: (x + y) + z = x + (y + z)
```

(+) must be commutative, ie: x + y = y + x

```
x + aunit = x
```

negate gives the additive inverse, ie: x + negate x = aunit

# (+) : a -> a -> a

Add the two arguments together.

#### aunit: a

The additive identity for the type. For example, for numbers, this is 0.

#### (-) : a -> a -> a

Subtract the second argument from the first argument, ie. x - y = x + negate y

#### negate: a -> a

Negate the argument: x + negate x = aunit

#### instance Additive Int

# instance Additive (Numeric n)

# class Multiplicative a where

Use the Multiplicative class for types that can be multiplied. Instances have to respect the following laws:

```
(*) is associative, ie: (x * y) * z = x * (y * z)
(*) is commutative, ie: x * y = y * x
```

x \* munit = x

(\*) : a -> a -> a

Multipy the arguments together

# munit: a

The multiplicative identity for the type. For example, for numbers, this is 1.

```
(^) : a -> Int -> a
 x \land n raises x to the power of n.
```

instance Multiplicative Int

instance Multiplicative (Numeric n)

# class (Additive a, Multiplicative a) => Number a where

Number is a class for numerical types. As well as the rules for Additive and Multiplicative, instances also have to respect the following law:

```
(*) is distributive with respect to (+). That is: a * (b + c) = (a * b) + (a * c) and (b + c) * a = (b * a) + (c * a)
```

instance Number Int

instance Number (Numeric n)

# class Signed a where

The Signed is for the sign of a number.

```
signum: a -> a
```

Sign of a number. For real numbers, the 'signum' is either -1 (negative), 0 (zero) or 1 (positive).

abs : a -> a

The absolute value: that is, the value without the sign.

instance Signed Int

instance Signed (Numeric n)

# class Multiplicative a => Divisible a where

Use the Divisible class for types that can be divided. Instances should respect that division is the inverse of multiplication, i.e. x \* y / y is equal to x whenever it is defined.

instance Divisible Int

instance Divisible (Numeric n)

class Divisible a => Fractional a where

Use the Fractional class for types that can be divided and where the reciprocal is well defined. Instances have to respect the following laws:

```
When recip x is defined, it must be the inverse of x with respect to multiplication:
    x * recip x = munit
    When recip y is defined, then x / y = x * recip y

recip : a -> a
    Calculates the reciprocal: recip x is 1/x.

instance Fractional (Numeric n)
```

#### class Show a where

Use the Show class for values that can be converted to a readable Text value.

Derived instances of Show have the following properties:

instance (Show a, Show b, Show c) => Show (a, b, c)

The result of show is a syntactically correct expression that only contains constants (given the fixity declarations in force at the point where the type is declared). It only contains the constructor names defined in the data type, parentheses, and spaces. When labelled constructor fields are used, braces, commas, field names, and equal signs are also used.

If the constructor is defined to be an infix operator, then showsPrec produces infix applications of the constructor.

If the precedence of the top-level constructor in x is less than d (associativity is ignored), the representation will be enclosed in parentheses. For example, if d is 0 then the result is never surrounded in parentheses; if d is 11 it is always surrounded in parentheses, unless it is an atomic expression.

If the constructor is defined using record syntax, then show will produce the record-syntax form, with the fields given in the same order as the original declaration.

```
showsPrec : Int -> a -> ShowS
    Convert a value to a readable Text value. Unlike show, showsPrec should satisfy
    the rule showsPrec d x r ++ s == showsPrec d x (r ++ s)
show : a -> Text
    Convert a value to a readable Text value.
showList : [a] -> ShowS
    Allows you to show lists of values.
instance (Show a, Show b) => Show (Either a b)
instance Show Bool
instance Show Int
instance Show (Numeric n)
instance Show Ordering
instance Show Text
instance Show a => Show [a]
instance Show ()
instance (Show a, Show b) => Show (a, b)
```

instance (Show a, Show b, Show c, Show d) => Show (a, b, c, d)

instance (Show a, Show b, Show c, Show d, Show e) => Show (a, b, c, d, e)

# 2.3.1.2 Data Types

# data AnyChoice

Existential choice type that can wrap an arbitrary choice.

**AnyChoice** 

Field	Туре	Description
getAnyChoice	Any	
getAnyChoiceTem-	Template-	
plateTypeRep	TypeRep	

instance Eq AnyChoice

instance Ord AnyChoice

data AnyContractKey

Existential contract key type that can wrap an arbitrary contract key.

AnyContractKey

Field	Type	Description
getAnyContrac-	Any	
tKey		
getAnyContrac-	Template-	
tKeyTemplateType-	TypeRep	
Rep		

instance Eq AnyContractKey

instance Ord AnyContractKey

data AnyTemplate

Existential template type that can wrap an arbitrary template.

**AnyTemplate** 

Field	Type	Description
getAnyTemplate	Any	

instance Eq AnyTemplate

instance Ord AnyTemplate

data TemplateTypeRep

Unique textual representation of a template Id.

TemplateTypeRep

Field	Type	Description
getTemplateType-	TypeRep	
Rep		

instance Eq TemplateTypeRep

instance Ord TemplateTypeRep

#### data Down a

The Down type can be used for reversing sorting order. For example, sortOn ( $\xspace x$  Down x.field) would sort by descending field.

#### Down a

instance Action Down

instance Applicative Down

instance Functor Down

instance Eq a => Eq (Down a)

instance Ord a => Ord (Down a)

instance Show a => Show (Down a)

#### data ContractId a

The ContractId a type represents an ID for a contract created from a template a. You can use the ID to fetch the contract, among other things.

instance Eq (ContractId a)

instance Ord (ContractId a)

instance Show (ContractId a)

#### data Date

The Date type represents a date, for example date 2007 Apr 5.

instance Eq Date

instance Ord Date

instance Bounded Date

instance Enum Date

instance Show Date

# data Map a b

The Map a b type represents an associative array from keys of type a to values of type b. It uses the built-in equality for keys. Import DA. Map to use it.

instance Ord k => Foldable (Map k)

```
instance Ord k => Monoid (Map k v)
     instance Ord k => Semigroup (Map k v)
     instance Ord k => Traversable (Map k)
     instance Ord k => Functor (Map k)
     instance (Ord k, Eq v) => Eq (Map k v)
     instance (Ord k, Ord v) => Ord (Map k v)
     instance (Show k, Show v) => Show (Map k v)
data Party
     The Party type represents a party to a contract.
     instance IsParties Party
     instance IsParties (Optional Party)
     instance IsParties (Set Party)
     instance IsParties (NonEmpty Party)
     instance IsParties (Set Party)
     instance IsParties [Party]
     instance MapKey Party
     instance Eq Party
     instance Ord Party
     instance Show Party
data Scenario a
     The Scenario type is for simulating ledger interactions. The type Scenario a describes
     a set of actions taken by various parties during the simulated scenario, before returning
     a value of type a.
     instance CanAbort Scenario
     instance HasSubmit Scenario Update
     instance HasTime Scenario
     instance Action Scenario
     instance ActionFail Scenario
     instance Applicative Scenario
     instance Functor Scenario
data TextMap a
     The TextMap a type represents an associative array from keys of type Text to values of
     type a.
     instance Foldable TextMap
     instance Monoid (TextMap b)
```

```
instance Semigroup (TextMap b)
instance Traversable TextMap
instance Functor TextMap
instance Eq a => Eq (TextMap a)
instance Ord a => Ord (TextMap a)
instance Show a => Show (TextMap a)
```

#### data Time

The Time type represents a specific datetime in UTC, for example time (date 2007 Apr 5) 14 30 05.

instance Eq Time instance Ord Time

instance Show Time

# data Update a

The Update a type represents an Action to update or query the ledger, before returning a value of type a. Examples include create and fetch.

instance CanAbort Update

instance HasSubmit Scenario Update

instance HasTime Update

instance Action Update

instance ActionFail Update

instance Applicative Update

instance Functor Update

# data Optional a

The Optional type encapsulates an optional value. A value of type Optional a either contains a value of type a (represented as Some a), or it is empty (represented as None). Using Optional is a good way to deal with errors or exceptional cases without resorting to drastic measures such as error.

The Optional type is also an Action. It is a simple kind of error Action, where all errors are represented by None. A richer error Action could be built using the Data. Either. Either type.

None

Some a

instance Foldable Optional

instance Action Optional

instance ActionFail Optional

instance Applicative Optional

instance IsParties (Optional Party)

```
instance Traversable Optional
     instance Functor Optional
     instance Eq a => Eq (Optional a)
     instance Ord a => Ord (Optional a)
     instance Show a => Show (Optional a)
data Archive
     The data type corresponding to the implicit Archive choice in every template.
     instance Eq Archive
     instance Show Archive
type Choice t c r = (Template t, HasExercise t c r, HasToAnyChoice t c r, HasFromAnyChoice t c r)
     Constraint satisfied by choices.
type Template t = (HasSignatory t, HasObserver t, HasEnsure t, HasAgreement t, HasCreate t, HasFetch t,
     HasArchive t, HasTemplateTypeRep t, HasToAnyTemplate t, HasFromAnyTemplate t)
     Constraint satisfied by templates.
type TemplateKey t k = (Template t, HasKey t k, HasLookupByKey t k, HasFetchByKey t k, HasMaintainer t k,
     HasToAnyContractKey t k, HasFromAnyContractKey t k)
     Constraint satisfied by template keys.
data Either a b
     The Either type represents values with two possibilities: a value of type Either a bis
     either Left a or Right b.
     The Either type is sometimes used to represent a value which is either correct or an
     error; by convention, the Left constructor is used to hold an error value and the Right
     constructor is used to hold a correct value (mnemonic: right also means correct ).
     Left a
     Right b
     instance (Eq a, Eq b) => Eq (Either a b)
     instance (Ord a, Ord b) => Ord (Either a b)
     instance (Show a, Show b) => Show (Either a b)
type ShowS = Text -> Text
     showS should represent some text, and applying it to some argument should prepend the ar-
     gument to the represented text.
data Bool
     A type for Boolean values, ie True and False.
     False
     True
     instance Eq Bool
     instance Ord Bool
```

```
instance Bounded Bool
     instance Enum Bool
     instance Show Bool
type Decimal = Numeric 10
data Int
     A type representing a 64-bit integer.
     instance Eq Int
     instance Ord Int
     instance Bounded Int
     instance Enum Int
     instance Additive Int
     instance Divisible Int
     instance Multiplicative Int
     instance Number Int
     instance Signed Int
     instance Show Int
data Nat
```

(Kind) This is the kind of type-level naturals.

#### data Numeric n

A type for fixed-point decimal numbers, with the scale being passed as part of the type.

Numeric n represents a fixed-point decimal number with a fixed precision of 38 (i.e. 38 digits not including a leading zero) and a scale of n, i.e., n digits after the decimal point.

n must be between 0 and 37 (bounds inclusive).

# Examples:

```
0.01 : Numeric 2
0.0001 : Numeric 4
instance Eq (Numeric n)
instance Ord (Numeric n)
instance Additive (Numeric n)
instance Divisible (Numeric n)
instance Fractional (Numeric n)
instance Multiplicative (Numeric n)
instance Number (Numeric n)
instance Signed (Numeric n)
```

# instance Show (Numeric n)

# data Ordering

A type for giving information about ordering: being less than (LT), equal to (EQ), or greater than (GT) something.

LT

EO

GT

instance Eq Ordering

instance Ord Ordering

instance Show Ordering

#### data Text

A type for text strings, that can represent any unicode code point. For example "Hello, world".

instance Eq Text

instance Ord Text

instance Show Text

#### 2.3.1.3 Functions

#### assert : CanAbort m => Bool -> m ()

Check whether a condition is true. If it's not, abort the transaction.

# assertMsg: CanAbort m => Text -> Bool -> m ()

Check whether a condition is true. If it's not, abort the transaction with a message.

#### assertAfter: (CanAbort m, HasTime m) => Time -> m ()

Check whether the given time is in the future. If it's not, abort the transaction.

# assertBefore: (CanAbort m, HasTime m) => Time -> m ()

Check whether the given time is in the past. If it's not, abort the transaction.

#### daysSinceEpochToDate: Int -> Date

Convert from number of days since epoch (i.e. the number of days since January 1, 1970) to a date.

#### dateToDaysSinceEpoch : Date -> Int

Convert from a date to number of days from epoch (i.e. the number of days since January 1, 1970).

# partyToText : Party -> Text

Convert the Party to Text, giving back what you passed to getParty. In most cases, you should use show instead. show wraps the party in 'ticks' making it clear it was a Party originally.

# partyFromText : Text -> Optional Party

Converts a Text to Party. It returns None if the provided text contains any forbidden characters. See Daml-LF spec for a specification on which characters are allowed in parties. Note that this function accepts text without single quotes.

This function does not check on whether the provided text corresponds to a party that exists on a given ledger: it merely converts the given Text to a Party. The only way to guarantee that a given Party exists on a given ledger is to involve it in a contract.

This function, together with partyToText, forms an isomorphism between valid party strings and parties. In other words, the following equations hold:

```
p. partyFromText (partyToText p) = Some p
txt p. partyFromText txt = Some p ==> partyToText p = txt
```

This function will crash at runtime if you compile Daml to Daml-LF < 1.2.

```
getParty: Text -> Scenario Party
```

Get the party with the given name. Party names must be non-empty and only contain alphanumeric charaters, space, – (dash) or (underscore).

```
scenario: Scenario a -> Scenario a
```

Declare you are building a scenario.

```
curry: ((a, b) -> c) -> a -> b -> c
```

Turn a function that takes a pair into a function that takes two arguments.

```
uncurry: (a -> b -> c) -> (a, b) -> c
```

Turn a function that takes two arguments into a function that takes a pair.

```
( ) : Action m => m a -> m b -> m b
```

Sequentially compose two actions, discarding any value produced by the first. This is like sequencing operators (such as the semicolon) in imperative languages.

```
ap : Applicative f => f (a -> b) -> f a -> f b
Synonym for <*>.
```

```
return: Applicative m => a -> m a
```

Inject a value into the monadic type. For example, for Update and a value of type a, return would give you an Update a.

```
join : Action m => m (m a) -> m a
```

Collapses nested actions into a single action.

```
identity: a -> a
```

The identity function.

```
guard: ActionFail m => Bool -> m ()
```

```
fold! : (b -> a -> b) -> b -> [a] -> b
```

This function is a left fold, which you can use to inspect/analyse/consume lists. foldl f i xs performs a left fold over the list xs using the function f, using the starting value i. Examples:

```
>>> foldl (+) 0 [1,2,3]
6
>>> foldl (^) 10 [2,3]
1000000
```

Note that foldl works from left-to-right over the list arguments.

```
find : (a -> Bool) -> [a] -> Optional a
```

find p xs finds the first element of the list xs where the predicate p is true. There might not be such an element, which is why this function returns an Optional a.

length : [a] -> Int

Gives the length of the list.

any : (a -> Bool) -> [a] -> Bool

Are there any elements in the list where the predicate is true? any p xs is True if p holds for at least one element of xs.

all: (a -> Bool) -> [a] -> Bool

Is the predicate true for all of the elements in the list? all p xs is True if p holds for every element of xs.

or : [Bool] -> Bool

Is at least one of elements in a list of Bool true? or bs is True if at least one element of bs is True

and: [Bool] -> Bool

Is every element in a list of Bool true? and bs is True if every element of bs is True.

elem : Eq a => a -> [a] -> Bool

Does this value exist in this list? elem x xs is True if x is an element of the list xs.

**notElem** : Eq a => a -> [a] -> Bool

Negation of elem: elem x xs is True if x is not an element of the list xs.

(<\$>) : Functor f => (a -> b) -> f a -> f b

Synonym for fmap.

optional : b -> (a -> b) -> Optional a -> b

The optional function takes a default value, a function, and a Optional value. If the Optional value is None, the function returns the default value. Otherwise, it applies the function to the value inside the Some and returns the result.

Basic usage examples:

```
>>> optional False (> 2) (Some 3)
True
```

```
>>> optional False (> 2) None
False
```

```
>>> optional 0 (*2) (Some 5)
10
>>> optional 0 (*2) None
0
```

This example applies show to a Optional Int. If you have Some n, this shows the underlying Int, n. But if you have None, this returns the empty string instead of (for example) None:

```
>>> optional "" show (Some 5)
"5"
>>> optional "" show (None : Optional Int)
""
```

```
either : (a -> c) -> (b -> c) -> Either a b -> c
```

The either function provides case analysis for the Either type. If the value is Left a, it applies the first function to a; if it is Right b, it applies the second function to b. Examples:

This example has two values of type Either [Int] Int, one using the Left constructor and another using the Right constructor. Then it applies either the length function (if it has a [Int]) or the times-two function (if it has an Int):

```
>>> let s = Left [1,2,3] : Either [Int] Int in either length (*2) s
     >>> let n = Right 3 : Either [Int] Int in either length (*2) n
concat : [[a]] -> [a]
     Take a list of lists and concatenate those lists into one list.
(++) : [a] -> [a] -> [a]
     Concatenate two lists.
flip: (a -> b -> c) -> b -> a -> c
     Flip the order of the arguments of a two argument function.
reverse : [a] -> [a]
     Reverse a list.
mapA : Applicative m => (a -> m b) -> [a] -> m [b]
     Apply an applicative function to each element of a list.
forA : Applicative m => [a] -> (a -> m b) -> m [b]
     for A is map A with its arguments flipped.
sequence: Applicative m => [m a] -> m [a]
     Perform a list of actions in sequence and collect the results.
(=) : Action m => (a -> m b) -> m a -> m b
     =<< is >>= with its arguments flipped.
concatMap : (a -> [b]) -> [a] -> [b]
     Map a function over each element of a list, and concatenate all the results.
replicate: Int -> a -> [a]
     replicate i x gives the list [x, x, x, \dots, x] with i copies of x.
take : Int -> [a] -> [a]
     Take the first n elements of a list.
drop : Int -> [a] -> [a]
     Drop the first n elements of a list.
splitAt : Int -> [a] -> ([a], [a])
     Split a list at a given index.
takeWhile : (a -> Bool) -> [a] -> [a]
     Take elements from a list while the predicate holds.
dropWhile : (a -> Bool) -> [a] -> [a]
     Drop elements from a list while the predicate holds.
span : (a -> Bool) -> [a] -> ([a], [a])
     span p xs is equivalent to (takeWhile p xs, dropWhile p xs).
partition: (a -> Bool) -> [a] -> ([a], [a])
```

The partition function takes a predicate, a list and returns the pair of lists of elements which do and do not satisfy the predicate, respectively; i.e.,

> partition p xs == (filter p xs, filter (not. p) xs)

```
>>> partition (<0) [1, -2, -3, 4, -5, 6] ([-2, -3, -5], [1, 4, 6])
```

break : (a -> Bool) -> [a] -> ([a], [a])

Break a list into two, just before the first element where the predicate holds. break p xs is equivalent to span (not . p) xs.

lookup : Eq a => a -> [(a, b)] -> Optional b

Look up the first element with a matching key.

enumerate : (Enum a, Bounded a) => [a]

Generate a list containing all values of a given enumeration.

**zip**: [a] -> [b] -> [(a, b)]

zip takes two lists and returns a list of corresponding pairs. If one list is shorter, the excess elements of the longer list are discarded.

zip3 : [a] -> [b] -> [c] -> [(a, b, c)]

zip3 takes three lists and returns a list of triples, analogous to zip.

zipWith: (a -> b -> c) -> [a] -> [b] -> [c]

zipWith takes a function and two lists. It generalises zip by combining elements using the function, instead of forming pairs. If one list is shorter, the excess elements of the longer list are discarded.

zipWith3: (a -> b -> c -> d) -> [a] -> [b] -> [c] -> [d]

zipWith3 generalises zip3 by combining elements using the function, instead of forming triples.

unzip : [(a, b)] -> ([a], [b])

Turn a list of pairs into a pair of lists.

unzip3 : [(a, b, c)] -> ([a], [b], [c])

Turn a list of triples into a triple of lists.

traceRaw: Text -> a -> a

traceRaw msg a prints msg and returns a, for debugging purposes.

Note that on some ledgers, those messages are not displayed at the default log level. For Sandbox, you can use <code>--log-level=debug</code> to include them.

trace : Show b => b -> a -> a

trace b a prints b and returns a, for debugging purposes.

Note that on some ledgers, those messages are not displayed at the default log level. For Sandbox, you can use --log-level=debug to include them.

traceld: Show b => b -> b

traceId a prints a and returns a, for debugging purposes.

Note that on some ledgers, those messages are not displayed at the default log level. For Sandbox, you can use <code>--log-level=debug</code> to include them.

debug: (Show b, Action m) => b -> m()

debug x prints x for debugging purposes.

Note that on some ledgers, those messages are not displayed at the default log level. For Sandbox, you can use --log-level=debug to include them.

fst: (a, b) -> a

Return the first element of a tuple.

**snd**: (a, b) -> b

Return the second element of a tuple.

truncate: Numeric n -> Int

truncate x rounds x toward zero.

intToNumeric: Int -> Numeric n

Convert an Int to a Numeric.

intToDecimal : Int -> Decimal

Convert an Int to a Decimal.

roundBankers: Int -> Numeric n -> Numeric n

Bankers' Rounding: roundBankers dp x rounds x to dp decimal places, where a .5 is rounded to the nearest even digit.

roundCommercial: NumericScale n => Int -> Numeric n -> Numeric n

Commercial Rounding: roundCommercial dp  $\times$  rounds  $\times$  to dp decimal places, where a .5 is rounded away from zero.

round : Numeric n -> Int

Round a Decimal to the nearest integer, where a .5 is rounded away from zero.

floor: Numeric n -> Int

Round a Decimal down to the nearest integer.

**ceiling**: Numeric n -> Int

Round a Decimal up to the nearest integer.

null: [a] -> Bool

Is the list empty? null xs is true if xs is the empty list.

filter: (a -> Bool) -> [a] -> [a]

Filters the list using the function: keep only the elements where the predicate holds.

sum: Additive a => [a] -> a

Add together all the elements in the list.

product : Multiplicative a => [a] -> a

Multiply all the elements in the list together.

undefined: a

A convenience function that can be used to mark something not implemented. Always throws an error with Not implemented.

stakeholder : (HasSignatory t, HasObserver t) => t -> [Party]

The stakeholders of a contract: its signatories and observers.

maintainer: HasMaintainertk => k -> [Party]

The list of maintainers of a contract key.

exerciseByKey: (HasFetchByKey t k, HasExercise t c r) => k -> c -> Update r

Exercise a choice on the contract associated with the given key.

You must pass the t using an explicit type application. For instance, if you want to exercise a choice Withdraw on a contract of template Account given by its key k, you must call exerciseByKey @Account k Withdraw.

# createAndExercise: (HasCreate t, HasExercise t c r) => t -> c -> Update r

Create a contract and exercise the choice on the newly created contract.

# templateTypeRep : HasTemplateTypeRep t => TemplateTypeRep

Generate a unique textual representation of the template id.

# toAnyTemplate: HasToAnyTemplate t => t -> AnyTemplate

Wrap the template in AnyTemplate.
Only available for Daml-LF 1.7 or later.

# fromAnyTemplate: HasFromAnyTemplate t => AnyTemplate -> Optional t

Extract the underlying template from AnyTemplate if the type matches or return None. Only available for Daml-LF 1.7 or later.

# toAnyChoice: (HasTemplateTypeRep t, HasToAnyChoice t c r) => c -> AnyChoice

Wrap a choice in AnyChoice.

You must pass the template type t using an explicit type application. For example toAnyChoice @Account Withdraw.

Only available for Daml-LF 1.7 or later.

# fromAnyChoice: (HasTemplateTypeRep t, HasFromAnyChoice t c r) => AnyChoice -> Optional c

Extract the underlying choice from AnyChoice if the template and choice types match, or return None.

You must pass the template type t using an explicit type application. For example from AnyChoice @Account choice.

Only available for Daml-LF 1.7 or later.

# toAnyContractKey: (HasTemplateTypeRep t, HasToAnyContractKey t k) => k -> AnyContractKey

Wrap a contract key in AnyContractKey.

You must pass the template type t using an explicit type application. For example to Any Contract Key @Proposal k.

Only available for Daml-LF 1.7 or later.

# fromAnyContractKey: (HasTemplateTypeRept, HasFromAnyContractKeytk) => AnyContractKey-> Optionalk Extract the underlying key from AnyContractKey if the template and choice types match, or

You must pass the template type t using an explicit type application. For example from Any Contract Key @Proposal k.

Only available for Daml-LF 1.7 or later.

#### visibleByKey: HasLookupByKeytk => k -> Update Bool

True if contract exists, submitter is a stakeholder, and all maintainers authorize. False if contract does not exist and all maintainers authorize. Fails otherwise.

# otherwise : Bool

Used as an alternative in conditions.

# map: (a -> b) -> [a] -> [b]

map f xs applies the function f to all elements of the list xs and returns the list of results (in the same order as xs).

foldr: (a -> b -> b) -> b -> [a] -> b

This function is a right fold, which you can use to manipulate lists. foldr f i xs performs a right fold over the list xs using the function f, using the starting value i.

Note that foldr works from right-to-left over the list elements.

```
(.): (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow a \rightarrow c
```

Composes two functions, i.e.,  $(f \cdot g) \cdot x = f \cdot (g \cdot x)$ .

```
const : a -> b -> a
```

const x is a unary function which evaluates to x for all inputs.

```
>>> const 42 "hello"
42
```

```
>>> map (const 42) [0..3] [42,42,42,42]
```

# (\$) : (a -> b) -> a -> b

Take a function from a to b and a value of type a, and apply the function to the value of type a, returning a value of type b. This function has a very low precedence, which is why you might want to use it instead of regular function application.

# (&&) : Bool -> Bool -> Bool

Boolean and . This function has short-circuiting semantics, i.e., when both arguments are present and the first arguments evaluates to 'False', the second argument is not evaluated at all.

#### (II) : Bool -> Bool -> Bool

Boolean or . This function has short-circuiting semantics, i.e., when both arguments are present and the first arguments evaluates to 'True', the second argument is not evaluated at all.

# not: Bool -> Bool

Boolean not

#### error: Text -> a

error stops execution and displays the given error message.

If called within a transaction, it will abort the current transaction. Outside of a transaction (scenarios, Daml Script or Daml Triggers) it will stop the whole scenario/script/trigger.

# subtract : Additive a => a -> a -> a

```
subtract x y is equivalent to y - x.
```

This is useful for partial application, e.g., in subtract 1 since (- 1) is interpreted as the number -1 and not a function that subtracts 1 from its argument.

#### (%) : Int -> Int -> Int

x % y calculates the remainder of x by y

# showParen: Bool -> ShowS -> ShowS

Utility function that surrounds the inner show function with parentheses when the 'Bool' parameter is 'True'.

#### showString : Text -> ShowS

Utility function converting a 'String' to a show function that simply prepends the string unchanged.

#### showSpace : ShowS

Prepends a single space to the front of the string.

# showCommaSpace : ShowS

Prepends a comma and a single space to the front of the string.

#### 2.3.2 Module DA.Action

Action

### 2.3.2.1 Functions

when: Applicative f => Bool -> f() -> f()

Conditional execution of Action expressions. For example,

```
when final (archive contractId)
```

will archive the contract contractId if the Boolean value final is True, and otherwise do nothing.

This function has short-circuiting semantics, i.e., when both arguments are present and the first arguments evaluates to False, the second argument is not evaluated at all.

unless: Applicative f => Bool -> f() -> f()

The reverse of when.

This function has short-circuiting semantics, i.e., when both arguments are present and the first arguments evaluates to True, the second argument is not evaluated at all.

foldrA: Action m => (a -> b -> m b) -> b -> [a] -> m b

The foldrA is analogous to foldr, except that its result is encapsulated in an action. Note that foldrA works from right-to-left over the list arguments.

foldr1A: Action m => (a -> a -> m a) -> [a] -> m a

foldr1A is like foldrA but raises an error when presented with an empty list argument.

foldIA: Action m => (b -> a -> m b) -> b -> [a] -> m b

foldlA is analogous to foldl, except that its result is encapsulated in an action. Note that foldlA works from left-to-right over the list arguments.

fold|1A : Action m => (a -> a -> m a) -> [a] -> m a

The foldl1A is like foldlA but raises an errors when presented with an empty list argument.

filterA: Applicative m => (a -> m Bool) -> [a] -> m [a]

Filters the list using the applicative function: keeps only the elements where the predicate holds. Example: given a collection of lou contract IDs one can find only the GBPs.

```
filterA (fmap (\iou -> iou.currency == "GBP") . fetch) iouCids
```

replicateA: Applicative m => Int -> m a -> m [a]

replicateA n act performs the action n times, gathering the results.

replicateA\_ : Applicative m => Int -> m a -> m ()

Like replicateA, but discards the result.

(>=>) : Action m => (a -> m b) -> (b -> m c) -> a -> m c Left-to-right composition of Kleisli arrows.

(<=<) : Action m => (b -> m c) -> (a -> m b) -> a -> m c

Right-to-left composition of Kleisli arrows. @('>=>')@, with the arguments flipped.

# 2.3.3 Module DA.Action.State

DA.Action.State

# 2.3.3.1 Data Types

data State s a

A value of type State s a represents a computation that has access to a state variable of type s and produces a value of type a.

>>> runState (modify (+1)) 0 >>> ((), 1)

>>> evalState (modify (+1)) 0 >>> ()

>>> execState (modify (+1)) 0 >>> 1

>>> runState (do x <- get; modify (+1); pure x) 0 >>> (0, 1)

>>> runState (put 1) 0 >>> ((), 1)

>>> runState (modify (+1)) 0 >>> ((), 1)

Note that values of type State s a are not serializable.

State

Field	Type	Description
runState	s -> (a, s)	

instance ActionState s (State s)

instance Action (State s)

instance Applicative (State s)

instance Functor (State s)

# 2.3.3.2 Functions

evalState: State s a -> s -> a

Special case of runState that does not return the final state.

execState: State sa->s->s

Special case of runState that does only retun the final state.

#### 2.3.4 Module DA.Action.State.Class

DA.Action.State.Class

# 2.3.4.1 Typeclasses

#### class ActionState s m where

Action  ${\tt m}$  has a state variable of type  ${\tt s}.$ 

Rules: get > ma = ma ma < get = ma put a = get = put a \$> a put a > put b = put b (,) <\$> get <> get = get <&> \a -> (a, a)

Informally, these rules mean it behaves like an ordinary assignable variable: it doesn't magically change value by looking at it, if you put a value there that's always the value you'll get if you read it, assigning a value but never reading that value has no effect, and so on.

```
get : m s
    Fetch the current value of the state variable.
put : s -> m ()
    Set the value of the state variable.

modify : (s -> s) -> m ()
    Modify the state variable with the given function.

default modify
    : Action m => (s -> s) -> m ()
instance ActionState s (State s)
```

### 2.3.5 Module DA.Assert

## 2.3.5.1 Functions

```
assertEq : (CanAbort m, Show a, Eq a) => a -> a -> m ()
        Check two values for equality. If they're not equal, fail with a message.

(===) : (CanAbort m, Show a, Eq a) => a -> a -> m ()
        Infix version of assertEq.

assertNotEq : (CanAbort m, Show a, Eq a) => a -> a -> m ()
        Check two values for inequality. If they're equal, fail with a message.

(=/=) : (CanAbort m, Show a, Eq a) => a -> a -> m ()
        Infix version of assertNotEq.

assertAfterMsg : (CanAbort m, HasTime m) => Text -> Time -> m ()
        Check whether the given time is in the future. If it's not, abort with a message.

assertBeforeMsg : (CanAbort m, HasTime m) => Text -> Time -> m ()
```

Check whether the given time is in the past. If it's not, abort with a message.

## 2.3.6 Module DA.Bifunctor

## 2.3.6.1 Typeclasses

## class Bifunctor p where

A bifunctor is a type constructor that takes two type arguments and is a functor in both arguments. That is, unlike with Functor, a type constructor such as Either does not need to be partially applied for a Bifunctor instance, and the methods in this class permit mapping functions over the Left value or the Right value, or both at the same time.

It is a bifunctor where both the first and second arguments are covariant.

You can define a Bifunctor by either defining bimap or by defining both first and second.

If you supply bimap, you should ensure that:

```
bimap identity \equiv identity
```

If you supply first and second, ensure:

```
first identity = identity
second identity = identity
```

If you supply both, you should also ensure:

```
bimap f g \equiv first f . second g
```

By parametricity, these will ensure that:

bimap: (a -> b) -> (c -> d) -> pac -> pbd

Map over both arguments at the same time.

```
bimap f g \equiv first f . second g
```

Examples:

```
>>> bimap not (+1) (True, 3)
(False, 4)
>>> bimap not (+1) (Left True)
Left False
>>> bimap not (+1) (Right 3)
Right 4
```

first: (a -> b) -> p a c -> p b c

Map covariantly over the first argument.

```
first f ≡ bimap f identity
```

Examples:

```
>>> first not (True, 3)
(False,3)
>>> first not (Left True : Either Bool Int)
Left False
```

**second**: (b -> c) -> p a b -> p a c

Map covariantly over the second argument.

```
second ≡ bimap identity
```

Examples:

```
>>> second (+1) (True, 3)
(True, 4)

>>> second (+1) (Right 3 : Either Bool Int)
Right 4
```

```
instance Bifunctor Either
```

instance Bifunctor ()

instance Bifunctor x1

instance Bifunctor (x1, x2)

instance Bifunctor (x1, x2, x3)

instance Bifunctor (x1, x2, x3, x4)

instance Bifunctor (x1, x2, x3, x4, x5)

## 2.3.7 Module DA.Date

# 2.3.7.1 Data Types

data DayOfWeek

Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

Sunday

instance Eq DayOfWeek

instance Ord DayOfWeek

instance Bounded DayOfWeek

instance Enum DayOfWeek

instance Show DayOfWeek

#### data Month

The Month type represents a month in the Gregorian calendar.

Note that, while Month has an Enum instance, the toEnum and fromEnum functions start counting at 0, i.e. toEnum 1 :: Month is Feb.

Jan

Feb

Mar

Apr

```
May
     Jun
     Jul
     Aug
     Sep
     Oct
     Nov
     Dec
     instance Eq Month
     instance Ord Month
     instance Bounded Month
     instance Enum Month
     instance Show Month
2.3.7.2 Functions
addDays: Date -> Int -> Date
     Add the given number of days to a date.
subtractDays : Date -> Int -> Date
     Subtract the given number of days from a date.
     subtractDays d ris equivalent to addDays d (- r).
subDate : Date -> Date -> Int
     Returns the number of days between the two given dates.
dayOfWeek : Date -> DayOfWeek
     Returns the day of week for the given date.
fromGregorian: (Int, Month, Int) -> Date
     Constructs a Date from the triplet (year, month, days).
toGregorian : Date -> (Int, Month, Int)
     Turn Date value into a (year, month, day) triple, according to the Gregorian calendar.
date: Int -> Month -> Int -> Date
     Given the three values (year, month, day), constructs a Date value. date y m d turns the
     year y, month m, and day d into a Date value. Raises an error if d is outside the range 1 ...
     monthDayCount y m.
isLeapYear : Int -> Bool
     Returns True if the given year is a leap year.
fromMonth: Month -> Int
     Get the number corresponding to given month. For example, Jan corresponds to 1, Feb corre-
     sponds to 2, and so on.
monthDayCount: Int -> Month -> Int
```

Get number of days in the given month in the given year, according to Gregorian calendar. This does not take historical calendar changes into account (for example, the moves from Julian to Gregorian calendar), but does count leap years.

datetime: Int -> Month -> Int -> Int -> Int -> Int -> Time

Constructs an instant using year, month, day, hours, minutes, seconds.

toDateUTC: Time -> Date

Extracts UTC date from UTC time.

This function will truncate Time to Date, but in many cases it will not return the date you really want. The reason for this is that usually the source of Time would be getTime, and getTime returns UTC, and most likely the date you want is something local to a location or an exchange. Consequently the date retrieved this way would be yesterday if retrieved when the market opens in say Singapore.

passToDate : Date -> Scenario Time

Within a scenario, pass the simulated scenario to given date.

## 2.3.8 Module DA.Either

The Either type represents values with two possibilities.

It is sometimes used to represent a value which is either correct or an error. By convention, the Left constructor is used to hold an error value and the Right constructor is used to hold a correct value (mnemonic: right also means correct).

### 2.3.8.1 Functions

**lefts** : [Either a b] -> [a]

Extracts all the Left elements from a list.

rights: [Either a b] -> [b]

Extracts all the Right elements from a list.

partitionEithers : [Either a b] -> ([a], [b])

Partitions a list of Either into two lists, the Left and Right elements respectively. Order is maintained.

isLeft: Either a b -> Bool

Return True if the given value is a Left-value, False otherwise.

isRight : Either a b -> Bool

Return True if the given value is a Right-value, False otherwise.

fromLeft: a -> Either a b -> a

Return the contents of a Left-value, or a default value in case of a Right-value.

fromRight: b -> Either a b -> b

Return the contents of a Right-value, or a default value in case of a Left-value.

optionalToEither: a -> Optional b -> Either a b

Convert a Optional value to an Either value, using the supplied parameter as the Left value if the Optional is None.

eitherToOptional: Either a b -> Optional b

Convert an Either value to a Optional, dropping any value in Left.

maybeToEither: a -> Optional b -> Either a b

eitherToMaybe : Either a b -> Optional b

## 2.3.9 Module DA.Foldable

Class of data structures that can be folded to a summary value. It's a good idea to import this module qualified to avoid clashes with functions defined in Prelude. Ie.:

import DA.Foldable qualified as F

## 2.3.9.1 Typeclasses

#### class Foldable t where

Class of data structures that can be folded to a summary value.

fold: Monoid m => t m -> m

Combine the elements of a structure using a monoid.

**foldMap**: Monoid m => (a -> m) -> t a -> m

Combine the elements of a structure using a monoid.

foldr: (a -> b -> b) -> b -> t a -> b

Right-associative fold of a structure.

fold1: (b -> a -> b) -> b -> t a -> b

Left-associative fold of a structure.

foldr1: (a -> a -> a) -> t a -> a

A variant of foldr that has no base case, and thus should only be applied to non-empty structures.

foldl1: (a -> a -> a) -> t a -> a

A variant of fold that has no base case, and thus should only be applied to non-empty structures.

**toList**: ta -> [a]

List of elements of a structure, from left to right.

null: ta-> Bool

Test whether the structure is empty. The default implementation is optimized for structures that are similar to cons-lists, because there is no general way to do better.

length : t a -> Int

Returns the size/length of a finite structure as an Int. The default implementation is optimized for structures that are similar to cons-lists, because there is no general way to do better.

elem : Eq a => a -> t a -> Bool

Does the element occur in the structure?

sum: Additive a => t a -> a

The sum function computes the sum of the numbers of a structure.

product : Multiplicative a => t a -> a

The product function computes the product of the numbers of a structure.

minimum: Ord a => t a -> a

The least element of a non-empty structure.

```
maximum: Ord a => t a -> a
```

The largest element of a non-empty structure.

instance Ord k => Foldable (Map k)

instance Foldable TextMap

instance Foldable Optional

instance Foldable (Map k)

instance Foldable NonEmpty

instance Foldable (Either a)

instance Foldable (□)

instance Foldable a

#### 2.3.9.2 Functions

## mapA: (Foldable t, Applicative f) => (a -> f b) -> t a -> f ()

Map each element of a structure to an action, evaluate these actions from left to right, and ignore the results. For a version that doesn't ignore the results see 'DA.Traversable.mapA'.

forA : (Foldable t, Applicative f) => t a -> (a -> f b) -> f ()

'for\_' is 'mapA\_' with its arguments flipped. For a version that doesn't ignore the results see 'DA.Traversable.forA'.

sequence\_ : (Foldable t, Action m) => t (m a) -> m ()

Evaluate each action in the structure from left to right, and ignore the results. For a version that doesn't ignore the results see 'DA.Traversable.sequence'.

concat : Foldable t => t [a] -> [a]

The concatenation of all the elements of a container of lists.

and: Foldable t => t Bool -> Bool

and returns the conjunction of a container of Bools. For the result to be True, the container must be finite; False, however, results from a False value finitely far from the left end.

or : Foldable t => t Bool -> Bool

or returns the disjunction of a container of Bools. For the result to be False, the container must be finite; True, however, results from a True value finitely far from the left end.

any: Foldable t => (a -> Bool) -> t a -> Bool

Determines whether any element of the structure satisfies the predicate.

all: Foldable t => (a -> Bool) -> ta -> Bool

Determines whether all elements of the structure satisfy the predicate.

## 2.3.10 Module DA.Functor

The Functor class is used for types that can be mapped over.

## 2.3.10.1 Functions

(\$>) : Functor f => f a -> b -> f b

Replace all locations in the input (on the left) with the given value (on the right).

## $(\langle \& \rangle)$ : Functor f => f a -> (a -> b) -> f b

Map a function over a functor. Given a value as and a function f, as <&> f is f <\$> as. That is, <&> is like <\$> but the arguments are in reverse order.

## void : Functor f => f a -> f()

Replace all the locations in the input with ().

#### 2.3.11 Module DA.List

List

#### 2.3.11.1 Functions

The sort function implements a stable sorting algorithm. It is a special case of sortBy, which allows the programmer to supply their own comparison function.

Elements are arranged from lowest to highest, keeping duplicates in the order they appeared in the input (a stable sort).

The sortBy function is the non-overloaded version of sort.

**sortOn**: Ord 
$$k \Rightarrow (a \rightarrow k) \rightarrow [a] \rightarrow [a]$$

Sort a list by comparing the results of a key function applied to each element. sortOn f is equivalent to sortBy (comparing f), but has the performance advantage of only evaluating f once for each element in the input list. This is sometimes called the decorate-sort-undecorate paradigm.

Elements are arranged from from lowest to highest, keeping duplicates in the order they appeared in the input.

Merge two sorted lists using into a single, sorted whole, allowing the programmer to specify the comparison function.

```
combinePairs : (a -> a -> a) -> [a] -> [a]
```

Combine elements pairwise by means of a programmer supplied function from two list inputs into a single list.

```
foldBalanced1 : (a -> a -> a) -> [a] -> a
```

Fold a non-empty list in a balanced way. Balanced means that each element has approximately the same depth in the operator tree. Approximately the same depth means that the difference between maximum and minimum depth is at most 1. The accumulation operation must be associative and commutative in order to get the same result as fold11 or foldr1.

```
group : Eq a => [a] -> [[a]]
```

The 'group' function groups equal elements into sublists such that the concatenation of the result is equal to the argument.

```
groupBy: (a -> a -> Bool) -> [a] -> [[a]]
```

The 'groupBy' function is the non-overloaded version of 'group'.

```
groupOn : Eq k => (a -> k) -> [a] -> [[a]]
```

Similar to 'group', except that the equality is done on an extracted value.

```
dedup : Ord a => [a] -> [a]
```

dedup 1 removes duplicate elements from a list. In particular, it keeps only the first occurrence of each element. It is a special case of dedupBy, which allows the programmer to supply their own equality test. dedup is called nub in Haskell.

**dedupBy** : (a -> a -> Ordering) -> [a] -> [a]

A version of dedup with a custom predicate.

dedupOn : Ord k => (a -> k) -> [a] -> [a]

A version of dedup where deduplication is done after applying function. Example use: dedupOn (.employeeNo) employees

dedupSort : Ord a => [a] -> [a]

The dedupSort function sorts and removes duplicate elements from a list. In particular, it keeps only the first occurrence of each element.

dedupSortBy: (a -> a -> Ordering) -> [a] -> [a]

A version of dedupSort with a custom predicate.

unique : Ord a => [a] -> Bool

Returns True if and only if there are no duplicate elements in the given list.

uniqueBy: (a -> a -> Ordering) -> [a] -> Bool

A version of unique with a custom predicate.

uniqueOn : Ord k => (a -> k) -> [a] -> Bool

Returns True if and only if there are no duplicate elements in the given list after applying function. Example use: assert \$ uniqueOn (.employeeNo) employees

replace : Eq a => [a] -> [a] -> [a] -> [a]

Given a list and a replacement list, replaces each occurance of the search list with the replacement list in the operation list.

dropPrefix : Eq a => [a] -> [a] -> [a]

Drops the given prefix from a list. It returns the original sequence if the sequence doesn't start with the given prefix.

dropSuffix : Eq a => [a] -> [a] -> [a]

Drops the given suffix from a list. It returns the original sequence if the sequence doesn't end with the given suffix.

stripPrefix : Eq a => [a] -> [a] -> Optional [a]

The stripPrefix function drops the given prefix from a list. It returns None if the list did not start with the prefix given, or Some the list after the prefix, if it does.

stripSuffix : Eq a => [a] -> [a] -> Optional [a]

Return the prefix of the second list if its suffix matches the entire first list.

**stripInfix**: Eq a => [a] -> Optional ([a], [a])

Return the string before and after the search string or None if the search string is not found.

```
>>> stripInfix [0,0] [1,0,0,2,0,0,3]
Some ([1], [2,0,0,3])
>>> stripInfix [0,0] [1,2,0,4,5]
None
```

isPrefixOf : Eq a => [a] -> [a] -> Bool

The isPrefixOf function takes two lists and returns True if and only if the first is a prefix of the second.

isSuffixOf : Eq a => [a] -> [a] -> Bool

The isSuffixOf function takes two lists and returns True if and only if the first list is a suffix of the second.

isInfixOf : Eq a => [a] -> [a] -> Bool

The isInfixOf function takes two lists and returns True if and only if the first list is contained anywhere within the second.

 $mapAccumL : (acc \rightarrow x \rightarrow (acc, y)) \rightarrow acc \rightarrow [x] \rightarrow (acc, [y])$ 

The mapAccumL function combines the behaviours of map and foldl; it applies a function to each element of a list, passing an accumulating parameter from left to right, and returning a final value of this accumulator together with the new list.

inits : [a] -> [[a]]

The inits function returns all initial segments of the argument, shortest first.

intersperse : a -> [a] -> [a]

The intersperse function takes an element and a list and intersperses that element between the elements of the list.

intercalate : [a] -> [[a]] -> [a]

intercalate inserts the list xs in between the lists in xss and concatenates the result.

tails : [a] -> [[a]]

The tails function returns all final segments of the argument, longest first.

dropWhileEnd: (a -> Bool) -> [a] -> [a]

A version of dropWhile operating from the end.

takeWhileEnd: (a -> Bool) -> [a] -> [a]

A version of takeWhile operating from the end.

**transpose** : [[a]] -> [[a]]

The transpose function transposes the rows and columns of its argument.

breakEnd : (a -> Bool) -> [a] -> ([a], [a])

Break, but from the end.

breakOn : Eq a => [a] -> [a] -> ([a], [a])

Find the first instance of needle in haystack. The first element of the returned tuple is the prefix of haystack before needle is matched. The second is the remainder of haystack, starting with the match. If you want the remainder without the match, use stripInfix.

breakOnEnd : Eq a => [a] -> [a] -> ([a], [a])

Similar to breakOn, but searches from the end of the string.

The first element of the returned tuple is the prefix of haystack up to and including the last match of needle. The second is the remainder of haystack, following the match.

linesBy : (a -> Bool) -> [a] -> [[a]]

A variant of lines with a custom test. In particular, if there is a trailing separator it will be discarded.

wordsBy: (a -> Bool) -> [a] -> [[a]]

A variant of words with a custom test. In particular, adjacent separators are discarded, as are leading or trailing separators.

head : [a] -> a

Extract the first element of a list, which must be non-empty.

tail : [a] -> [a]

Extract the elements after the head of a list, which must be non-empty.

last : [a] -> a

Extract the last element of a list, which must be finite and non-empty.

init : [a] -> [a]

Return all the elements of a list except the last one. The list must be non-empty.

fold11: (a -> a -> a) -> [a] -> a

Left associative fold of a list that must be non-empty.

foldr1: (a -> a -> a) -> [a] -> a

Right associative fold of a list that must be non-empty.

repeatedly: ([a] -> (b, [a])) -> [a] -> [b]

Apply some operation repeatedly, producing an element of output and the remainder of the list.

delete : Eq a => a -> [a] -> [a]

delete x removes the first occurrence of x from its list argument. For example,

```
> delete "a" ["b", "a", "n", "a", "n", "a"]
["b", "n", "a", "n", "a"]
```

It is a special case of 'deleteBy', which allows the programmer to supply their own equality test.

deleteBy: (a -> a -> Bool) -> a -> [a] -> [a]

The 'deleteBy' function behaves like 'delete', but takes a user-supplied equality predicate.

```
> deleteBy (<=) 4 [1..10]
[1,2,3,5,6,7,8,9,10]</pre>
```

(\\) : Eq a => [a] -> [a] -> [a]

The  $\$  function is list difference (non-associative). In the result of xs  $\$  ys, the first occurrence of each element of ys in turn (if any) has been removed from xs. Thus

```
(xs ++ ys) \\ xs == ys
```

Note this function is  $O(n^*m)$  given lists of size n and m.

singleton: a -> [a]

Produce a singleton list.

```
>>> singleton True
[True]
```

(!!) : [a] -> Int -> a

List index (subscript) operator, starting from 0. For example, xs !! 2 returns the third element in xs. Raises an error if the index is not suitable for the given list. The function has complexity O(n) where n is the index given, unlike in languages such as Java where array indexing is O(1).

elemIndex : Eq a => a -> [a] -> Optional Int

Find index of element in given list. Will return None if not found.

## findIndex: (a -> Bool) -> [a] -> Optional Int

Find index, given predicate, of first matching element. Will return None if not found.

## 2.3.12 Module DA.List.BuiltinOrder

Note: This is only supported in DAML-LF 1.11 or later.

This module provides variants of other standard library functions that are based on the builtin Daml-LF ordering rather than user-defined ordering. This is the same order also used by DA.Map.

These functions are usually much more efficient than their Ord-based counterparts.

Note that the functions in this module still require Ord constraints. This is purely to enforce that you don't pass in values that cannot be compared, e.g., functions. The implementation of those instances is not used.

#### 2.3.12.1 Functions

```
dedup : Ord a => [a] -> [a]
```

dedup 1 removes duplicate elements from a list. In particular, it keeps only the first occurrence of each element.

dedup is stable so the elements in the output are ordered by their first occurrence in the input. If you do not need stability, consider using dedupSort which is more efficient.

```
>>> dedup [3, 1, 1, 3]
[3, 1]
```

## dedupOn : Ord k => (v -> k) -> [v] -> [v]

A version of dedup where deduplication is done after applying the given function. Example use: dedupOn (.employeeNo) employees.

dedupOn is stable so the elements in the output are ordered by their first occurrence in the input. If you do not need stability, consider using dedupOnSort which is more efficient.

```
>>> dedupOn fst [(3, "a"), (1, "b"), (1, "c"), (3, "d")]
[(3, "a"), (1, "b")]
```

## dedupSort : Ord a => [a] -> [a]

dedupSort is a more efficient variant of dedup that does not preserve the order of the input elements. Instead the output will be sorted according to the builtin Daml-LF ordering.

```
>>> dedupSort [3, 1, 1, 3]
[1, 3]
```

```
dedupOnSort : Ord k \Rightarrow (v \rightarrow k) \rightarrow [v] \rightarrow [v]
```

dedupOnSort is a more efficient variant of dedupOn that does not preserve the order of the input elements. Instead the output will be sorted on the values returned by the function. For duplicates, the first element in the list will be included in the output.

```
>>> dedupOnSort fst [(3, "a"), (1, "b"), (1, "c"), (3, "d")]
[(1, "b"), (3, "a")]
```

## **sort** : Ord a => [a] -> [a]

Sort the list according to the Daml-LF ordering.

Values that are identical according to the builtin Daml-LF ordering are indistinguishable so stability is not relevant here.

```
>>> sort [3,1,2]
[1,2,3]
```

## **sortOn**: Ord b => (a -> b) -> [a] -> [a]

sortOn f is a version of sort that allows sorting on the result of the given function. sortOn is stable so elements that map to the same sort key will be ordered by their position in the input.

```
>>> sortOn fst [(3, "a"), (1, "b"), (3, "c"), (2, "d")]
[(1, "b"), (2, "d"), (3, "a"), (3, "c")]
```

## unique : Ord a => [a] -> Bool

Returns True if and only if there are no duplicate elements in the given list.

```
>>> unique [1, 2, 3]
True
```

## uniqueOn : Ord k => (a -> k) -> [a] -> Bool

Returns True if and only if there are no duplicate elements in the given list after applying function.

```
>>> uniqueOn fst [(1, 2), (2, 42), (1, 3)]
False
```

## 2.3.13 Module DA.List.Total

## 2.3.13.1 Functions

head: ActionFail m => [a] -> m a

tail: ActionFail m => [a] -> m [a]

last: ActionFail m => [a] -> m a

init : ActionFail m => [a] -> m [a]

(!!) : ActionFail m => [a] -> Int -> m a

fold11: ActionFail m => (a -> a -> a) -> [a] -> m a

foldr1 : ActionFail m => (a -> a -> a) -> [a] -> m a

foldBalanced1 : ActionFail m => (a -> a -> a) -> [a] -> m a

## 2.3.14 Module DA.Logic

Logic - Propositional calculus.

## 2.3.14.1 Data Types

### data Formula t

A Formula t is a formula in propositional calculus with propositions of type t.

## Proposition t

Proposition p is the formula p

```
Negation (Formula t)
          For a formula f, Negation fis f
     Conjunction [Formula t]
          For formulas f1, , fn, Conjunction [f1, ..., fn] is f1
                                                                           fn
     Disjunction [Formula t]
          For formulas f1, ,fn, Disjunction [f1, ..., fn] is f1
                                                                           fn
     instance Action Formula
     instance Applicative Formula
     instance Functor Formula
     instance Eq t => Eq (Formula t)
     instance Ord t => Ord (Formula t)
     instance Show t => Show (Formula t)
2.3.14.2 Functions
(&&&): Formula t -> Formula t -> Formula t
     & & & is the operation of the boolean algebra of formulas, to be read as and
(III) : Formula t -> Formula t -> Formula t
     | | | is the operation of the boolean algebra of formulas, to be read as or
true : Formula t
     true is the 1 element of the boolean algebra of formulas, represented as an empty conjunction.
false: Formula t
     false is the 0 element of the boolean algebra of formulas, represented as an empty disjunc-
neg: Formula t -> Formula t
     neg is the (negation) operation of the boolean algebra of formulas.
conj : [Formula t] -> Formula t
     conj is a list version of &&&, enabled by the associativity of .
disi : [Formula t] -> Formula t
     disj is a list version of |\cdot|, enabled by the associativity of .
fromBool: Bool -> Formula t
     fromBool converts True to true and False to false.
toNNF: Formula t -> Formula t
     tonne transforms a formula to negation normal form (see https://en.wikipedia.org/wiki/Nega-
     tion_normal_form).
toDNF: Formula t -> Formula t
     toDNF turns a formula into disjunctive normal form. (see https://en.wikipedia.org/wiki/Dis-
     junctive_normal_form).
traverse: Applicative f => (t -> f s) -> Formula t -> f (Formula s)
     An implementation of traverse in the usual sense.
```

## zipFormulas : Formula t -> Formula s -> Formula (t, s)

zipFormulas takes to formulas of same shape, meaning only propositions are different and zips them up.

## substitute: (t -> Optional Bool) -> Formula t -> Formula t

substitute takes a truth assignment and substitutes True or False into the respective places in a formula.

## reduce: Formula t -> Formula t

reduce reduces a formula as far as possible by:

- 1. Removing any occurrences of true and false;
- 2. Removing directly nested Conjunctions and Disjunctions;
- 3. Going to negation normal form.

## isBool: Formula t -> Optional Bool

isBool attempts to convert a formula to a bool. It satisfies isBool true == Right True and toBool false == Right False. Otherwise, it returns Left x, where x is the input.

```
interpret: (t -> Optional Bool) -> Formula t -> Either (Formula t) Bool
```

interpret is a version of toBool that first substitutes using a truth function and then reduces as far as possible.

```
substituteA: Applicative f => (t -> f (Optional Bool)) -> Formula t -> f (Formula t)
```

substituteA is a version of substitute that allows for truth values to be obtained from an action.

```
interpretA : Applicative f => (t -> f (Optional Bool)) -> Formula t -> f (Either (Formula t) Bool)
```

interpretA is a version of interpret that allows for truth values to be obtained form an action.

## 2.3.15 Module DA.Map

Note: This is only supported in DAML-LF 1.11 or later.

This module exports the generic map type Map  $\, k \, v$  and associated functions. This module should be imported qualified, for example:

```
import DA.Map (Map)
import DA.Map qualified as M
```

This will give access to the Map type, and the various operations as M.lookup, M.insert, M. fromList, etc.

Map  $\,k\,$   $\,v\,$  internally uses the built-in order for the type  $\,k\,$ . This means that keys that contain functions are not comparable and will result in runtime errors. To prevent this, the Ord  $\,k\,$  instance is required for most map operations. It is recommended to only use Map  $\,k\,$   $\,v\,$  for key types that have an Ord  $\,k\,$  instance that is derived automatically using deriving:

```
data K = ...
  deriving (Eq, Ord)
```

This includes all built-in types that aren't function types, such as Int, Text, Bool, (a, b) assuming a and b have default Ord instances, Optional t and [t] assuming t has a default Ord instance, Map  $\,k\,$  v assuming  $\,k\,$  and  $\,v\,$  have default Ord instances, and Set  $\,k\,$  assuming  $\,k\,$  has a default Ord instance.

#### 2.3.15.1 Functions

fromList : Ord  $k \Rightarrow [(k, v)] \Rightarrow Map k v$ 

Create a map from a list of key/value pairs.

fromListWith : Ord  $k \Rightarrow (v \rightarrow v \rightarrow v) \rightarrow [(k, v)] \rightarrow Map k v$ 

Create a map from a list of key/value pairs with a combining function. Examples:

## **keys** : Map k v -> [k]

Get the list of keys in the map. Keys are sorted according to the built-in order for the type k, which matches the Ord k instance when using deriving Ord.

```
>>> keys (fromList [("A", 1), ("C", 3), ("B", 2)])
["A", "B", "C"]
```

## values : Map k v -> [v]

Get the list of values in the map. These will be in the same order as their respective keys from M.keys.

```
>>> values (fromList [("A", 1), ("B", 2)])
[1, 2]
```

## **toList** : Map k v -> [(k, v)]

Convert the map to a list of key/value pairs. These will be ordered by key, as in M. keys.

empty: Map k v

The empty map.

size: Map k v -> Int

Number of elements in the map.

null: Map k v -> Bool

Is the map empty?

lookup : Ord k => k -> Map k v -> Optional v

Lookup the value at a key in the map.

member : Ord k => k -> Map k v -> Bool

Is the key a member of the map?

filter: Ord k => (v -> Bool) -> Map k v -> Map k v

Filter the Map using a predicate: keep only the entries where the value satisfies the predicate.

```
filterWithKey: Ord k => (k -> v -> Bool) -> Map k v -> Map k v
```

Filter the Map using a predicate: keep only the entries which satisfy the predicate.

```
delete: Ord k => k -> Map k v -> Map k v
```

Delete a key and its value from the map. When the key is not a member of the map, the original map is returned.

```
insert : Ord k => k -> v -> Map k v -> Map k v
```

Insert a new key/value pair in the map. If the key is already present in the map, the associated value is replaced with the supplied value.

alter: Ord k => (Optional v -> Optional v) -> k -> Map k v -> Map k v

Update the value in m at k with f, inserting or deleting as required. f will be called with either the value at k, or None if absent; f can return Some with a new value to be inserted in m (replacing the old value if there was one), or None to remove any k association m may have.

Some implications of this behavior:

alter identity k = identity alter g k . alter f k = alter (g . f) k alter (\_ -> Some v) k = insert k v alter (\_ -> None) = delete

union : Ord k => Map k v -> Map k v -> Map k v

The union of two maps, preferring the first map when equal keys are encountered.

merge : Ord k => (k -> a -> Optional c) -> (k -> b -> Optional c) -> (k -> a -> b -> Optional c) -> Map k a -> Map k b -> Map k c

Combine two maps, using separate functions based on whether a key appears only in the first map, only in the second map, or appears in both maps.

### 2.3.16 Module DA.Math

Math - Utility Math functions for Decimal The this library is designed to give good precision, typically giving 9 correct decimal places. The numerical algorithms run with many iterations to achieve that precision and are interpreted by the Daml runtime so they are not performant. Their use is not advised in performance critical contexts.

### 2.3.16.1 Functions

(\*\*): Decimal -> Decimal -> Decimal

Take a power of a number Example: 2.0 \*\* 3.0 == 8.0.

exp : Decimal -> Decimal

The exponential function. Example: exp 0.0 == 1.0

log: Decimal -> Decimal

The natural logarithm. Example: log 10.0 == 2.30258509299

logBase: Decimal -> Decimal -> Decimal

The logarithm of a number to a given base. Example: log 10.0 100.0 == 2.0

sin : Decimal -> Decimal

sin is the sine function

cos: Decimal -> Decimal

cos is the cosine function

tan: Decimal -> Decimal

tan is the tangent function

## 2.3.17 Module DA.Monoid

## 2.3.17.1 Data Types

data All

Boolean monoid under conjunction (&&)

ΑII

Field	Type	Description
getAll	Bool	

instance Monoid All

instance Semigroup All

instance Eq All

instance Ord All

instance Show All

data Any

Boolean Monoid under disjunction (||)

Any

Field	Type	Description
getAny	Bool	

instance Monoid Any

instance Semigroup Any

instance Eq Any

instance Ord Any

instance Show Any

data Endo a

The monoid of endomorphisms under composition.

Endo

Field	Type	Description
appEndo	a -> a	

instance Monoid (Endo a)

instance Semigroup (Endo a)

data Product a

Monoid under (\*)

```
> Product 2 <> Product 3
Product 6
```

Product a

instance Multiplicative a => Monoid (Product a)

```
instance Multiplicative a => Semigroup (Product a)
     instance Eq a => Eq (Product a)
     instance Ord a => Ord (Product a)
     instance Additive a => Additive (Product a)
     instance Multiplicative a => Multiplicative (Product a)
     instance Show a => Show (Product a)
data Sum a
     Monoid under (+)
     > Sum 1 <> Sum 2
     Sum 3
     Sum a
     instance Additive a => Monoid (Sum a)
     instance Additive a => Semigroup (Sum a)
     instance Eq a => Eq (Sum a)
     instance Ord a => Ord (Sum a)
     instance Additive a => Additive (Sum a)
     instance Multiplicative a => Multiplicative (Sum a)
     instance Show a => Show (Sum a)
2.3.18 Module DA.Next.Map
DA. Next. Map is deprecated. Please use DA. Map instead.
2.3.18.1 Typeclasses
class Eq k => MapKey k where
     A class for types that can be used as keys for the Map type. All keys k must satisfy
     keyFromText (keyToText k) == k.
     keyToText: k -> Text
          Turn a key into its textual representation. This function must be injective.
     keyFromText: Text -> k
          Recover a key from its textual representation. keyFromText x is allowed to fail
          whenever there is no key k with keyToText k == x. Whenever such a k does ex-
          ist, then it must satisfy keyFromText x == k.
     instance MapKey Party
     instance MapKey Decimal
     instance MapKey Int
     instance MapKey Text
```

## 2.3.18.2 Data Types

## data Map k v

A Map  $\,k\,v$  is an associative array data type composed of a collection of key/value pairs of key type  $\,k$  and value type  $\,v$  such that each possible key appears at most once in the collection.

```
instance Foldable (Map k)
instance MapKey k => Monoid (Map k v)
instance MapKey k => Semigroup (Map k v)
instance MapKey k => Traversable (Map k)
instance MapKey k => Functor (Map k)
instance Eq v => Eq (Map k v)
instance Ord v => Ord (Map k v)
instance (MapKey k, Show k, Show v) => Show (Map k v)
```

## 2.3.18.3 Functions

```
fromList : MapKey k => [(k, v)] -> Map k v
```

Create a map from a list of key/value pairs.

```
fromListWith: MapKey k \Rightarrow (v \rightarrow v \rightarrow v) \rightarrow [(k, v)] \rightarrow Map k v
```

Create a map from a list of key/value pairs with a combining function. Examples:

```
fromListWith (<>) [(5,"a"), (5,"b"), (3,"b"), (3,"a"), (5,"c")] ==□

→fromList [(3, "ba"), (5, "abc")]

fromListWith (<>) [] == (empty : Map Int Text)
```

```
toList: MapKey k => Map k v -> [(k, v)]
```

Convert the map to a list of key/value pairs where the keys are in ascending order of their textual representation.

```
fromTextMap : TextMap v -> Map Text v
Create a Map from a TextMap.
```

toTextMap: MapKey k => Map k v -> TextMap v Convert a Map into a TextMap.

empty: Map k v

The empty map.

size: Map k v -> Int

Number of elements in the map.

lookup : MapKey k => k -> Map k v -> Optional v
Lookup the value at a key in the map.

member: MapKey k => k -> Map k v -> Bool Is the key a member of the map?

```
filter: MapKey k => (v -> Bool) -> Map k v -> Map k v
```

Filter the Map using a predicate: keep only the entries where the value satisfies the predicate.

```
filterWithKey: MapKey k => (k -> v -> Bool) -> Map k v -> Map k v
```

Filter the Map using a predicate: keep only the entries which satisfy the predicate.

```
delete: MapKey k => k -> Map k v -> Map k v
```

Delete a key and its value from the map. When the key is not a member of the map, the original map is returned.

```
insert : MapKey k => k -> v -> Map k v -> Map k v
```

Insert a new key/value pair in the map. If the key is already present in the map, the associated value is replaced with the supplied value.

```
union: MapKey k => Map k v -> Map k v -> Map k v
```

The union of two maps, preferring the first map when equal keys are encountered.

```
merge: MapKey k => (k -> a -> Optional c) -> (k -> b -> Optional c) -> (k -> a -> b -> Optional c) -> Map k a -> Map k b -> Map k c
```

Merge two maps. merge f g h x y applies f to all key/value pairs whose key only appears in x, g to all pairs whose key only appears in y and h to all pairs whose key appears in both x and y. In the end, all pairs yielding Some are collected as the result.

#### 2.3.19 Module DA.Next.Set

DA. Next. Set is deprecated. Please use DA. Set instead.

## 2.3.19.1 Data Types

```
data Set a
```

```
The type of a set.
```

instance MapKey a => Monoid (Set a)

instance MapKey a => Semigroup (Set a)

instance IsParties (Set Party)

instance Eq (Set a)

instance Ord (Set a)

instance (MapKey a, Show a) => Show (Set a)

#### 2.3.19.2 Functions

empty: Set a

The empty set.

size : Set a -> Int

The number of elements in the set.

toList: MapKey a => Set a -> [a]

Convert the set to a list of elements.

fromList: MapKey a => [a] -> Set a

Create a set from a list of elements.

```
toTextMap : Set Text -> TextMap ()
     Convert a Set into a TextMap.
fromTextMap : TextMap () -> Set Text
     Create a Set from a TextMap.
member: MapKey a => a -> Set a -> Bool
     Is the element in the set?
null: Set a -> Bool
     Is this the empty set?
insert : MapKey a => a -> Set a -> Set a
     Insert an element in a set. If the set already contains an element equal to the given value, it is
     replaced with the new value.
filter: MapKey a => (a -> Bool) -> Set a -> Set a
     Filter all elements that satisfy the predicate.
delete: MapKey a => a -> Set a -> Set a
     Delete an element from a set.
singleton: MapKey a => a -> Set a
     Create a singleton set.
union: MapKey a => Set a -> Set a -> Set a
     The union of two sets, preferring the first set when equal elements are encountered.
intersection: MapKey a => Set a -> Set a -> Set a
     The intersection of two sets. Elements of the result come from the first set.
difference: MapKey a => Set a -> Set a -> Set a
     difference x y returns the set consisting of all elements in x that are not in y.
     >>> fromList [1, 2, 3] difference fromList [1, 4] >>> fromList [2, 3]
```

## 2.3.20 Module DA.NonEmpty

Type and functions for non-empty lists. This module re-exports many functions with the same name as prelude list functions, so it is expected to import the module qualified. For example, with the following import list you will have access to the NonEmpty type and any functions on non-empty lists will be qualified, for example as NE.append, NE.map, NE.foldl:

```
import DA.NonEmpty (NonEmpty)
import qualified DA.NonEmpty as NE
```

#### 2.3.20.1 Functions

append : NonEmpty a -> NonEmpty a -> NonEmpty a Append or concatenate two non-empty lists.

map: (a -> b) -> NonEmpty a -> NonEmpty b

Apply a function over each element in the non-empty list.

nonEmpty : [a] -> Optional (NonEmpty a)

Turn a list into a non-empty list, if possible. Returns None if the input list is empty, and Some otherwise.

singleton : a -> NonEmpty a

A non-empty list with a single element.

toList: NonEmpty a -> [a]

Turn a non-empty list into a list (by forgetting that it is not empty).

reverse : NonEmpty a -> NonEmpty a Reverse a non-empty list.

fold11: (a -> a -> a) -> NonEmpty a -> a

Apply a function repeatedly to pairs of elements from a non-empty list, from the left. For example, fold11 (+) (NonEmpty 1 [2,3,4]) = ((1 + 2) + 3) + 4.

foldr1: (a -> a -> a) -> NonEmpty a -> a

Apply a function repeatedly to pairs of elements from a non-empty list, from the right. For example, foldr1 (+) (NonEmpty 1 [2,3,4]) = 1 + (2 + (3 + 4)).

foldr: (a -> b -> b) -> b -> NonEmpty a -> b

Apply a function repeatedly to pairs of elements from a non-empty list, from the right, with a given initial value. For example, foldr (+) 0 (NonEmpty 1 [2,3,4]) = 1 + (2 + (3 + (4 + 0))).

**foldrA**: Action m => (a -> b -> m b) -> b -> NonEmpty a -> m b

The same as foldr but running an action each time.

foldr1A: Action m => (a -> a -> m a) -> NonEmpty a -> m a

The same as foldr1 but running an action each time.

fold1 : (b -> a -> b) -> b -> NonEmpty a -> b

Apply a function repeatedly to pairs of elements from a non-empty list, from the left, with a given initial value. For example, foldl (+) 0 (NonEmpty 1 [2,3,4]) = (((0 + 1) + 2) + 3) + 4.

foldIA : Action m => (b -> a -> m b) -> b -> NonEmpty a -> m b

The same as foldl but running an action each time.

foldIIA: Action m => (a -> a -> m a) -> NonEmpty a -> m a

The same as fold11 but running an action each time.

## 2.3.21 Module DA.NonEmpty.Types

This module contains the type for non-empty lists so we can give it a stable package id. This is reexported from DA.NonEmpty so you should never need to import this module.

## 2.3.21.1 Data Types

### data NonEmpty a

NonEmpty is the type of non-empty lists. In other words, it is the type of lists that always contain at least one element. If x is a non-empty list, you can obtain the first element with x. hd and the rest of the list with x.tl.

NonEmpty

Field	Туре	Description
hd	а	
tl	[a]	

instance Foldable NonEmpty

instance Action NonEmpty

instance Applicative NonEmpty

instance Semigroup (NonEmpty a)

instance IsParties (NonEmpty Party)

instance Traversable NonEmpty

instance Functor NonEmpty

instance Eq a => Eq (NonEmpty a)

instance Show a => Show (NonEmpty a)

## 2.3.22 Module DA.Numeric

## 2.3.22.1 Functions

## mul: NumericScale n3 => Numeric n1 -> Numeric n2 -> Numeric n3

Multiply two numerics. Both inputs and the output may have different scales, unlike (\*) which forces all numeric scales to be the same. Raises an error on overflow, rounds to chosen scale otherwise.

## div: NumericScale n3 => Numeric n1 -> Numeric n2 -> Numeric n3

Divide two numerics. Both inputs and the output may have different scales, unlike (/) which forces all numeric scales to be the same. Raises an error on overflow, rounds to chosen scale otherwise.

#### cast: NumericScale n2 => Numeric n1 -> Numeric n2

Cast a Numeric. Raises an error on overflow or loss of precision.

## castAndRound: NumericScale n2 => Numeric n1 -> Numeric n2

Cast a Numeric. Raises an error on overflow, rounds to chosen scale otherwise.

#### shift : NumericScale n2 => Numeric n1 -> Numeric n2

Move the decimal point left or right by multiplying the numeric value by 10^(n1 - n2). Does not overflow or underflow.

### pi : NumericScale n => Numeric n

The number pi.

## 2.3.23 Module DA.Optional

The Optional type encapsulates an optional value. A value of type Optional a either contains a value of type a (represented as Some a), or it is empty (represented as None). Using Optional is a good way to deal with errors or exceptional cases without resorting to drastic measures such as error.

The Optional type is also an action. It is a simple kind of error action, where all errors are represented by None. A richer error action can be built using the Either type.

## **2.3.23.1 Functions**

## fromSome: Optional a -> a

The fromSome function extracts the element out of a Some and throws an error if its argument is None.

Note that in most cases you should prefer using fromSomeNote to get a better error on failures.

fromSomeNote: Text -> Optional a -> a

Like fromSome but with a custom error message.

catOptionals : [Optional a] -> [a]

The catOptionals function takes a list of Optionals and returns a list of all the Some values.

listToOptional: [a] -> Optional a

The listToOptional function returns None on an empty list or Some a where a is the first element of the list.

optionalToList : Optional a -> [a]

The optional ToList function returns an empty list when given None or a singleton list when not given None.

fromOptional: a -> Optional a -> a

The fromOptional function takes a default value and a Optional value. If the Optional is None, it returns the default values otherwise, it returns the value contained in the Optional.

isSome : Optional a -> Bool

The isSome function returns True iff its argument is of the form Some \_.

isNone : Optional a -> Bool

The isNone function returns True iff its argument is None.

mapOptional: (a -> Optional b) -> [a] -> [b]

The mapOptional function is a version of map which can throw out elements. In particular, the functional argument returns something of type Optional b. If this is None, no element is added on to the result list. If it is Some b, then b is included in the result list.

whenSome: Applicative m => Optional a -> (a -> m ()) -> m ()

Perform some operation on Some, given the field inside the Some.

findOptional: (a -> Optional b) -> [a] -> Optional b

The findOptional returns the value of the predicate at the first element where it returns Some. findOptional is similar to find but it allows you to return a value from the predicate. This is useful both as a more type safe version if the predicate corresponds to a pattern match and for performance to avoid duplicating work performed in the predicate.

## 2.3.24 Module DA.Optional.Total

## 2.3.24.1 Functions

fromSome: ActionFail m => Optional a -> m a

fromSomeNote: ActionFail m => Text -> Optional a -> m a

## 2.3.25 Module DA.Record

Exports the record machinery necessary to allow one to annotate code that is polymorphic in the underlying record type.

## 2.3.25.1 Typeclasses

class HasField x r a where

HasField gives you getter and setter functions for each record field automatically.

In the vast majority of use-cases, plain Record syntax should be preferred:

```
daml> let a = MyRecord 1 "hello"
daml> a.foo
1
daml> a.bar
"hello"
daml> a { bar = "bye" }
MyRecord {foo = 1, bar = "bye"}
daml> a with foo = 3
MyRecord {foo = 3, bar = "hello"}
daml>
```

For more on Record syntax, see https://docs.daml.com/daml/intro/3\_Data.html#record.

HasField x r a is a typeclass that takes three parameters. The first parameter x is the field name, the second parameter r is the record type, and the last parameter a is the type of the field in this record. For example, if we define a type:

```
data MyRecord = MyRecord with
  foo : Int
  bar : Text
```

Then we get, for free, the following HasField instances:

```
HasField "foo" MyRecord Int
HasField "bar" MyRecord Text
```

If we want to get a value using HasField, we can use the getField function:

```
getFoo : MyRecord -> Int
getFoo r = getField @"foo" r

getBar : MyRecord -> Text
getBar r = getField @"bar" r
```

Note that this uses the type application syntax (f @t) to specify the field name.

Likewise, if we want to set the value in the field, we can use the setField function:

```
setFoo : Int -> MyRecord -> MyRecord
setFoo a r = setField @"foo" a r

setBar : Text -> MyRecord -> MyRecord
setBar a r = setField @"bar" a r
```

```
getField : r -> a
setField : a -> r -> r
```

## 2.3.26 Module DA.Semigroup

## 2.3.26.1 Data Types

data Max a

## Semigroup under max

```
> Max 23 <> Max 42
Max 42

Max a
instance Ord a => Semigroup (Max a)
instance Eq a => Eq (Max a)
instance Ord a => Ord (Max a)
instance Show a => Show (Max a)
data Min a
```

# Semigroup under min

```
> Min 23 <> Min 42
Min 23
```

```
Min a
instance Ord a => Semigroup (Min a)
instance Eq a => Eq (Min a)
instance Ord a => Ord (Min a)
instance Show a => Show (Min a)
```

## 2.3.27 Module DA.Set

Note: This is only supported in DAML-LF 1.11 or later.

This module exports the generic set type  $\mathtt{Set}\ \mathtt{k}$  and associated functions. This module should be imported qualified, for example:

```
import DA.Set (Set)
import DA.Set qualified as S
```

This will give access to the Set type, and the various operations as S.lookup, S.insert, S. fromList, etc.

Set  $\,k$  internally uses the built-in order for the type  $\,k$ . This means that keys that contain functions are not comparable and will result in runtime errors. To prevent this, the Ord  $\,k$  instance is required for most set operations. It is recommended to only use Set  $\,k$  for key types that have an Ord  $\,k$  instance that is derived automatically using deriving:

```
data K = ...
  deriving (Eq, Ord)
```

This includes all built-in types that aren't function types, such as Int, Text, Bool, (a, b) assuming a and b have default Ord instances, Optional t and [t] assuming t has a default Ord instance, Map k v assuming k and v have default Ord instances, and Set k assuming k has a default Ord instance.

## 2.3.27.1 Data Types

#### data Set k

The type of a set. This is a wrapper over the Map type.

Set

Field	Type	Description
map	Map k ()	

instance Ord k => Monoid (Set k)

instance Ord k => Semigroup (Set k)

instance IsParties (Set Party)

instance Ord k => Eq (Set k)

instance Ord k => Ord (Set k)

instance (Ord k, Show k) => Show (Set k)

#### 2.3.27.2 Functions

empty: Set k

The empty set.

size : Set k -> Int

The number of elements in the set.

toList: Set k -> [k]

Convert the set to a list of elements.

fromList: Ord k => [k] -> Set k

Create a set from a list of elements.

toMap : Set k -> Map k ()

Convert a Set into a Map.

fromMap: Mapk() -> Setk

Create a Set from a Map.

 $member : Ord k \Rightarrow k \rightarrow Set k \rightarrow Bool$ 

Is the element in the set?

null : Set k -> Bool

Is this the empty set?

insert : Ord k => k -> Set k -> Set k

Insert an element in a set. If the set already contains the element, this returns the set unchanged.

filter: Ord k => (k -> Bool) -> Set k -> Set k

Filter all elements that satisfy the predicate.

delete: Ord k => k -> Set k -> Set k

Delete an element from a set.

singleton : Ord k => k -> Set k
Create a singleton set.

union : Ord k => Set k -> Set k -> Set k

The union of two sets.

intersection: Ord k => Set k -> Set k -> Set k

The intersection of two sets.

difference: Ord k => Set k -> Set k -> Set k

difference x y returns the set consisting of all elements in x that are not in y. >>> from List [1, 2, 3] difference from List [1, 4] >>> from List [2, 3]

## 2.3.28 Module DA.Stack

## 2.3.28.1 Data Types

#### data SrcLoc

Location in the source code.

Line and column are 0-based.

SrcLoc

Field	Туре	Description
srcLocPackage	Text	
srcLocModule	Text	
srcLocFile	Text	
srcLocStartLine	Int	
srcLocStartCol	Int	
srcLocEndLine	Int	
srcLocEndCol	Int	

## data CallStack

Type of callstacks constructed automatically from HasCallStack constraints.

Use callStack to get the current callstack, and use getCallStack to deconstruct the CallStack.

## type HasCallStack = IP callStack CallStack

Request a CallStack. Use this as a constraint in type signatures in order to get nicer call-stacks for error and debug messages.

For example, instead of declaring the following type signature:

```
myFunction : Int -> Update ()
```

You can declare a type signature with the <code>HasCallStack</code> constraint:

```
myFunction : HasCallStack => Int -> Update ()
```

The function myFunction will still be called the same way, but it will also show up as an entry in the current callstack, which you can obtain with callStack.

Note that only functions with the <code>HasCallStack</code> constraint will be added to the current call-stack, and if any function does not have the <code>HasCallStack</code> constraint, the callstack will be reset within that function.

#### 2.3.28.2 Functions

getCallStack : CallStack -> [(Text, SrcLoc)]

Extract the list of call sites from the CallStack.

The most recent call comes first.

callStack : HasCallStack => CallStack

Access to the current CallStack.

## 2.3.29 Module DA.Text

Functions for working with Text.

#### 2.3.29.1 Functions

explode : Text -> [Text]

implode : [Text] -> Text

isEmpty : Text -> Bool

Test for emptiness.

length: Text -> Int

Compute the number of symbols in the text.

trim: Text -> Text

Remove spaces from either side of the given text.

replace: Text -> Text -> Text -> Text

Replace a subsequence everywhere it occurs. The first argument must not be empty.

lines : Text -> [Text]

Breaks a Text value up into a list of Text's at newline symbols. The resulting texts do not contain newline symbols.

unlines : [Text] -> Text

Joins lines, after appending a terminating newline to each.

words : Text -> [Text]

Breaks a 'Text' up into a list of words, delimited by symbols representing white space.

unwords : [Text] -> Text

Joins words using single space symbols.

linesBy : (Text -> Bool) -> Text -> [Text]

A variant of lines with a custom test. In particular, if there is a trailing separator it will be discarded.

wordsBy: (Text -> Bool) -> Text -> [Text]

A variant of words with a custom test. In particular, adjacent separators are discarded, as are leading or trailing separators.

intercalate : Text -> [Text] -> Text

intercalate inserts the text argument t in between the items in ts and concatenates the result.

## dropPrefix : Text -> Text -> Text

dropPrefix drops the given prefix from the argument. It returns the original text if the text doesn't start with the given prefix.

## dropSuffix : Text -> Text -> Text

Drops the given suffix from the argument. It returns the original text if the text doesn't end with the given suffix. Examples:

```
dropSuffix "!" "Hello World!" == "Hello World"
dropSuffix "!" "Hello World!!" == "Hello World!"
dropSuffix "!" "Hello World." == "Hello World."
```

#### stripSuffix : Text -> Text -> Optional Text

Return the prefix of the second text if its suffix matches the entire first text. Examples:

### stripPrefix : Text -> Text -> Optional Text

The stripPrefix function drops the given prefix from the argument text. It returns None if the text did not start with the prefix.

#### isPrefixOf: Text -> Text -> Bool

The isPrefixOf function takes two text arguments and returns True if and only if the first is a prefix of the second.

## isSuffixOf : Text -> Text -> Bool

The isSuffixOf function takes two text arguments and returns True if and only if the first is a suffix of the second.

## isInfixOf: Text -> Text -> Bool

The isInfixOf function takes two text arguments and returns True if and only if the first is contained, wholly and intact, anywhere within the second.

```
takeWhile: (Text -> Bool) -> Text -> Text
```

The function takeWhile, applied to a predicate p and a text, returns the longest prefix (possibly empty) of symbols that satisfy p.

```
takeWhileEnd: (Text -> Bool) -> Text -> Text
```

The function 'takeWhileEnd', applied to a predicate p and a 'Text', returns the longest suffix (possibly empty) of elements that satisfy p.

```
dropWhile: (Text -> Bool) -> Text -> Text
```

dropWhile p treturns the suffix remaining after takeWhile p t.

```
dropWhileEnd : (Text -> Bool) -> Text -> Text
```

 ${\tt dropWhileEnd~p~t~returns~the~prefix~remaining~after~dropping~symbols~that~satisfy~the~predicate~p~from~the~end~of~t.}$ 

```
splitOn : Text -> Text -> [Text]
```

Break a text into pieces separated by the first text argument (which cannot be empty), consuming the delimiter.

```
splitAt : Int -> Text -> (Text, Text)
```

Split a text before a given position so that for  $0 \le n \le length t$ , length (fst (splitAt n t)) == n.

```
take: Int -> Text -> Text
```

take n, applied to a text t, returns the prefix of t of length n, or t itself if n is greater than the length of t.

## drop : Int -> Text -> Text

drop n, applied to a text t, returns the suffix of t after the first n characters, or the empty Text if n is greater than the length of t.

### substring : Int -> Int -> Text -> Text

Compute the sequence of symbols of length 1 in the argument text starting at s.

#### isPred: (Text -> Bool) -> Text -> Bool

isPred f t returns True if t is not empty and f is True for all symbols in t.

#### isSpace: Text -> Bool

isSpace t is True if t is not empty and consists only of spaces.

#### isNewLine: Text -> Bool

isSpace t is True if t is not empty and consists only of newlines.

### isUpper : Text -> Bool

isUpper t is True if t is not empty and consists only of uppercase symbols.

### isLower: Text -> Bool

isLower t is True if t is not empty and consists only of lowercase symbols.

### isDigit : Text -> Bool

isDigit tis True if tis not empty and consists only of digit symbols.

#### isAlpha: Text -> Bool

isAlpha t is True if t is not empty and consists only of alphabet symbols.

#### isAlphaNum : Text -> Bool

isAlphaNum t is True if t is not empty and consists only of alphanumeric symbols.

### parseInt : Text -> Optional Int

Attempt to parse an Int value from a given Text.

### parseNumeric : Text -> Optional (Numeric n)

Attempt to parse a Numeric value from a given Text. To get Some value, the text must follow the regex  $(-|\cdot|)$ ?  $[0-9]+(\cdot,[0-9]+)$ ? In particular, the shorthands ".12" and "12." do not work, but the value can be prefixed with +. Leading and trailing zeros are fine, however spaces are not. Examples:

```
parseNumeric "3.14" == Some 3.14
parseNumeric "+12.0" == Some 12
```

### parseDecimal : Text -> Optional Decimal

Attempt to parse a Decimal value from a given Text. To get Some value, the text must follow the regex  $(-|\cdot|)$ ?  $[0-9]+(\cdot,[0-9]+)$ ? In particular, the shorthands ".12" and "12." do not work, but the value can be prefixed with +. Leading and trailing zeros are fine, however spaces are not. Examples:

```
parseDecimal "3.14" == Some 3.14
parseDecimal "+12.0" == Some 12
```

sha256: Text -> Text

Computes the SHA256 hash of the UTF8 bytes of the Text, and returns it in its hex-encoded form. The hex encoding uses lowercase letters.

This function will crash at runtime if you compile Daml to Daml-LF < 1.2.

reverse: Text -> Text

Reverse some Text.

```
reverse "DAML" == "LMAD"
```

toCodePoints : Text -> [Int]

Convert a Text into a sequence of unicode code points.

fromCodePoints : [Int] -> Text

Convert a sequence of unicode code points into a Text. Raises an exception if any of the code points is invalid.

## 2.3.30 Module DA.TextMap

TextMap - A map is an associative array data type composed of a collection of key/value pairs such that, each possible key appears at most once in the collection.

## 2.3.30.1 Functions

fromList : [(Text, a)] -> TextMap a

Create a map from a list of key/value pairs.

fromListWith: (a -> a -> a) -> [(Text, a)] -> TextMap a

Create a map from a list of key/value pairs with a combining function. Examples:

```
fromListWith (++) [("A", [1]), ("A", [2]), ("B", [2]), ("B", [1]), ("A 

", [3])] == fromList [("A", [1, 2, 3]), ("B", [2, 1])]

fromListWith (++) [] == (empty : TextMap [Int])
```

toList: TextMap a -> [(Text, a)]

Convert the map to a list of key/value pairs where the keys are in ascending order.

empty: TextMap a

The empty map.

size : TextMap a -> Int

Number of elements in the map.

null : TextMap v -> Bool

Is the map empty?

lookup : Text -> TextMap a -> Optional a

Lookup the value at a key in the map.

member: Text -> TextMap v -> Bool

Is the key a member of the map?

filter: (v -> Bool) -> TextMap v -> TextMap v

Filter the TextMap using a predicate: keep only the entries where the value satisfies the predicate.

filterWithKey: (Text -> v -> Bool) -> TextMap v -> TextMap v

Filter the TextMap using a predicate: keep only the entries which satisfy the predicate.

# delete: Text -> TextMap a -> TextMap a

Delete a key and its value from the map. When the key is not a member of the map, the original map is returned.

## insert : Text -> a -> TextMap a -> TextMap a

Insert a new key/value pair in the map. If the key is already present in the map, the associated value is replaced with the supplied value.

### union: TextMap a -> TextMap a -> TextMap a

The union of two maps, preferring the first map when equal keys are encountered.

```
merge: (Text -> a -> Optional c) -> (Text -> b -> Optional c) -> (Text -> a -> b -> Optional c) -> TextMap a -> TextMap b -> TextMap c
```

Merge two maps. merge f g h x y applies f to all key/value pairs whose key only appears in x, g to all pairs whose key only appears in y and h to all pairs whose key appears in both x and y. In the end, all pairs yielding Some are collected as the result.

## 2.3.31 Module DA.Time

# 2.3.31.1 Data Types

#### data RelTime

The RelTime type describes a time offset, i.e. relative time.

instance Eq RelTime

instance Ord RelTime

instance Additive RelTime

**instance** Signed RelTime

instance Show RelTime

#### 2.3.31.2 Functions

time: Date -> Int -> Int -> Int -> Time

time d h m s turns given UTC date d and the UTC time (given in hours, minutes, seconds) into a UTC timestamp (Time). Does not handle leap seconds.

## pass : RelTime -> Scenario Time

Pass simulated scenario time by argument

#### addRelTime: Time -> RelTime -> Time

Adjusts Time with given time offset.

## subTime: Time -> Time -> RelTime

Returns time offset between two given instants.

## wholeDays: RelTime -> Int

Returns the number of whole days in a time offset. Fraction of time is rounded towards zero.

#### days : Int -> RelTime

A number of days in relative time.

## hours : Int -> RelTime

A number of hours in relative time.

minutes : Int -> RelTime

A number of minutes in relative time.

seconds: Int -> RelTime

convertRelTimeToMicroseconds : RelTime -> Int

Convert RelTime to microseconds Use higher level functions instead of the internal microseconds

convertMicrosecondsToRelTime: Int -> RelTime

Convert microseconds to RelTime Use higher level functions instead of the internal microseconds

## 2.3.32 Module DA.Traversable

Class of data structures that can be traversed from left to right, performing an action on each element. You typically would want to import this module qualified to avoid clashes with functions defined in Prelude. Ic.:

import DA.Traversable qualified as F

## 2.3.32.1 Typeclasses

class (Functor t, Foldable t) => Traversable t where

Functors representing data structures that can be traversed from left to right.

mapA : Applicative f => (a -> f b) -> t a -> f (t b)

Map each element of a structure to an action, evaluate these actions from left to right, and collect the results.

sequence : Applicative f => t (f a) -> f (t a)

Evaluate each action in the structure from left to right, and collect the results.

instance Ord k => Traversable (Map k)

instance Traversable TextMap

instance Traversable Optional

instance MapKey k => Traversable (Map k)

instance Traversable NonEmpty

instance Traversable (Either a)

instance Traversable (□)

instance Traversable a

### 2.3.32.2 Functions

# 2.3.33 Module DA.Tuple

Tuple - Ubiquitous functions of tuples.

#### 2.3.33.1 Functions

first: (a -> a') -> (a, b) -> (a', b)

The pair obtained from a pair by application of a programmer supplied function to the argument pair's first field.

second: (b -> b') -> (a, b) -> (a, b')

The pair obtained from a pair by application of a programmer supplied function to the argument pair's second field.

both: (a -> b) -> (a, a) -> (b, b)

The pair obtained from a pair by application of a programmer supplied function to both the argument pair's first and second fields.

swap : (a, b) -> (b, a)

The pair obtained from a pair by permuting the order of the argument pair's first and second fields.

dupe : a -> (a, a)

Duplicate a single value into a pair.

> dupe 12 == (12, 12)

fst3: (a, b, c) -> a

Extract the 'fst' of a triple.

snd3: (a, b, c) -> b

Extract the 'snd' of a triple.

thd3: (a, b, c) -> c

Extract the final element of a triple.

curry3: ((a, b, c) -> d) -> a -> b -> c -> d

Converts an uncurried function to a curried function.

uncurry3: (a -> b -> c -> d) -> (a, b, c) -> d

Converts a curried function to a function on a triple.

## 2.3.34 Module DA. Validation

Validation type and associated functions.

## 2.3.34.1 Data Types

data Validation err a

A Validation represents either a non-empty list of errors, or a successful value. This generalizes Either to allow more than one error to be collected.

Errors (NonEmpty err)

Success a

instance Applicative (Validation err)

instance Functor (Validation err)

instance (Eq err, Eq a) => Eq (Validation err a)

instance (Show err, Show a) => Show (Validation err a)

#### 2.3.34.2 Functions

invalid : err -> Validation err a
 Fail for the given reason.

ok: a -> Validation err a

Succeed with the given value.

validate : Either err a -> Validation err a
Turn an Either into a Validation.

run: Validation err a -> Either (NonEmpty err) a

Convert a Validation err a value into an Either, taking the non-empty list of errors as the left value.

run1: Validation err a -> Either err a

Convert a Validation err a value into an Either, taking just the first error as the left value.

runWithDefault: a -> Validation err a -> a

Run a Validation err a with a default value in case of errors.

(<?>) : Optional b -> Text -> Validation Text b

Convert an Optional tinto a Validation Text t, or more generally into an m t for any ActionFail type m.

# 2.4 Testing using scenarios

Daml has a built-in mechanism for testing templates called scenarios.

Scenarios emulate the ledger. You can specify a linear sequence of actions that various parties take, and these are evaluated in order, according to the same consistency, authorization, and privacy rules as they would be on the sandbox ledger or ledger server. *Daml Studio* shows you the resulting Transaction graph.

For more on how scenarios work, see the Examples below.

#### 2.4.1 Scenario syntax

#### 2.4.1.1 Scenarios

```
example = scenario do
```

A scenario emulates the ledger, in order to test that a Daml template or sequence of templates are working as they should.

It consists of a sequence of transactions to be submitted to the ledger (after do), together with success or failure assertions.

#### 2.4.1.2 Transaction submission

```
-- Creates an instance of the Payout contract, authorized by "Alice" submit alice do
```

The submit function attempts to submit a transaction to the ledger on behalf of a Party.

For example, a transaction could be *creating* a contract on the ledger, or *exercising* a choice on an existing contract.

#### 2.4.1.3 Asserting transaction failure

```
submitMustFail alice do
  exercise payAlice Call
```

The submitMustFail function asserts that submitting a transaction to the ledger would fail.

This is essentially the same as submit, except that the scenario tests that the action doesn't work.

#### 2.4.1.4 Full syntax

For detailed syntax, see Reference: scenarios.

#### 2.4.2 Running scenarios in Daml Studio

When you load a file that includes scenarios into *Daml Studio*, it displays a Scenario results link above the scenario. Click the link to see a representation of the ledger after the scenario has run.

### 2.4.3 Examples

#### 2.4.3.1 Simple example

A very simple scenario looks like this:

```
example =
  scenario do
  -- Creates the party Alice
  alice <- getParty "Alice"
  -- Creates an instance of the Payout contract, authorized by "Alice"
  submit alice do
    create Payout
    -- There's only one party: "Alice" is both the receiver and giver.
    with receiver = alice; giver = alice</pre>
```

In this example, there is only one transaction, authorized by the party Alice (created using getParty "Alice"). The ledger update is a create, and has to include the arguments for the template (Payout with receiver = alice; giver = alice).

#### 2.4.3.2 Example with two updates

This example tests a contract that gives both parties an explicit opportunity to agree to their obligations.

```
example =
  scenario do
    -- Bank of England creates a contract giving Alice the option
    -- to be paid.
    bankOfEngland <- getParty "Bank of England"
    alice <- getParty "Alice"
    payAlice <- submit bankOfEngland do</pre>
```

```
create CallablePayout with
   receiver = alice; giver = bankOfEngland

-- Alice exercises the contract, and receives payment.
submit alice do
   exercise payAlice Call
```

In the first transaction of the scenario, party bankOfEngland (created using getParty "Bank of England") creates a CallablePayout contract with alice as the receiver and bankOfEngland as the giver.

When the contract is submitted to the ledger, it is given a unique contract identifier of type ContractId CallablePayout.payAlice <- assigns that identifier to the variable payAlice.

In the second statement, exercise payAlice Call, is an exercise of the Call choice on the contract identified by payAlice. This causes a Payout agreement with her as the receiver to be written to the ledger.

The workflow described by the above scenario models both parties explicitly exercising their rights and accepting their obligations:

Party "Bank of England" is assumed to know the definition of the CallablePayout contract template and the consequences of submitting a contract to the ledger.

Party "Alice" is assumed to know the definition of the contract template, as well as the consequences of exercising the Call choice on it. If "Alice" does not want to receive five pounds, she can simply not exercise that choice.

#### 2.4.3.3 Example with submitMustFail

Because exercising a contract (by default) archives a contract, once party "Alice" exercises the Call choice, she will be unable to exercise it again.

To test this expectation, use the submitMustFail function:

```
exampleDoubleCall =
  scenario do
    bankOfEngland <- getParty "Bank of England"</pre>
    alice <- getParty "Alice"</pre>
    -- Bank of England creates a contract giving Alice the option
    -- to be paid.
    payAlice <- submit bankOfEngland do</pre>
      create CallablePayout with
        receiver = alice; giver = bankOfEngland
    -- Alice exercises the contract, and receives payment.
    submit alice do
      exercise payAlice Call
    -- If Alice tries to exercise the contract again, it must
    -- fail.
    submitMustFail alice do
      exercise payAlice Call
```

When the Call choice is exercised, the contract is archived. The fails keyword checks that if 'Alice' submits exercise payAlice Call again, it would fail.

# 2.5 Troubleshooting

### 2.5.1 Error: "<X> is not authorized to commit an update"

This error occurs when there are multiple obligables on a contract.

A cornerstone of Daml is that you cannot create a contract that will force some other party (or parties) into an obligation. This error means that a party is trying to do something that would force another parties into an agreement without their consent.

To solve this, make sure each party is entering into the contract freely by exercising a choice. A good way of ensuring this is the initial and accept pattern: see the Daml patterns for more details.

#### 2.5.2 Error "Argument is not of serializable type"

This error occurs when you're using a function as a parameter to a template. For example, here is a contract that creates a Payout controller by a receiver's supervisor:

```
template SupervisedPayout
  with
    supervisor : Party -> Party
    receiver : Party
    giver : Party
    amount : Decimal
  where
    controller (supervisor receiver) can
    SupervisedPayout_Call
    returning ContractId Payout
    to create Payout with giver; receiver; amount
```

Hovering over the compilation error displays:

```
[Type checker] Argument expands to non-serializable type Party -> Party.
```

#### 2.5.3 Modeling questions

#### 2.5.3.1 How to model an agreement with another party

To enter into an agreement, create a contract from a template that has explicit signatory and agreement statements.

You'll need to use a series of contracts that give each party the chance to consent, via a contract choice.

Because of the rules that Daml enforces, it is not possible for a single party to create an instance of a multi-party agreement. This is because such a creation would force the other parties into that agreement, without giving them a choice to enter it or not.

#### 2.5.3.2 How to model rights

Use a contract choice to model a right. A party exercises that right by exercising the choice.

#### 2.5.3.3 How to void a contract

To allow voiding a contract, provide a choice that does not create any new contracts. Daml contracts are archived (but not deleted) when a consuming choice is made - so exercising the choice effectively voids the contract.

However, you should bear in mind who is allowed to void a contract, especially without the re-sought consent of the other signatories.

# 2.5.3.4 How to represent off-ledger parties

You'd need to do this if you can't set up all parties as ledger participants, because the Daml Party type gets associated with a cryptographic key and can so only be used with parties that have been set up accordingly.

To model off-ledger parties in Daml, they must be represented on-ledger by a participant who can sign on their behalf. You could represent them with an ordinary Text argument.

This isn't very private, so you could use a numeric ID/an accountId to identify the off-ledger client.

#### 2.5.3.5 How to limit a choice by time

Some rights have a time limit: either a time by which it must be exercised or a time before which it cannot be exercised.

You can use getTime to get the current time, and compare your desired time to it. Use assert to abort the choice if your time condition is not met.

#### 2.5.3.6 How to model a mandatory action

If you want to ensure that a party takes some action within a given time period. Might want to incur a penalty if they don't - because that would breach the contract.

For example: an Invoice that must be paid by a certain date, with a penalty (could be something like an added interest charge or a penalty fee). To do this, you could have a time-limited Penalty choice that can only be exercised after the time period has expired.

However, note that the penalty action can only ever create another contract on the ledger, which represents an agreement between all parties that the initial contract has been breached. Ultimately, the recourse for any breach is legal action of some kind. What Daml provides is provable violation of the agreement.

#### 2.5.3.7 When to use Optional

The Optional type, from the standard library, to indicate that a value is optional, i.e, that in some cases it may be missing.

In functional languages, Optional is a better way of indicating a missing value than using the more familiar value NULL, present in imperative languages like Java.

To use Optional, include Optional.daml from the standard library:

#### import DA.Optional

Then, you can create Optional values like this:

```
Some "Some text" -- Optional value exists.

None -- Optional value does not exist.
```

You can test for existence in various ways:

```
-- isSome returns True if there is a value.

if isSome m

then "Yes"

else "No"

-- The inverse is isNone.

if isNone m

then "No"

else "Yes"
```

If you need to extract the value, use the optional function.

It returns a value of a defined type, and takes a Optional value and a function that can transform the value contained in a Some value of the Optional to that type. If it is missing optional also takes a value of the return type (the default value), which will be returned if the Optional value is None

```
let f = \ (i : Int) -> "The number is " <> (show i)
let t = optional "No number" f someValue
```

If optional Value is Some 5, the value of t would be "The number is 5". If it was None, t would be "No number". Note that with optional, it is possible to return a different type from that contained in the Optional value. This makes the Optional type very flexible.

There are many other functions in Optional.daml that let you perform familiar functional operations on structures that contain Optional values - such as map, filter, etc. on Lists of Optional values.

# 2.5.4 Testing questions

#### 2.5.4.1 How to test that a contract is visible to a party

Use a submit block and a fetch operation. The submit block tests that the contract (as a ContractId) is visible to that party, and the fetch tests that it is valid, i.e., that the contract does exist.

For example, if we wanted to test for the existence and visibility of an Invoice, visible to 'Alice', whose ContractId is bound to invoiceCid, we could say:

```
submit alice do
result <- fetch invoiceCid
```

You could also check (in the submit block) that the contract has some expected values:

```
assert (result == (Invoice with
  payee = alice
  payer = acme
  amount = 130.0
  service = "A job well done"
  timeLimit = datetime 1970 Feb 20 0 0 0))
```

using an equality test and an assert:

```
submit alice do
  result <- fetch invoiceCid
  assert (result == (Invoice with
    payee = alice
    payer = acme
    amount = 130.0
    service = "A job well done"
    timeLimit = datetime 1970 Feb 20 0 0 0))</pre>
```

#### 2.5.4.2 How to test that an update action cannot be committed

Use the submitMustFail function. This is similar in form to the submit function, but is an assertion that an update will fail if attempted by some Party.

# 2.6 Good design patterns

Patterns have been useful in the programming world, as both a source of design inspiration, and a document of good design practices. This document is a catalog of Daml patterns intended to provide the same facility in the Daml application world.

You can checkout the examples locally via daml new daml-patterns --template daml-patterns.

Initiate and Accept The Initiate and Accept pattern demonstrates how to start a bilateral workflow. One party initiates by creating a proposal or an invite contract. This gives another party the chance to accept, reject or renegotiate.

Multiple party agreement The Multiple Party Agreement pattern uses a Pending contract as a wrapper for the Agreement contract. Any one of the signatory parties can kick off the workflow by creating a Pending contract on the ledger, filling in themselves in all the signatory fields. The Agreement contract is not created on the ledger until all parties have agreed to the Pending contract, and replaced the initiator's signature with their own.

**Delegation** The Delegation pattern gives one party the right to exercise a choice on behalf of another party. The agent can control a contract on the ledger without the principal explicitly committing the action.

**Authorization** The Authorization pattern demonstrates how to make sure a controlling party is authorized before they take certain actions.

Locking The Locking pattern exhibits how to achieve locking safely and efficiently in Daml. Only the specified locking party can lock the asset through an active and authorized action. When a contract is locked, some or all choices specified on that contract may not be exercised.

#### 2.6.1 Initiate and Accept

The Initiate and Accept pattern demonstrates how to start a bilateral workflow. One party initiates by creating a proposal or an invite contract. This gives another party the chance to accept, reject or renegotiate.

#### 2.6.1.1 Motivation

It takes two to tango, but one party has to initiate. There is no difference in business world. The contractual relationship between two businesses often starts with an invite, a business proposal, a bid offering, etc.

**Invite** When a market operator wants to set up a market, they need to go through an on-boarding process, in which they invite participants to sign master service agreements and fulfill different roles in the market. Receiving participants need to evaluate the rights and responsibilities of each role and respond accordingly.

**Propose** When issuing an asset, an issuer is making a business proposal to potential buyers. The proposal lays out what is expected from buyers, and what they can expect from the issuer. Buyers need to evaluate all aspects of the offering, e.g. price, return, and tax implications, before making a decision.

The Initiate and Accept pattern demonstrates how to write a Daml program to model the initiation of an inter-company contractual relationship. Daml modelers often have to follow this pattern to ensure no participants are forced into an obligation.

# 2.6.1.2 Implementation

The Initiate and Accept pattern in general involves 2 contracts:

**Initiate contract** The Initiate contract can be created from a role contract or any other point in the workflow. In this example, initiate contract is the proposal contract *CoinIssueProposal* the issuer created from the master contract *CoinMaster*.

```
template CoinMaster
  with
   issuer: Party
where
  signatory issuer

controller issuer can
  nonconsuming Invite : ContractId CoinIssueProposal
  with owner: Party
  do create CoinIssueProposal
    with coinAgreement = CoinIssueAgreement with issuer; owner
```

The CoinIssueProposal contract has Issuer as the signatory, and Owner as the controller to the Accept choice. In its complete form, the CoinIssueProposal contract should define all choices available to the owner, i.e. Accept, Reject or Counter (e.g. re-negotiate terms).

```
template CoinIssueProposal
  with
    coinAgreement: CoinIssueAgreement
  where
    signatory coinAgreement.issuer

    controller coinAgreement.owner can
        AcceptCoinProposal
        : ContractId CoinIssueAgreement
        do create coinAgreement
```

**Result contract** Once the owner exercises the AcceptCoinProposal choice on the initiate contract to express their consent, it returns a result contract representing the agreement between the two parties. In this example, the result contract is of type CoinIssueAgreement. Note, it has both issuer and owner as the signatories, implying they both need to consent to the creation of this contract. Both parties could be controller(s) on the result contract, depending on the business case.

```
template CoinIssueAgreement
  with
    issuer: Party
    owner: Party
  where
    signatory issuer, owner

    controller issuer can
    nonconsuming Issue : ContractId Coin
       with amount: Decimal
       do create Coin with issuer; owner; amount; delegates = []
```

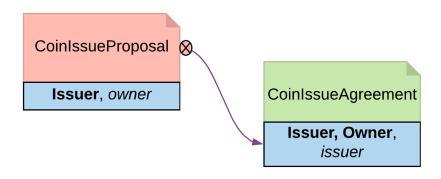


Fig. 1: Initiate and Accept pattern diagram

#### 2.6.1.3 Trade-offs

Initiate and Accept can be quite verbose if signatures from more than two parties are required to progress the workflow.

#### 2.6.2 Multiple party agreement

The Multiple Party Agreement pattern uses a Pending contract as a wrapper for the Agreement contract. Any one of the signatory parties can kick off the workflow by creating a Pending contract on the ledger, filling in themselves in all the signatory fields. The Agreement contract is not created on the ledger until all parties have agreed to the Pending contract, and replaced the initiator's signature with their own.

#### 2.6.2.1 Motivation

The *Initiate and Accept* shows how to create bilateral agreements in Daml. However, a project or a workflow often requires more than two parties to reach a consensus and put their signatures on a multi-party contract. For example, in a large construction project, there are at least three major stakeholders: Owner, Architect and Builder. All three parties need to establish agreement on key responsibilities and project success criteria before starting the construction.

If such an agreement were modeled as three separate bilateral agreements, no party could be sure if there are conflicts between their two contracts and the third contract between their partners. If the *Initiate and Accept* were used to collect three signatures on a multi-party agreement, unnecessary restrictions would be put on the order of consensus and a number of additional contract templates would be needed as the intermediate steps. Both solution are suboptimal.

Following the Multiple Party Agreement pattern, it is easy to write an agreement contract with multiple signatories and have each party accept explicitly.

#### 2.6.2.2 Implementation

**Agreement contract** The Agreement contract represents the final agreement among a group of stakeholders. Its content can vary per business case, but in this pattern, it always has multiple signatories.

```
template Agreement
  with
    signatories: [Party]
  where
    signatory signatories
    ensure
    unique signatories
  -- The rest of the template to be agreed to would follow here
```

**Pending contract** The *Pending* contract needs to contain the contents of the proposed *Agreement* contract, as a parameter. This is so that parties know what they are agreeing to, and also so that when all parties have signed, the *Agreement* contract can be created.

The Pending contract has a list of parties who have signed it, and a list of parties who have yet to sign it. If you add these lists together, it has to be the same set of parties as the signatories of the Agreement contract.

All of the toSign parties have the choice to Sign. This choice checks that the party is indeed a member of toSign, then creates a new instance of the Pending contract where they have been moved to the signed list.

```
template Pending
  with
    finalContract: Agreement
    alreadySigned: [Party]
  where
    signatory alreadySigned
    observer finalContract.signatories
      -- Can't have duplicate signatories
      unique alreadySigned
    -- The parties who need to sign is the finalContract.signatories \square
→with alreadySigned filtered out
    let toSign = filter (`notElem` alreadySigned) finalContract.
→signatories
    choice Sign : ContractId Pending with
        signer : Party
      controller signer
          -- Check the controller is in the toSign list, and if they
→are, sign the Pending contract
          assert (signer `elem` toSign)
          create this with alreadySigned = signer :: alreadySigned
```

Once all of the parties have signed, any of them can create the final Agreement contract using the Finalize choice. This checks that all of the signatories for the Agreement have signed the Pending contract.

```
choice Finalize : ContractId Agreement with
    signer : Party
    controller signer
    do
        -- Check that all the required signatories have signed
    →Pending
    assert (sort alreadySigned == sort finalContract.signatories)
        create finalContract
```

Collecting the signatures in practice Since the final Pending contract has multiple signatories, it cannot be created in that state by any one stakeholder.

However, a party can create a pending contract, with all of the other parties in the toSign list.

Once the Pending contract is created, the other parties can sign it. For simplicity, the example code only has choices to express consensus (but you might want to add choices to Accept, Reject, or Negotiate).

```
-- Each signatory of the finalContract can Sign the Pending contract

pending <- person2 `submit` do

    exercise pending Sign with signer = person2

pending <- person3 `submit` do

    exercise pending Sign with signer = person3

pending <- person4 `submit` do

    exercise pending Sign with signer = person4

-- A party can't sign the Pending contract twice

pendingFailTest <- person3 `submitMustFail` do

    exercise pending Sign with signer = person3

-- A party can't sign on behalf of someone else

pendingFailTest <- person3 `submitMustFail` do

    exercise pending Sign with signer = person4
```

Once all of the parties have signed the Pending contract, any of them can then exercise the Finalize choice. This creates the Agreement contract on the ledger.

```
person1 `submit` do
  exercise pending Finalize with signer = person1
```

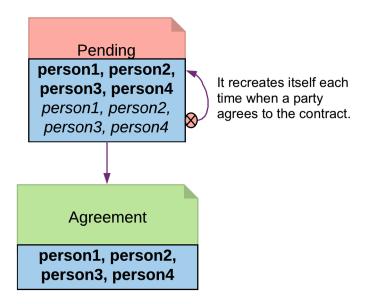


Fig. 2: Multiple Party Agreement Diagram

# 2.6.3 Delegation

The Delegation pattern gives one party the right to exercise a choice on behalf of another party. The agent can control a contract on the ledger without the principal explicitly committing the action.

#### 2.6.3.1 Motivation

Delegation is prevalent in the business world. In fact, the entire custodian business is based on delegation. When a company chooses a custodian bank, it is effectively giving the bank the rights to hold their securities and settle transactions on their behalf. The securities are not legally possessed by the custodian banks, but the banks should have full rights to perform actions in the client's name, such as making payments or changing investments.

The Delegation pattern enables Daml modelers to model the real-world business contractual agreements between custodian banks and their customers. Ownership and administration rights can be segregated easily and clearly.

#### 2.6.3.2 Implementation

**Pre-condition**: There exists a contract, on which controller Party A has a choice and intends to delegate execution of the choice to Party B. In this example, the owner of a *Coin* contract intends to delegate the *Transfer* choice.

```
--the original contract
template Coin
with
owner: Party
```

```
issuer: Party
   amount: Decimal
   delegates : [Party]
   signatory issuer, owner
   observer delegates
   controller owner can
     Transfer : ContractId TransferProposal
       with newOwner: Party
       do
           create TransferProposal
            with coin=this; newOwner
     Lock : ContractId LockedCoin
       with maturity: Time; locker: Party
       do create LockedCoin with coin=this; maturity; locker
     Disclose : ContractId Coin
       with p : Party
       do create this with delegates = p :: delegates
   --a coin can only be archived by the issuer under the condition that \[ \]
→the issuer is the owner of the coin. This ensures the issuer cannot□
→archive coins at will.
   controller issuer can
     Archives
       : ()
       do assert (issuer == owner)
```

#### **Delegation Contract**

Principal, the original coin owner, is the signatory of delegation contract CoinPoA. This signatory is required to authorize the Transfer choice on coin.

```
template CoinPoA
  with
   attorney: Party
  principal: Party
where
  signatory principal

  controller principal can
   WithdrawPoA
  : ()
    do return ()
```

Whether or not the Attorney party should be a signatory of CoinPoA is subject to the business agreements between Principal and Attorney. For simplicity, in this example, Attorney is not a signatory.

Attorney is the controller of the Delegation choice on the contract. Within the choice, Prin-

cipal exercises the choice Transfer on the Coin contract.

```
controller attorney can
nonconsuming TransferCoin
: ContractId TransferProposal
with
coinId: ContractId Coin
newOwner: Party
do
exercise coinId Transfer with newOwner
```

Coin contracts need to be disclosed to Attorney before they can be used in an exercise of Transfer. This can be done by adding Attorney to Coin as an Observer. This can be done dynamically, for any specific Coin, by making the observers a List, and adding a choice to add a party to that List:

```
Disclose : ContractId Coin
  with p : Party
  do create this with delegates = p :: delegates
```

**Note:** The technique is likely to change in the future. Daml is actively researching future language features for contract disclosure.

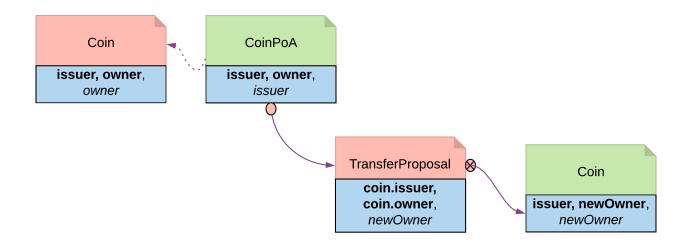


Fig. 3: Delegation pattern diagram

#### 2.6.4 Authorization

The Authorization pattern demonstrates how to make sure a controlling party is authorized before they take certain actions.

#### 2.6.4.1 Motivation

Authorization is an universal concept in the business world as access to most business resources is a privilege, and not given freely. For example, security trading may seem to be a plain bilateral agreement between the two trading counterparties, but this could not be further from truth. To be able to trade, the trading parties need go through a series of authorization processes and gain permission

from a list of service providers such as exchanges, market data streaming services, clearing houses and security registrars etc.

The Authorization pattern shows how to model these authorization checks prior to a business transaction.

#### 2.6.4.2 Authorization

Here is an implementation of a Coin transfer without any authorization:

```
template Coin
 with
   owner: Party
   issuer: Party
   amount: Decimal
   delegates : [Party]
 where
   signatory issuer, owner
   observer delegates
   controller owner can
      Transfer : ContractId TransferProposal
       with newOwner: Party
       do
            create TransferProposal
             with coin=this; newOwner
     Lock : ContractId LockedCoin
       with maturity: Time; locker: Party
       do create LockedCoin with coin=this; maturity; locker
     Disclose : ContractId Coin
       with p : Party
       do create this with delegates = p :: delegates
    --a coin can only be archived by the issuer under the condition that□
→the issuer is the owner of the coin. This ensures the issuer cannot□
→archive coins at will.
   controller issuer can
     Archives
        : ()
        do assert (issuer == owner)
```

This is may be insufficient since the issuer has no means to ensure the newOwner is an accredited company. The following changes fix this deficiency.

**Authorization contract** The below shows an authorization contract *CoinOwnerAuthorization*. In this example, the issuer is the only signatory so it can be easily created on the ledger. Owner is an observer on the contract to ensure they can see and use the authorization.

```
template CoinOwnerAuthorization
```

```
with
  owner: Party
  issuer: Party
where
  signatory issuer
  observer owner

controller issuer can
  WithdrawAuthorization
  : ()
  do return ()
```

Authorization contracts can have much more advanced business logic, but in its simplest form, CoinOwnerAuthorization serves its main purpose, which is to prove the owner is a warranted coin owner.

**TransferProposal contract** In the TransferProposal contract, the Accept choice checks that newOwner has proper authorization. A *CoinOwnerAuthorization* for the new owner has to be supplied and is checked by the two assert statements in the choice before a coin can be transferred.

```
controller newOwner can
AcceptTransfer
: ContractId Coin
with token: ContractId CoinOwnerAuthorization
do
    t <- fetch token
    assert (coin.issuer == t.issuer)
    assert (newOwner == t.owner)
    create coin with owner = newOwner</pre>
```

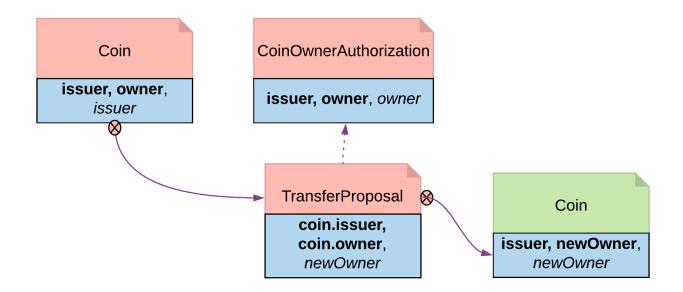


Fig. 4: Authorization Diagram

#### 2.6.5 Locking

The Locking pattern exhibits how to achieve locking safely and efficiently in Daml. Only the specified locking party can lock the asset through an active and authorized action. When a contract is locked, some or all choices specified on that contract may not be exercised.

#### 2.6.5.1 Motivation

Locking is a common real-life requirement in business transactions. During the clearing and settlement process, once a trade is registered and novated to a central Clearing House, the trade is considered locked-in. This means the securities under the ownership of seller need to be locked so they cannot be used for other purposes, and so should be the funds on the buyer's account. The locked state should remain throughout the settlement Payment versus Delivery process. Once the ownership is exchanged, the lock is lifted for the new owner to have full access.

#### 2.6.5.2 Implementation

There are three ways to achieve locking:

#### Locking by archiving

**Pre-condition**: there exists a contract that needs to be locked and unlocked. In this section, *Coin* is used as the original contract to demonstrate locking and unlocking.

```
template Coin
 with
    owner: Party
    issuer: Party
    amount: Decimal
    delegates : [Party]
    signatory issuer, owner
    observer delegates
    controller owner can
      Transfer : ContractId TransferProposal
        with newOwner: Party
        do
            create TransferProposal
             with coin=this; newOwner
    --a coin can only be archived by the issuer under the condition that \square
\hookrightarrowthe issuer is the owner of the coin. This ensures the issuer cannot \square
→archive coins at will.
    controller issuer can
      Archives
        : ()
        do assert (issuer == owner)
```

Archiving is a straightforward choice for locking because once a contract is archived, all choices on the contract become unavailable. Archiving can be done either through consuming choice or archiving contract.

#### Consuming choice

The steps below show how to use a consuming choice in the original contract to achieve locking:

Add a consuming choice, Lock, to the Coin template that creates a LockedCoin.

The controller party on the Lock may vary depending on business context. In this example, owner is a good choice.

The parameters to this choice are also subject to business use case. Normally, it should have at least locking terms (eg. lock expiry time) and a party authorized to unlock.

```
Lock : ContractId LockedCoin
with maturity: Time; locker: Party
do create LockedCoin with coin=this; maturity; locker
```

Create a LockedCoin to represent Coin in the locked state. LockedCoin has the following characteristics, all in order to be able to recreate the original Coin:

- The signatories are the same as the original contract.
- It has all data of Coin, either through having a Coin as a field, or by replicating all data of Coin.
- It has an Unlock choice to lift the lock.

```
template LockedCoin
with
coin: Coin
maturity: Time
locker: Party
where
signatory coin.issuer, coin.owner

controller locker can
Unlock
: ContractId Coin
do create coin
```

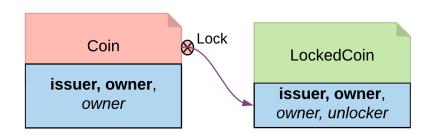


Fig. 5: Locking By Consuming Choice Diagram

#### **Archiving contract**

In the event that changing the original contract is not desirable and assuming the original contract already has an Archive choice, you can introduce another contract, CoinCommitment, to archive Coin and create LockedCoin.

Examine the controller party and archiving logic in the Archives choice on the Coin contract. A

coin can only be archived by the issuer under the condition that the issuer is the owner of the coin. This ensures the issuer cannot archive any coin at will.

```
controller issuer can
Archives
: ()
do assert (issuer == owner)
```

Since we need to call the Archives choice from CoinCommitment, its signatory has to be Issuer.

```
template CoinCommitment
  with
  owner: Party
  issuer: Party
  amount: Decimal
  where
  signatory issuer
```

The controller party and parameters on the *Lock* choice are the same as described in locking by consuming choice. The additional logic required is to transfer the asset to the issuer, and then explicitly call the *Archive* choice on the *Coin* contract.

Once a Coin is archived, the Lock choice creates a LockedCoin that represents Coin in locked state.

```
controller owner can
     nonconsuming LockCoin
       : ContractId LockedCoin
       with coinCid: ContractId Coin
            maturity: Time
            locker: Party
       do
         inputCoin <- fetch coinCid
         assert (inputCoin.owner == owner && inputCoin.issuer == issuer &&
→ inputCoin.amount == amount )
         --the original coin firstly transferred to issuer and then□
→archivaed
         prop <- exercise coinCid Transfer with newOwner = issuer</pre>
           id <- exercise prop AcceptTransfer
           exercise id Archives
         --create a lockedCoin to represent the coin in locked state
         create LockedCoin with
           coin=inputCoin with owner; issuer; amount
           maturity; locker
```

#### Trade-offs

This pattern achieves locking in a fairly straightforward way. However, there are some tradeoffs.

Locking by archiving disables all choices on the original contract. Usually for consuming choices this is exactly what is required. But if a party needs to selectively lock only some choices, remaining active choices need to be replicated on the *LockedCoin* contract, which can lead to code duplication.

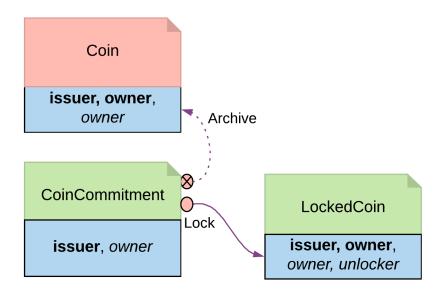


Fig. 6: Locking By Archiving Contract Diagram

The choices on the original contract need to be altered for the lock choice to be added. If this contract is shared across multiple participants, it will require agreement from all involved.

# Locking by state

The original Coin template is shown below. This is the basis on which to implement locking by state

```
template Coin
 with
    owner: Party
    issuer: Party
   amount: Decimal
   delegates : [Party]
 where
    signatory issuer, owner
   observer delegates
    controller owner can
      Transfer : ContractId TransferProposal
        with newOwner: Party
        do
            create TransferProposal
             with coin=this; newOwner
    --a coin can only be archived by the issuer under the condition that \[ \]
\hookrightarrowthe issuer is the owner of the coin. This ensures the issuer cannot \square
→archive coins at will.
    controller issuer can
      Archives
```

```
: ()
do assert (issuer == owner)
```

In its original form, all choices are actionable as long as the contract is active. Locking by State requires introducing fields to track state. This allows for the creation of an active contract in two possible states: locked or unlocked. A Daml modeler can selectively make certain choices actionable only if the contract is in unlocked state. This effectively makes the asset lockable.

The state can be stored in many ways. This example demonstrates how to create a *LockableCoin* through a party. Alternatively, you can add a lock contract to the asset contract, use a boolean flag or include lock activation and expiry terms as part of the template parameters.

Here are the changes we made to the original Coin contract to make it lockable.

Add a locker party to the template parameters.

Define the states.

- if owner == locker, the coin is unlocked
- if owner!= locker, the coin is in a locked state

The contract state is checked on choices.

- Transfer choice is only actionable if the coin is unlocked
- Lock choice is only actionable if the coin is unlocked and a 3rd party locker is supplied
- Unlock is available to the locker party only if the coin is locked

```
template LockableCoin
 with
   owner: Party
    issuer: Party
   amount: Decimal
   locker: Party
 where
   signatory issuer
   signatory owner
   ensure amount > 0.0
    --Transfer can happen only if it is not locked
   controller owner can
      Transfer : ContractId TransferProposal
       with newOwner: Party
       do
            assert (locker == owner)
            create TransferProposal
             with coin=this; newOwner
      --Lock can be done if owner decides to bring a locker on board
      Lock : ContractId LockableCoin
       with newLocker: Party
          assert (newLocker /= owner)
          create this with locker = newLocker
```

```
--Unlock only makes sense if the coin is in locked state

controller locker can

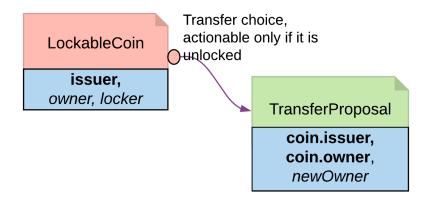
Unlock
: ContractId LockableCoin

do

assert (locker /= owner)

create this with locker = owner
```

#### Locking By State Diagram



#### Trade-offs

It requires changes made to the original contract template. Furthermore you should need to change all choices intended to be locked.

If locking and unlocking terms (e.g. lock triggering event, expiry time, etc) need to be added to the template parameters to track the state change, the template can get overloaded.

#### Locking by safekeeping

Safekeeping is a realistic way to model locking as it is a common practice in many industries. For example, during a real estate transaction, purchase funds are transferred to the sellers lawyer's escrow account after the contract is signed and before closing. To understand its implementation, review the original *Coin* template first.

```
template Coin
  with
  owner: Party
  issuer: Party
  amount: Decimal
  delegates : [Party]
  where
    signatory issuer, owner
  observer delegates

controller owner can
```

```
Transfer : ContractId TransferProposal
    with newOwner: Party
    do
        create TransferProposal
        with coin=this; newOwner

--a coin can only be archived by the issuer under the condition that□
    →the issuer is the owner of the coin. This ensures the issuer cannot□
    →archive coins at will.
    controller issuer can
    Archives
    : ()
    do assert (issuer == owner)
```

There is no need to make a change to the original contract. With two additional contracts, we can transfer the *Coin* ownership to a locker party.

Introduce a separate contract template LockRequest with the following features:

- LockRequest has a locker party as the single signatory, allowing the locker party to unilaterally initiate the process and specify locking terms.
- Once owner exercises Accept on the lock request, the ownership of coin is transferred to the locker.
- The Accept choice also creates a LockedCoinV2 that represents Coin in locked state.

```
template LockRequest
 with
   locker: Party
   maturity: Time
   coin: Coin
 where
   signatory locker
   controller coin.owner can
     Accept : LockResult
        with coinCid : ContractId Coin
          inputCoin <- fetch coinCid</pre>
          assert (inputCoin == coin)
          tpCid <- exercise coinCid Transfer with newOwner = locker
          coinCid <- exercise tpCid AcceptTransfer</pre>
          lockCid <- create LockedCoinV2 with locker; maturity; coin</pre>
          return LockResult {coinCid; lockCid}
```

LockedCoinV2 represents Coin in the locked state. It is fairly similar to the LockedCoin described in Consuming choice. The additional logic is to transfer ownership from the locker back to the owner when Unlock or Clawback is called.

```
template LockedCoinV2
  with
    coin: Coin
```

```
maturity: Time
 locker: Party
where
  signatory locker, coin.owner
  controller locker can
    UnlockV2
      : ContractId Coin
      with coinCid : ContractId Coin
        inputCoin <- fetch coinCid</pre>
        assert (inputCoin.owner == locker)
        tpCid <- exercise coinCid Transfer with newOwner = coin.owner</pre>
        exercise tpCid AcceptTransfer
 controller coin.owner can
    ClawbackV2
      : ContractId Coin
      with coinCid : ContractId Coin
        currTime <- getTime</pre>
        assert (currTime >= maturity)
        inputCoin <- fetch coinCid</pre>
        assert (inputCoin == coin with owner=locker)
        tpCid <- exercise coinCid Transfer with newOwner = coin.owner
        exercise tpCid AcceptTransfer
```

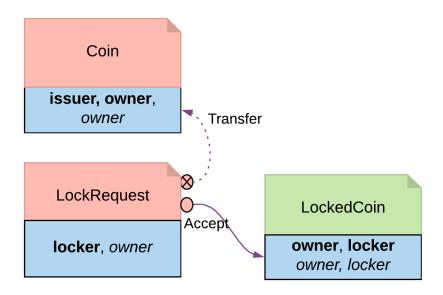
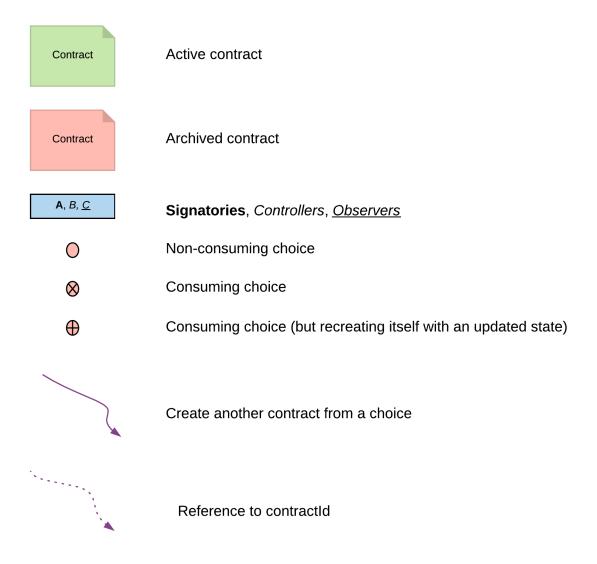


Fig. 7: Locking By Safekeeping Diagram

#### Trade-offs

Ownership transfer may give the locking party too much access on the locked asset. A rogue lawyer could run away with the funds. In a similar fashion, a malicious locker party could introduce code to transfer assets away while they are under their ownership.

# 2.6.6 Diagram legends

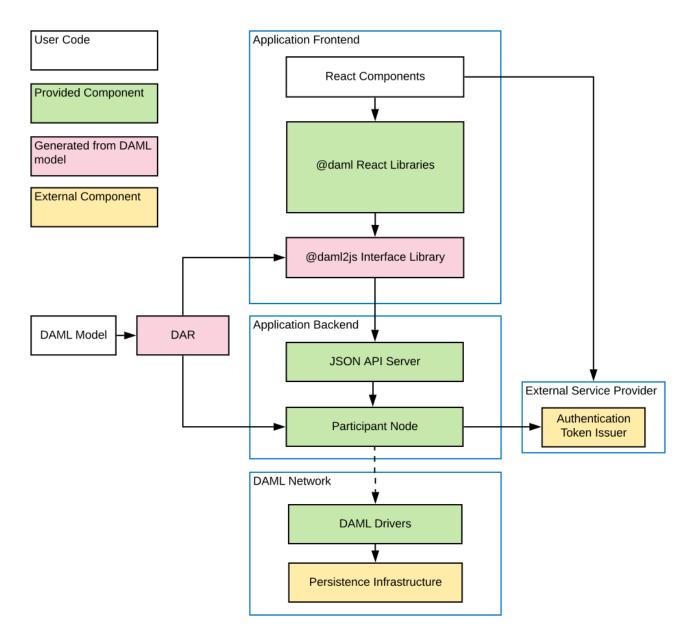


# **Chapter 3**

# **Building applications**

# 3.1 Application architecture

This section describes our recommended design of a full-stack Daml application.



The above image shows the recommended architecture. Of course there are many ways how you can change the architecture and technology stack to fit your needs, which we'll mention in the corresponding sections. Note that the Participant Node is integrated into the Daml drivers in some cases rather than being part of the Application Backend. See *Daml Ecosystem Overview* for more details.

To get started quickly with the recommended application architecture, generate a new project using the create-daml-app template:

```
daml new --template=create-daml-app my-project-name
```

create-daml-app is a small, but fully functional demo application implementing the recommended architecture, providing you with an excellent starting point for your own application. It showcases

using Daml React libraries quick iteration against the *Daml Sandbox*. authorization deploying your application in the cloud as a Docker container

#### 3.1.1 Backend

The backend for your application can be any Daml ledger implementation running your DAR (Daml Archive) file.

We recommend using the Daml JSON API as an interface to your frontend. It is served by the HTTP JSON API server connected to the ledger API server. It provides simple HTTP endpoints to interact with the ledger via GET/POST requests. However, if you prefer, you can also use the gRPC Ledger API directly.

When you use the create-daml-app template application, you can start a Daml Sandbox together with a JSON API server by running

```
daml start --start-navigator=no
```

in the root of the project. Daml Sandbox exposes the same Daml Ledger API a Participant Node would expose without requiring a fully-fledged Daml network to back the application. Once your application matures and becomes ready for production, the daml deploy command helps you deploy your frontend and Daml artifacts of your project to a production Daml network. See Deploying to Daml Ledgers for an in depth manual for specific ledgers.

#### 3.1.2 Frontend

We recommended building your frontend with the React framework. However, you can choose virtually any language for your frontend and interact with the ledger via HTTP JSON endpoints. In addition, we provide support libraries for Java and Scala and you can also interact with the gRPC Ledger API directly.

We provide two libraries to build your React frontend for a Daml application.

Name	Summary
@daml/react	React hooks to query/create/exercise Daml contracts
@daml/ledger	Daml ledger object to connect and directly submit commands to the ledger

You can install any of these libraries by running npm install library> in the ui directory of your project, e.g. npm install @daml/react. Please explore the create-daml-app example project to see the usage of these libraries.

To make your life easy when interacting with the ledger, the Daml assistant can generate JavaScript libraries with TypeScript typings from the data types declared in the deployed DAR.

```
daml codegen js .daml/dist/<your-project-name.dar> -o ui/daml.js
```

This command will generate a JavaScript library for each DALF in you DAR, containing meta-data about types and templates in the DALF and TypeScript typings them. In create-daml-app, ui/package.json refers to these libraries via the "create-daml-app": "file:../daml.js/create-daml-app-0.1.0" entry in the dependencies field.

If you choose a different JavaScript based frontend framework, the packages @daml/ledger, @daml/types and the generated daml.js libraries provide you with the necessary code to connect and issue commands against your ledger.

#### 3.1.3 Authorization

When you deploy your application to a production ledger, you need to authenticate the identities of your users.

Daml ledgers support a unified interface for authorization of commands. Some Daml ledgers, like for example https://projectdabl.com, offer integrated authentication and authorization, but you can also use an external service provider like https://auth0.com. The Daml react libraries support interfacing with a Daml ledger that validates authorization of incoming requests. Simply initialize your DamlLedger object with the token obtained by the respective token issuer. How authorization works and the form of the required tokens is described in the *Authorization* section.

# 3.1.4 Developer workflow

The SDK enables a local development environment with fast iteration cycles:

- 1. The integrated VSCode IDE (daml studio) runs your Scripts on any change to your Daml models. See Daml scenarios.
- 2. daml start will build all of your Daml code, generate the JavaScript bindings, and start the required backend processes (sandbox and HTTP JSON API). It will also allow you to press r (followed by Enter on Windows) to rebuild your code, regenerate the JavaScript bindings and upload the new code to the running ledger.
- 3. npm start will watch your JavaScript source files for change and recompile them immediately when they are saved.

Together, these features can provide you with very tight feedback loops while developing your Daml application, all the way from your Daml contracts up to your web UI. A typical Daml developer workflow is to

- 1. Make a small change to your Daml data model
- 2. Optionally test your Daml code with scenarios
- 3. Edit your React components to be aligned with changes made in Daml code
- 4. Extend the UI to make use of the newly introduced feature
- 5. Make further changes either to your Daml and/or React code until you're happy with what you've developed



See Your First Feature for a more detailed walkthrough of these steps.

#### 3.1.4.1 Command deduplication

The interaction of a Daml application with the ledger is inherently asynchronous: applications send commands to the ledger, and some time later they see the effect of that command on the ledger.

There are several things that can fail during this time window: the application can crash, the participant node can crash, messages can be lost on the network, or the ledger may be just slow to respond due to a high load.

If you want to make sure that a command is not executed twice, your application needs to robustly handle all the various failure scenarios. Daml ledgers provide a mechanism for *command deduplication* to help deal with this problem.

For each command application provide a command ID and an optional parameter that specifies the deduplication time. If the latter parameter is not specified in the command submission itself, the ledger will fall back to using the configured maximum deduplication time. The ledger will then guarantee that commands for the same submitting party and command ID will be ignored within the deduplication time window.

To use command deduplication, you should:

Use generous values for the deduplication time. It should be large enough such that you can assume the command was permanently lost if the deduplication time has passed and you still don't observe any effect of the command on the ledger (i.e. you don't see a transaction with the command ID via the *transaction service*).

Make sure you set command IDs deterministically, that is to say: the same command must use the same command ID. This is useful for the recovery procedure after an application crash/restart, in which the application inspects the state of the ledger (e.g. via the Active contracts service) and sends commands to the ledger. When using deterministic command IDs, any commands that had been sent before the application restart will be discarded by the ledger to avoid duplicate submissions.

If you are not sure whether a command was submitted successfully, just resubmit it. If the new command was submitted within the deduplication time window, the duplicate submission will safely be ignored. If the deduplication time window has passed, you can assume the command was lost or rejected and a new submission is justified.

For more details on command deduplication, see the Ledger API Services documentation.

#### 3.1.4.2 Failing over between Ledger API endpoints

Some Daml Ledgers support exposing multiple eventually consistent Ledger API endpoints where command deduplication works across these Ledger API endpoints. For example, these endpoints might be hosted by separate Ledger API servers that replicate the same data and host the same parties. Contact your ledger operator to find out whether this applies to your ledger.

Below we describe how you can build your application such that it can switch between such eventually consistent Ledger API endpoints to tolerate server failures. You can do this using the following two steps.

First, your application must keep track of the last ledger offset received from the transaction service or the command completion service. When switching to a new Ledger API endpoint, it must resume consumption of the transaction (tree) and/or the command completion streams starting from this last received offset.

Second, your application must retry on OUT\_OF\_RANGE errors (see gRPC status codes) received from a stream subscription – using an appropriate backoff strategy to avoid overloading the server. Such errors can be raised because of eventual consistency. The Ledger API endpoint that the application is newly subscribing to might be behind the endpoint that it subscribed to before the switch, and needs time to catch up. Thanks to eventual consistency this is guaranteed to happen at some point in the future.

Once the application successfully subscribes to its required streams on the new endpoint, it will resume normal operation.

#### 3.1.4.3 Dealing with time

The Daml language contains a function getTime which returns a rough estimate of current time called Ledger Time. The notion of time comes with a lot of problems in a distributed setting: different participants might run different clocks, there may be latencies due to calculation and network, clocks may drift against each other over time, etc.

In order to provide a useful notion of time in Daml without incurring severe performance or liveness penalties, Daml has two notions of time: Ledger Time and Record Time:

As part of command interpretation, each transaction is automatically assigned a *Ledger Time* by the participant server.

All calls to getTime within a transaction return the Ledger Time assigned to that transaction. Ledger Time is chosen (and validated) to respect Causal Monotonicity: The Create action on a contract c always precedes all other actions on c in Ledger Time.

As part of the commit/synchronization protocol of the underlying infrastructure, every transaction is assigned a Record Time, which can be thought of as the infrastructures system time. It's the best available notion of real time, but the only guarantees on it are the guarantees the underlying infrastructure can give. It is also not known at interpretation time.

Ledger Time is kept close to real time by bounding it against Record Time. Transactions where Ledger and Record Time are too far apart are rejected.

Some commands might take a long time to process, and by the time the resulting transaction is about to be committed to the ledger, it might violate the condition that Ledger Time should be reasonably close to Record Time (even when considering the ledger's tolerance interval). To avoid such problems, applications can set the optional parameters min\_ledger\_time\_abs or min\_ledger\_time\_rel that specify (in absolute or relative terms) the minimal Ledger Time for the transaction. The ledger will then process the command, but wait with committing the resulting transaction until Ledger Time fits within the ledger's tolerance interval.

How is this used in practice?

Be aware that getTime is only reasonably close to real time, and not completely monotonic. Avoid Daml workflows that rely on very accurate time measurements or high frequency time changes.

Set min\_ledger\_time\_abs or min\_ledger\_time\_rel if the duration of command interpretation and transmission is likely to take a long time relative to the tolerance interval set by the ledger.

In some corner cases, the participant node may be unable to determine a suitable Ledger Time by itself. If you get an error that no Ledger Time could be found, check whether you have contention on any contract referenced by your command or whether the referenced contracts are sensitive to small changes of getTime.

For more details, see Background concepts - time.

# 3.2 JavaScript Client Libraries

The JavaScript Client Libraries are the recommended way to build a frontend for a Daml application. The JavaScript Code Generator can automatically generate JavaScript containing metadata about Daml packages that is required to use these libraries. We provide an integration for the React framework with the @daml/react library. However, you can choose any JavaScript/TypeScript based framework and use the @daml/ledger library directly to connect and interact with a Daml ledger via its HTTP JSON API.

The @daml/types library contains TypeScript data types corresponding to primitive Daml data types, such as Party or Text. It is used by the @daml/react and @daml/ledger libraries.

# 3.2.1 JavaScript Code Generator

The command daml codegen js generates JavaScript (and TypeScript) that can be used in conjunction with the JavaScript Client Libraries for interacting with a Daml ledger via the HTTP JSON API.

Inputs to the command are DAR files. Outputs are JavaScript packages with TypeScript typings containing metadata and types for all Daml packages included in the DAR files.

The generated packages use the library @daml/types.

#### 3.2.1.1 Usage

In outline, the command to generate JavaScript and TypeScript typings from Daml is daml codegen js -o OUTDIR DAR where DAR is the path to a DAR file (generated via daml build) and OUTDIR is a directory where you want the artifacts to be written.

Here's a complete example on a project built from the standard skeleton template.

```
daml new my-proj --template skeleton # Create a new project based off the

⇒skeleton template
cd my-proj # Enter the newly created project directory
daml build # Compile the project's Daml files into a DAR
daml codegen js -o daml.js .daml/dist/my-proj-0.0.1.dar # Generate

→JavaScript packages in the daml.js directory
```

#### On execution of these commands:

- The directory my-proj/daml.js contains generated JavaScript packages with Type-Script typings;
- The files are arranged into directories;
- One of those directories will be named my-proj-0.0.1 and will contain the definitions corresponding to the Daml files in the project;
- For example, daml.js/my-proj-0.0.1/lib/index.js provides access to the definitions for daml/Main.daml;
- The remaining directories correspond to modules of the Daml standard library;
- Those directories have numeric names (the names are hashes of the Daml-LF package they are derived from).

To get a quickstart idea of how to use what has been generated, you may wish to jump to the Templates and choices section and return to the reference material that follows as needed.

#### 3.2.1.2 Primitive Daml types: @daml/types

To understand the TypeScript typings produced by the code generator, it is helpful to keep in mind this quick review of the TypeScript equivalents of the primitive Daml types provided by @daml/types.

#### Interfaces:

```
Template<T extends object, K = unknown>
Choice<T extends object, C, R, K = unknown>
```

#### Types:

Daml	TypeScript	TypeScript definition
()	Unit	{}
Bool	Bool	boolean
Int	Int	string
Decimal	Decimal	string
Numeric $ u$	Numeric	string
Text	Text	string
Time	Time	string
Party	Party	string
$[\tau]$	List< <i>T</i> >	τ[]
Date	Date	string
ContractId	ContractId< $ au>$	string
$\mid \tau \mid$		
Optional $ au$	Optional<\tau>	null   (null extends $\tau$ ? []   [Exclude $<\tau$ ,
		$ \text{null}  : \tau$
TextMap $ au$	TextMap< $ au>$	{ [key: string]: $\tau$ }
$(\tau_1, \tau_2)$	Tuple <sub>2</sub> < $ au_1$ ,	$\{ 1: \tau_1; 2: \tau_2 \}$
	$ au_2 >$	

Note: The types given in the TypeScript column are defined in @daml/types.

**Note:** For n-tuples where n 3, representation is analogous with the pair case (the last line of the table).

**Note:** The TypeScript types Time, Decimal, Numeric and Int all alias to string. These choices relate to the avoidance of precision loss under serialization over the json-api.

Note: The TypeScript definition of type Optional < au> in the above table might look complicated. It accounts for differences in the encoding of optional values when nested versus when they are not (i.e. top-level ). For example, null and "foo" are two possible values of Optional <Text> whereas, [] and ["foo"] are two possible values of type Optional <Optional <Text>> (null is another possible value, [null] is not).

#### 3.2.1.3 Daml to TypeScript mappings

The mappings from Daml to TypeScript are best explained by example.

#### Records

In Daml, we might model a person like this.

```
data Person =
Person with
```

```
name: Text
party: Party
age: Int
```

Given the above definition, the generated TypeScript code will be as follows.

```
type Person = {
  name: string;
  party: daml.Party;
  age: daml.Int;
}
```

#### **Variants**

This is a Daml type for a language of additive expressions.

```
data Expr a =
Lit a
    | Var Text
    | Add (Expr a, Expr a)
```

In TypeScript, it is represented as a discriminated union.

#### Sum-of-products

Let's slightly modify the Expr a type of the last section into the following.

```
data Expr a =

Lit a

Var Text
Add {lhs: Expr a, rhs: Expr a}
```

Compared to the earlier definition, the Add case is now in terms of a record with fields lhs and rhs. This renders in TypeScript like so.

```
10 }
11 }
```

The thing to note is how the definition of the Add case has given rise to a record type definition Expr.Add.

#### **Enums**

Given a Daml enumeration like this,

```
data Color = Red | Blue | Yellow
```

the generated TypeScript will consist of a type declaration and the definition of an associated companion object.

```
type Color = 'Red' | 'Blue' | 'Yellow'

const Color = {
   Red: 'Red',
   Blue: 'Blue',
   Yellow: 'Yellow',
   keys: ['Red','Blue','Yellow'],
} as const;
```

#### Templates and choices

Here is a Daml template of a basic 'IOU' contract.

```
template Iou
    with
2
       issuer: Party
       owner: Party
       currency: Text
       amount: Decimal
    where
       signatory issuer
8
       choice Transfer: ContractId Iou
         with
10
           newOwner: Party
         controller owner
12
         do
13
           create this with owner = newOwner
```

The daml codegen is command generates types for each of the choices defined on the template as well as the template itself.

```
type Transfer = {
  newOwner: daml.Party;
}
```

```
type Iou = {
   issuer: daml.Party;
   owner: daml.Party;
   currency: string;
   amount: daml.Numeric;
}
```

Each template results in the generation of a companion object. Here, is a schematic of the one generated from the Iou template<sup>2</sup>.

```
const Iou: daml.Template<Iou, undefined> & {
   Archive: daml.Choice<Iou, DA_Internal_Template.Archive, {}, undefined>;
   Transfer: daml.Choice<Iou, Transfer, daml.ContractId<Iou>, undefined>;
} = {
   /* ... */
}
```

The exact details of these companion objects are not important - think of them as representing metadata .

What **is** important is the use of the companion objects when creating contracts and exercising choices using the @daml/ledger package. The following code snippet demonstrates their usage.

```
import Ledger from '@daml/ledger';
   import {Iou, Transfer} from /* ... */;
   const ledger = new Ledger(/* ... */);
5
   // Contract creation; Bank issues Alice a USD $1MM IOU.
6
   const iouDetails: Iou = {
     issuer: 'Chase',
     owner: 'Alice',
10
     currency: 'USD',
11
     amount: 1000000.0,
12
13
   const aliceIouCreateEvent = await ledger.create(Iou, iouDetails);
14
   const aliceIouContractId = aliceIouCreateEvent.contractId;
16
   // Choice execution; Alice transfers ownership of the IOU to Bob.
17
18
   const transferDetails: Transfer = {
19
    newOwner: 'Bob',
20
   const [bobIouContractId, ] = await ledger.exercise(Transfer, [])
22
   →aliceIouContractId, transferDetails);
```

Observe on line 14, the first argument to create is the Iou companion object and on line 22, the first argument to exercise is the Transfer companion object.

The undefined type parameter captures the fact that Iou has no contract key.

## 3.2.2 @daml/react

@daml/react documentation

## 3.2.3 @daml/ledger

@daml/ledger documentation

## 3.2.4 @daml/types

@daml/types documentation

## 3.3 HTTP JSON API Service

The **JSON API** provides a significantly simpler way to interact with a ledger than the Ledger API by providing basic active contract set functionality:

creating contracts, exercising choices on contracts, querying the current active contract set, and retrieving all known parties.

The goal of this API is to get your distributed ledger application up and running quickly, so we have deliberately excluded complicating concerns including, but not limited to:

inspecting transactions, asynchronous submit/completion workflows, temporal queries (e.g. active contracts as of a certain time), and

For these and other features, use the Ledger API instead.

We welcome feedback about the JSON API on our issue tracker, or on our forum.

### 3.3.1 Daml-LF JSON Encoding

We describe how to decode and encode Daml-LF values as JSON. For each Daml-LF type we explain what JSON inputs we accept (decoding), and what JSON output we produce (encoding).

The output format is parameterized by two flags:

encodeDecimalAsString: boolean
encodeInt64AsString: boolean

The suggested defaults for both of these flags is false. If the intended recipient is written in JavaScript, however, note that the JavaScript data model will decode these as numbers, discarding data in some cases; encode-as-String avoids this, as mentioned with respect to JSON.parse below. For that reason, the HTTP JSON API Service uses true for both flags.

Note that throughout the document the decoding is type-directed. In other words, the same JSON value can correspond to many Daml-LF values, and the expected Daml-LF type is needed to decide which one.

## 3.3.1.1 ContractId

Contract ids are expressed as their string representation:

```
"123"
"XYZ"
"foo:bar#baz"
```

#### 3.3.1.2 Decimal

#### Input

Decimals can be expressed as JSON numbers or as JSON strings. JSON strings are accepted using the same format that JSON accepts, and treated them as the equivalent JSON number:

```
-?(?:0|[1-9]\d*)(?:\.\d+)?(?:[eE][+-]?\d+)?
```

Note that JSON numbers would be enough to represent all Decimals. However, we also accept strings because in many languages (most notably JavaScript) use IEEE Doubles to express JSON numbers, and IEEE Doubles cannot express Daml-LF Decimals correctly. Therefore, we also accept strings so that JavaScript users can use them to specify Decimals that do not fit in IEEE Doubles.

Numbers must be within the bounds of Decimal, [–(10<sup>38</sup>–1) 10<sup>10</sup>, (10<sup>38</sup>–1) 10<sup>10</sup>]. Numbers outside those bounds will be rejected. Numbers inside the bounds will always be accepted, using banker's rounding to fit them within the precision supported by Decimal.

### A few valid examples:

### A few invalid examples:

### Output

If encodeDecimalAsString is set, decimals are encoded as strings, using the format  $-?[0-9]\{1, 28\} (\.[0-9]\{1,10\})?$ . If encodeDecimalAsString is not set, they are encoded as JSON numbers, also using the format  $-?[0-9]\{1,28\} (\.[0-9]\{1,10\})?$ .

Note that the flag encodeDecimalAsString is useful because it lets JavaScript consumers consume Decimals safely with the standard JSON.parse.

#### 3.3.1.3 Int64

#### Input

Int64, much like Decimal, can be represented as JSON numbers and as strings, with the string representation being [+-]?[0-9]+. The numbers must fall within [-9223372036854775808, 9223372036854775807]. Moreover, if represented as JSON numbers, they must have no fractional part.

### A few valid examples:

```
42
"+42"
-42
0
-0
9223372036854775807
"9223372036854775808"
"-9223372036854775808"
```

### A few invalid examples:

```
42.3
+42
9223372036854775808
-9223372036854775809
"garbage"
" 42 "
```

## Output

If encodeInt64AsString is set, Int64s are encoded as strings, using the format -?[0-9]+. If encodeInt64AsString is not set, they are encoded as JSON numbers, also using the format -?[0-9]+.

Note that the flag encodeInt64AsString is useful because it lets JavaScript consumers consume Int64s safely with the standard  ${\tt JSON.parse}$ .

### 3.3.1.4 Timestamp

### Input

Timestamps are represented as ISO 8601 strings, rendered using the format yyyy-mm-ddThh:mm:ss.sssssz:

```
1990-11-09T04:30:23.123456Z
9999-12-31T23:59:59.999999Z
```

Parsing is a little bit more flexible and uses the format yyyy-mm-ddThh:mm:ss(\.s+)?Z, i.e. it's OK to omit the microsecond part partially or entirely, or have more than 6 decimals. Sub-second data beyond microseconds will be dropped. The UTC timezone designator must be included. The rationale behind the inclusion of the timezone designator is minimizing the risk that users pass in local times. Valid examples:

```
1990-11-09T04:30:23.1234569Z

1990-11-09T04:30:23Z

1990-11-09T04:30:23.123Z

0001-01-01T00:00:00Z

9999-12-31T23:59:59.999999Z
```

The timestamp must be between the bounds specified by Daml-LF and ISO 8601, [0001-01-01T00:00:00Z, 9999-12-31T23:59:59.999999Z].

## JavaScript

```
> new Date().toISOString()
'2019-06-18T08:59:34.191Z'
```

## Python

#### Java

```
import java.time.Instant;
class Main {
    public static void main(String[] args) {
        Instant instant = Instant.now();
        // prints 2019-06-18T09:02:16.652Z
        System.out.println(instant.toString());
    }
}
```

## Output

Timestamps are encoded as ISO 8601 strings, rendered using the format yyyy-mm-ddThh:mm:ss[.sssss]Z.

The sub-second part will be formatted as follows:

If no sub-second part is present in the timestamp (i.e. the timestamp represents whole seconds), the sub-second part will be omitted entirely;

If the sub-second part does not go beyond milliseconds, the sub-second part will be up to milliseconds, padding with trailing 0s if necessary;

Otherwise, the sub-second part will be up to microseconds, padding with trailing 0s if necessary.

In other words, the encoded timestamp will either have no sub-second part, a sub-second part of length 3, or a sub-second part of length 6.

### 3.3.1.5 Party

Represented using their string representation, without any additional quotes:

```
"Alice"
"Bob"
```

#### 3.3.1.6 Unit

Represented as empty object  $\{\}$ . Note that in JavaScript  $\{\}$  !==  $\{\}$ ; however, null would be ambiguous; for the type Optional Unit, null decodes to None, but  $\{\}$  decodes to Some ().

Additionally, we think that this is the least confusing encoding for Unit since unit is conceptually an empty record. We do not want to imply that Unit is used similarly to null in JavaScript or None in Python.

### 3.3.1.7 Date

Represented as an ISO 8601 date rendered using the format yyyy-mm-dd:

```
2019-06-18
9999-12-31
0001-01-01
```

The dates must be between the bounds specified by Daml-LF and ISO 8601, [0001-01-01, 9999-99-99].

#### 3.3.1.8 Text

Represented as strings.

### 3.3.1.9 Bool

Represented as booleans.

#### 3.3.1.10 Record

#### Input

Records can be represented in two ways. As objects:

```
\{ f_1: v_1, \ldots, f \square: v \square \}
```

And as arrays:

```
[ v_1, \ldots, v_{\square} ]
```

Note that Daml-LF record fields are ordered. So if we have

```
record Foo = {f1: Int64, f2: Bool}
```

when representing the record as an array the user must specify the fields in order:

```
[42, true]
```

The motivation for the array format for records is to allow specifying tuple types closer to what it looks like in Daml. Note that a Daml tuple, i.e. (42, True), will be compiled to a Daml-LF record Tuple2 {  $_1 = 42$ ,  $_2 = True$  }.

## Output

Records are always encoded as objects.

#### 3.3.1.11 List

Lists are represented as

```
[v_1, \ldots, v_{\square}]
```

### 3.3.1.12 TextMap

TextMaps are represented as objects:

```
  \{ k_1: v_1, \ldots, k_{\square}: v_{\square} \}
```

### 3.3.1.13 GenMap

GenMaps are represented as lists of pairs:

```
[ [k_1, v_1], [k\square, v\square] ]
```

Order does not matter. However, any duplicate keys will cause the map to be treated as invalid.

### 3.3.1.14 Optional

### Input

Optionals are encoded using null if the value is None, and with the value itself if it's Some. However, this alone does not let us encode nested optionals unambiguously. Therefore, nested Optionals are encoded using an empty list for None, and a list with one element for Some. Note that after the top-level Optional, all the nested ones must be represented using the list notation.

A few examples, using the form

```
JSON --> Daml-LF : Expected Daml-LF type
```

to make clear what the target Daml-LF type is:

```
: Optional Int64
null
       --> None
null
       --> None
                                 : Optional (Optional Int64)
       --> Some 42
                                 : Optional Int64
42
       --> Some None
                                : Optional (Optional Int64)
[]
                                : Optional (Optional Int64)
[42]
       --> Some (Some 42)
       --> Some (Some None)
                                : Optional (Optional Int64))
[[]]
[[42]]
       --> Some (Some (Some 42)) : Optional (Optional Int64))
```

Finally, if Optional values appear in records, they can be omitted to represent None. Given Daml-LF types

```
record Depth1 = { foo: Optional Int64 }
record Depth2 = { foo: Optional (Optional Int64) }
```

We have

```
{ }
               --> Depth1 { foo: None }
                                                  : Depth1
                                                  : Depth2
{ }
               --> Depth2 { foo: None }
               --> Depth1 { foo: Some 42 }
                                                 : Depth1
{ foo: 42 }
{ foo: [42] }
              --> Depth2 { foo: Some (Some 42) } : Depth2
{ foo: null }
               --> Depth1 { foo: None }
                                                  : Depth1
{ foo: null }
               --> Depth2 { foo: None }
                                                  : Depth2
{ foo: [] }
                    Depth2 { foo: Some None }
                                                     Depth2
```

Note that the shortcut for records and Optional fields does not apply to Map (which are also represented as objects), since Map relies on absence of key to determine what keys are present in the Map to begin with. Nor does it apply to the  $[f_1, \ldots, f_{\square}]$  record form; Depthl None in the array notation must be written as [null].

Type variables may appear in the Daml-LF language, but are always resolved before deciding on a JSON encoding. So, for example, even though Oa doesn't appear to contain a nested Optional, it may contain a nested Optional by virtue of substituting the type variable a:

In other words, the correct JSON encoding for any LF value is the one you get when you have eliminated all type variables.

#### Output

Encoded as described above, never applying the shortcut for None record fields; e.g. { foo: None } will always encode as { foo: null }.

#### 3.3.1.15 Variant

Variants are expressed as

```
{ tag: constructor, value: argument }
```

For example, if we have

```
variant Foo = Bar Int64 | Baz Unit | Quux (Optional Int64)
```

These are all valid JSON encodings for values of type Foo:

```
{"tag": "Bar", "value": 42}
{"tag": "Baz", "value": {}}
{"tag": "Quux", "value": null}
{"tag": "Quux", "value": 42}
```

Note that Daml data types with named fields are compiled by factoring out the record. So for example if we have

```
data Foo = Bar {f1: Int64, f2: Bool} | Baz
```

### We'll get in Daml-LF

```
record Foo.Bar = {f1: Int64, f2: Bool}
variant Foo = Bar Foo.Bar | Baz Unit
```

#### and then, from JSON

```
{"tag": "Bar", "value": {"f1": 42, "f2": true}}
{"tag": "Baz", "value": {}}
```

This can be encoded and used in TypeScript, including exhaustiveness checking; see a type refinement example.

#### 3.3.1.16 Enum

Enums are represented as strings. So if we have

```
enum Foo = Bar | Baz
```

There are exactly two valid JSON values for Foo, Bar and Baz.

## 3.3.2 Query language

The body of POST /v1/query looks like so:

```
{
   "templateIds": [...template IDs...],
   "query": {...query elements...}
}
```

The elements of that query are defined here.

### 3.3.2.1 Fallback rule

Unless otherwise required by one of the other rules below or to follow, values are interpreted according to Daml-LF JSON Encoding, and compared for equality.

All types are supported by this simple equality comparison except:

```
lists
textmaps
genmaps
```

## 3.3.2.2 Simple equality

Match records having at least all the (potentially nested) keys expressed in the query. The result record may contain additional properties.

```
Typecheck failure: { person: { name: ["Bob", "Sue"] }, city: "London" }
```

A JSON object, when considered with a record type, is always interpreted as a field equality query. Its type context is thus mutually exclusive with comparison queries.

## 3.3.2.3 Comparison query

Match values on comparison operators for int64, numeric, text, date, and time values. Instead of a value, a key can be an object with one or more operators:  $\{ <op>: value \}$  where <op> can be:

```
"%lt" for less than
"%gt" for greater than
"%lte" for less than or equal to
"%gte" for greater than or equal to
```

"%lt" and "%lte" may not be used at the same time, and likewise with "%gt" and "%gte", but all other combinations are allowed.

```
Example: { "person" { "dob": { "%lt": "2000-01-01", "%gte": "1980-01-01" } }

    Match: { person: { dob: "1986-06-21" } }
    No match: { person: { dob: "1976-06-21" } }
    No match: { person: { dob: "2006-06-21" } }
```

These operators cannot occur in objects interpreted in a record context, nor may other keys than these four operators occur where they are legal, so there is no ambiguity with field equality.

### 3.3.2.4 Appendix: Type-aware queries

#### This section is non-normative.

This is not a JSON query language, it is a Daml-LF query language. So, while we could theoretically treat queries (where not otherwise interpreted by the may contain additional properties rule above) without concern for what LF type (i.e. template) we're considering, we will not do so.

Consider the subquery { "foo": "bar" }. This query conforms to types, among an unbounded number of others:

```
record A [ { foo : Text }
record B [ { foo : Optional Text }
variant C [ foo : Party | bar : Unit

// NB: LF does not require any particular case for VariantCon or Field;
// these are perfectly legal types in Daml-LF packages
```

In the cases of A and B, "foo" is part of the query language, and only "bar" is treated as an LF value; in the case of C, the whole query is treated as an LF value. The wide variety of ambiguous interpretations about what elements are interpreted, and what elements treated as literal, and how those elements are interpreted or compared, would preclude many techniques for efficient query compilation and LF value representation that we might otherwise consider.

Additionally, it would be extremely easy to overlook unintended meanings of queries when writing them, and impossible in many cases to suppress those unintended meanings within the query language. For example, there is no way that the above query could be written to match A but never C.

For these reasons, as with LF value input via JSON, queries written in JSON are also always interpreted with respect to some specified LF types (e.g. template IDs). For example:

```
{
    "templateIds": ["Foo:A", "Foo:B", "Foo:C"],
    "query": {"foo": "bar"}
}
```

will treat "foo" as a field equality query for A and B, and (supposing templates' associated data types were permitted to be variants, which they are not, but for the sake of argument) as a whole value equality query for C.

The above Typecheck failure happens because there is no LF type to which both "Bob" and ["Bob", "Sue"] conform; this would be caught when interpreting the query, before considering any contracts.

## 3.3.3 Running the JSON API

## 3.3.3.1 Start a Daml Ledger

You can run the JSON API alongside any ledger exposing the gRPC Ledger API you want. If you don't have an existing ledger, you can start an in-memory sandbox:

```
daml new my_project --template quickstart-java cd my_project daml build daml sandbox --wall-clock-time --ledgerid MyLedger ./.daml/dist/quickstart--0.0.1.dar
```

#### 3.3.3.2 Start the HTTP JSON API Service

#### Basic

The most basic way to start the JSON API is with the command:

```
daml json-api --ledger-host localhost --ledger-port 6865 --http-port 7575
```

This will start the JSON API on port 7575 and connect it to a ledger running on localhost: 6865.

**Note:** Your JSON API service should never be exposed to the internet. When running in production the JSON API should be behind a reverse proxy, such as via NGINX.

## Standalone JAR

The daml <code>json-api</code> command is great during development since it is included with the SDK and integrates with <code>daml start</code> and other commands. Once you are ready to deploy your application, you can download the standalone JAR from Github releases. It is much smaller than the whole SDK and easier to deploy since it only requires a JVM but no other dependencies and no installation process. The JAR accepts exactly the same command line parameters as <code>daml json-api</code>, so to start the standalone JAR, you can use the following command:

```
java -jar http-json-1.5.0.jar --ledger-host localhost --ledger-port 6865 -- \hookrightarrowhttp-port 7575
```

Replace the version number 1.5.0 by the version of the SDK you are using.

## With Query Store

In production setups, you should configure the JSON API to use a PostgreSQL backend as a cache. The in-memory backend will call the ledger to fetch the entire active contract set for the templates in your query every time so it is generally not recommended to rely on this in production. Note that the PostgreSQL backend acts purely as a cache. It is safe to reinitialize the database at any time.

To enable the PostgreSQL backend you can use the --query-store-jdbc-config flag, an example of which is below.

**Note:** When you use the Query Store you'll want your first run to specify createSchema=true so that all the necessary tables are created. After the first run make sure createSchema=false so that it doesn't attempt to create the tables again.

```
daml json-api --ledger-host localhost --ledger-port 6865 --http-port 7575 \
    --query-store-jdbc-config "driver=org.postgresql.Driver,
    ourl=jdbc:postgresql://localhost:5432/test?&ssl=true,user=postgres,
    opassword=password,createSchema=false"
```

**Note:** The JSON API provides many other useful configuration flags, run daml json-api --help to see all of them.

#### 3.3.3.3 Access Tokens

The JSON API essentially performs two separate tasks:

- 1. It talks to the Ledger API to get data it needs to operate, for this you need to provide an access token if your Ledger requires authorization. Learn more in the Authorization docs.
- 2. It accepts requests from Parties and passes them on to the Ledger API, for this each party needs to provide an access token with each request it sends to the JSON API.

**Note:** By default, the Daml Sandbox does not does not require access tokens. In this case, you can omit the token used by the JSON API to request packages. However, you still need to provide a party-specific access token when submitting commands or queries as a party. The token will not be validated in this case but it will be decoded to extract information like the party submitting the command.

## **Internal Access Token**

This access token is used exclusively by the JSON API service for maintaining the internal list of known packages and templates that it gets from the Ledger API.

**Note:** At no point should this access token be provided to an end user, these are for internal use only.

Every access token is different and will depend on your specific ledger operator's requirements. The JSON API server requires no access to party-specific data, only access to the ledger identity and package services. These services are public meaning that you need a valid token to access them but no party-specific claims nor an admin claim. Please refer to your ledger operator's documentation to find out how to get these tokens from your ledger operator.

Once you have retrieved your access token, you can provide it to the JSON API by storing it in a file and starting daml json-api with the flag --access-token-file /path/to/your/token.file.

If the token cannot be read from the provided path or the Ledger API reports an authentication error (for example due to token expiration), the JSON API will report the error via logging.

**Note:** If the token file is updated with a new token it will be picked up at the next attempt to send a request. You can use this to handle cases where an old token expires without restarting your JSON API service.

## Party-specific Access Tokens

Party-specific requests, i.e., command submissions and queries, require a JWT with some additional restrictions compared to the format described in the Token Payload section here. For command submissions, actAs must contain at least one party and readAs can contain 0 or more parties. Queries require at least one party in either actAs or readAs (note that before SDK 1.7.0, every request required exactly one party and before SDK 1.8.0 actAs was limited to exactly one party). In addition to that, the application id and ledger id are mandatory. HTTP requests pass the token in a header, while WebSocket requests pass the token in a subprotocol.

**Note:** While the JSON API receives the token it doesn't validate it itself. Upon receiving a token it will pass it, and all data contained within the request, on to the Ledger API's AuthService which will then determine if the token is valid and authorized. However, the JSON API does decode the token to extract the ledger id, application id and party so it requires that you use the JWT format documented below.

For a ledger without authorization, e.g., the default configuration of Daml Sandbox, you can use https://jwt.io (or the JWT library of your choice) to generate your token. You can use an arbitrary secret here. The default header is fine. Under Payload , fill in:

```
"https://daml.com/ledger-api": {
    "ledgerId": "MyLedger",
    "applicationId": "foobar",
    "actAs": ["Alice"]
}
```

The value of the <code>ledgerId</code> field has to match the <code>ledgerId</code> of your underlying <code>Daml Ledger</code>. For the <code>Sandbox</code> this corresponds to the <code>--ledgerid</code> <code>MyLedger</code> flag.

Note: The value of applicationId will be used for commands submitted using that token.

The value for actAs is specified as a list and you provide it with the party that you want to use. Such as the example which uses Alice for a party. Each request can only be for one party. For example you couldn't have actAs defined as ["Alice", "Bob"].

The party should reference an already allocated party.

**Note:** As mentioned above the JSON API does not validate tokens so if your ledger runs without authorization you can use an arbitrary secret.

Then the Encoded box should have your **token**, ready for passing to the service as described in the following sections.

Alternatively, here are two tokens you can use for testing:

```
"HTTP-JSON-API-Gateway", "actAs": ["Bob"]}}:

eyJhbGciOiJIUzI1NiIsInR5cCI6IkpXVCJ9.

eyJodHRwczovL2RhbWwuY29tL2x1ZGdlci1hcGkiOnsibGVkZ2VySWQiOiJNeUx1ZGdlciIsImFwcGxpY
```

→ OuPPZtM1AmKvnGixt Qo53cMDcpnziCjKKiWLvMX2VM

#### **Auth via HTTP**

Set HTTP header Authorization: Bearer paste-jwt-here

#### Example:

## Auth via WebSockets

WebSocket clients support a subprotocols argument (sometimes simply called protocols ); this is usually in a list form but occasionally in comma-separated form. Check documentation for your WebSocket library of choice for details.

For HTTP JSON requests, you must pass two subprotocols:

```
daml.ws.auth
jwt.token.paste-jwt-here
```

### Example:

```
jwt.token.eyJhbGciOiJIUzI1NiIsInR5cCI6IkpXVCJ9.

→eyJodHRwczovL2RhbWwuY29tL2xlZGdlci1hcGkiOnsibGVkZ2VySWQiOiJNeUxlZGdlciIsImFwcGxpY

→34zzF_fbWv7p60r5s1kKzwndvGdsJDX-W4Xhm4oVdpk
```

#### 3.3.4 HTTP Status Codes

The **JSON API** reports errors using standard HTTP status codes. It divides HTTP status codes into 3 groups indicating:

- 1. success (200)
- 2. failure due to a client-side problem (400, 401, 404)
- 3. failure due to a server-side problem (500)

The JSON API can return one of the following HTTP status codes:

```
200 - OK
400 - Bad Request (Client Error)
401 - Unauthorized, authentication required
404 - Not Found
500 - Internal Server Error
```

If a client's HTTP GET or POST request reaches an API endpoint, the corresponding response will always contain a JSON object with a status field, either an errors or result field and an optional warnings:

```
{
    "status": <400 | 401 | 404 | 500>,
    "errors": <JSON array of strings>, | "result": <JSON object or array>,
    ["warnings": <JSON object> ]
}
```

### Where:

status – a JSON number which matches the HTTP response status code returned in the HTTP header,
errors – a JSON array of strings, each string represents one error,
result – a JSON object or JSON array, representing one or many results,

See the following blog post for more details about error handling best practices: REST API Error Codes 101.

warnings - an optional field with a JSON object, representing one or many warnings.

## 3.3.4.1 Successful response, HTTP status: 200 OK

```
Content-Type: application/json
Content:
```

```
{
   "status": 200,
   "result": <JSON object>
}
```

## 3.3.4.2 Successful response with a warning, HTTP status: 200 OK

Content-Type: application/json
Content:

```
{
    "status": 200,
    "result": <JSON object>,
    "warnings": <JSON object>
}
```

### 3.3.4.3 Failure, HTTP status: 400 | 401 | 404 | 500

Content-Type: application/json
Content:

```
{
   "status": <400 | 401 | 404 | 500>,
   "errors": <JSON array of strings>
}
```

### 3.3.4.4 Examples

## **Result with JSON Object without Warnings:**

```
{"status": 200, "result": {...}}
```

#### **Result with JSON Array and Warnings:**

```
{"status": 200, "result": [...], "warnings": {"unknownTemplateIds": [
→"UnknownModule:UnknownEntity"]}}
```

## **Bad Request Error:**

```
{"status": 400, "errors": ["JSON parser error: Unexpected character 'f' at□ 

→input index 27 (line 1, position 28)"]}
```

### **Bad Request Error with Warnings:**

```
{"status":400, "errors":["Cannot resolve any template ID from request"],

→"warnings":{"unknownTemplateIds":["XXX:YYY", "AAA:BBB"]}}
```

### **Authentication Error:**

```
{"status": 401, "errors": ["Authentication Required"]}
```

#### **Not Found Error:**

```
{"status": 404, "errors": ["HttpMethod(POST), uri: http://localhost:7575/

→v1/query1"]}
```

#### **Internal Server Error:**

```
{"status": 500, "errors": ["Cannot initialize Ledger API"]}
```

## 3.3.5 Create a new Contract

To create an Iou contract from the Quickstart guide:

```
template Iou
  with
  issuer : Party
  owner : Party
  currency : Text
  amount : Decimal
  observers : [Party]
```

### 3.3.5.1 HTTP Request

```
URL: /v1/create
Method: POST
Content-Type: application/json
Content:
```

```
"templateId": "Iou:Iou",
"payload": {
    "issuer": "Alice",
    "owner": "Alice",
    "currency": "USD",
    "amount": "999.99",
    "observers": []
}
```

Where:

templateId is the contract template identifier, which can be formatted as either:

- "<package ID>:<module>:<entity>" or
- "<module>:<entity>" if contract template can be uniquely identified by its module and entity name.

payload field contains contract fields as defined in the Daml template and formatted according to Daml-LF JSON Encoding.

### 3.3.5.2 HTTP Response

Content-Type: application/json
Content:

```
"status": 200,
"result": {
    "observers": [],
    "agreementText": "",
```

(continues on next page)

(continued from previous page)

```
"payload": {
        "observers": [],
        "issuer": "Alice",
        "amount": "999.99",
        "currency": "USD",
        "owner": "Alice"
     },
      "signatories": [
        "Alice"
     ],
      "contractId": "#124:0",
      "templateId":
     →"11c8f3ace75868d28136adc5cfc1de265a9ee5ad73fe8f2db97510e3631096a2:Iou:Iou
     →"
     }
}
```

#### Where:

status field matches the HTTP response status code returned in the HTTP header, result field contains created contract details. Keep in mind that templateId in the JSON API response is always fully qualified (always contains package ID).

## 3.3.6 Creating a Contract with a Command ID

When creating a new contract you may specify an optional meta field. This allows you to control the commandId used when submitting a command to the ledger.

**Note:** You cannot currently use commandIds anywhere else in the JSON API, but you can use it for observing the results of its commands outside the JSON API in logs or via the Ledger API's Command Services

```
"templateId": "Iou:Iou",
"payload": {
    "observers": [],
    "issuer": "Alice",
    "amount": "999.99",
    "currency": "USD",
    "owner": "Alice"
},
    "meta": {
        "commandId": "a unique ID"
}
```

#### Where:

commandId - optional field, a unique string identifying the command.

## 3.3.7 Exercise by Contract ID

The JSON command below, demonstrates how to exercise an <code>Iou\_Transfer</code> choice on an <code>Iou</code> contract:

```
controller owner can
    Iou_Transfer : ContractId IouTransfer
    with
     newOwner : Party
    do create IouTransfer with iou = this; newOwner
```

### 3.3.7.1 HTTP Request

```
URL: /v1/exercise
Method: POST
Content-Type: application/json
Content:
```

```
"templateId": "Iou:Iou",
    "contractId": "#124:0",
    "choice": "Iou_Transfer",
    "argument": {
         "newOwner": "Alice"
    }
}
```

#### Where:

```
templateId - contract template identifier, same as in create request, contractId - contract identifier, the value from the create response, choice - Daml contract choice, that is being exercised, argument - contract choice argument(s).
```

## 3.3.7.2 HTTP Response

Content-Type: application/json
Content:

(continues on next page)

(continued from previous page)

```
{
                 "created": {
                     "observers": [],
                     "agreementText": "",
                     "payload": {
                         "iou": {
                             "observers": [],
                             "issuer": "Alice",
                             "amount": "999.99",
                             "currency": "USD",
                             "owner": "Alice"
                         "newOwner": "Alice"
                     },
                     "signatories": [
                         "Alice"
                     "contractId": "#201:1",
                     "templateId":
→"11c8f3ace75868d28136adc5cfc1de265a9ee5ad73fe8f2db97510e3631096a2:Iou:IouTransfer
→ "
            }
        ]
    }
}
```

### Where:

status field matches the HTTP response status code returned in the HTTP header, result field contains contract choice execution details:

- exerciseResult field contains the return value of the exercised contract choice,
- events contains an array of contracts that were archived and created as part of the choice execution. The array may contain: zero or many {"archived": {...}} and zero or many {"created": {...}} elements. The order of the contracts is the same as on the ledger.

## 3.3.8 Exercise by Contract Key

The JSON command below, demonstrates how to exercise the Archive choice on the Account contract with a (Party, Text) contract key defined like this:

```
template Account with
  owner : Party
  number : Text
  status : AccountStatus
where
  signatory owner
  key (owner, number) : (Party, Text)
  maintainer key._1
```

### 3.3.8.1 HTTP Request

```
URL: /v1/exercise
Method: POST
Content-Type: application/json
Content:
```

```
{
    "templateId": "Account:Account",
    "key": {
        "_1": "Alice",
        "_2": "abc123"
    },
    "choice": "Archive",
    "argument": {}
}
```

#### Where:

```
templateId - contract template identifier, same as in create request,
key - contract key, formatted according to the Daml-LF JSON Encoding,
choice - Daml contract choice, that is being exercised,
argument - contract choice argument(s), empty, because Archive does not take any.
```

### 3.3.8.2 HTTP Response

Formatted similar to Exercise by Contract ID response.

## 3.3.9 Create and Exercise in the Same Transaction

This command allows creating a contract and exercising a choice on the newly created contract in the same transaction.

## 3.3.9.1 HTTP Request

```
URL: /v1/create-and-exercise
Method: POST
Content-Type: application/json
Content:
```

```
"templateId": "Iou:Iou",
"payload": {
    "observers": [],
    "issuer": "Alice",
    "amount": "999.99",
    "currency": "USD",
    "owner": "Alice"
},
"choice": "Iou_Transfer",
"argument": {
    "newOwner": "Bob"
```

(continues on next page)

(continued from previous page)

```
}
}
```

#### Where:

templateId - the initial contract template identifier, in the same format as in the create request,

payload - the initial contract fields as defined in the Daml template and formatted according to Daml-LF JSON Encoding,

choice - Daml contract choice, that is being exercised, argument - contract choice argument(s).

### 3.3.9.2 HTTP Response

Please note that the response below is for a consuming choice, so it contains:

created and archived events for the initial contract ("contractId": "#1:0"), which was created and archived right away when a consuming choice was exercised on it, a created event for the contract that is the result of exercising the choice ("contractId":

Content-Type: application/json

Content:

"#1:2"**)**.

```
{
 "result": {
    "exerciseResult": "#1:2",
    "events": [
        "created": {
          "observers": [],
          "agreementText": "",
          "payload": {
            "observers": [],
            "issuer": "Alice"
            "amount": "999.99",
            "currency": "USD",
            "owner": "Alice"
          "signatories": [
            "Alice"
          ],
          "contractId": "#1:0",
          "templateId":
→"a3b788b4dc18dc060bfb82366ae6dc055b1e361d646d5cfdb1b729607e344336:Iou:Iou
□ ***
        }
      },
        "archived": {
          "contractId": "#1:0",
          "templateId":
```

→"a3b788b4dc18dc060bfb82366ae6dc055b1e361d646d5cfdb1b729607**(continués on next page)** 

(continued from previous page)

```
}
      },
        "created": {
          "observers": [
            "Bob"
          "agreementText": "",
          "payload": {
            "iou": {
              "observers": [],
              "issuer": "Alice",
              "amount": "999.99",
              "currency": "USD",
              "owner": "Alice"
            },
            "newOwner": "Bob"
          },
          "signatories": [
            "Alice"
          ],
          "contractId": "#1:2",
          "templateId":
→"a3b788b4dc18dc060bfb82366ae6dc055b1e361d646d5cfdb1b729607e344336:Iou:IouTransfer
        }
      }
   ]
 "status": 200
}
```

## 3.3.10 Fetch Contract by Contract ID

## 3.3.10.1 HTTP Request

```
URL: /v1/fetch
Method: POST
Content-Type: application/json
Content:
```

application/json body:

```
{
   "contractId": "#201:1"
}
```

## 3.3.10.2 Contract Not Found HTTP Response

Content-Type: application/json
Content:

```
{
    "status": 200,
    "result": null
}
```

## 3.3.10.3 Contract Found HTTP Response

Content-Type: application/json
Content:

```
"status": 200,
   "result": {
       "observers": [],
       "agreementText": "",
       "payload": {
            "iou": {
                "observers": [],
                "issuer": "Alice",
                "amount": "999.99",
                "currency": "USD",
                "owner": "Alice"
            "newOwner": "Alice"
       } ,
       "signatories": [
            "Alice"
       ],
       "contractId": "#201:1",
       "templateId":
→"11c8f3ace75868d28136adc5cfc1de265a9ee5ad73fe8f2db97510e3631096a2:Iou:IouTransfer
   }
```

## 3.3.11 Fetch Contract by Key

## 3.3.11.1 HTTP Request

```
URL: /v1/fetch
Method: POST
Content-Type: application/json
Content:
```

```
{
    "templateId": "Account:Account",
    "key": {
        "_1": "Alice",
        "_2": "abc123"
}
```

## 3.3.11.2 Contract Not Found HTTP Response

Content-Type: application/json
Content:

```
{
    "status": 200,
    "result": null
}
```

## 3.3.11.3 Contract Found HTTP Response

Content-Type: application/json
Content:

```
{
   "status": 200,
   "result": {
        "observers": [],
        "agreementText": "",
        "payload": {
            "owner": "Alice",
            "number": "abc123",
            "status": {
                "tag": "Enabled",
                "value": "2020-01-01T00:00:01Z"
        },
        "signatories": [
            "Alice"
        ],
        "key": {
            " 1": "Alice",
            " 2": "abc123"
        "contractId": "#697:0",
        "templateId":
→"11c8f3ace75868d28136adc5cfc1de265a9ee5ad73fe8f2db97510e3631096a2:Account:Account
   }
}
```

## 3.3.12 Get all Active Contracts

List all currently active contracts for all known templates.

**Note:** Retrieved contracts do not get persisted into a query store database. Query store is a search index and can be used to optimize search latency. See *Start HTTP service* for information on how to start JSON API service with a query store enabled.

**Note:** You can only query active contracts with the /v1/query endpoint. Archived contracts (those that were archived or consumed during an exercise operation) will not be shown in the results.

## 3.3.12.1 HTTP Request

URL: /v1/query
Method: GET
Content: <EMPTY>

## 3.3.12.2 HTTP Response

The response is the same as for the POST method below.

## 3.3.13 Get all Active Contracts Matching a Given Query

List currently active contracts that match a given query.

### 3.3.13.1 HTTP Request

```
URL: /v1/query
Method: POST
Content-Type: application/json
Content:
```

```
{
    "templateIds": ["Iou:Iou"],
    "query": {"amount": 999.99}
}
```

#### Where:

templateIds - an array of contract template identifiers to search through, query - search criteria to apply to the specified templateIds, formatted according to the Query language.

## 3.3.13.2 Empty HTTP Response

```
Content-Type: application/json
Content:
```

```
{
    "status": 200,
    "result": []
}
```

## 3.3.13.3 Nonempty HTTP Response

```
Content-Type: application/json
Content:
```

```
"result": [
             "observers": [],
             "agreementText": "",
             "payload": {
                 "observers": [],
                 "issuer": "Alice",
                 "amount": "999.99",
                 "currency": "USD",
                 "owner": "Alice"
             },
             "signatories": [
                 "Alice"
             ],
             "contractId": "#52:0",
             "templateId":
→"b10d22d6c2f2fae41b353315cf893ed66996ecb0abe4424ea6a81576918f658a:Iou:Iou
\hookrightarrow "
        }
    "status": 200
}
```

#### Where

result contains an array of contracts, each contract formatted according to Daml-LF JSON Encoding.

status matches the HTTP status code returned in the HTTP header.

### 3.3.13.4 Nonempty HTTP Response with Unknown Template IDs Warning

Content-Type: application/json
Content:

(continues on next page)

(continued from previous page)

```
"Alice"
],
    "contractId": "#52:0",
    "templateId":

-"b10d22d6c2f2fae41b353315cf893ed66996ecb0abe4424ea6a81576918f658a:Iou:Iou
-"
}
],
    "status": 200
}
```

## 3.3.14 Fetch Parties by Identifiers

```
URL: /v1/parties
Method: POST
Content-Type: application/json
Content:
```

```
["Alice", "Bob", "Dave"]
```

If an empty JSON array is passed: [], this endpoint returns BadRequest(400) error:

```
{
   "status": 400,
   "errors": [
     "JsonReaderError. Cannot read JSON: <[]>. Cause: spray.json.
   →DeserializationException: must be a list with at least 1 element"
   ]
}
```

## 3.3.14.1 HTTP Response

Content-Type: application/json
Content:

(continues on next page)

(continued from previous page)

Please note that the order of the party objects in the response is not guaranteed to match the order of the passed party identifiers.

Where

identifier - a stable unique identifier of a Daml party, displayName - optional human readable name associated with the party. Might not be unique, isLocal - true if party is hosted by the backing participant.

## 3.3.14.2 Response with Unknown Parties Warning

Content-Type: application/json
Content:

The result might be an empty JSON array if none of the requested parties is known.

## 3.3.15 Fetch All Known Parties

URL: /v1/parties
Method: GET
Content: <EMPTY>

### 3.3.15.1 HTTP Response

The response is the same as for the POST method above.

## 3.3.16 Allocate a New Party

This endpoint is a JSON API proxy for the Ledger API's *AllocatePartyRequest*. For more information about party management, please refer to *Provisioning Identifiers* part of the Ledger API documentation.

## 3.3.16.1 HTTP Request

URL: /v1/parties/allocate

```
Method: POST
Content-Type: application/json
Content:
```

```
"identifierHint": "Carol",
   "displayName": "Carol & Co. LLC"
}
```

Please refer to AllocateParty documentation for information about the meaning of the fields.

All fields in the request are optional, this means that an empty JSON object is a valid request to allocate a new party:

```
{}
```

## 3.3.16.2 HTTP Response

```
"result": {
    "identifier": "Carol",
    "displayName": "Carol & Co. LLC",
    "isLocal": true
},
    "status": 200
}
```

## 3.3.17 List All DALF Packages

## 3.3.17.1 HTTP Request

URL: /v1/packages Method: GET Content: <EMPTY>

## 3.3.17.2 HTTP Response

```
"result": [
    "c1f1f00558799eec139fb4f4c76f95fb52fa1837a5dd29600baa1c8ed1bdccfd",
    "733e38d36a2759688a4b2c4cec69d48e7b55ecc8dedc8067b815926c917a182a",
    "bfcd37bd6b84768e86e432f5f6c33e25d9e7724a9d42e33875ff74f6348e733f",
    "40f452260bef3f29dede136108fc08a88d5a5250310281067087da6f0baddff7",
    "8a7806365bbd98d88b4c13832ebfa305f6abaeaf32cfa2b7dd25c4fa489b79fb"
],
    "status": 200
}
```

Where result is the JSON array containing the package IDs of all loaded DALFs.

## 3.3.18 Download a DALF Package

### 3.3.18.1 HTTP Request

```
URL: /v1/packages/<package ID>
Method: GET
Content: <EMPTY>
```

Note that the desired package ID is specified in the URL.

## 3.3.18.2 HTTP Response, status: 200 OK

```
Transfer-Encoding: chunked
```

Content-Type: application/octet-stream

Content: <DALF bytes>

The content (body) of the HTTP response contains raw DALF package bytes, without any encoding. Note that the package ID specified in the URL is actually the SHA-256 hash of the downloaded DALF package and can be used to validate the integrity of the downloaded content.

## 3.3.18.3 HTTP Response with Error, any status different from 200 OK

Any status different from 200 OK will be in the format specified below.

```
Content-Type: application/json
Content:
```

```
"errors": [
    "io.grpc.StatusRuntimeException: NOT_FOUND"
],
    "status": 500
}
```

## 3.3.19 Upload a DAR File

### 3.3.19.1 HTTP Request

```
URL: /v1/packages
Method: POST
```

Content-Type: application/octet-stream

Content: <DAR bytes>

The content (body) of the HTTP request contains raw DAR file bytes, without any encoding.

## 3.3.19.2 HTTP Response, status: 200 OK

```
Content-Type: application/json
Content:
```

```
{
    "result": 1,
    "status": 200
}
```

## 3.3.19.3 HTTP Response with Error

Content-Type: application/json
Content:

## 3.3.20 Streaming API

Two subprotocols must be passed with every request, as described in Auth via WebSockets.

JavaScript/Node.js example demonstrating how to establish Streaming API connection:

Please note that Streaming API does not allow multiple requests over the same WebSocket connection. The server returns an error and disconnects if second request received over the same WebSocket connection.

## 3.3.20.1 Error and Warning Reporting

Errors and warnings reported as part of the regular on-message flow: ws. addEventListener("message", ...).

Streaming API error messages formatted the same way as synchronous API errors.

Streaming API reports only one type of warnings – unknown template IDs, which is formatted as:

```
{"warnings":{"unknownTemplateIds":<JSON Array of template ID strings>>}}
```

### **Error and Warning Examples**

## 3.3.20.2 Contracts Query Stream

```
URL: /v1/stream/query
Scheme: ws
Protocol: WebSocket
```

List currently active contracts that match a given query, with continuous updates.

application/json body must be sent first, formatted according to the Query language:

```
{"templateIds": ["Iou:Iou"]}
```

Multiple queries may be specified in an array, for overlapping or different sets of template IDs:

An optional offset returned by a prior query (see output examples below) may be specified before the above, as a separate body. It must be a string, and if specified, the stream will begin immediately after the response body that included that offset:

```
{"offset": "5609"}
```

The output is a series of JSON documents, each payload formatted according to Daml-LF JSON Encoding:

```
"events": [{
        "created": {
            "observers": [],
            "agreementText": "",
            "payload": {
                "observers": [],
                "issuer": "Alice",
                "amount": "999.99",
                "currency": "USD",
                "owner": "Alice"
            },
            "signatories": ["Alice"],
            "contractId": "#1:0",
            "templateId":
→"eb3b150383a979d6765b8570a17dd24ae8d8b63418ee5fd20df20ad2a1c13976:Iou:Iou
        },
        "matchedQueries": [1, 2]
    } ]
}
```

where matchedQueries indicates the O-based indices into the request list of queries that matched this contract.

Every events block following the end of contracts that existed when the request started includes an offset. The stream is guaranteed to send an offset immediately at the beginning of this—live data, which may or may not contain any events; if it does not contain events and no events were emitted before, it may be  $\mathtt{null}$  if there was no transaction on the ledger or a string representing the current ledger end; otherwise, it will be a string. For example, you might use it to turn off an initial loading—indicator:

```
{
    "events": [],
    "offset": "2"
}
```

To keep the stream alive, you'll occasionally see messages like this, which can be safely ignored if you do not need to capture the last seen ledger offset:

```
{"events":[],"offset":"5609"}
```

where offset is the last seen ledger offset.

After submitting an Iou\_Split exercise, which creates two contracts and archives the one above, the same stream will eventually produce:

```
{
    "events": [{
        "archived": {
            "contractId": "#1:0",
            "templateId":
```

→"eb3b150383a979d6765b8570a17dd24ae8d8b63418ee5fd20df20ad2a**(centinyesonnext page)** 

(continued from previous page)

```
}
    }, {
        "created": {
            "observers": [],
            "agreementText": "",
            "payload": {
                "observers": [],
                "issuer": "Alice",
                "amount": "42.42",
                "currency": "USD",
                "owner": "Alice"
            },
            "signatories": ["Alice"],
            "contractId": "#2:1",
            "templateId":
→"eb3b150383a979d6765b8570a17dd24ae8d8b63418ee5fd20df20ad2a1c13976:Iou:Iou
\hookrightarrow ""
        },
        "matchedQueries": [0, 2]
   }, {
        "created": {
            "observers": [],
            "agreementText": "",
            "payload": {
                 "observers": [],
                "issuer": "Alice",
                "amount": "957.57",
                "currency": "USD",
                "owner": "Alice"
            },
            "signatories": ["Alice"],
            "contractId": "#2:2",
            "templateId":
→"eb3b150383a979d6765b8570a17dd24ae8d8b63418ee5fd20df20ad2a1c13976:Iou:Iou
→ "
        } ,
        "matchedQueries": [1, 2]
    }],
    "offset": "3"
}
```

If any template IDs are found not to resolve, the first element of the stream will report them:

```
{"warnings": {"unknownTemplateIds": ["UnknownModule:UnknownEntity"]}}
```

and the stream will continue, provided that at least one template ID resolved properly.

Aside from "created" and "archived" elements, "error" elements may appear, which contain a string describing the error. The stream will continue in these cases, rather than terminating.

Some notes on behavior:

- 1. Each result array means this is what would have changed if you just polled /v1/query iteratively. In particular, just as polling search can miss contracts (as a create and archive can be paired between polls), such contracts may or may not appear in any result object.
- 2. No archived ever contains a contract ID occurring within a created in the same array. So, for example, supposing you are keeping an internal map of active contracts keyed by contract ID, you can apply the created first or the archived first, forwards, backwards, or in random order, and be guaranteed to get the same results.
- 3. Within a given array, if an archived and created refer to contracts with the same template ID and contract key, the archived is guaranteed to occur before the created.
- 4. Except in cases of #3, within a single response array, the order of created and archived is undefined and does not imply that any element occurred before or after any other one.
- 5. You will almost certainly receive contract IDs in archived that you never received a created for. These are contracts that query filtered out, but for which the server no longer is aware of that. You can safely ignore these. However, such phantom archives are guaranteed to represent an actual archival on the ledger, so if you are keeping a more global dataset outside the context of this specific search, you can use that archival information as you wish.

## 3.3.20.3 Fetch by Key Contracts Stream

URL: /v1/stream/fetch

Scheme: ws

Protocol: WebSocket

List currently active contracts that match one of the given  $\{templateId, key\}$  pairs, with continuous updates.

application/json body must be sent first, formatted according to the following rule:

```
[
    {"templateId": "<template ID 1>", "key": <key 1>},
    {"templateId": "<template ID 2>", "key": <key 2>},
    ...
    {"templateId": "<template ID N>", "key": <key N>}
]
```

## Where:

templateId - contract template identifier, same as in create request, key - contract key, formatted according to the Daml-LF JSON Encoding,

#### Example:

The output stream has the same format as the output from the Contracts Query Stream. We further guarantee that for every archived event appearing on the stream there has been a matching created event earlier in the stream, except in the case of missing contractIdAtOffset fields in the case described below.

You may supply an optional offset for the stream, exactly as with query streams. However, you should supply with each {templateId, key} pair a contractIdAtOffset, which is the contract ID currently associated with that pair at the point of the given offset, or null if no contract ID was associated with the pair at that offset. For example, with the above keys, if you had one "abc123" contract but no "def345" contract, you might specify:

If every contractIdAtOffset is specified, as is so in the example above, you will not receive any archived events for contracts created before the offset unless those contracts are identified in a contractIdAtOffset. By contrast, if any contractIdAtOffset is missing, archived event filtering will be disabled, and you will receive phantom archives as with query streams.

## 3.3.21 Healthcheck Endpoints

The HTTP JSON API provides two healthcheck endpoints for integration with schedulers like Kubernetes.

#### 3.3.21.1 Liveness check

URL: /livez Method: GET

A status code of 200 indicates a successful liveness check.

This is an unauthenticated endpoint intended to be used as a liveness probe.

## 3.3.21.2 Readiness check

URL: /readyz
Method: GET

A status code of 200 indicates a successful readiness check.

This is an unauthenticated endpoint intended to be used as a liveness probe. It validates both the ledger connection as well as the database connection.

# 3.4 Daml Script

## 3.4.1 Daml Script Library

The Daml Script library defines the API used to implement Daml scripts. See <u>Daml Script</u>:: for more information on Daml script.

## 3.4.1.1 Module Daml.Script

#### **Data Types**

#### data Commands a

This is used to build up the commands send as part of submit. If you enable the ApplicativeDo extension by adding {-# LANGUAGE ApplicativeDo #-} at the top of your file, you can use do-notation but the individual commands must not depend on each other and the last statement in a do block must be of the form return expr or pure expr.

instance Functor Commands

instance HasSubmit Script Commands

instance Applicative Commands

instance HasField commands (SubmitCmd a) (Commands a)

instance HasField commands (SubmitMustFailCmd a) (Commands a)

instance HasField commands (SubmitTreePayload a) (Commands ())

data ParticipantName

ParticipantName

Field	Type	Description
participantName	Text	

instance HasField participantName ParticipantName Text

#### data PartyDetails

The party details returned by the party management service.

**PartyDetails** 

Field	Туре	Description
party	Party	Party id
displayName	Optional	Optional display name
	Text	
isLocal	Bool	True if party is hosted by the backing par-
		ticipant.

instance Eq PartyDetails

instance Ord PartyDetails

instance Show PartyDetails

instance HasField continue (ListKnownPartiesPayload a) ([PartyDetails] -> a)

instance HasField displayName PartyDetails (Optional Text)

instance HasField isLocal PartyDetails Bool

instance HasField party PartyDetails Party

3.4. Daml Script 281

#### data PartyldHint

A hint to the backing participant what party id to allocate. Must be a valid PartyldString (as described in @value.proto@).

PartyldHint

Field	Type	Description
partyldHint	Text	

instance HasField partyldHint PartyldHint Text

#### data Script a

This is the type of A Daml script. Script is an instance of Action, so you can use do notation.

instance Functor Script

instance CanAbort Script

instance HasSubmit Script Commands

instance HasTime Script

instance Action Script

instance ActionFail Script

instance Applicative Script

instance HasField dummy (Script a) ()

instance HasField runScript (Script a) (() -> Free ScriptF (a, ()))

#### **Functions**

query: (Template t, IsParties p) => p -> Script [(ContractId t, t)]

Query the set of active contracts of the template that are visible to the given party.

queryFilter : (Template c, IsParties p) => p -> (c -> Bool) -> Script [(ContractId c, c)]

Query the set of active contracts of the template that are visible to the given party and match the given predicate.

queryContractId : (Template t, IsParties p, HasCallStack) => p -> ContractId t -> Script (Optional t)
Query for the contract with the given contract id.

Returns None if there is no active contract the party is a stakeholder on. This is semantically equivalent to calling query and filtering on the client side.

queryContractKey : (HasCallStack, TemplateKey t k, IsParties p) => p -> k -> Script (Optional (ContractId
t, t))

setTime : HasCallStack => Time -> Script ()

Set the time via the time service.

This is only supported in static time mode when running over the gRPC API and in Daml Studio. Note that the ledger time service does not support going backwards in time. However, you can go back in time in Daml Studio.

```
passTime : RelTime -> Script ()
```

Advance ledger time by the given interval.

Only supported in static time mode when running over the gRPC API and in Daml Studio. Note that this is not an atomic operation over the gRPC API so no other clients should try to change time while this is running.

Note that the ledger time service does not support going backwards in time. However, you can go back in time in Daml Studio.

allocateParty: HasCallStack => Text -> Script Party

Allocate a party with the given display name using the party management service.

allocatePartyWithHint: HasCallStack => Text -> PartyIdHint -> Script Party

Allocate a party with the given display name and id hint using the party management service.

allocatePartyOn: Text -> ParticipantName -> Script Party

Allocate a party with the given display name on the specified participant using the party management service.

allocatePartyWithHintOn: Text -> PartyldHint -> ParticipantName -> Script Party

Allocate a party with the given display name and id hint on the specified participant using the party management service.

listKnownParties : HasCallStack => Script [PartyDetails]

List the parties known to the default participant.

listKnownPartiesOn : HasCallStack => ParticipantName -> Script [PartyDetails]

List the parties known to the given participant.

sleep : HasCallStack => RelTime -> Script ()

Sleep for the given duration.

This is primarily useful in tests where you repeatedly call query until a certain state is reached. Note that this will sleep for the same duration in both wallcock and static time mode.

submitMulti: HasCallStack => [Party] -> [Party] -> Commands a -> Script a

submitMulti actAs readAs cmds submits cmds as a single transaction authorized by actAs. Fetched contracts must be visible to at least one party in the union of actAs and readAs.

submitMultiMustFail: HasCallStack => [Party] -> [Party] -> Commands a -> Script ()

submitMultiMustFail actAs readAs cmds behaves like submitMulti actAs readAs cmds but fails when submitMulti succeeds and the other way around.

createCmd : Template t => t -> Commands (ContractId t)

Create a contract of the given template.

exerciseCmd: Choice t c r => ContractId t -> c -> Commands r

Exercise a choice on the given contract.

exerciseByKeyCmd : (TemplateKey t k, Choice t c r) => k -> c -> Commands r

Exercise a choice on the contract with the given key.

createAndExerciseCmd : Choice t c r => t -> c -> Commands r

Create a contract and exercise a choice on it in the same transacton.

archiveCmd : Choice t Archive () => ContractId t -> Commands ()

Archive the given contract.

archiveCmd cid is equivalent to exerciseCmd cid Archive.

script : Script a -> Script a

Convenience helper to declare you are writing a Script.

3.4. Daml Script 283

This is only useful for readability and to improve type inference. Any expression of type Script a is a valid script regardless of whether it is implemented using script or not.

Daml scenarios provide a simple way for testing Daml models and getting quick feedback in Daml studio. However, scenarios are run in a special process and do not interact with an actual ledger. This means that you cannot use scenarios to test other ledger clients, e.g., your UI or Daml triggers.

Daml Script addresses this problem by providing you with an API with the simplicity of Daml scenarios and all the benefits such as being able to reuse your Daml types and logic while running against an actual ledger in addition to allowing you to experiment in *Daml Studio*. This means that you can use it for application scripting, to test automation logic and also for *ledger initialization*.

You can also use Daml Script interactively using Daml REPL.

#### 3.4.2 Usage

Our example for this tutorial consists of 2 templates.

First, we have a template called Coin:

```
template Coin
  with
   issuer : Party
  owner : Party
  where
   signatory issuer, owner
```

This template represents a coin issued to owner by issuer. Coin has both the owner and the issuer as signatories.

Second, we have a template called CoinProposal:

```
template CoinProposal
  with
    coin : Coin
  where
    signatory coin.issuer
    observer coin.owner

    choice Accept : ContractId Coin
        controller coin.owner
        do create coin
```

CoinProposal is only signed by the issuer and it provides a single Accept choice which, when exercised by the controller will create the corresponding Coin.

Having defined the templates, we can now move on to write Daml scripts that operate on these templates. To get access to the API used to implement Daml scripts, you need to add the daml-script library to the dependencies field in daml.yaml.

```
dependencies:
   - daml-prim
   - daml-stdlib
   - daml-script
```

We also enable the ApplicativeDo extension. We will see below why this is useful.

```
{-# LANGUAGE ApplicativeDo #-}
module ScriptExample where
import Daml.Script
```

Since on an actual ledger parties cannot be arbitrary strings, we define a record containing all the parties that we will use in our script so that we can easily swap them out.

```
data LedgerParties = LedgerParties with
  bank : Party
  alice : Party
  bob : Party
```

Let us now write a function to initialize the ledger with 3 CoinProposal contracts and accept 2 of them. This function takes the LedgerParties as an argument and return something of type Script () which is Daml script's equivalent of Scenario ().

```
initialize : LedgerParties -> Script ()
initialize parties = do
```

First we create the proposals. To do so, we use the submit function to submit a transaction. The first argument is the party submitting the transaction. In our case, we want all proposals to be created by the bank so we use parties.bank. The second argument must be of type Commands a so in our case Commands (ContractId CoinProposal, ContractId CoinProposal, ContractId CoinProposal) corresponding to the 3 proposals that we create. Commands is similar to Update which is used in the submit function in scenarios. However, Commands requires that the individual commands do not depend on each other. This matches the restriction on the Ledger API where a transaction consists of a list of commands. Using ApplicativeDo we can still use do-notation as long as we respect this and the last statement in the do-block is of the form return expr or pure expr. In Commands we use createCmd instead of create and exerciseCmd instead of exercise.

```
(coinProposalAlice, coinProposalBob, coinProposalBank) <- submit parties.

→bank $ do
    coinProposalAlice <- createCmd (CoinProposal (Coin parties.bank

→parties.alice))
    coinProposalBob <- createCmd (CoinProposal (Coin parties.bank parties.

→bob))
    coinProposalBank <- createCmd (CoinProposal (Coin parties.bank parties.

→bank))
    pure (coinProposalAlice, coinProposalBob, coinProposalBank)</pre>
```

Now that we have created the <code>CoinProposals</code>, we want <code>Alice</code> and <code>Bob</code> to accept the proposal while the <code>Bank</code> will ignore the proposal that it has created for itself. To do so we use separate <code>submit</code> statements for <code>Alice</code> and <code>Bob</code> and <code>callexerciseCmd</code>.

```
coinAlice <- submit parties.alice $ exerciseCmd coinProposalAlice Accept
coinBob <- submit parties.bob $ exerciseCmd coinProposalBob Accept</pre>
```

Finally, we call pure () on the last line of our script to match the type Script ().

3.4. Daml Script 285

```
pure ()
```

#### 3.4.2.1 Party management

We have now defined a way to initialize the ledger so we can write a test that checks that the contracts that we expect exist afterwards.

First, we define the signature of our test. We will create the parties used here in the test, so it does not take any arguments.

```
test : Script ()
test = do
```

Now, we create the parties using the allocateParty function. This uses the party management service to create new parties with the given display name. Note that the display name does not identify a party uniquely. If you call allocateParty twice with the same display name, it will create 2 different parties. This is very convenient for testing since a new party cannot see any old contracts on the ledger so using new parties for each test removes the need to reset the ledger.

```
alice <- allocateParty "Alice"
bob <- allocateParty "Bob"
bank <- allocateParty "Bank"
let parties = LedgerParties bank alice bob</pre>
```

We now call the initialize function that we defined before on the parties that we have just allocated.

```
initialize parties
```

Another option for getting access to the relevant party ids is to use <code>listKnownParties</code> to pick out the party with a given display name. This is mainly useful in demo scenarios because display names are not guaranteed to be unique.

```
queryParties : Script (Optional LedgerParties)
queryParties = do
  knownParties <- listKnownParties</pre>
 pure $ do
   bank <- party <$> find (\p -> p.displayName == Some "Bank")□
⇔knownParties
    alice <- party <$> find (\p -> p.displayName == Some "Alice")□
→knownParties
   bob <- party <$> find (\p -> p.displayName == Some "Bob") knownParties
   pure LedgerParties{..}
initializeFromQuery : Script ()
initializeFromQuery = do
 optParties <- queryParties
  case optParties of
   None -> fail "Could not find parties with correct display names"
    Some parties -> initialize parties
```

#### **3.4.2.2 Queries**

To verify the contracts on the ledger, we use the query function. We pass it the type of the template and a party. It will then give us all active contracts of the given type visible to the party. In our example, we expect to see one active CoinProposal for bank and one Coin contract for each of Alice and Bob. We get back list of (ContractId t, t) pairs from query. In our tests, we do not need the contract ids, so we throw them away using map snd.

```
proposals <- query @CoinProposal bank
assertEq [CoinProposal (Coin bank bank)] (map snd proposals)

aliceCoins <- query @Coin alice
assertEq [Coin bank alice] (map snd aliceCoins)

bobCoins <- query @Coin bob
assertEq [Coin bank bob] (map snd bobCoins)</pre>
```

#### 3.4.2.3 Running a Script

To run our script, we first build it with daml build and then run it by pointing to the DAR, the name of our script, and the host and port our ledger is running on.

```
daml script --dar .daml/dist/script-example-0.0.1.dar --script-name
ScriptExample:test --ledger-host localhost --ledger-port 6865
```

Up to now, we have worked with a script (test) that is entirely self-contained. This is fine for running unit-test type scenarios in the IDE, but for more complex use-cases you may want to vary the inputs of a script and inspect its outputs, ideally without having to recompile it. To that end, the daml script command supports the flags --input-file and --output-file. Both flags take a filename, and said file will be read/written as JSON, following the Daml-LF JSON Encoding.

The --output-file option instructs daml script to write the result of the given --script-name to the given filename (creating the file if it does not exist; overwriting it otherwise). This is most usfeful if the given program has a type Script b, where b is a meaningful value, which is not the case here: all of our Script programs have type Script ().

If the --input-file flag is specified, the --script-name flag must point to a function of one argument returning a Script, and the function will be called with the result of parsing the input file as its argument. For example, we can initialize our ledger using the initialize function defined above. It takes a LedgerParties argument, so a valid file for --input-file would look like:

```
{
  "alice": "Alice",
  "bob": "Bob",
  "bank": "Bank"
}
```

Using that file, we can initialize our ledger passing it in via --input-file:

```
daml script --dar .daml/dist/script-example-0.0.1.dar --script-name ScriptExample:initialize --ledger-host localhost --ledger-port 6865 --input-file ledger-parties.json
```

If you open Navigator, you can now see the contracts that have been created.

3.4. Daml Script 287

## 3.4.3 Using Daml Script for Ledger Initialization

You can use Daml script to initialize a ledger on startup. To do so, specify an init-script: ScriptExample:initializeFixed field in your daml.yaml. This will automatically be picked up by daml start and used to initialize sandbox. Since it is often useful to create a party with a specific party identifier during development, you can use the allocatePartyWithHint function which accepts not only the display name but also a hint for the party identifier. On Sandbox, the hint will be used directly as the party identifier of the newly allocated party. This allows us to implement initializeFixed as a small wrapper around the initialize function we defined above:

```
initializeFixed : Script ()
initializeFixed = do

bank <- allocatePartyWithHint "Bank" (PartyIdHint "Bank")
alice <- allocatePartyWithHint "Alice" (PartyIdHint "Alice")
bob <- allocatePartyWithHint "Bob" (PartyIdHint "Bob")
let parties = LedgerParties{..}
initialize parties</pre>
```

## 3.4.3.1 Migrating from Scenarios

Existing scenarios that you used for ledger initialization can be translated to Daml script but there are a few things to keep in mind:

- 1. You need to add daml-script to the list of dependencies in your daml. yaml.
- 2. You need to import the Daml. Script module.
- 3. Calls to create, exercise, exerciseByKey and createAndExercise need to be suffixed with Cmd, e.g., createCmd.
- 4. Instead of specifying a scenario field in your daml.yaml, you need to specify an init-script field. The initialization script is specified via Module:identifier for both fields.
- 5. In Daml script, submit and submitMustFail are limited to the functionality provided by the ledger API: A list of independent commands consisting of createCmd, exerciseCmd, createAndExerciseCmd and exerciseByKeyCmd. There are two issues you might run into when migrating an existing scenario:
  - 1. Your commands depend on each other, e.g., you use the result of a create within a following command in the same submit. In this case, you have two options: If it is not important that they are part of a single transaction, split them into multiple calls to submit. If you do need them to be within the same transaction, you can move the logic to a choice and call that using createAndExerciseCmd.
  - 2. You use something that is not part of the 4 ledger API command types, e.g., fetch. For fetch and fetchByKey, you can instead use queryContractId and queryContractKey with the caveat that they do not run within the same transaction. Other types of Update statements can be moved to a choice that you call via createAndExerciseCmd.
- 6. Instead of Scenario's getParty, Daml Script provides you with allocateParty and allocatePartyWithHint. There are a few important differences:
  - 1. Allocating a party always gives you back a new party (or fails). If you have multiple calls to getParty with the same string and expect to get back the same party, you should instead allocate the party once at the beginning and pass it along to the rest of the code.
  - 2. If you want to allocate a party with a specific party id, you can use allocatePartyWithHint x (PartyIdHint x) as a replacement for getParty x. Note that while this is supported in Daml Studio and Daml for PostgreSQL, other ledgers can behave differently and ignore the party id hint or interpret it another way. Try to not

rely on any specific party id.

7. Instead of pass and passToDate, Daml Script provides passTime and setTime.

## 3.4.4 Using Daml Script in Distributed Topologies

So far, we have run Daml script against a single participant node. It is also more possible to run it in a setting where different parties are hosted on different participant nodes. To do so, pass the --participant-config participants.json file to daml script instead of --ledger-host and ledger-port. The file should be of the format

This will define a participant called one, a default participant and it defines that the party alice is on participant one. Whenever you submit something as party, we will use the participant for that party or if none is specified default\_participant. If default\_participant is not specified, using a party with an unspecified participant is an error.

allocateParty will also use the default\_participant. If you want to allocate a party on a specific participant, you can use allocatePartyOn which accepts the participant name as an extra argument.

#### 3.4.5 Running Daml Script against Ledgers with Authorization

To run Daml Script against a ledger that verifies authorization, you need to specify an access token. There are two ways of doing that:

- 1. Specify a single access token via --access-token-file path/to/jwt. This token will then be used for all requests so it must provide claims for all parties that you use in your script.
- 2. If you need multiple tokens, e.g., because you only have single-party tokens you can use the access\_token field in the participant config specified via --participant-config. The section on using Daml Script in distributed topologies contains an example. Note that you can specify the same participant twice if you want different auth tokens.

If you specify both --access-token-file and --participant-config, the participant config takes precedence and the token from the file will be used for any participant that does not have a token specified in the config.

## 3.4.6 Running Daml Script against the HTTP JSON API

In some cases, you only have access to the HTTP JSON API but not to the gRPC of a ledger, e.g., on project:DABL. For this usecase, Daml script can be run against the JSON API. Note that if you do have access to the gRPC Ledger API, running Daml script against the JSON API does not have any advantages.

3.4. Daml Script 289

To run Daml script against the JSON API you have to pass the --json-api parameter to daml script. There are a few differences and limitations compared to running Daml Script against the gRPC Ledger API:

- 1. When running against the JSON API, the --host argument has to contain an http://or https:// prefix, e.g., daml script --host http://localhost --port 7575 -- json-api.
- The JSON API only supports single-command submissions. This means that within a single call to submit you can only execute one ledger API command, e.g., one createCmd or one exerciseCmd.
- 3. The JSON API requires authorization tokens even when it is run against a ledger that doesn't verify authorization. The section on *authorization* describes how to specify the tokens.
- 4. The parties used for command submissions and queries must match the parties specified in the token exactly. For command submissions that means actAs and readAs must match exactly what you specified whereas for queries the union of actAs and readAs must match the parties specified in the query.
- 5. If you use multiple parties within your Daml Script, you need to specify one token per party or every submission and query must specify all parties of the multi-party token.
- 6. getTime will always return the Unix epoch in static time mode since the time service is not exposed via the JSON API.
- 7. setTime is not supported and will throw a runtime error.

#### 3.5 Daml REPL

The Daml REPL allows you to use the *Daml Script* API interactively. This is useful for debugging and for interactively inspecting and manipulating a ledger.

#### 3.5.1 Usage

First create a new project based on the script-example template. Take a look at the documentation for <code>Daml Script</code> for details on this template.

```
daml new script-example --template script-example # create a project□

→called script-example based on the template

cd script-example # switch to the new project
```

Now, build the project and start *Daml Sandbox*, the in-memory ledger included in the SDK. Note that we are starting Sandbox in wallclock mode. Static time is not supported in daml repl.

```
daml build daml sandbox --wall-clock-time --port=6865 .daml/dist/script-example-0.0.1. →dar
```

Now that the ledger has been started, you can launch the REPL in a separate terminal using the following command.

```
daml repl --ledger-host=localhost --ledger-port=6865 .daml/dist/script-

→example-0.0.1.dar --import script-example
```

The --ledger-host and --ledger-port parameters point to the host and port your ledger is running on. In addition to that, you also need to pass in the name of a DAR containing the templates and other definitions that will be accessible in the REPL. We also specify that we want to import all modules from the script-example package. If your modules provide colliding definitions you can

also import modules individually from within the REPL. Note that you can also specify multiple DARs and they will all be available.

You should now see a prompt looking like

```
daml>
```

You can think of this prompt like a line in a do-block of the Script action. Each line of input has to have one of the following two forms:

- 1. An expression expr of type Script a for some type a. This will execute the script and print the result if a is an instance of Show and not ().
- 2. A pure expression expr of type a for some type a where a is an instance of Show. This will evaluate expr and print the result. If you are only interest in pure expressions you can also use Daml REPL without connecting to a ledger.
- 3. A binding of the form pat <- expr where pat is pattern, e.g., a variable name x to bind the result to and expr is an expression of type Script a. This will execute the script and match the result against the pattern pat bindings the matches to the variables in the pattern. You can then use those variables on subsequent lines.
- 4. A let binding of the form let pat = y, where pat is a pattern and y is a pure expression or let f x = y to define a function. The bound variables can be used on subsequent lines.
- 5. Next to Daml code the REPL also understands REPL commands which are prefixed by :. Enter :help to see a list of supported REPL commands.

First create two parties: A party with the display name "Alice" and the party id "alice" and a party with the display name "Bob" and the party id "bob".

```
daml> alice <- allocatePartyWithHint "Alice" (PartyIdHint "alice")
daml> bob <- allocatePartyWithHint "Bob" (PartyIdHint "bob")</pre>
```

Next, create a CoinProposal from Alice to Bob

```
daml> submit alice (createCmd (CoinProposal (Coin alice bob)))
```

As Bob, you can now get the list of active CoinProposal contracts using the query function. The debug: Show a => a -> Script () function can be used to print values.

```
daml> proposals <- query @CoinProposal bob
daml> debug proposals
[Daml.Script:39]: [(<contract-id>,CoinProposal {coin = Coin {issuer = 
    'alice', owner = 'bob'}})]
```

Finally, accept all proposals using the forA function to iterate over them.

Using the query function we can now verify that there is one Coin and no CoinProposal:

```
daml> coins <- query @Coin bob
daml> debug coins
[Daml.Script:39]: [(<contract-id>,Coin {issuer = 'alice', owner = 'bob'})]
daml> proposals <- query @CoinProposal bob
[Daml.Script:39]: []</pre>
```

3.5. Daml REPL 291

To exit daml repl press Control-D.

## 3.5.2 What is in scope at the prompt?

In the prompt, all modules from DALFs specified in ——import are imported automatically. In addition to that, the <code>Daml.Script</code> module is also imported and gives you access to the <code>Daml Script</code> API.

You can use the commands : module + ModA ModB ... to import additional modules and : module - ModA ModB ... to remove previously added imports. Modules can also be imported using regular import declarations instead of module +. The command : show imports lists the currently active imports.

```
daml> import DA.Time daml> debug (days 1)
```

## 3.5.3 Using Daml REPL without a Ledger

If you are only interested in pure expressions, e.g., because you want to test how some function behaves you can omit the <code>--ledger-host</code> and <code>-ledger-port</code> parameters. Daml REPL will work as usual but any attempts to call Daml Script APIs that interact with the ledger, e.g., <code>submit</code> will result in the following error:

```
daml> java.lang.RuntimeException: No default participant
```

## 3.5.4 Connecting via TLS

You can connect to a ledger that requires TLS by passing --tls. A custom root certificate used for validating the server certificate can be set via --cacrt. Finally, you can also enable client authentication by passing --pem client.key --crt client.crt. If --cacrt or --pem and --crt are passed TLS is automatically enabled so --tls is redundant.

## 3.5.5 Connection to a Ledger with Authorization

If your ledger requires an authorization token you can pass it via --access-token-file.

#### 3.5.6 Using Daml REPL to convert to JSON

Using the :json command you can encode serializable Daml expressions as JSON. For example using the definitions and imports from above:

```
daml> :json days 1
{"microseconds":86400000000}
daml> :json map snd coins
[{"issuer":"alice","owner":"bob"}]
```

## 3.6 Upgrading and Extending Daml applications

#### 3.6.1 Extending Daml applications

Note: Cross-SDK extensions require Daml-LF 1.8 or newer. This is the default starting from SDK 1.0. For older releases add build-options: ["--target=1.8"] to your daml.yaml to select Daml-LF 1.8.

Consider the following simple Daml model for carbon certificates:

```
module CarbonV1 where

template CarbonCert
  with
   issuer : Party
   owner : Party
   carbon_metric_tons : Int
  where
   signatory issuer, owner
```

It contains two templates. The above template representing a carbon compensation certificate. And a second template to create the CarbonCert via a Propose-Accept workflow.

Now we want to extend this model to add trust labels for certificates by third parties. We don't want to make any changes to the already deployed model. Changes to a Daml model will result in changed package ID's for the contained templates. This means that if a Daml model is already deployed, the modified Daml code will not be able to reference contracts instantiated with the old package. To avoid this problem, it's best to put extensions in a new package.

In our example we call the new package carbon-label and implement the label template like

```
module CarbonLabel where
import CarbonV1

template CarbonLabel
  with
    cert : ContractId CarbonCert
    labelOwner : Party
  where
    signatory labelOwner
```

The CarbonLabel template references the CarbonCert contract of the carbon-1.0.0 packages by contract ID. Hence, we need to import the CarbonV1 module and add the carbon-1.0.0 to the dependencies in the daml.yaml file. Because we want to be independent of the Daml SDK used for both packages, we import the carbon-1.0.0 package as data dependency

```
name: carbon-label
version: 1.0.0
dependencies:
   - daml-prim
   - daml-stdlib
data-dependencies:
   - path/to/carbon-1.0.0.dar
```

Deploying an extension is simple: just upload the new package to the ledger with the daml ledger upload-dar command. In our example the ledger runs on the localhost:

```
daml ledger upload-dar --ledger-port 6865 --ledger-host localhost ./daml/

→dist/carbon-label-1.0.0.dar
```

If instead of just extending a Daml model you want to modify an already deployed template of your Daml model, you need to perform an upgrade of your Daml application. This is the content of the next section.

## 3.6.2 Upgrading Daml applications

**Note:** Cross-SDK upgrades require Daml-LF 1.8 or newer. This is the default starting from SDK 1.0. For older releases add build-options: ["--target=1.8"] to your daml.yaml to select Daml-LF 1.8.

In applications backed by a centralized database controlled by a single operator, it is possible to upgrade an application in a single step that migrates all existing data to a new data model.

As a running example, let's imagine a centralized database containing carbon offset certificates. Its operator created the database schema with

```
CREATE TABLE carbon_certs (
   carbon_metric_tons VARINT,
   owner VARCHAR NOT NULL
   issuer VARCHAR NOT NULL
)
```

The certificate has a field for the quantity of offset carbon in metric tons, an owner and an issuer.

In the next iteration of the application, the operator decides to also store and display the carbon offset method. In the centralized case, the operator can upgrade the database by executing the single SQL command

```
ALTER TABLE carbon_certs ADD carbon_offset_method VARCHAR DEFAULT "unknown"
```

This adds a new column to the carbon\_certs table and inserts the value unknown for all existing entries.

While upgrading this centralized database is simple and convenient, its data entries lack any kind of signature and hence proof of authenticity. The data consumers need to trust the operator.

In contrast, Daml templates always have at least one signatory. The consequence is that the upgrade process for a Daml application needs to be different.

#### 3.6.2.1 Daml upgrade overview

In a Daml application running on a distributed ledger, the signatories of a contract have agreed to one specific version of a template. Changing the definition of a template, e.g., by extending it with a new data field or choice without agreement from its signatories would completely break the authorization guarantees provided by Daml.

Therefore, Daml takes a different approach to upgrades and extensions. Rather than having a separate concept of data migration that sidesteps the fundamental guarantees provided by Daml, upgrades are expressed as Daml contracts. This means that the same guarantees and rules that apply to other Daml contracts also apply to upgrades.

In a Daml application, it thus makes sense to think of upgrades as an extension of an existing application instead of an operation that replaces existing contracts with a newer version. The existing templates stay on the ledger and can still be used. Contracts of existing templates are not automatically replaced by newer versions. However, the application is extended with new templates. Then if all signatories of a contract agree, a choice can archive the old version of a contract and create a new contract instead.

#### 3.6.2.2 Structuring upgrade contracts

Upgrade contracts are specific to the templates that are being upgraded. But most of them share common patterns. Here is the implementation of the above carbon\_certs schema in Daml. We have some prescience that there will be future versions of CarbonCert, and so place the definition of CarbonCert in a module named CarbonV1

```
module CarbonV1 where

template CarbonCert
  with
   issuer : Party
   owner : Party
   carbon_metric_tons : Int
  where
   signatory issuer, owner
```

A CarbonCert has an issuer and an owner. Both are signatories. Our goal is to extend this CarbonCert template with a field that adds the method used to offset the carbon. We use a different name for the new template here for clarity. This is not required as templates are identified by the triple (Packageld, ModuleName, TemplateName).

```
module CarbonV2 where

template CarbonCertWithMethod
  with
   issuer : Party
   owner : Party
   carbon_metric_tons : Int
   carbon_offset_method : Text
  where
   signatory issuer, owner
```

Next, we need to provide a way for the signatories to agree to a contract being upgraded. It would be possible to structure this such that issuer and owner have to agree to an upgrade for each individual CarbonCert contract separately. Since the template definition for all of them is the same, this is usually not necessary for most applications. Instead, we collect agreement from the signatories only once and use that to upgrade all carbon certificates.

Since there are multiple signatories involved here, we use a *Propose-Accept workflow*. First, we define an *UpgradeCarbonCertProposal* template that will be created by the issuer. This template has an *Accept* choice that the *owner* can exercise. Upon execution it will then create an *UpgradeCarbonCertAgreement*.

```
template UpgradeCarbonCertProposal
  with
    issuer : Party
    owner : Party
  where
    signatory issuer
    observer owner
    key (issuer, owner) : (Party, Party)
    maintainer key._1
```

(continues on next page)

(continued from previous page)

```
choice Accept : ContractId UpgradeCarbonCertAgreement
  controller owner
  do create UpgradeCarbonCertAgreement with ..
```

Now we can define the UpgradeCarbonCertAgreement template. This template has one nonconsuming choice that takes the contract ID of a CarbonCert contract, archives this CarbonCert contract and creates a CarbonCertWithMethod contract with the same issuer and owner and the carbon\_offset\_method set to unknown.

```
template UpgradeCarbonCertAgreement
 with
   issuer : Party
   owner : Party
 where
   signatory issuer, owner
   key (issuer, owner) : (Party, Party)
   maintainer key. 1
   nonconsuming choice Upgrade : ContractId CarbonCertWithMethod
     with
        certId : ContractId CarbonCert
      controller issuer
      do cert <- fetch certId</pre>
         assert (cert.issuer == issuer)
         assert (cert.owner == owner)
         archive certId
         create CarbonCertWithMethod with
           issuer = cert.issuer
           owner = cert.owner
           carbon metric tons = cert.carbon metric tons
           carbon offset method = "unknown"
```

#### 3.6.2.3 Building and deploying carbon-1.0.0

Let's see everything in action by first building and deploying carbon-1.0.0. After this we'll see how to deploy and upgrade to carbon-2.0.0 containing the CarbonCertWithMethod template.

First we'll need a sandbox ledger to which we can deploy.

```
$ daml sandbox --port 6865
```

Now we'll setup the project for the original version of our certificate. The project contains the Daml for just the CarbonCert template, along with a CarbonCertProposal template which will allow us to issue some coins in the example below.

Here is the project config.

```
name: carbon
version: 1.0.0
dependencies:
   - daml-prim
   - daml-stdlib
```

Now we can build and deploy carbon-1.0.0.

```
$ cd example/carbon-1.0.0
$ daml build
$ daml ledger upload-dar --port 6865
```

#### 3.6.2.4 Create some carbon-1.0.0 certificates

Let's create some certificates!

We'll use the navigator to connect to the ledger, and create two certificates issued by Alice, and owned by Bob.

```
$ cd example/carbon-1.0.0
$ daml navigator server localhost 6865
```

We point a browser to http://localhost:4000, and follow the steps:

- 1. Login as Alice:
  - 1. Select Templates tab.
  - 2. Create a CarbonCertProposal with Alice as issuer and Bob as owner and an arbitrary value for the carbon metric tons field.
  - 3. Create a 2nd proposal in the same way.
- 2. Login as Bob:
  - 1. Exercise the CarbonCertProposal\_Accept choice on both proposal contracts.

#### 3.6.2.5 Building and deploying carbon-2.0.0

Now we setup the project for the improved certificates containing the <code>carbon\_offset\_method</code> field. This project contains only the <code>CarbonCertWithMethod</code> template. The upgrade templates are in a third <code>carbon-upgrade</code> package. While it would be possible to include the upgrade templates in the same package, this means that the package containing the new <code>CarbonCertWithMethod</code> template depends on the previous version. With the approach taken here of keeping the upgrade templates in a separate package, the <code>carbon-1.0.0</code> package is no longer needed once we have upgraded all certificates.

It's worth stressing here that extensions always need to go into separate packages. We cannot just add the new definitions to the original project, rebuild and re-deploy. This is because the cryptographically computed package identifier would change. Consequently, it would not match the package identifier of the original CarbonCert contracts from carbon-1.0.0 which are live on the ledger.

Here is the new project config:

```
name: carbon
version: 2.0.0
dependencies:
   - daml-prim
   - daml-stdlib
```

Now we can build and deploy carbon-2.0.0.

```
$ cd example/carbon-2.0.0
$ daml build
$ daml ledger upload-dar --port 6865
```

## 3.6.2.6 Building and deploying carbon-upgrade

Having built and deployed <code>carbon-1.0.0</code> and <code>carbon-2.0.0</code> we are now ready to build the upgrade package <code>carbon-upgrade</code>. The project config references both <code>carbon-1.0.0</code> and <code>carbon-2.0.0</code> via the <code>data-dependencies</code> field. This allows us to import modules from the respective packages. With these imported modules we can reference templates from packages that we already uploaded to the ledger.

When following this example, path/to/carbon-1.0.0.dar and path/to/carbon-2.0.0.dar should be replaced by the relative or absolute path to the DAR file created by building the respective projects. Commonly the carbon-1.0.0 and carbon-2.0.0 projects would be sibling directories in the file systems, so this path would be: ../carbon-1.0.0/.daml/dist/carbon-1.0.0.dar.

```
name: carbon-upgrade
version: 1.0.0
dependencies:
   - daml-prim
   - daml-stdlib
data-dependencies:
   - path/to/carbon-1.0.0.dar
   - path/to/carbon-2.0.0.dar
```

The Daml for the upgrade contracts imports the modules for both the new and old certificate versions.

```
module UpgradeFromCarbonCertV1 where import CarbonV1 import CarbonV2
```

Now we can build and deploy carbon-upgrade. Note that uploading a DAR also uploads its dependencies so if carbon-1.0.0 and carbon-2.0.0 had not already been deployed before, they would be deployed as part of deploying carbon-upgrade.

```
$ cd example/carbon-upgrade
$ daml build
$ daml ledger upload-dar --port 6865
```

#### 3.6.2.7 Upgrade existing certificates from carbon-1.0.0 to carbon-2.0.0

We start the navigator again.

```
$ cd example/carbon-upgrade
$ daml navigator server localhost 6865
```

Finally, we point a browser to http://localhost:4000 and can start the carbon certificates upgrades:

- 1. Login as Alice
  - 1. Select Templates tab.
  - 2. Create an UpgradeCarbonCertProposal with Alice as issuer and Bob as owner.
- 2. Login as Bob
  - 1. Exercise the Accept choice of the upgrade proposal, creating an UpgradeCarbonCertAgreement.
- 3. Login again as Alice

1. Use the <code>UpgradeCarbonCertAgreement</code> repeatedly to upgrade any certificate for which Alice is issuer and Bob is owner.

#### 3.6.2.8 Further Steps

For the upgrade of our carbon certificate model above, we performed all steps manually via Navigator. However, if Alice had issued millions of carbon certificates, performing all upgrading steps manually becomes infeasible. It thus becomes necessary to automate these steps. We will go through a potential implementation of an automated upgrade in the <u>next section</u>.

#### 3.6.3 Automating the Upgrade Process

In this section, we are going to automate the upgrade of our carbon certificate process using *Daml Script* and *Daml Triggers*. Note that automation for upgrades is specific to an individual application, just like the upgrade models. Nevertheless, we have found that the pattern shown here occurs frequently.

#### 3.6.3.1 Structuring the Upgrade

There are three kinds of actions performed during the upgrade:

- 1. Alice creates UpgradeCarbonCertProposal contracts. We assume here, that Alice wants to upgrade all CarbonCert contracts she has issued. Since the UpgradeCarbonCertProposal proposal is specific to each owner, Alice has to create one UpgradeCarbonCertProposal per owner. There can be potentially many owners but this step only has to be performed once assuming Alice will not issue more CarbonCert contracts after this point.
- 2. Bob and other owners accept the <code>UpgradeCarbonCertProposal</code>. To keep this example simple, we assume that there are only carbon certificates issued by Alice. Therefore, each owner has to accept at most one proposal.
- 3. As owners accept upgrade proposals, Alice has to upgrade each certificate. This means that she has to execute the upgrade choice once for each certificate. Owners will not all accept the upgrade at the same time and some might never accept it. Therefore, this should be a long-running process that upgrades all carbon certificates of a given owner as soon as they accept the upgrade.

Given those constraints, we are going to use the following tools for the upgrade:

- 1. A Daml script that will be executed once by Alice and creates an UpgradeCarbonCertProposal contract for each owner.
- 2. Navigator to accept the <code>UpgradeCarbonCertProposal</code> as Bob. While we could also use a Daml script to accept the proposal, this step will often be exposed as part of a web UI so doing it interactively in Navigator resembles that workflow more closely.
- 3. A long-running Daml trigger that upgrades all CarbonCert contracts for which there is a corresponding UpgradeCarbonCertAgreement.

#### 3.6.3.2 Implementation of the Daml Script

In our Daml Script, we are first going to query the ACS (Active Contract Set) to find all CarbonCert contracts issued by us. Next, we are going to extract the owner of each of those contracts and remove any duplicates coming from multiple certificates issued to the same owner. Finally, we iterate over the owners and create an <code>UpgradeCarbonCertAgreement</code> contract for each owner.

```
initiateUpgrade : Party -> Script ()
initiateUpgrade issuer = do
```

(continues on next page)

(continued from previous page)

```
certs <- query @CarbonCert issuer
let myCerts = filter (\(_cid, c) -> c.issuer == issuer) certs
let owners = dedup $ map (\(_cid, c) -> c.owner) myCerts
forA_ owners $ \owner -> do
    debug ("Creating upgrade proposal for: " <> show owner)
    submit issuer $ createCmd (UpgradeCarbonCertProposal issuer owner)
```

## 3.6.3.3 Implementation of the Daml Trigger

Our trigger does not need any custom user state and no heartbeat so the only interesting field in its definition is the rule.

```
upgradeTrigger : Trigger ()
upgradeTrigger = Trigger with
  initialize = pure ()
  updateState = \_msg -> pure ()
  registeredTemplates = AllInDar
  heartbeat = None
  rule = triggerRule
```

In our rule, we first filter out all agreements and certificates issued by us. Next, we iterate over all agreements. For each agreement we filter the certificates by the owner of the agreement and finally upgrade the certificate by exercising the Upgrade choice. We mark the certificate as pending which temporarily removes it from the ACS and therefore stops the trigger from trying to upgrade the same certificate multiple times if the rule is triggered in quick succession.

The trigger is a long-running process and the rule will be executed whenever the state of the ledger changes. So whenever an owner accepts an upgrade proposal, the trigger will run the rule and upgrade all certificates of that owner.

#### 3.6.3.4 Deploying and Executing the Upgrade

Now that we defined our Daml script and our trigger, it is time to use them! If you still have Sandbox running from the previous section, stop it to clear out all data before continuing.

First, we start sandbox passing in the carbon-upgrade DAR. Since a DAR includes all transitive dependencies, this includes carbon-1.0.0 and carbon-2.0.0.

```
$ cd example/carbon-upgrade
$ daml sandbox .daml/dist/carbon-upgrade-1.0.0.dar
```

To simplify the setup here, we use a Daml script to create 3 parties Alice, Bob and Charlie and two CarbonCert contracts issues by Alice, one owned by Bob and one owned by Charlie.

#### Run the script as follows:

If you now start Navigator from the carbon-initiate-upgrade directory and log in as Alice, you can see the two CarbonCert contracts.

Next, we run the trigger for Alice. The trigger will keep running throughout the rest of this example.

```
$ cd example/carbon-upgrade-trigger
$ daml build
$ daml trigger --dar=.daml/dist/carbon-upgrade-trigger-1.0.0.dar --trigger-
→name=UpgradeTrigger:upgradeTrigger --ledger-host=localhost --ledger-
→port=6865 --ledger-party=Alice --wall-clock-time
```

With the trigger running, we can now run the script to create the <code>UpgradeCarbonCertProposal</code> contracts (we could also have done that before starting the trigger). The script takes an argument of type <code>Party</code>. We can pass this in via the <code>--input-file</code> argument which we will point to a file <code>party.json</code> containing <code>"Alice"</code>. This allows us to change the party without having to change the code of the script.

At this point, our trigger is running and the UpgradeCarbonCertProposal contracts for Bob and

Charlie have been created. What is left to do is to accept the proposals. Our trigger will then automatically pick them up and upgrade the CarbonCert contracts.

First, start Navigator and log in as Bob. Click on the <code>UpgradeCarbonCertProposal</code> and accept it. If you now go back to the contracts tab, you can see that the <code>CarbonCert</code> contract has been archived and instead there is a new <code>CarbonCertWithMethod</code> upgrade. Our trigger has successfully upgraded the <code>CarbonCert!</code>

Next, log in as Charlie and accept the <code>UpgradeCarbonCertProposal</code>. Just like for Bob, you can see that the <code>CarbonCert</code> contract has been archived and instead there is a new <code>CarbonCertWithMethod</code> contract.

Since we upgraded all CarbonCert contracts issued by Alice, we can now stop the trigger and declare the update successful.

Database schemas tend to evolve over time. A new feature in your application might need an additional choice in one of your templates. Or a change in your data model will make you application perform better. We distinguish two kinds of changes to a Daml model:

A Daml model extension

A Daml model upgrade

An extension adds new templates and data structures to your model, while leaving all previously written definitions unchanged.

An upgrade changes previously defined data structures and templates.

Whether extension or upgrade, your new code needs to be compatible with data that is already live in a production system. The next two sections show how to extend and upgrade Daml models. The last section shows how to automate the data migration process.

#### 3.7 Authorization

When developing Daml applications using SDK tools, your local setup will most likely not verify any authorization - by default, any valid ledger API request will be accepted by the sandbox.

To run your application against a deployed ledger, you will need to add authorization.

#### 3.7.1 Introduction

The Ledger API is used to request changes to the ledger (e.g., Alice wants to exercise choice X on contract Y ), or to read data from the ledger (e.g., Alice wants to see all active contracts ).

What requests are valid is defined by *integrity* and *privacy* parts the *Daml Ledger Model*. This model is defined in terms of *Daml parties*, and does not require any cryptographic information to be sent along with requests.

In particular, this model does not talk about authentication ( Is the request claiming to come from Alice really sent by Alice? ) and authorization ( Is Alice authorized to add a new Daml package to the ledger? ).

In practice, Daml ledgers will therefore need to add authentication to the ledger API.

**Note:** Depending on the ledger topology, a Daml ledger may consist of multiple participant nodes, each having its own ledger API server. Each participant node typically hosts different Daml parties, and only sees data visible to the parties hosted on that node (as defined by the Daml privacy model).

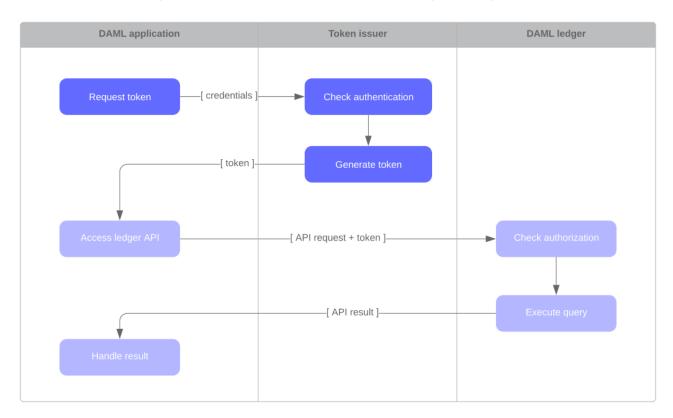
For more details on Daml ledger topologies, refer to the Daml Ledger Topologies documentation.

#### 3.7.1.1 Adding authentication

How authentication is set up on a particular ledger is defined by the ledger operator. However, most authentication setups share the following pattern:

First, the Daml application contacts a token issuer to get an access token. The token issuer verifies the identity of the requesting user (e.g., by checking the username/password credentials sent with the request), looks up the privileges of the user, and generates a signed access token describing those privileges.

Then, the Daml application sends the access token along with each ledger API request. The Daml ledger verifies the signature of the token (to make sure it has not been tampered with), and then checks that the privileges described in the token authorize the given ledger API request.



#### Glossary:

Authentication is the process of confirming an identity.

Authorization is the process of checking permissions to access a resource.

A token (or access token) is a tamper-proof piece of data that contains security information, such as the user identity or its privileges.

A token issuer is a service that generates tokens. Also known as authentication server or Identity and Access Management (IAM) system .

#### 3.7.2 Access tokens and claims

Access tokens contain information about the capabilities held by the bearer of the token. This information is represented by a *claim* to a given capability.

3.7. Authorization 303

The claims can express the following capabilities:

public: ability to retrieve publicly available information, such as the ledger identity admin: ability to interact with admin-level services, such as package uploading and party allocation

canReadAs (p): ability to read information off the ledger (like the active contracts) visible to the party p

canActsAs (p): same as canReadAs (p), with the added ability of issuing commands on behalf of the party p

The following table summarizes what kind of claim is required to access each Ledger API endpoint:

Ledger API service	Endpoint	Required claim
LedgerldentityService	GetLedgerIdentity	public
ActiveContractsService	GetActiveContracts	for each requested party p: can- ReadAs(p)
CommandSubmissionSer-	Submit	for submitting party p: canActAs(p)
vice	CompletionEnd	public
	CompletionStream	for each requested party p: can- ReadAs(p)
CommandService	All	for submitting party p: canActAs(p)
LedgerConfigurationService	GetLedgerConfigura- tion	public
PackageService	All	public
PackageManagementSer- vice	All	admin
PartyManagementService	All	admin
PruningService	All	admin
ResetService	All	admin
TimeService	GetTime	public
	SetTime	admin
TransactionService	LedgerEnd	public
	All (except LedgerEnd)	for each requested party p: can- ReadAs(p)

Access tokens may be represented differently based on the ledger implementation.

To learn how these claims are represented in the Sandbox, read the sandbox documentation.

## 3.7.3 Getting access tokens

To learn how to receive access tokens for a deployed ledger, contact your ledger operator. This may be a manual exchange over a secure channel, or your application may have to request tokens at runtime using an API such as OAuth.

To learn how to generate access tokens for the Sandbox, read the sandbox documentation.

#### 3.7.4 Using access tokens

To learn how to use access tokens in the Scala bindings, read the Scala bindings authorization documentation.

## 3.8 The Ledger API

#### 3.8.1 The Ledger API services

The Ledger API is structured as a set of services. The core services are implemented using gRPC and Protobuf, but most applications access this API through the mediation of the language bindings.

This page gives more detail about each of the services in the API, and will be relevant whichever way you're accessing it.

If you want to read low-level detail about each service, see the protobuf documentation of the API.

#### 3.8.1.1 Overview

The API is structured as two separate data streams:

A stream of **commands** TO the ledger that allow an application to submit transactions and change state.

A stream of **transactions** and corresponding **events** FROM the ledger that indicate all state changes that have taken place on the ledger.

Commands are the only way an application can cause the state of the ledger to change, and events are the only mechanism to read those changes.

For an application, the most important consequence of these architectural decisions and implementation is that the ledger API is asynchronous. This means:

The outcome of commands is only known some time after they are submitted.

The application must deal with successful and erroneous command completions separately from command submission.

Ledger state changes are indicated by events received asynchronously from the command submissions that cause them.

The need to handle these issues is a major determinant of application architecture. Understanding the consequences of the API characteristics is important for a successful application design.

For more help understanding these issues so you can build correct, performant and maintainable applications, read the application architecture guide.

#### Glossary

The ledger is a list of transactions. The transaction service returns these.

A transaction is a tree of actions, also called events, which are of type create, exercise or archive. The transaction service can return the whole tree, or a flattened list.

A submission is a proposed transaction, consisting of a list of commands, which correspond to the top-level actions in that transaction.

A completion indicates the success or failure of a submission.

#### 3.8.1.2 Submitting commands to the ledger

#### Command submission service

Use the **command submission service** to submit commands to the ledger. Commands either create a new contract, or exercise a choice on an existing contract.

A call to the command submission service will return as soon as the ledger server has parsed the command, and has either accepted or rejected it. This does not mean the command has been exe-

cuted, only that the server has looked at the command and decided that its format is acceptable, or has rejected it for syntactic or content reasons.

The on-ledger effect of the command execution will be reported via the *transaction service*, described below. The completion status of the command is reported via the *command completion service*. Your application should receive completions, correlate them with command submission, and handle errors and failed commands. Alternatively, you can use the *command service*, which conveniently wraps the command submission and completion services.

Commands can be labeled with two application-specific IDs, both of which are returned in completion events:

A commandId, returned to the submitting application only. It is generally used to implement this correlation between commands and completions.

A workflowld, returned as part of the resulting transaction to all applications receiving it. It can be used to track workflows between parties, consisting of several transactions.

For full details, see the proto documentation for the service.

#### Command deduplication

The command submission service deduplicates submitted commands based on the submitting party and command ID:

Applications can provide a *deduplication time* for each command. If this parameter is not set, the default maximum deduplication time is used.

A command submission is considered a duplicate submission if the ledger server receives the command within the deduplication time of a previous command with the same command ID from the same submitting party.

Duplicate command submissions will be ignored until either the deduplication time of the original command has elapsed or the original submission was rejected (i.e. the command failed and resulted in a rejected transaction), whichever comes first.

Command deduplication is only guaranteed to work if all commands are submitted to the same participant. Ledgers are free to perform additional command deduplication across participants. Consult the respective ledger's manual for more details.

A command submission will return:

- The result of the submission (Empty or a gRPC error), if the command was submitted outside of the deduplication time of a previous command with the same command ID on the same participant.
- The status error ALREADY\_EXISTS, if the command was discarded by the ledger server because it was sent within the deduplication time of a previous command with the same command ID.

If the ledger provides additional command deduplication across participants, the initial command submission might be successful, but ultimately the command can be rejected if the deduplication check fails on the ledger.

For details on how to use command deduplication, see the Application Architecture Guide.

#### Command completion service

Use the **command completion service** to find out the completion status of commands you have submitted.

Completions contain the commandId of the completed command, and the completion status of the command. This status indicates failure or success, and your application should use it to update

what it knows about commands in flight, and implement any application-specific error recovery.

For full details, see the proto documentation for the service.

#### Command service

Use the **command service** when you want to submit a command and wait for it to be executed. This service is similar to the command submission service, but also receives completions and waits until it knows whether or not the submitted command has completed. It returns the completion status of the command execution.

You can use either the command or command submission services to submit commands to effect a ledger change. The command service is useful for simple applications, as it handles a basic form of coordination between command submission and completion, correlating submissions with completions, and returning a success or failure status. This allow simple applications to be completely stateless, and alleviates the need for them to track command submissions.

For full details, see the proto documentation for the service.

## 3.8.1.3 Reading from the ledger

#### Transaction service

Use the **transaction service** to listen to changes in the ledger state, reported via a stream of transactions.

Transactions detail the changes on the ledger, and contains all the events (create, exercise, archive of contracts) that had an effect in that transaction.

Transactions contain a transactionId (assigned by the server), the workflowId, the commandId, and the events in the transaction.

Subscribe to the transaction service to read events from an arbitrary point on the ledger. This arbitrary point is specified by the ledger offset. This is important when starting or restarting and application, and to work in conjunction with the active contracts service.

For full details, see the proto documentation for the service.

#### Transaction and transaction trees

TransactionService offers several different subscriptions. The most commonly used is GetTransactions. If you need more details, you can use GetTransactionTrees instead, which returns transactions as flattened trees, represented as a map of event IDs to events and a list of root event IDs.

#### Verbosity

The service works in a non-verbose mode by default, which means that some identifiers are omitted:

Record IDs Record field labels Variant IDs

You can get these included in requests related to Transactions by setting the verbose field in message GetTransactionsRequest or GetActiveContractsRequest to true.

#### Active contracts service

Use the **active contracts service** to obtain a party-specific view of all contracts currently active on the ledger.

The active contracts service returns the current contract set as a set of created events that would re-create the state being reported. Each created event has a ledger offset where it occurs. You can infer the ledger offset of the contract set from the ledger offset of the last event you receive.

This is most important at application start, if the application needs to synchronize its initial state with a known view of the ledger. Without this service, the only way to do this would be to read the Transaction Stream from the beginning of the ledger, which can be prohibitively expensive with a large ledger.

For full details, see the proto documentation for the service.

#### Verbosity

See Verbosity above.

#### 3.8.1.4 Utility services

#### Party management service

Use the **party management service** to allocate parties on the ledger and retrieve information about allocated parties.

Allocating parties is necessary to interact with the ledger. For more information, refer to the pages on *Identity Management* and the API reference documentation.

#### Package service

Use the package service to obtain information about Daml packages available on the ledger.

This is useful for obtaining type and metadata information that allow you to interpret event data in a more useful way.

For full details, see the proto documentation for the service.

#### Ledger identity service

Use the **ledger identity service** to get the identity string of the ledger that your application is connected to.

You need to include this identity string when submitting commands. Commands with an incorrect identity string are rejected.

For full details, see the proto documentation for the service.

#### Ledger configuration service

Use the ledger configuration service to subscribe to changes in ledger configuration.

This configuration includes the maximum command deduplication time (see Command Deduplication for details).

For full details, see the proto documentation for the service.

#### Version service

Use the version service to retrieve information about the Ledger API version.

For full details, see the proto documentation for the service.

## **Pruning service**

Use the pruning service to prune archived contracts and transactions before or at a given offset.

For full details, see the proto documentation for the service.

#### 3.8.1.5 Testing services

These are only for use for testing with the Sandbox, not for on production ledgers.

#### Time service

Use the **time service** to obtain the time as known by the ledger server.

For full details, see the proto documentation for the service.

#### Reset service

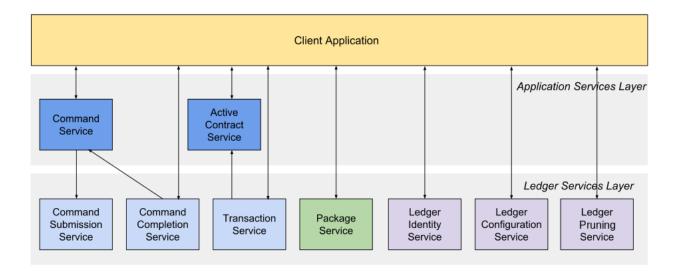
Use the **reset service** to reset the ledger state, as a quicker alternative to restarting the whole ledger application.

This resets all state in the ledger, including the ledger ID, so clients will have to re-fetch the ledger ID from the identity service after hitting this endpoint.

For full details, see the proto documentation for the service.

Daml SDK Documentation,	1.12.0-snapshot.20210331.6640.0.4b807899

## 3.8.1.6 Services diagram



#### 3.8.2 gRPC

If you want to write an application for the ledger API in other languages, you'll need to use gRPC directly.

If you're not familiar with gRPC and protobuf, we strongly recommend following the gRPC quickstart and gRPC tutorials. This documentation is written assuming you already have an understanding of gRPC.

## 3.8.2.1 Getting started

You can get the protobufs from a GitHub release, or from the daml repository here.

#### 3.8.2.2 Protobuf reference documentation

For full details of all of the Ledger API services and their RPC methods, see Ledger API Reference.

#### 3.8.2.3 Example project

We have an example project demonstrating the use of the Ledger API with gRPC. To get the example project, PingPongGrpc:

- 1. Configure your machine to use the example by following the instructions at Set up a Maven project.
- 2. Clone the repository from GitHub.
- 3. Follow the setup instructions in the README. Use examples.pingpong.grpc. PingPongGrpcMain as the main class.

#### About the example project

The example shows very simply how two parties can interact via a ledger, using two Daml contract templates, Ping and Pong.

The logic of the application goes like this:

- 1. The application injects a contract of type Ping for Alice.
- 2. Alice sees this contract and exercises the consuming choice RespondPong to create a contract of type Pong for Bob.
- 3. Bob sees this contract and exercises the consuming choice RespondPing to create a contract of type Ping for Alice.
- 4. Points 2 and 3 are repeated until the maximum number of contracts defined in the Daml is reached.

The entry point for the Java code is the main class src/main/java/examples/pingpong/grpc/
PingPongGrpcMain.java. Look at it to see how connect to and interact with a ledger using gRPC.

The application prints output like this:

Bob is exercising RespondPong on #1:0 in workflow Ping-Alice-1 at count 0 Alice is exercising RespondPing on #344:1 in workflow Ping-Alice-7 at□ →count 9

#### The first line shows:

Bob is exercising the RespondPong choice on the contract with ID #1:0 for the workflow Ping-Alice-1.

Count 0 means that this is the first choice after the initial Ping contract.

The workflow ID Ping-Alice-1 conveys that this is the workflow triggered by the second initial Ping contract that was created by Alice.

This example subscribes to transactions for a single party, as different parties typically live on different participant nodes. However, if you have multiple parties registered on the same node, or are running an application against the Sandbox, you can subscribe to transactions for multiple parties in a single subscription by putting multiple entries into the filters\_by\_party field of the TransactionFilter message. Subscribing to transactions for an unknown party will result in an error.

#### 3.8.2.4 Daml types and protobuf

For information on how Daml types and contracts are represented by the Ledger API as protobuf messages, see *How Daml types* are translated to protobuf.

#### 3.8.2.5 Error handling

Tor the standard error codes that the server or the client might return, see the gRPC documentation .

For submitted commands, there are these response codes:

- **ABORTED** The platform failed to record the result of the command due to a transient server-side error or a time constraint violation. You can retry the submission. In case of a time constraint violation, please refer to the section *Dealing with time* on how to handle commands with long processing times.
- **INVALID\_ARGUMENT** The submission failed because of a client error. The platform will definitely reject resubmissions of the same command.
- **OK, INTERNAL, UNKNOWN (when returned by the Command Submission Service)** Assume that the command was accepted, and wait for the resulting completion or a timeout from the Command Completion Service.
- **OK (when returned by the Command Service)** You can be sure that the command was successful. **INTERNAL, UNKNOWN (when returned by the Command Service)** Resubmit the command with the same command\_id.

#### 3.8.3 Ledger API Reference

#### 3.8.3.1 com/daml/ledger/api/v1/active\_contracts\_service.proto

#### GetActiveContractsRequest

Field	Туре	Label	Description
lodger id	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
filter	Transaction-		Templates to include in the served snapshot, per party. Re-
inter	Filter		quired
verbose	bool		If enabled, values served over the API will contain more infor-
verbose			mation than strictly necessary to interpret the data. In par-
			ticular, setting the verbose flag to true triggers the ledger to
			include labels for record fields. Optional
trace con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
IGAL			currently not supported. Optional

## ${\sf GetActiveContractsResponse}$

Field	Туре	Label	Description
offset	string		Included in the last message. The client should start consuming the transactions endpoint with this offset. The format of this field is described in ledger_offset. proto. Required
work- flow_id	string		The workflow that created the contracts. Must be a valid LedgerString (as described in value.proto). Optional
ac- tive_con- tracts	CreatedE- vent	repeated	The list of contracts that were introduced by the workflow with workflow_id at the offset. Must be a valid Ledger-String (as described in value.proto). Optional
trace_con-	TraceContext		Zipkin trace context. This field is a future extension point and is currently not supported. Optional

#### ActiveContractsService

Allows clients to initialize themselves according to a fairly recent state of the ledger without reading through all transactions that were committed since the ledger's creation.

Method	Request	Response	Description
name	type	type	
GetActive-	GetActive-	GetActive-	Returns a stream of the latest snapshot of active con-
Contracts	ContractsRe-	ContractsRe-	tracts. If there are no active contracts, the stream re-
	quest	sponse	turns a single GetActiveContractsResponse message
			with the offset at which the snapshot has been taken.
			Clients SHOULD use the offset in the last GetActive-
			ContractsResponse message to continue streaming
			transactions with the transaction service. Clients
			SHOULD NOT assume that the set of active contracts
			they receive reflects the state at the ledger end. Er-
			rors: - UNAUTHENTICATED: if the request does not in-
			clude a valid access token - PERMISSION_DENIED: if
			the claims in the token are insufficient to perform a
			given operation - NOT_FOUND: if the request does not
			include a valid ledger id - INVALID_ARGUMENT: if the
			payload is malformed or is missing required fields
			(filters by party cannot be empty)

# 3.8.3.2 com/daml/ledger/api/v1/admin/config\_management\_service.proto GetTimeModelRequest

## ${\sf GetTimeModelResponse}$

Field	Туре	Label	Description
configura- tion_gener- ation	int64		The current configuration generation. The generation is a monotonically increasing integer that is incremented on each change. Used when setting the time model.
time_model	TimeModel		The current ledger time model.

## SetTimeModelRequest

Field	Туре	Label	Description
submis- sion_id	string		Submission identifier used for tracking the request and to reject duplicate submissions. Required.
maxi- mum_record	google.pro- to- time buf.limes- tamp		Deadline for the configuration change after which the change is rejected.
configura- tion_gener- ation	int64		The current configuration generation which we're submitting the change against. This is used to perform a compareand-swap of the configuration to safeguard against concurrent modifications. Required.
new_time_n	TimeModel nodel		The new time model that replaces the current one. Required.

## ${\sf SetTimeModelResponse}$

Field	Туре	Label	Description
configuration_genera- tion	int64		The configuration generation of the committed time model.

## TimeModel

Field	Туре	Label	Description
avg_trans-	google.pro-		The expected average latency of a transaction, i.e., the aver-
action_la-	tobuf.Dura-		age time from submitting the transaction to a [[WriteSer-
_	tion		vice]] and the transaction being assigned a record time. Re-
tency			quired.
min okow	google.pro-		The minimimum skew between ledger time and record time:
min_skew	tobuf.Dura-		It_TX >= rt_TX - minSkew Required.
	tion		
may akaw	google.pro-		The maximum skew between ledger time and record time:
max_skew	tobuf.Dura-		It_TX <= rt_TX + maxSkew Required.
	tion		

## ConfigManagementService

Status: experimental interface, will change before it is deemed production ready

Ledger configuration management service provides methods for the ledger administrator to change the current ledger configuration. The services provides methods to modify different aspects of the configuration.

Method	Request	Response	Description
name	type	type	
GetTimeM-	GetTimeMo-	GetTimeMo-	Return the currently active time model and
odel	delRequest	delResponse	the current configuration generation. Errors: -
			UNAUTHENTICATED: if the request does not include
			a valid access token - PERMISSION_DENIED: if the
			claims in the token are insufficient to perform a given
			operation
SetTimeM-	SetTimeMod-	SetTimeMod-	Set the ledger time model. Errors: -
odel	elRequest	elResponse	UNAUTHENTICATED: if the request does not in-
			clude a valid access token - PERMISSION_DENIED: if
			the claims in the token are insufficient to perform a
			given operation - INVALID_ARGUMENT: if arguments
			are invalid, or the provided configuration generation
			does not match the current active configuration
			generation. The caller is expected to retry by again
			fetching current time model using 'GetTimeModel',
			applying changes and resubmitting ABORTED: if the
			request is rejected or times out. Note that a timed out
			request may have still been committed to the ledger.
			Application should re-query the current time model
			before retrying UNIMPLEMENTED: if this method is
			not supported by the backing ledger.

# 3.8.3.3 com/daml/ledger/api/v1/admin/package\_management\_service.proto

## List Known Packages Request

## ListKnownPackagesResponse

Field	Туре	Label	Description
pack- age_details	PackageDe- tails	repeated	The details of all Daml-LF packages known to backing participant. Required

### **PackageDetails**

Field	Туре	Label	Description
pack- age_id	string		The identity of the Daml-LF package. Must be a valid PackageIdString (as describe in value.proto). Required
pack- age_size	uint64		Size of the package in bytes. The size of the package is given by the size of the daml_lf ArchivePayload. See further details in daml_lf.proto. Required
known_since	google.pro- to- buf.Times- tamp		Indicates since when the package is known to the backing participant. Required
source_de- scription	string		Description provided by the backing participant describing where it got the package from. Optional

### UploadDarFileRequest

Field	Type	Label	Description
don file	bytes		Contains a Daml archive DAR file, which in turn is a jar like zipped
dar_file			container for daml_lf archives. See further details in daml_lf.
			proto. Required
ab.mai.a	string		Unique submission identifier. Optional, defaults to a random iden-
submis-			tifier.
sion_id			

### UploadDarFileResponse

An empty message that is received when the upload operation succeeded.

### PackageManagementService

Status: experimental interface, will change before it is deemed production ready

Query the Daml-LF packages supported by the ledger participant and upload DAR files. We use 'backing participant' to refer to this specific participant in the methods of this API.

Method	Request	Response	Description
name	type	type	
ListKnown-	ListKnown-	ListKnown-	Returns the details of all Daml-LF packages known to
Packages	PackagesRe-	PackagesRe-	the backing participant. Errors: - UNAUTHENTICATED:
	quest	sponse	if the request does not include a valid access token -
			PERMISSION_DENIED: if the claims in the token are
			insufficient to perform a given operation
Upload-	Upload-	Upload-	Upload a DAR file to the backing participant. De-
DarFile	DarFil-	DarFileRe-	pending on the ledger implementation this might
	eRequest	sponse	also make the package available on the whole ledger.
			This call might not be supported by some ledger
			implementations. Canton could be an example,
			where uploading a DAR is not sufficient to ren-
			der it usable, it must be activated first. This call
			may: - Succeed, if the package was successfully
			uploaded, or if the same package was already up-
			loaded before Respond with a gRPC error Errors: -
			UNAUTHENTICATED: if the request does not include
			a valid access token - PERMISSION_DENIED: if the
			claims in the token are insufficient to perform a given
			operation - UNIMPLEMENTED: if DAR package upload-
			ing is not supported by the backing participant -
			INVALID_ARGUMENT: if the DAR file is too big or mal-
			formed. The maximum supported size is implemen-
			tation specific.

### 3.8.3.4 com/daml/ledger/api/v1/admin/participant\_pruning\_service.proto

### PruneRequest

Field	Type	Label	Description
prune_up_to	string		Inclusive offset up to and at which the ledger is to be pruned.
submis- sion_id	string		Unique submission identifier. Optional, defaults to a random identifier, used for logging.

#### PruneResponse

Empty for now, but may contain fields in the future

### ParticipantPruningService

Prunes/truncates the oldest transactions from the participant (the participant Ledger Api Server plus any other participant-local state) by removing a portion of the ledger in such a way that the set of future, allowed commands are not affected.

This enables: 1. keeping the inactive portion of the ledger to a manageable size and 2. removing inactive state to honor the right to be forgotten.

Method	Request	Response	Description
name	type	type	
Prune	PruneRequest	PruneRe- sponse	Prune the ledger specifying the offset before and at which ledger transactions should be removed. Only returns when the potentially long-running prune request ends successfully or with one of the following errors: - INVALID_ARGUMENT: if the payload, particularly the offset is malformed or missing - UNIMPLEMENTED: if the participant is based on a ledger that has not implemented pruning - INTERNAL: if the participant has encountered a failure and has potentially applied pruning partially. Such cases warrant verifying the participant health before retrying the prune with the same (or a larger, valid) offset. Successful retries after such errors ensure that different components reach a consistent pruning state.

Other GRPC errors can be returned depending on the type of condition preventing a prune: -OUT\_OF\_RANGE: if the participant is not yet able to prune at the specified offset, but without user intervention the offset will eventually be usable for pruning. - FAILED\_PRECONDITION if some sort of user intervention is required before pruning can proceed at the specified offset.

# 3.8.3.5 com/daml/ledger/api/v1/admin/party\_management\_service.proto

#### AllocatePartyRequest

Field	Type	Label	Description
party_id_hir	string it		A hint to the backing participant which party ID to allocate. It can be ignored. Must be a valid PartyldString (as described in value. proto). Optional
dis- play_name	string		Human-readable name of the party to be added to the participant. It doesn't have to be unique. Optional

### AllocatePartyResponse

Field	Туре	Label	Description
party_details	PartyDetails		

#### GetParticipantIdRequest

#### GetParticipantIdResponse

Field	Type	Label	Description
partici- pant_id	string		Identifier of the participant, which SHOULD be globally unique.  Must be a valid LedgerString (as describe in value.proto).

### GetPartiesRequest

Field	Type	Label	Description
nortico	string	repeated	The stable, unique identifier of the Daml parties. Must be valid Par-
parties			tyldStrings (as described in value.proto). Required

### GetPartiesResponse

Field	Туре	Label	Description
party_de- tails	PartyDetails	repeated	The details of the requested Daml parties by the participant, if known. The party details may not be in the same order as requested. Required

### ListKnownPartiesRequest

### List Known Parties Response

Field	Туре	Label	Description
party_de- tails	PartyDetails	repeated	The details of all Daml parties known by the participant. Required

### **PartyDetails**

Field	Type	Label	Description
party	string		The stable unique identifier of a Daml party. Must be a valid Par-
party			tyldString (as described in value.proto). Required
d: c	string		Human readable name associated with the party. Caution, it might
dis-			not be unique. Optional
play_name			(,
is_local	bool		true if party is hosted by the backing participant. Required

### PartyManagementService

Status: experimental interface, will change before it is deemed production ready

Inspect the party management state of a ledger participant and modify the parts that are modifiable. We use 'backing participant' to refer to this specific participant in the methods of this API.

Method name	Request type	Response type	Description
GetPartici- pantId	GetPar- ticipan- tIdRequest	GetPar- ticipan- tIdResponse	Return the identifier of the backing participant. All horizontally scaled replicas should return the same id. daml-on-sql: returns an identifier supplied on command line at launch time daml-on-kv-ledger: as above canton: returns globally unique identifier of the backing participant Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation
GetParties	GetParties- Request	GetParties- Response	Get the party details of the given parties. Only known parties will be returned in the list. Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation
ListKnown- Parties	ListKnown- PartiesRe- quest	ListKnown- PartiesRe- sponse	List the parties known by the backing participant. The list returned contains parties whose ledger access is facilitated by backing participant and the ones maintained elsewhere. Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation
Allo- cateParty	AllocatePartyRequest	AllocatePar- tyResponse	Adds a new party to the set managed by the backing participant. Caller specifies a party identifier suggestion, the actual identifier allocated might be different and is implementation specific. This call may: - Succeed, in which case the actual allocated identifier is visible in the response Respond with a gRPC error Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation - UNIMPLEMENTED: if synchronous party allocation is not supported by the backing participant - INVALID_ARGUMENT: if the provided hint and/or display name is invalid on the given ledger (see below). daml-on-sql: suggestion's uniqueness is checked and call rejected if the identifier is already present daml-on-kv-ledger: suggestion's uniqueness is checked by the validators in the consensus layer and call rejected if the identifier is already present. canton: completely different globally unique identifier is allocated. Behind the scenes calls to an internal protocol are made. As that protocol is richer than the surface protocol, the arguments take implicit values The party identifier suggestion must be a valid party name. Party names are required to be non-empty US-ASCII strings built from letters, digits, space, colon, minus and underscore limited to 255 chars

# 3.8.3.6 com/daml/ledger/api/v1/command\_completion\_service.proto

# Checkpoint

Checkpoints may be used to:

detect time out of commands.
provide an offset which can be used to restart consumption.

Field	Type	Label	Description
record_time	google.pro- to- buf.Times- tamp		All commands with a maximum record time below this value MUST be considered lost if their completion has not arrived before this checkpoint. Required
offset	LedgerOffset		May be used in a subsequent CompletionStreamRequest to resume the consumption of this stream at a later time. Required

### Completion End Request

Field	Туре	Label	Description
ladgar id	string		Must correspond to the ledger ID reported by the Ledger
ledger_id	iger_ia		Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
trace con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
text			currently not supported. Optional

### CompletionEndResponse

Field	Туре	Label	Description
offset	LedgerOffset		This offset can be used in a CompletionStreamRequest message.
onset			Required

#### CompletionStreamRequest

Field	Туре	Label	Description
ledger_id	string		Must correspond to the ledger id reported by the Ledger Identification Service. Must be a valid LedgerString (as described in value.proto). Required
applica- tion_id	string		Only completions of commands submitted with the same application_id will be visible in the stream. Must be a valid LedgerString (as described in value.proto). Required
parties	string	repeated	Non-empty list of parties whose data should be included. Only completions of commands for which at least one of the act_as parties is in the given set of parties will be visible in the stream. Must be a valid PartyldString (as described in value.proto). Required
offset	LedgerOffset		This field indicates the minimum offset for completions. This can be used to resume an earlier completion stream. This offset is exclusive: the response will only contain commands whose offset is strictly greater than this. Optional, if not set the ledger uses the current ledger end offset instead.

### CompletionStreamResponse

Field	Туре	Label	Description
checkpoint	Checkpoint		This checkpoint may be used to restart consumption. The
Checkpoint			checkpoint is after any completions in this response. Op-
			tional
comple- tions	Completion	repeated	If set, one or more completions.

#### CommandCompletionService

Allows clients to observe the status of their submissions. Commands may be submitted via the Command Submission Service. The on-ledger effects of their submissions are disclosed by the Transaction Service.

Commands may fail in 2 distinct manners:

- 1. Failure communicated in the gRPC error of the submission.
- 2. Failure communicated in a Completion.

Only successfully submitted commands may produce a completion event.

Clients that do not receive a successful completion about their submission MUST NOT assume that it was successful. Clients SHOULD subscribe to the CompletionStream before starting to submit commands to prevent race conditions.

Interprocess tracing of command submissions may be achieved via Zipkin by filling out the trace\_context field. The server will return a child context of the submitted one, (or a new one if the context was missing) on both the Completion and Transaction streams.

Method	Request	Response	Description
name	type	type	
Comple-	Completion-	Completion-	Subscribe to command completion events. Errors: -
tionStream	StreamRe-	StreamRe-	UNAUTHENTICATED: if the request does not include
	quest	sponse	a valid access token - PERMISSION_DENIED: if the
			claims in the token are insufficient to perform a
			given operation - NOT_FOUND: if the request does not
			include a valid ledger id or if the ledger has been
			<pre>pruned before begin - INVALID_ARGUMENT: if the</pre>
			payload is malformed or is missing required fields -
			OUT_OF_RANGE: if the absolute offset is not before the
			end of the ledger
Completio-	Comple-	Comple-	Returns the offset after the latest completion. Errors:
nEnd	tionEn-	tionEn-	- UNAUTHENTICATED: if the request does not include
	dRequest	dResponse	a valid access token - PERMISSION_DENIED: if the
			claims in the token are insufficient to perform a given
			operation - NOT_FOUND: if the request does not in-
			clude a valid ledger id

# 3.8.3.7 com/daml/ledger/api/v1/command\_service.proto

### Submit And Wait For Transaction Id Response

Field	Type	Label	Description
transac- tion_id	string		The id of the transaction that resulted from the submitted command. Must be a valid LedgerString (as described in value. proto). Required

### Submit And Wait For Transaction Response

Field	Туре	Label	Description	
	Transaction		The flat transaction that resulted from the submitted com-	
transaction			mand. Required	

### Submit And Wait For Transaction Tree Response

Field	Туре	Label	Description
tropostion	Transaction-		The transaction tree that resulted from the submitted com-
transaction	Tree		mand. Required

### Submit And Wait Request

These commands are atomic, and will become transactions.

Field	Type	Label	Description
commands	Commands		The commands to be submitted. Required
trace_con- text	TraceContext		Server side tracing will be registered as a child of the sub- mitted context. This field is a future extension point and is currently not supported. Optional

### CommandService

Command Service is able to correlate submitted commands with completion data, identify timeouts, and return contextual information with each tracking result. This supports the implementation of stateless clients.

Method name	Request type	Response type	Description
Submi- tAndWait	SubmitAnd- WaitRequest	.google.pro- to- buf.Empty	Submits a single composite command and waits for its result. Propagates the gRPC error of failed submissions including Daml interpretation errors. Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation - NOT_FOUND: if the request does not include a valid ledger id - INVALID_ARGUMENT: if the payload is malformed or is missing required fields - RESOURCE_EXHAUSTED: if the number of inflight commands reached the maximum (if a limit is configured) - UNAVAILABLE: if the participant is not yet ready to submit commands or if the service has been shut down ABORTED: if a contract key is missing or duplicated due to for example contention on resources
Submi- tAndWait- ForTransac- tionId	SubmitAnd- WaitRequest	SubmitAnd- WaitFor- Transaction- IdResponse	Submits a single composite command, waits for its result, and returns the transaction id. Propagates the gRPC error of failed submissions including Daml interpretation errors. Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation - NOT_FOUND: if the request does not include a valid ledger id - INVALID_ARGUMENT: if the payload is malformed or is missing required fields - RESOURCE_EXHAUSTED: if the number of in-flight commands reached the maximum (if a limit is configured) - UNAVAILABLE: if the participant is not yet ready to submit commands or if the service has been shut down ABORTED: if a contract key is missing or duplicated due to for example contention on resources
Submi- tAndWait- ForTransac- tion	SubmitAnd- WaitRequest	SubmitAnd- WaitFor- Transaction- Response	Submits a single composite command, waits for its result, and returns the transaction. Propagates the gRPC error of failed submissions including Daml interpretation errors. Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation - NOT_FOUND: if the request does not include a valid ledger id - INVALID_ARGUMENT: if the payload is malformed or is missing required fields - RESOURCE_EXHAUSTED: if the number of in-flight commands reached the maximum (if a limit is configured) - UNAVAILABLE: if the participant is not yet ready to submit commands or if the service has been shut down ABORTED: if a contract key is missing or duplicated due to for example contention on resources
3 <b>26</b> bmi- tAndWait- ForTransac- tionTree	SubmitAnd- WaitRequest	SubmitAnd- WaitFor- Transac- tionTreeRe-	Submits a single Chapteit C. Control wp bidations result, and returns the transaction tree. Propagates the gRPC error of failed submissions including Daml interpretation errors. Errors: - UNAUTHENTICATED:

### 3.8.3.8 com/daml/ledger/api/v1/command\_submission\_service.proto

### SubmitRequest

The submitted commands will be processed atomically in a single transaction. Moreover, each Command in commands will be executed in the order specified by the request.

Field	Туре	Label	Description	
a a ma ma a n d a	Commands		The commands to be submitted in a single transaction. R	
commands			quired	
troop oon	TraceContext		Server side tracing will be registered as a child of the sub-	
trace_con-			mitted context. This field is a future extension point and is	
text			currently not supported. Optional	

#### CommandSubmissionService

Allows clients to attempt advancing the ledger's state by submitting commands. The final states of their submissions are disclosed by the Command Completion Service. The on-ledger effects of their submissions are disclosed by the Transaction Service.

Commands may fail in 2 distinct manners:

- 1. Failure communicated in the gRPC error of the submission.
- 2. Failure communicated in a Completion.

Only successfully submitted commands may produce a completion event.

Clients that do not receive a successful completion about their submission MUST NOT assume that it was successful. Clients SHOULD subscribe to the CompletionStream before starting to submit commands to prevent race conditions.

Interprocess tracing of command submissions may be achieved via Zipkin by filling out the trace\_context field. The server will return a child context of the submitted one, (or a new one if the context was missing) on both the Completion and Transaction streams.

Method	Request	Response	Description
name	type	type	
Submit	SubmitRe- quest	.google.pro- to- buf.Empty	Submit a single composite command. Errors: - UNAUTHENTICATED: if the request does not include a valid access token - PERMISSION_DENIED: if the claims in the token are insufficient to perform a given operation - NOT_FOUND: if the request does not include a valid ledger id - INVALID_ARGUMENT: if the payload is malformed or is missing required fields - UNAVAILABLE: if the participant is not yet ready to submit commands or if the service has been shut down RESOURCE_EXHAUSTED: if the participant or the ledger is overloaded. Clients should back off exponentially and retry ABORTED: if a contract key is missing or duplicated due to for example contention on resources

### 3.8.3.9 com/daml/ledger/api/v1/commands.proto

### Command

A command can either create a new contract or exercise a choice on an existing contract.

Field	Туре	Label	Description
create	CreateCommand		
exercise	ExerciseCommand		
exerciseByKey	ExerciseByKeyCommand		
createAndExercise	CreateAndExerciseCommand		

### Commands

A composite command that groups multiple commands together.

Field	Туре	Label	Description
L. Jac. 1.d	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as
			described in value.proto). Required
	string		Identifier of the on-ledger workflow that this command
work-	38		is a part of. Must be a valid LedgerString (as described in
flow_id			value.proto). Optional
	string		Uniquely identifies the application (or its part) that is-
applica-	String		sued the command. This is used in tracing across dif-
tion_id			ferent components and to let applications subscribe to
			• • • • • • • • • • • • • • • • • • • •
			their own submissions only. Must be a valid LedgerString
			(as described in value.proto). Required
com-	string		Uniquely identified the command. This identifier should
mand_id			be unique for each new command within an applica-
			tion domain, i.e., the triple (application_id, party, com-
			mand_id) must be unique. It can be used for matching
			the requests with their respective completions. Must be
			a valid LedgerString (as described in value.proto). Re-
			quired
	string		Party on whose behalf the command should be executed.
party	0		If ledger API authorization is enabled, then the authoriza-
			tion metadata must authorize the sender of the request
			to act on behalf of the given party. Must be a valid Par-
			tyldString (as described in value.proto). Deprecated
			in favor of the act_as field. If both are set, then the effec-
			tive list of parties on whose behalf the command should
			be executed is the union of all parties listed in party and
			act_as. Optional
commands	Command	repeated	Individual elements of this atomic command. Must be
			non-empty. Required
deduplica-	google.pro-		The length of the time window during which all com-
tion_time	tobuf.Dura-		mands with the same act_as parties and the same
tion_time	tion		command ID will be deduplicated. Duplicate commands
			submitted before the end of this window return an AL-
			READY_EXISTS error. Optional
	google,pro-		Lower bound for the ledger time assigned to the resulting
min_ledger_	time_abs		transaction. Note: The ledger time of a transaction is as-
	buf.Times-		signed as part of command interpretation. Use this prop-
			erty if you expect that command interpretation will take
	tamp		
			a considerate amount of time, such that by the time the
			resulting transaction is sequenced, its assigned ledger
			time is not valid anymore. Must not be set at the same
			time as min_ledger_time_rel. Optional
min_ledger_	google.pro-		Same as min_ledger_time_abs, but specified as a du-
icagei_	tobuf.Dura-		ration, starting from the time the command is received
	tion		by the server. Must not be set at the same time as
			min_ledger_time_abs. Optional
act ac	string	repeated	Set of parties on whose behalf the command should be
act_as			executed. If ledger API authorization is enabled, then the
			authorization metadata must authorize the sender of the
			request to act on behalf of each of the given parties. This
			field supersedes the party field. The effective set of par-
3.8. The Ledg	aer API		ties on whose behalf the command should be executed
J.J. THE LEG	9~1,411		
			is the union of all parties listed in party and act_as,
			which must be non-empty. Each element must be a valid
			PartyldString (as described in value.proto). Optional

#### CreateAndExerciseCommand

Create a contract and exercise a choice on it in the same transaction.

Field	Туре	Label	Description
tem- plate_id	Identifier		The template of the contract the client wants to create. Required
create_ar-	Record		The arguments required for creating a contract from this template. Required
choice	string		The name of the choice the client wants to exercise. Must be a valid NameString (as described in value.proto). Required
choice_ar-	Value		The argument for this choice. Required

#### CreateCommand

Create a new contract instance based on a template.

Field	Type	Label	Description
template_id	Identifier		The template of contract the client wants to create. Required
create_argu- ments	Record		The arguments required for creating a contract from this template. Required

### ExerciseByKeyCommand

Exercise a choice on an existing contract specified by its key.

Field	Туре	Label	Description
tem-	Identifier		The template of contract the client wants to exercise. Required
plate_id			
con-	Value		The key of the contract the client wants to exercise upon. Re-
tract_key			quired
choice	string		The name of the choice the client wants to exercise. Must be a
Choice			valid NameString (as described in value.proto) Required
choice_ar-	Value		The argument for this choice. Required

### ExerciseCommand

Exercise a choice on an existing contract.

330

Field	Туре	Label	Description
tem- plate_id	Identifier		The template of contract the client wants to exercise. Required
con- tract_id	string		The ID of the contract the client wants to exercise upon. Must be a valid LedgerString (as described in value.proto). Required
choice	string		The name of the choice the client wants to exercise. Must be a valid NameString (as described in value.proto) Required
choice_ar- gument	Value		The argument for this choice. Required

# 3.8.3.10 com/daml/ledger/api/v1/completion.proto

# Completion

A completion represents the status of a submitted command on the ledger: it can be successful or failed.

Field	Туре	Label	Description		
com-	string		The ID of the succeeded or failed command. Must be a valid		
mand_id			LedgerString (as described in value.proto). Required		
status	google.rpc.Sta	a-	Identifies the exact type of the error. For example, mal-		
Status	tus		formed or double spend transactions will result in a		
			INVALID_ARGUMENT status. Transactions with invalid time		
			time windows (which may be valid at a later date) will result		
			in an ABORTED error. Optional		
transac-	string		The transaction_id of the transaction that resulted from the		
tion_id			command with command_id. Only set for successfully ex-		
tion_id			ecuted commands. Must be a valid LedgerString (as de-		
			scribed in value.proto). Optional		
trace con	TraceContext		The trace context submitted with the command. This field		
trace_con-			is a future extension point and is currently not supported.		
text			Optional		

# 3.8.3.11 com/daml/ledger/api/v1/event.proto

### ArchivedEvent

Records that a contract has been archived, and choices may no longer be exercised on it.

Field	Туре	Label	Description
ovent id	string		The ID of this particular event. Must be a valid LedgerString
event_id			(as described in value.proto). Required
con-	string		The ID of the archived contract. Must be a valid LedgerString
tract_id			(as described in value.proto). Required
tem-	Identifier		The template of the archived contract. Required
plate_id			
wit-	string	repeated	The parties that are notified of this event. For ArchivedEvent's,
			these are the intersection of the stakeholders of the contract in
ness_par-			question and the parties specified in the 'TransactionFilter. The
ties			stakeholders are the union of the signatories and the ob-
			servers of the contract. Each one of its elements must be a
			valid PartyldString (as descibed in value.proto). Required

### CreatedEvent

Records that a contract has been created, and choices may now be exercised on it.

Field	Туре	Label	Description	
ovent id	string		The ID of this particular event. Must be a valid Ledger-	
event_id			String (as described in value.proto). Required	
con-	string		The ID of the created contract. Must be a valid Ledger-	
tract_id			String (as described in value.proto). Required	
tem- plate_id	Identifier		The template of the created contract. Required	
con- tract_key	Value		The key of the created contract, if defined. Optional	
create_ar- guments	Record		The arguments that have been used to create the contract. Required	
wit-	string	repeated	The parties that are notified of this event. When a Cre-	
ness_par-			atedEvent is returned as part of a transaction tree, this	
ties			will include all the parties specified in the TransactionFil-	
1.00			ter that are informees of the event. If served as part of a	
			flat transaction those will be limited to all parties spec-	
			ified in the <i>TransactionFilter</i> that are stakeholders of the contract (i.e. either signatories or observers). Required	
	string	repeated	The signatories for this contract as specified by the tem-	
signatories	String	repeated	plate. Required	
	string	repeated	The observers for this contract as specified explicitly by	
observers			the template or implicitly as choice controllers. Required	
agree-	google.pro-		The agreement text of the contract. We use StringValue	
ment_text	to-		to properly reflect optionality on the wire for backwards	
ment_text	buf.String-		compatibility. This is necessary since the empty string	
	Value		is an acceptable (and in fact the default) agreement text,	
			but also the default string in protobuf. This means a	
			newer client works with an older sandbox seamlessly.  Optional	

#### **Event**

An event in the flat transaction stream can either be the creation or the archiving of a contract.

In the transaction service the events are restricted to the events visible for the parties specified in the transaction filter. Each event message type below contains a witness\_parties field which indicates the subset of the requested parties that can see the event in question. In the flat transaction stream you'll only receive events that have witnesses.

Field	Type	Label	Description
created	CreatedEvent		
archived	ArchivedEvent	_	

#### ExercisedEvent

Records that a choice has been exercised on a target contract.

Field	Type	Label	Description
event_id	string		The ID of this particular event. Must be a valid LedgerString (as described in value.proto). Required
con- tract_id	string		The ID of the target contract. Must be a valid LedgerString (as described in value.proto). Required
tem- plate_id	Identifier		The template of the target contract. Required
choice	string		The choice that's been exercised on the target contract. Must be a valid NameString (as described in value.proto). Required
choice_ar- gument	Value		The argument the choice was made with. Required
act- ing_parties	string	repeated	The parties that made the choice. Each element must be a valid PartyldString (as described in value.proto). Required
consuming	bool		If true, the target contract may no longer be exercised. Required
wit- ness_par- ties	string	repeated	The parties that are notified of this event. The witnesses of an exercise node will depend on whether the exercise was consuming or not. If consuming, the witnesses are the union of the stakeholders and the actors. If not consuming, the witnesses are the union of the signatories and the actors. Note that the actors might not necessarily be observers and thus signatories. This is the case when the controllers of a choice are specified using flexible controllers, using the choice controller syntax, and said controllers are not explicitly marked as observers. Each element must be a valid PartyldString (as described in value.proto). Required
child_event_		repeated	References to further events in the same transaction that appeared as a result of this ExercisedEvent. It contains only the immediate children of this event, not all members of the subtree rooted at this node. Each element must be a valid LedgerString (as described in value.proto). Optional
exer-	Value		The result of exercising the choice Required
cise_result			

# 3.8.3.12 com/daml/ledger/api/v1/ledger\_configuration\_service.proto GetLedgerConfigurationRequest

Field	Туре	Label	Description
1. 1.4	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
traco con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
text			currently not supported. Optional

# ${\tt GetLedgerConfigurationResponse}$

Field	Туре	Label	Description
ledger_configuration	LedgerConfiguration		The latest ledger configuration.

### LedgerConfiguration

LedgerConfiguration contains parameters of the ledger instance that may be useful to clients.

Field	Type	Label	Description
max_dedu-	google.pro-		The maximum value for the deduplication_time param-
_	tobuf.Dura-		eter of command submissions (as described in commands.
plica- tion_time	tion		proto). This defines the maximum time window during
			which commands can be deduplicated.

### LedgerConfigurationService

LedgerConfigurationService allows clients to subscribe to changes of the ledger configuration.

Method	Request	Response	Description
name	type	type	
GetLedger-	GetLedger-	GetLedger-	Returns the latest configuration as the first re-
Configura-	Configura-	Configu-	sponse, and publishes configuration updates in
tion	tionRequest	rationRe-	the same stream. Errors: - UNAUTHENTICATED:
		sponse	if the request does not include a valid access to-
			ken - PERMISSION DENIED: if the claims in the to-
			ken are insufficient to perform a given operation -
			NOT FOUND: if the request does not include a valid
			ledger id

### 3.8.3.13 com/daml/ledger/api/v1/ledger\_identity\_service.proto

### ${\sf GetLedgerIdentityRequest}$

Field	Type	Label	Description
trace_con- text	TraceContext		Server side tracing will be registered as a child of the sub- mitted context. This field is a future extension point and is currently not supported. Optional

### ${\sf GetLedgerIdentityResponse}$

Field	Type	Label	Description
ledger_id	string		The ID of the ledger exposed by the server. Must be a valid Ledger-
			String (as described in value.proto). Required

#### LedgerIdentityService

Allows clients to verify that the server they are communicating with exposes the ledger they wish to operate on. Note that every ledger has a unique ID.

Method	Request	Response	Description
name	type	type	
GetLedgerI-	GetLedgerI-	GetLedgerl-	Clients may call this RPC to return the identifier
dentity	dentityRe-	dentityRe-	of the ledger they are connected to. Errors: -
	quest	sponse	UNAUTHENTICATED: if the request does not include
			a valid access token - PERMISSION DENIED: if the
			claims in the token are insufficient to perform a given
			operation

### 3.8.3.14 com/daml/ledger/api/v1/ledger\_offset.proto

#### LedgerOffset

Describes a specific point on the ledger.

The Ledger API endpoints that take offsets allow to specify portions of the ledger that are relevant for the client to read.

Offsets returned by the Ledger API can be used as-is (e.g. to keep track of processed transactions and provide a restart point to use in case of need).

The format of absolute offsets is opaque to the client: no client-side transformation of an offset is guaranteed to return a meaningful offset.

The server implementation ensures internally that offsets are lexicographically comparable.

Field	Type	Label	Description
absolute	string		The format of this string is specific to the ledger and opaque to the client.
boundary	LedgerOffset.Ledger- Boundary		

### LedgerOffset.LedgerBoundary

Name	Number	Description
LEDGER_BEGIN	0	Refers to the first transaction.
LEDGER_END	1	Refers to the currently last transaction, which is a moving target.

### 3.8.3.15 com/daml/ledger/api/v1/package\_service.proto

# GetPackageRequest

Field	Type	Label	Description
ladgar id	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
noole	string		The ID of the requested package. Must be a valid PackageId-
pack-			String (as described in value.proto). Required
age_id			- ,
traca con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
text			currently not supported. Optional

# GetPackageResponse

Field	Туре	Label	Description
hash_func- tion	HashFunc- tion		The hash function we use to calculate the hash. Required
archive_pay-	bytes		Contains a daml_lf ArchivePayload. See further details in daml_lf.proto. Required
hash	string		The hash of the archive payload, can also used as a package_id. Must be a valid PackageIdString (as described in value.proto). Required

### ${\tt GetPackageStatusRequest}$

Field	Type	Label	Description
ledger_id	string		Must correspond to the ledger ID reported by the Ledger
			Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
	string		The ID of the requested package. Must be a valid PackageId-
pack- age_id			String (as described in value.proto). Required
trace_con-	TraceContext		Server side tracing will be registered as a child of the submitted context. This field is a future extension point and is currently not supported. Optional

# ${\tt GetPackageStatusResponse}$

Field	Type	Label	Description
package_status	PackageStatus		The status of the package.

# ListPackagesRequest

Field	Type	Label	Description
اما مرم بر ناما	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
troop oon	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
text			currently not supported. Optional

### ListPackagesResponse

Field	Type	Label	Description
pack- age_ids	string	repeated	element must be a valid PackageIdString (as described in
_			value.proto). Required

### HashFunction

Name	Number	Description
SHA256	0	

# PackageStatus

Name	Number	Description
UNKNOWN	0	The server is not aware of such a package.
REGISTERED	1	The server is able to execute Daml commands operating on this package.

## PackageService

Allows clients to query the Daml-LF packages that are supported by the server.

Method	Request	Response	Description
name	type	type	
ListPack-	ListPack-	ListPack-	Returns the identifiers of all supported packages. Er-
ages	agesRequest	agesRe-	rors: - UNAUTHENTICATED: if the request does not in-
		sponse	clude a valid access token - PERMISSION_DENIED: if
			the claims in the token are insufficient to perform a
			given operation - NOT_FOUND: if the request does not
			include a valid ledger id
GetPackage	GetPack-	GetPack-	Returns the contents of a single package. Errors: -
	ageRequest	ageResponse	UNAUTHENTICATED: if the request does not include
			a valid access token - PERMISSION_DENIED: if the
			claims in the token are insufficient to perform a given
			operation - NOT_FOUND: if the requested package is
			unknown
GetPack-	GetPack-	GetPack-	Returns the status of a single package. Errors: -
ageStatus	ageStatus-	ageStatus-	UNAUTHENTICATED: if the request does not include
	Request	Response	a valid access token - PERMISSION_DENIED: if the
			claims in the token are insufficient to perform a given
			operation - NOT_FOUND: if the requested package is
			unknown

### 3.8.3.16 com/daml/ledger/api/v1/testing/reset\_service.proto

### ResetRequest

Field	Type	Label	Description
ledger_id	string		Must correspond to the ledger ID reported by the Ledger Identification Service. Must be a valid LedgerString (as describe in value. proto). Required

#### ResetService

Service to reset the ledger state. The goal here is to be able to reset the state in a way that's much faster compared to restarting the whole ledger application (be it a sandbox or the real ledger server).

Note that all state present in the ledger implementation will be reset, most importantly including the ledger ID. This means that clients will have to re-fetch the ledger ID from the identity service after hitting this endpoint.

The semantics are as follows:

When the reset service returns the reset is initiated, but not completed;

While the reset is performed, the ledger will not accept new requests. In fact we guarantee that ledger stops accepting new requests by the time the response to Reset is delivered;

In-flight requests might be aborted, we make no guarantees on when or how quickly this happens;

The ledger might be unavailable for a period of time before the reset is complete.

Given the above, the recommended mode of operation for clients of the reset endpoint is to call it, then call the ledger identity endpoint in a retry loop that will tolerate a brief window when the ledger is down, and resume operation as soon as the new ledger ID is delivered.

Note that this service will be available on the sandbox and might be available in some other testing environments, but will never be available in production.

Method	Request	Response	Description
name	type	type	
Reset	ResetRe-	.google.pro-	Resets the ledger state. Note that loaded DARs won't
	quest	to-	be removed – this only rolls back the ledger to genesis.
		buf.Empty	

# 3.8.3.17 com/daml/ledger/api/v1/testing/time\_service.proto

### GetTimeRequest

Field	Type	Label	Description
ledger_id	string		Must correspond to the ledger ID reported by the Ledger Identifica-
leuger_iu			tion Service. Must be a valid LedgerString (as describe in value.
			proto). Required

### GetTimeResponse

Field	Type	Label	Description
cur-	google.protobuf.Times-		The current time according to the ledger
rent_time	tamp		server.

### SetTimeRequest

Field	Туре	Label	Description
ledger_id	string		Must correspond to the ledger ID reported by the Ledger Identification Service. Must be a valid LedgerString (as describe in value.proto). Required
cur- rent_time	google.pro- to- buf.Times- tamp		MUST precisely match the current time as it's known to the ledger server.
new_time	google.pro- to- buf.Times- tamp		The time the client wants to set on the ledger. MUST be a point int time after current_time.

#### **TimeService**

Optional service, exposed for testing static time scenarios.

Method	Request	Response	Description
name	type	type	
GetTime	Get-	GetTimeRe-	Returns a stream of time updates. Always returns at
	TimeRequest	sponse	least one response, where the first one is the current
			time. Subsequent responses are emitted whenever
			the ledger server's time is updated.
SetTime	Set-	.google.pro-	Allows clients to change the ledger's clock in
	TimeRequest	to-	an atomic get-and-set operation. Errors: -
		buf.Empty	INVALID_ARGUMENT: if current_time is invalid (it
			MUST precisely match the current time as it's known
			to the ledger server)

## 3.8.3.18 com/daml/ledger/api/v1/trace\_context.proto

#### TraceContext

Data structure to propagate Zipkin trace information. See <a href="https://github.com/openzipkin/b3-propagation">https://github.com/openzipkin/b3-propagation</a> Trace identifiers are 64 or 128-bit, but all span identifiers within a trace are 64-bit. All identifiers are opaque.

Field	Туре	Label	Description
trace_id_hig	uint64		If present, this is the high 64 bits of the 128-bit identifier.
trace_id_nig	;n		Otherwise the trace ID is 64 bits long.
troop id	uint64		The Traceld is 64 or 128-bit in length and indicates the over-
trace_id			all ID of the trace. Every span in a trace shares this ID.
anan id	uint64		The SpanId is 64-bit in length and indicates the position of
span_id			the current operation in the trace tree. The value should not
			be interpreted: it may or may not be derived from the value
			of the Traceld.
par-	google.pro-		The ParentSpanId is 64-bit in length and indicates the posi-
ent_span_id	to-		tion of the parent operation in the trace tree. When the span
one_span_ra	buf.UInt64Val	ue	is the root of the trace tree, the ParentSpanId is absent.
aananlad	bool		When the sampled decision is accept, report this span to
sampled			the tracing system. When it is reject, do not. When B3 at-
			tributes are sent without a sampled decision, the receiver
			should make one. Once the sampling decision is made, the
			same value should be consistently sent downstream.

# 3.8.3.19 com/daml/ledger/api/v1/transaction.proto

#### Transaction

Filtered view of an on-ledger transaction.

Field	Туре	Label	Description
transac-	string		Assigned by the server. Useful for correlating logs. Must
tion_id			be a valid LedgerString (as described in value.proto).
tion_iu			Required
com-	string		The ID of the command which resulted in this transac-
mand_id			tion. Missing for everyone except the submitting party.
illalia_la			Must be a valid LedgerString (as described in value.
			proto <b>). Optional</b>
work-	string		The workflow ID used in command submission. Must be
11.01.11			a valid LedgerString (as described in value.proto). Op-
flow_id			tional
offoo	google.pro-		Ledger effective time. Must be a valid LedgerString (as
effec-	to-		described in value.proto). Required
tive_at	buf.Times-		
	tamp		
events	Event	repeated	The collection of events. Only contains CreatedEvent or
events			ArchivedEvent. Required
offset	string		The absolute offset. The format of this field is described
Uliset			<pre>in ledger_offset.proto.Required</pre>
trace con-	TraceContext		Zipkin trace context. This field is a future extension point
trace_con-			and is currently not supported. Optional
ισχι			

### TransactionTree

Complete view of an on-ledger transaction.

Field	Type	Label	Description
transac-	string		Assigned by the server. Useful for correlating logs. Must
tion_id			be a valid LedgerString (as described in value.proto).
tion_id			Required
com-	string		The ID of the command which resulted in this transac-
mand_id			tion. Missing for everyone except the submitting party.
			Must be a valid LedgerString (as described in value.
			proto <b>). Optional</b>
work-	string		The workflow ID used in command submission. Only set
flow_id			if the workflow_id for the command was set. Must be
110W_1G			a valid LedgerString (as described in value.proto). Op-
			tional
effec-	google.pro-		Ledger effective time. Required
tive_at	to-		
	buf.Times-		
	tamp		
offset	string		The absolute offset. The format of this field is described
			in ledger_offset.proto. Required
events_by_i	Transaction-	repeated	Changes to the ledger that were caused by this transac-
			tion. Nodes of the transaction tree. Each key be a valid
	ByldEntry		LedgerString (as describe in value.proto). Required
root_event_	string ids	repeated	Roots of the transaction tree. Each element must be a
			valid LedgerString (as describe in value.proto). The
			elements are in the same order as the commands in
			the corresponding Commands object that triggerd this
			transaction. Required
trace_con-	TraceContext		Zipkin trace context. This field is a future extension point
text			and is currently not supported. Optional

### TransactionTree.EventsByIdEntry

Field	Туре	Label	Description
key	string		
value	TreeEvent		

#### TreeEvent

Each tree event message type below contains a  $witness\_parties$  field which indicates the subset of the requested parties that can see the event in question.

Note that transaction trees might contain events with \_no\_ witness parties, which were included simply because they were children of events which have witnesses.

Field	Туре	Label	Description
created	CreatedEvent		
exercised	ExercisedEvent		

# 3.8.3.20 com/daml/ledger/api/v1/transaction\_filter.proto

#### **Filters**

Field	Type	Label	Description
inclusive	InclusiveFilters		If not set, no filters will be applied. Optional

#### InclusiveFilters

If no internal fields are set, no filters will be applied.

Field	Type	Label	Description
tem- plate_ids	Identifier	repeated	A collection of templates. SHOULD NOT contain duplicates. Required

#### TransactionFilter

Used for filtering Transaction and Active Contract Set streams. Determines which on-ledger events will be served to the client.

Field	Туре	Label	Description
fil-	Transaction-	repeated	Keys of the map determine which parties' on-ledger
	Filter.Filters-		transactions are being queried. Values of the map deter-
ters_by_party_ByPartyEntry			mine which events are disclosed in the stream per party.
			At the minimum, a party needs to set an empty Filters
			message to receive any events. Each key must be a valid
			PartyldString (as described in value.proto). Required

### TransactionFilter.FiltersByPartyEntry

Field	Туре	Label	Description
key	string		
value	Filters		

# 3.8.3.21 com/daml/ledger/api/v1/transaction\_service.proto

### ${\tt GetFlatTransactionResponse}$

Field	Туре	Label	Description
transaction	Transaction		
transaction			

# ${\sf GetLedgerEndRequest}$

Field	Type	Label	Description
اما مرمید نما	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as de-
			scribe in value.proto). Required
trace con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
text			currently not supported. Optional

# ${\sf GetLedgerEndResponse}$

Field	Type	Label	Description	
offset	LedgerOffset		The absolute offset of the current ledger end.	

# ${\tt GetTransactionByEventIdRequest}$

Field	Туре	Label	Description	
ladger id	string		Must correspond to the ledger ID reported by the Ledger	
ledger_id			Identification Service. Must be a valid LedgerString (as	
			described in value.proto). Required	
ovent id	string		The ID of a particular event. Must be a valid LedgerStrin	
event_id			(as described in value.proto). Required	
request-	string	repeated	The parties whose events the client expects to see. Events	
ing_parties			that are not visible for the parties in this collection will	
mg_parties			not be present in the response. Each element must be a	
			valid PartyldString (as described in value.proto). Re-	
			quired	
trace con-	TraceContext		Server side tracing will be registered as a child of the sub-	
trace_con-			mitted context. This field is a future extension point and	
LEXI			is currently not supported. Optional	

## ${\sf GetTransactionByIdRequest}$

Field	Type	Label	Description
ladgar id	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as
			describe in value.proto). Required
transac-	string		The ID of a particular transaction. Must be a valid Ledger-
tion_id			String (as describe in value.proto). Required
request-	string	repeated	The parties whose events the client expects to see. Events
ing_parties			that are not visible for the parties in this collection will
mg_parties			not be present in the response. Each element be a valid
			PartyldString (as describe in value.proto). Required
trace con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and
LEXI			is currently not supported. Optional

# ${\sf GetTransactionResponse}$

Field	Type	Label	Description
transaction	TransactionTree		

# ${\tt GetTransactionTreesResponse}$

Field	Type	Label	Description
transac-	Transaction-	repeated	The list of transaction trees that matches th
tions	Tree		filter in GetTransactionsRequest for the
tions			GetTransactionTrees method.

# ${\sf GetTransactionsRequest}$

Field	Туре	Label	Description
ladgar id	string		Must correspond to the ledger ID reported by the Ledger
ledger_id			Identification Service. Must be a valid LedgerString (as de-
			scribed in value.proto). Required
bogin	LedgerOffset		Beginning of the requested ledger section. This offset is ex-
begin			clusive: the response will only contain transactions whose
			offset is strictly greater than this. Required
and	LedgerOffset		End of the requested ledger section. This offset is inclusive:
end			the response will only contain transactions whose offset is
			less than or equal to this. Optional, if not set, the stream will
			not terminate.
filter	Transaction-		Requesting parties with template filters. Template filters
Inter	Filter		must be empty for GetTransactionTrees requests. Required
verbooo	bool		If enabled, values served over the API will contain more infor-
verbose			mation than strictly necessary to interpret the data. In par-
			ticular, setting the verbose flag to true triggers the ledger to
			include labels for record fields. Optional
traca con-	TraceContext		Server side tracing will be registered as a child of the sub-
trace_con-			mitted context. This field is a future extension point and is
LEXI			currently not supported. Optional

# ${\sf GetTransactionsResponse}$

Field	Type	Label	Description
transac- tions	Transaction	repeated	The list of transactions that matches the filter in GetTransactionsRequest for the GetTransactions method.

## TransactionService

Allows clients to read transactions from the ledger.

Method	Request	Response	Description
name	type	type	
GetTransac-	GetTransac-	GetTrans-	Read the ledger's filtered transaction stream for a set
tions	tionsRequest	actionsRe-	of parties. Lists only creates and archives, but not
		sponse	other events. Omits all events on transient contracts,
			i.e., contracts that were both created and archived in
			the same transaction. Errors: - UNAUTHENTICATED:
			if the request does not include a valid access to-
			ken - PERMISSION_DENIED: if the claims in the to-
			ken are insufficient to perform a given operation -
			NOT_FOUND: if the request does not include a valid
			ledger id or if the ledger has been pruned before
			begin - INVALID_ARGUMENT: if the payload is mal-
			formed or is missing required fields (e.g. if before is
			not before end) - OUT_OF_RANGE: if the begin param-
			eter value is not before the end of the ledger
GetTransac-	GetTransac-	GetTransac-	Read the ledger's complete transaction tree stream
tionTrees	tionsRequest	tionTreesRe-	for a set of parties. The stream can be filtered
		sponse	only by parties, but not templates (template fil-
			ter must be empty). Errors: - UNAUTHENTICATED:
			if the request does not include a valid access to-
			ken - PERMISSION_DENIED: if the claims in the to-
			ken are insufficient to perform a given operation -
			NOT_FOUND: if the request does not include a valid
			ledger id or if the ledger has been pruned before
			begin - INVALID_ARGUMENT: if the payload is mal-
			formed or is missing required fields (e.g. if before is
			not before end) - OUT_OF_RANGE: if the begin param-
			eter value is not before the end of the ledger
GetTransac-	GetTransac-	GetTrans-	Lookup a transaction tree by the ID of an event
tionByEven-	tionByEven-	actionRe-	that appears within it. For looking up a transac-
tld	tIdRequest	sponse	tion instead of a transaction tree, please see GetFlat-
			TransactionByEventId Errors: - UNAUTHENTICATED:
			if the request does not include a valid access to-
			ken - PERMISSION DENIED: if the claims in the
			token are insufficient to perform a given opera-
			tion - NOT_FOUND: if the request does not include
			a valid ledger id or no such transaction exists -
			INVALID_ARGUMENT: if the payload is malformed or
			is missing required fields (e.g. if requesting parties
			are invalid or empty)
GetTransac-	GetTrans-	GetTrans-	Lookup a transaction tree by its ID. For looking up a
tionById	action-	actionRe-	transaction instead of a transaction tree, please see
	ByIdRequest	sponse	GetFlatTransactionByld Errors: - UNAUTHENTICATED:
			if the request does not include a valid access to-
			ken - PERMISSION_DENIED: if the claims in the
			token are insufficient to perform a given opera-
			tion - NOT_FOUND: if the request does not include
			a valid ledger id or no such transaction exists -
			INVALID_ARGUMENT: if the payload is malformed or
			is missing required fields (e.g. if requesting parties
			are invalid or empty)
の発性用 <b>ate</b> Ledo	T	GetFlat-	Lookup a transaction by the ID of an event that
Transac-	tionByEven-	Transaction-	appears within it. Errors: - UNAUTHENTICATED:
tionByEven-	tIdRequest	Response	if the request does not include a valid access to-
tId			ken - PERMISSION DENIED: if the claims in the

# 3.8.3.22 com/daml/ledger/api/v1/value.proto

### Enum

A value with finite set of alternative representations.

Field	Type	Label	Description	
	Identifier		Omitted from the transaction stream when verbose streaming	
enum_id			is not enabled. Optional when submitting commands.	
constructor string Deterr			Determines which of the Variant's alternatives is encoded in	
Constructor			this message. Must be a valid NameString. Required	

### GenMap

Field	Туре	Label	Description
entries	GenMap.Entry	repeated	

### GenMap.Entry

Field	Type	Label	Description
key	Value		
value	Value		

#### Identifier

Unique identifier of an entity.

Field	Type	Label	Description
pack- age_id	string		The identifier of the Daml package that contains the entity. Must be a valid PackageIdString. Required
mod- ule_name	string		The dot-separated module name of the identifier. Required
en- tity_name	string		The dot-separated name of the entity (e.g. record, template, ) within the module. Required

#### List

A homogenous collection of values.

Field	Type	Label	Description
alamants	Value	repeated	The elements must all be of the same concrete value type. Op-
elements			tional

### Мар

Field	Type	Label	Description
entries	Map.Entry	repeated	

### Map.Entry

Field	Type	Label	Description
key	string		
value	Value		

### Optional

Corresponds to Java's Optional type, Scala's Option, and Haskell's Maybe. The reason why we need to wrap this in an additional message is that we need to be able to encode the None case in the Value oneof.

Field	Field Type		Description	
value	Value		optional	

#### Record

Contains nested values.

Field	Type	Label	Description	
	Identifier		Omitted from the transaction stream when verbose stream-	
record_id			ing is not enabled. Optional when submitting commands.	
£: -1 -1 -	RecordField	repeated	The nested values of the record. Required	
fields				

#### RecordField

A named nested value within a record.

Field	Туре	Label	Description
label	string		When reading a transaction stream, it's omitted if verbose streaming
labor			is not enabled. When submitting a commmand, it's optional: - if all
			keys within a single record are present, the order in which fields appear
			does not matter. however, each key must appear exactly once if any
			of the keys within a single record are omitted, the order of fields MUST
			match the order of declaration in the Daml template. Must be a valid
			NameString
value	Value		A nested value of a record. Required
value			

#### Value

Encodes values that the ledger accepts as command arguments and emits as contract arguments.

The values encoding use different four classes of strings as identifiers. Those classes are defined as follow: - NameStrings are strings that match the regexp  $[A-Za-z\$ ]  $[A-Za-z0-9\$ ]\*. - PackageIdStrings are strings that match the regexp  $[A-Za-z0-9\$ ]+. - PartyIdStrings are strings that match the regexp  $[A-Za-z0-9\$ ]+. - LedgerStrings are strings that match the regexp  $[A-Za-z0-9\$ ]+. - LedgerStrings are strings that match the regexp  $[A-Za-z0-9\$ ]+.

Field	Туре	Label	Description
record	Record		
variant	Variant		
con- tract_id	string		Identifier of an on-ledger contract. Commands which reference an unknown or already archived contract ID will fail. Must be a valid LedgerString.
list	List		Represents a homogeneous list of values.
int64	sint64		
numeric	string		A Numeric, that is a decimal value with precision 38 (at most 38 significant digits) and a scale between 0 and 37 (significant digits on the right of the decimal point). The field has to match the regex [+-]?d{1,38}(.d{0,37})? and should be representable by a Numeric without loss of precision.
text	string		A string.
timestamp	sfixed64		Microseconds since the UNIX epoch. Can go backwards. Fixed since the vast majority of values will be greater than 2^28, since currently the number of microseconds since the epoch is greater than that. Range: 0001-01-01T00:00:00Z to 9999-12-31T23:59:59.999999Z, so that we can convert to/from https://www.ietf.org/rfc/rfc3339.txt
party	string		An agent operating on the ledger. Must be a valid Partyld-String.
bool	bool		True or false.
unit	google.pro- to- buf.Empty		This value is used for example for choices that don't take any arguments.
date	int32		Days since the unix epoch. Can go backwards. Limited from 0001-01-01 to 9999-12-31, also to be compatible with https://www.ietf.org/rfc/rfc3339.txt
optional	Optional		The Optional type, None or Some
map	Мар		The Map type
enum	Enum		The Enum type
gen_map	GenMap		The GenMap type

#### Variant

A value with alternative representations.

Field	Type	Label	Description	
Identifier Omitted from the transact			Omitted from the transaction stream when verbose streaming	
variant_id			is not enabled. Optional when submitting commands.	
constructor	string		Determines which of the Variant's alternatives is encoded in	
Constructor			this message. Must be a valid NameString. Required	
volue	Value		The value encoded within the Variant. Required	
value				

# 3.8.3.23 com/daml/ledger/api/v1/version\_service.proto

# ${\sf GetLedgerApiVersionRequest}$

Field	Type	Label	Description
ledger_id	string		Must correspond to the ledger ID reported by the Ledger Identifica-
leuger_iu			tion Service. Must be a valid LedgerString (as described in value.
			proto). Required

### GetLedgerApiVersionResponse

Field	Type	Label	Description	
version	string		The version of the ledger API	

### VersionService

Allows clients to retrieve information about the ledger API version

Method name	Request type	Response type	Description	
GetLedgerApiVer-	GetLedgerApiVersionRe-	GetLedgerApiVersionRe-	Read the Ledger API ver-	
sion	quest	sponse	sion	

### 3.8.3.24 Scalar Value Types

.proto type	Notes	C++ type	Java type	Python type
double		double	double	float
float		float	float	float
int32	Uses variable-length encoding. Inefficient for encoding negative numbers – if your field is likely to have negative values, use sint32 instead.	int32	int	int
int64	Uses variable-length encoding. Inefficient for encoding negative numbers – if your field is likely to have negative values, use sint64 instead.	int64	long	int/long
uint32	Uses variable-length encoding.	uint32	int	int/long
uint64	Uses variable-length encoding.	uint64	long	int/long
sint32	Uses variable-length encoding. Signed int value. These more efficiently encode negative numbers than regular int32s.	int32	int	int
sint64	Uses variable-length encoding. Signed int value. These more efficiently encode negative numbers than regular int64s.	int64	long	int/long
fixed32	Always four bytes. More efficient than uint32 if values are often greater than 2^28.	uint32	int	int
fixed64	Always eight bytes. More efficient than uint64 if values are often greater than 2^56.	uint64	long	int/long
sfixed32	Always four bytes.	int32	int	int
sfixed64	Always eight bytes.	int64	long	int/long
bool		bool	boolean	boolean
string	A string must always contain UTF-8 encoded or 7-bit ASCII text.	string	String	str/unicode
bytes	May contain any arbitrary sequence of bytes.	string	ByteString	str

### 3.8.4 How Daml types are translated to protobuf

This page gives an overview and reference on how Daml types and contracts are represented by the Ledger API as protobuf messages, most notably:

in the stream of transactions from the *TransactionService* as payload for *CreateCommand* and *ExerciseCommand* sent to *CommandSubmissionService* and *CommandService*.

The Daml code in the examples below is written in Daml 1.1.

#### 3.8.4.1 Notation

The notation used on this page for the protobuf messages is the same as you get if you invoke protoc --decode=Foo < some\_payload.bin. To illustrate the notation, here is a simple definition of the messages Foo and Bar:

```
message Foo {
   string field_with_primitive_type = 1;
   Bar field_with_message_type = 2;
}
message Bar {
   repeated int64 repeated_field_inside_bar = 1;
}
```

A particular value of Foo is then represented by the Ledger API in this way:

```
{ // Foo
  field_with_primitive_type: "some string"
  field_with_message_type { // Bar
    repeated_field_inside_bar: 17
    repeated_field_inside_bar: 42
    repeated_field_inside_bar: 3
  }
}
```

The name of messages is added as a comment after the opening curly brace.

## 3.8.4.2 Records and primitive types

Records or product types are translated to *Record*. Here's an example Daml record type that contains a field for each primitive type:

```
data MyProductType = MyProductType {
  intField: Int;
  textField: Text;
  decimalField: Decimal;
  boolField: Bool;
  partyField: Party;
  timeField: Time;
  listField: [Int];
  contractIdField: ContractId SomeTemplate
}
```

And here's an example of creating a value of type MyProductType:

```
boolField = False
partyField = bob
timeField = datetime 2018 May 16 0 0 0
listField = [1,2,3]
contractIdField = someCid
```

For this data, the respective data on the Ledger API is shown below. Note that this value would be enclosed by a particular contract containing a field of type MyProductType. See Contract templates for the translation of Daml contracts to the representation by the Ledger API.

```
{ // Record
 record id { // Identifier
   package_id: "some-hash"
   name: "Types.MyProductType"
 fields { // RecordField
   label: "intField"
   value { // Value
     int64: 17
 fields { // RecordField
   label: "textField"
   value { // Value
     text: "some text"
 fields { // RecordField
   label: "decimalField"
   value { // Value
     decimal: "17.42"
 fields { // RecordField
   label: "boolField"
   value { // Value
     bool: false
 fields { // RecordField
   label: "partyField"
   value { // Value
     party: "Bob"
 fields { // RecordField
   label: "timeField"
   value { // Value
     timestamp: 1526428800000000
```

```
}
 }
 fields { // RecordField
   label: "listField"
   value { // Value
      list { // List
        elements { // Value
          int64: 1
        }
        elements { // Value
          int64: 2
        elements { // Value
          int64: 3
      }
    }
 fields { // RecordField
   label: "contractIdField"
   value { // Value
      contract id: "some-contract-id"
 }
}
```

# 3.8.4.3 Variants

Variants or sum types are types with multiple constructors. This example defines a simple variant type with two constructors:

The constructor MyConstructor1 takes a single parameter of type Integer, whereas the constructor MyConstructor2 takes a record with two fields as parameter. The snippet below shows how you can create values with either of the constructors.

```
let mySum1 = MySumConstructor1 17
let mySum2 = MySumConstructor2 ("it's a sum", True)
```

Similar to records, variants are also enclosed by a contract, a record, or another variant.

The snippets below shows the value of mySum1 and mySum2 respectively as they would be transmitted on the Ledger API within a contract.

## Listing 1: mySum1

```
package_id: "some-hash"
   name: "Types.MySumType"
}
   constructor: "MyConstructor1"
   value { // Value
      int64: 17
   }
}
```

# Listing 2: mySum2

```
{ // Value
 variant { // Variant
   variant id { // Identifier
     package id: "some-hash"
     name: "Types.MySumType"
   constructor: "MyConstructor2"
   value { // Value
     record { // Record
        fields { // RecordField
          label: "sumTextField"
          value { // Value
            text: "it's a sum"
        fields { // RecordField
          label: "sumBoolField"
          value { // Value
           bool: true
        }
      }
   }
 }
```

## 3.8.4.4 Contract templates

Contract templates are represented as records with the same identifier as the template.

This first example template below contains only the signatory party and a simple choice to exercise:

```
data MySimpleTemplateKey =
   MySimpleTemplateKey
   with
     party: Party

template MySimpleTemplate
```

```
with
   owner: Party
where
   signatory owner

key MySimpleTemplateKey owner: MySimpleTemplateKey
```

# Creating a contract

Creating contracts is done by sending a *CreateCommand* to the *CommandSubmissionService* or the *CommandService*. The message to create a *MySimpleTemplate* contract with *Alice* being the owner is shown below:

```
{ // CreateCommand
  template_id { // Identifier
    package_id: "some-hash"
    name: "Templates.MySimpleTemplate"
}
create_arguments { // Record
    fields { // RecordField
        label: "owner"
        value { // Value
        party: "Alice"
        }
    }
}
```

# Receiving a contract

Contracts are received from the <u>TransactionService</u> in the form of a <u>CreatedEvent</u>. The data contained in the event corresponds to the data that was used to create the contract.

```
{ // CreatedEvent
  event_id: "some-event-id"
  contract_id: "some-contract-id"
  template_id { // Identifier
    package_id: "some-hash"
    name: "Templates.MySimpleTemplate"
  }
  create_arguments { // Record
    fields { // RecordField
        label: "owner"
        value { // Value
        party: "Alice"
        }
    }
  witness_parties: "Alice"
```

```
}
```

## Exercising a choice

A choice is exercised by sending an ExerciseCommand. Taking the same contract template again, exercising the choice MyChoice would result in a command similar to the following:

```
{ // ExerciseCommand
 template id { // Identifier
   package id: "some-hash"
   name: "Templates.MySimpleTemplate"
 }
 contract_id: "some-contract-id"
 choice: "MyChoice"
 choice argument { // Value
   record { // Record
     fields { // RecordField
        label: "parameter"
       value { // Value
          int64: 42
      }
   }
 }
```

If the template specifies a key, the ExerciseByKeyCommand can be used. It works in a similar way as ExerciseCommand, but instead of specifying the contract identifier you have to provide its key. The example above could be rewritten as follows:

```
{ // ExerciseByKeyCommand
 template id { // Identifier
   package id: "some-hash"
   name: "Templates.MySimpleTemplate"
 contract_key { // Value
   record { // Record
     fields { // RecordField
       label: "party"
       value { // Value
          party: "Alice"
        }
      }
   }
 choice: "MyChoice"
 choice argument { // Value
   record { // Record
```

```
fields { // RecordField
    label: "parameter"
    value { // Value
        int64: 42
    }
    }
}
```

# 3.8.5 How Daml types are translated to Daml-LF

This page shows how types in Daml are translated into Daml-LF. It should help you understand and predict the generated client interfaces, which is useful when you're building a Daml-based application that uses the Ledger API or client bindings in other languages.

For an introduction to Daml-LF, see Daml-LF.

# 3.8.5.1 Primitive types

Built-in data types in Daml have straightforward mappings to Daml-LF.

This section only covers the serializable types, as these are what client applications can interact with via the generated Daml-LF. (Serializable types are ones whose values can be written in a text or binary format. So not function types, <code>Update</code> and <code>Scenario</code> types, as well as any types built up from those.)

Most built-in types have the same name in Daml-LF as in Daml. These are the exact mappings:

Daml primitive type	Daml-LF primitive type
Int	Int64
Time	Timestamp
()	Unit
[]	List
Decimal	Decimal
Text	Text
Date	Date
Party	Party
Optional	Optional
ContractId	ContractId

Be aware that only the Daml primitive types exported by the <u>Prelude</u> module map to the Daml-LF primitive types above. That means that, if you define your own type named Party, it will not translate to the Daml-LF primitive Party.

# 3.8.5.2 Tuple types

Daml tuple type constructors take types T1, T2, ..., TN to the type (T1, T2, ..., TN). These are exposed in the Daml surface language through the *Prelude* module.

The equivalent Daml-LF type constructors are daml-prim: DA. Types: TupleN, for each particular N (where 2 <= N <= 20). This qualified name refers to the package name (ghc-prim) and the module

name (GHC. Tuple).

For example: the Daml pair type (Int, Text) is translated to daml-prim:DA.Types:Tuple2 Int64 Text.

## 3.8.5.3 Data types

Daml-LF has three kinds of data declarations:

Record types, which define a collection of data

Variant or sum types, which define a number of alternatives

Enum, which defines simplified sum types without type parameters nor argument.

Data type declarations in Daml (starting with the data keyword) are translated to record, variant or enum types. It's sometimes not obvious what they will be translated to, so this section lists many examples of data types in Daml and their translations in Daml-LF.

## Record declarations

This section uses the syntax for Daml records with curly braces.

Daml declaration	Daml-LF translation
data Foo = Foo { foo1: Int;	record Foo □ { foo1: Int64; foo2: Text }
foo2: Text }	
data Foo = Bar { bar1: Int;	record Foo 🗆 { bar1: Int64; bar2: Text }
bar2: Text }	
data Foo = Foo { foo: Int }	record Foo □ { foo: Int64 }
data Foo = Bar { foo: Int }	record Foo □ { foo: Int64 }
data Foo = Foo {}	record Foo □ {}
data Foo = Bar {}	record Foo □ {}

### Variant declarations

Daml declaration	Daml-LF translation		
data Foo = Bar Int   Baz	variant Foo □ Bar Int64   Baz Text		
Text			
data Foo a = Bar a   Baz	variant Foo a □ Bar a   Baz Text		
Text			
data Foo = Bar Unit   Baz	variant Foo 🗆 Bar Unit   Baz Text		
Text			
data Foo = Bar Unit   Baz	variant Foo 🗆 Bar Unit   Baz Unit		
data Foo a = Bar   Baz	variant Foo a □ Bar Unit   Baz Unit		
data Foo = Foo Int	variant Foo □ Foo Int64		
data Foo = Bar Int	variant Foo □ Bar Int64		
data Foo = Foo ()	variant Foo □ Foo Unit		
data Foo = Bar ()	variant Foo □ Bar Unit		
<pre>data Foo = Bar { bar: Int }</pre>	variant Foo 🗆 Bar Foo.Bar   Baz Text, record		
Baz Text	Foo.Bar □ { bar: Int64 }		
data Foo = Foo { foo: Int }	variant Foo □ Foo Foo.Foo   Baz Text, record		
Baz Text	Foo.Foo □ { foo: Int64 }		
data Foo = Bar { bar1: Int;	variant Foo 🗆 Bar Foo.Bar   Baz Text, record		
bar2: Decimal }   Baz Text	Foo.Bar 🗆 { bar1: Int64; bar2: Decimal }		
data Foo = Bar { bar1: Int;	data Foo 🗆 Bar Foo.Bar   Baz Foo.Baz, record		
bar2: Decimal }   Baz {	Foo.Bar $\square$ { bar1: Int64; bar2: Decimal },		
<pre>baz1: Text; baz2: Date }</pre>	record Foo.Baz 🗆 { baz1: Text; baz2: Date }		

### Enum declarations

Daml declaration	Daml-LF declaration
data Foo = Bar   Baz	enum Foo 🗆 Bar   Baz
data Color = Red   Green	enum Color □ Red   Green   Blue
Blue	

## Banned declarations

There are two gotchas to be aware of: things you might expect to be able to do in Daml that you can't because of Daml-LF.

The first: a single constructor data type must be made unambiguous as to whether it is a record or a variant type. Concretely, the data type declaration data Foo = Foo causes a compile-time error, because it is unclear whether it is declaring a record or a variant type.

To fix this, you must make the distinction explicitly. Write data  $Foo = Foo \{ \}$  to declare a record type with no fields, or data Foo = Foo () for a variant with a single constructor taking unit argument.

The second gotcha is that a constructor in a data type declaration can have at most one unlabelled argument type. This restriction is so that we can provide a straight-forward encoding of Daml-LF types in a variety of client languages.

Banned declaration	Workaround		
data Foo = Foo	data Foo = Foo {} to produce record Foo □ {} OR		
	data Foo = Foo () to produce variant Foo □ Foo		
	Unit		
data Foo = Bar	data Foo = Bar {} to produce record Foo [		
	{} OR data Foo = Bar () to produce variant		
	Foo □ Bar Unit		
data Foo = Foo Int Text	Name constructor arguments using a record declaration,		
	<pre>for example data Foo = Foo { x: Int; y: Text }</pre>		
data Foo = Bar Int Text	Name constructor arguments using a record declaration,		
	<pre>for example data Foo = Bar { x: Int; y: Text }</pre>		
data Foo = Bar   Baz Int	Name arguments to the Baz constructor, for example		
Text	data Foo = Bar   Baz { x: Int; y: Text }		

# 3.8.5.4 Type synonyms

Type synonyms (starting with the type keyword) are eliminated during conversion to Daml-LF. The body of the type synonym is inlined for all occurrences of the type synonym name.

For example, consider the following Daml type declarations.

```
type Username = Text
data User = User { name: Username }
```

The Username type is eliminated in the Daml-LF translation, as follows:

```
record User □ { name: Text }
```

# 3.8.5.5 Template types

A template declaration in Daml results in one or more data type declarations behind the scenes. These data types, detailed in this section, are not written explicitly in the Daml program but are created by the compiler.

They are translated to Daml-LF using the same rules as for record declarations above.

These declarations are all at the top level of the module in which the template is defined.

# Template data types

Every contract template defines a record type for the parameters of the contract. For example, the template declaration:

```
template Iou
  with
   issuer: Party
  owner: Party
  currency: Text
  amount: Decimal
  where
```

results in this record declaration:

This translates to the Daml-LF record declaration:

```
record Iou □ { issuer: Party; owner: Party; currency: Text; amount:□ 
→Decimal }
```

# Choice data types

Every choice within a contract template results in a record type for the parameters of that choice. For example, let's suppose the earlier Iou template has the following choices:

```
controller owner can
  nonconsuming DoNothing: ()
  do
    return ()

Transfer: ContractId Iou
  with newOwner: Party
  do
    updateOwner newOwner
```

This results in these two record types:

```
data DoNothing = DoNothing { }
data Transfer = Transfer { newOwner: Party }
```

Whether the choice is consuming or nonconsuming is irrelevant to the data type declaration. The data type is a record even if there are no fields.

These translate to the Daml-LF record declarations:

```
record DoNothing □ {}
record Transfer □ { newOwner: Party }
```

## 3.8.5.6 Names with special characters

All names in Daml—of types, templates, choices, fields, and variant data constructors—are translated to the more restrictive rules of Daml-LF. ASCII letters, digits, and \_ underscore are unchanged in Daml-LF; all other characters must be mangled in some way, as follows:

```
$ changes to $$,
```

Unicode codepoints less than 65536 translate to \$uABCD, where ABCD are exactly four (zero-padded) hexadecimal digits of the codepoint in question, using only lowercase a-f, and Unicode codepoints greater translate to \$uABCD1234, where ABCD1234 are exactly eight (zero-padded) hexadecimal digits of the codepoint in question, with the same a-f rule.

Daml name	Daml-LF identifier
Foo_bar	Foo_bar
baz'	baz\$u0027
:+:	\$u003a\$u002b\$u003a
naïveté	na\$u00efvet\$u00e9
:::	\$u003a\$U0001f642\$u003a

# 3.8.6 Java bindings

## 3.8.6.1 Generate Java code from Daml

#### Introduction

When writing applications for the ledger in Java, you want to work with a representation of Daml templates and data types in Java that closely resemble the original Daml code while still being as true to the native types in Java as possible. To achieve this, you can use Daml to Java code generator ( Java codegen ) to generate Java types based on a Daml model. You can then use these types in your Java code when reading information from and sending data to the ledger.

### Download

You can download the latest version of the Java codegen. Make sure that the following versions are aligned:

the downloaded Java codegen jar file, eg. x.y.z the dependency to bindings-java, eg. x.y.z the sdk-version attribute in the daml.yaml file, eg. x.y.z

# Run the Java codegen

The Java codegen takes Daml archive (DAR) files as input and generates Java files for Daml templates, records, and variants. For information on creating DAR files see *Building Daml projects*. To use the Java codegen, run this command in a terminal:

```
java -jar <path-to-codegen-jar>
```

Use this command to display the help text:

```
java -jar codegen.jar --help
```

## Generate Java code from DAR files

Pass one or more DAR files as arguments to the Java codegen. Use the -o or --output-directory parameter for specifying the directory for the generated Java files.

```
java -jar java-codegen.jar -o target/generated-sources/daml daml/my-

→project.dar
```

To avoid possible name clashes in the generated Java sources, you should specify a Java package prefix for each input file:

# Generate the decoder utility class

When reading transactions from the ledger, you typically want to convert a CreatedEvent from the Ledger API to the corresponding generated Contract class. The Java codegen can optionally generate a decoder class based on the input DAR files that calls the fromCreatedEvent method of the respective generated Contract class (see Templates). The decoder class can do this for all templates in the input DAR files.

To generate such a decoder class, provide the command line parameter <code>-d or --decoderClass</code> with a fully qualified class name:

### Receive feedback

By default, the logging is configured so that you'll only see error messages.

If you want to change this behavior, you can ask to receive more extensive feedback using the -V or --verbosity command-line option. This option takes a numeric parameter from 0 to 4, where 0 corresponds to the default quiet behavior and 4 represents the most verbose output possible.

In the following example the logging is set to print most of the output with detailed debugging information:

```
java -jar java-codegen.jar -o target/generated-sources/daml -V 3
```

# Integrate with build tools

While we currently don't provide direct integration with Maven, Groovy, SBT, etc., you can run the Java codegen as described in *Run the Java codegen* just like any other external process (for example the protobuf compiler).

## Compile the generated Java code

To compile the generated Java code, add the Java Bindings library with the same version as the Java codegen to the classpath.

With Maven you can do this by adding a dependency to the pom. xml file:

```
<dependency>
    <groupId>com.daml</groupId>
    <artifactId>bindings-rxjava</artifactId>
```

<version>x.y.z</version>
</dependency>

# Understand the generated Java model

The Java codegen generates source files in a directory tree under the output directory specified on the command line.

# Map Daml primitives to Java types

Daml built-in types are translated to the following equivalent types in Java:

Daml type	Java type	Java Bind- ings Value
		Type
Int	java.lang.Long	Int64
Numeric	java.math.BigDecimal	Numeric
Text	java.lang.String	Text
Bool	java.util.Boolean	Bool
Party	java.lang.String	Party
Date	java.time.LocalDate	Date
Time	java.time.Instant	Timestamp
List or []	java.util.List	DamlList
TextMap	java.util.Map Restricted to using String keys.	Daml-
		TextMap
Optional	java.util.Optional	DamlOp-
		tional
() <b>(</b> Unit <b>)</b>	None since the Java language doesn't have a direct equivalent of	Unit
	Daml's Unit type (), the generated code uses the Java Bindings	
	value type.	
ContractId	)	ContractId
	class of the respective template X.	

# Understand escaping rules

To avoid clashes with Java keywords, the Java codegen applies escaping rules to the following Daml identifiers:

Type names (except the already mapped built-in types)

Constructor names

Type parameters

Module names

Field names

If any of these identifiers match one of the Java reserved keywords, the Java codegen appends a dollar sign \$ to the name. For example, a field with the name import will be generated as a Java field with the name import\$.

# Understand the generated classes

Every user-defined data type in Daml (template, record, and variant) is represented by one or more Java classes as described in this section.

The Java package for the generated classes is the equivalent of the lowercase Daml module name.

# Listing 3: Daml

```
module Foo.Bar.Baz where
```

# Listing 4: Java

```
package foo.bar.baz;
```

# Records (a.k.a product types)

A Daml record is represented by a Java class with fields that have the same name as the Daml record fields. A Daml field having the type of another record is represented as a field having the type of the generated class for that record.

# Listing 5: Com/Acme/ProductTypes.daml

```
module Com.Acme.ProductTypes where

data Person = Person with name : Name; age : Decimal
data Name = Name with firstName : Text; lastName : Text
```

A Java file is generated that defines the class for the type Person:

## Listing 6: com/acme/producttypes/Person.java

```
package com.acme.producttypes;

public class Person {
   public final Name name;
   public final BigDecimal age;

public static Person fromValue(Value value$) { /* ... */ }

public Person(Name name, BigDecimal age) { /* ... */ }

public Record toValue() { /* ... */ }
}
```

A Java file is generated that defines the class for the type Name:

## Listing 7: com/acme/producttypes.Name.java

```
package com.acme.producttypes;

public class Name {
   public final String firstName;
   public final String lastName;
```

```
public static Person fromValue(Value value$) { /* ... */ }

public Name(String firstName, String lastName) { /* ... */ }

public Record toValue() { /* ... */ }
}
```

## **Templates**

The Java codegen generates three classes for a Daml template:

TemplateName Represents the contract data or the template fields.

TemplateName.ContractId Used whenever a contract ID of the corresponding template is used in another template or record, for example: data Foo = Foo (ContractId Bar). This class also provides methods to generate an ExerciseCommand for each choice that can be sent to the ledger with the Java Bindings... TODO: refer to another section explaining exactly that, when we have it.

TemplateName.Contract Represents an actual contract on the ledger. It contains a field for the contract ID (of type TemplateName.ContractId) and a field for the template data (of type TemplateName). With the static method TemplateName. Contract.fromCreatedEvent, you can deserialize a CreatedEvent to an instance of TemplateName.Contract.

Listing 8: Com/Acme/Templates.daml

```
module Com.Acme.Templates where
data BarKey =
 BarKey
   with
      p : Party
      t : Text
template Bar
 with
    owner: Party
    name: Text
  where
    signatory owner
    key BarKey owner name : BarKey
    maintainer key.p
    controller owner can
      Bar SomeChoice: Bool
        with
        aName: Text
          do return True
```

A file is generated that defines three Java classes:

- Bar
   Bar.ContractId
- 3. Bar.Contract

Listing 9: com/acme/templates/Bar.java

```
package com.acme.templates;
public class Bar extends Template {
 public static final Identifier TEMPLATE ID = new Identifier("some-
→package-id", "Com.Acme.Templates", "Bar");
 public final String owner;
 public final String name;
 public static ExerciseByKeyCommand exerciseByKeyBar SomeChoice(BarKey□
→key, Bar SomeChoice arg) { /* ... */ }
 \textbf{public static} \ \texttt{ExerciseByKeyCommand} \ \texttt{exerciseByKeyBar} \ \texttt{SomeChoice} \ (\texttt{BarKey} \square
\rightarrowkey, String aName) { /* \dots */ }
 public CreateAndExerciseCommand createAndExerciseBar SomeChoice(Bar
→SomeChoice arg) { /* ... */ }
 public CreateAndExerciseCommand createAndExerciseBar SomeChoice(String□
→aName) { /* ... */ }
  public static class ContractId {
    public final String contractId;
    public ExerciseCommand exerciseArchive(Unit arg) { /* ... */ }
    public ExerciseCommand exerciseBar SomeChoice (Bar SomeChoice arg) { /*□
→ . . . */ }
    public ExerciseCommand exerciseBar SomeChoice(String aName) { /* ... */
→ }
  }
 public static class Contract {
    public final ContractId id;
    public final Bar data;
    public static Contract fromCreatedEvent(CreatedEvent event) { /* ... */
→ }
  }
}
```

Note that the static methods returning an ExerciseByKeyCommand will only be generated for templates that define a key.

# Variants (a.k.a sum types)

A variant or sum type is a type with multiple constructors, where each constructor wraps a value of another type. The generated code is comprised of an abstract class for the variant type itself and a subclass thereof for each constructor. Classes for variant constructors are similar to classes for records.

Listing 10: Com/Acme/Variants.daml

The Java code generated for this variant is:

Listing 11: com/acme/variants/BookAttribute.java

```
package com.acme.variants;

public class BookAttribute {
   public static BookAttribute fromValue(Value value) { /* ... */ }

   public static BookAttribute fromValue(Value value) { /* ... */ }

   public Value toValue() { /* ... */ }
}
```

## Listing 12: com/acme/variants/bookattribute/Pages.java

```
package com.acme.variants.bookattribute;

public class Pages extends BookAttribute {
   public final Long longValue;

   public static Pages fromValue(Value value) { /* ... */ }

   public Pages(Long longValue) { /* ... */ }

   public Value toValue() { /* ... */ }
}
```

Listing 13: com/acme/variants/bookattribute/Authors.java

```
package com.acme.variants.bookattribute;

public class Authors extends BookAttribute {
   public final List<String> listValue;

   public static Authors fromValue(Value value) { /* ... */ }
```

```
public Author(List<String> listValue) { /* ... */ }
public Value toValue() { /* ... */ }
}
```

## Listing 14: com/acme/variants/bookattribute/Title.java

```
package com.acme.variants.bookattribute;

public class Title extends BookAttribute {
   public final String stringValue;

   public static Title fromValue(Value value) { /* ... */ }

   public Title(String stringValue) { /* ... */ }

   public Value toValue() { /* ... */ }
}
```

# Listing 15: com/acme/variants/bookattribute/Published.java

```
package com.acme.variants.bookattribute;

public class Published extends BookAttribute {
   public final Long year;
   public final String publisher;

public static Published fromValue(Value value) { /* ... */ }

public Published(Long year, String publisher) { /* ... */ }

public Record toValue() { /* ... */ }
}
```

### Parameterized types

**Note:** This section is only included for completeness: we don't expect users to make use of the fromValue and toValue methods, because they would typically come from a template that doesn't have any unbound type parameters.

The Java codegen uses Java Generic types to represent Daml parameterized types.

This Daml fragment defines the parameterized type Attribute, used by the BookAttribute type for modeling the characteristics of the book:

Listing 16: Com/Acme/ParametrizedTypes.daml

```
module Com.Acme.ParameterizedTypes where
```

```
data Attribute a = Attribute
    with v : a

data BookAttributes = BookAttributes with
    pages : (Attribute Int)
    authors : (Attribute [Text])
    title : (Attribute Text)
```

The Java codegen generates a Java file with a generic class for the Attribute a data type:

Listing 17: com/acme/parametrizedtypes/Attribute.java

## **Enums**

An enum type is a simplified *sum type* with multiple constructors but without argument nor type parameters. The generated code is standard java Enum whose constants map enum type constructors.

Listing 18: Com/Acme/Enum.daml

```
module Com.Acme.Enum where

data Color = Red | Blue | Green
```

The Java code generated for this variant is:

# Listing 19: com/acme/enum/Color.java

```
package com.acme.enum;

public enum Color {
   RED,
   GREEN,
   BLUE;
```

```
/* ... */
public static final Color fromValue(Value value$) { /* ... */ }

public final DamlEnum toValue() { /* ... */ }
}
```

# Listing 20: com/acme/enum/bookattribute/Authors.java

```
package com.acme.enum.bookattribute;

public class Authors extends BookAttribute {
   public final List<String> listValue;

   public static Authors fromValue(Value value) { /* ... */ }

   public Author(List<String> listValue) { /* ... */ }

   public Value toValue() { /* ... */ }
```

# Convert a value of a generated type to a Java Bindings value

To convert an instance of the generic type Attribute<a> to a Java Bindings Value, call the toValue method and pass a function as the toValuea argument for converting the field of type a to the respective Java Bindings Value. The name of the parameter consists of toValue and the name of the type parameter, in this case a, to form the name toValuea.

Below is a Java fragment that converts an attribute with a java.lang.Long value to the Java Bindings representation using the method reference Int64::new.

```
Attribute<Long> pagesAttribute = new Attributes<>(42L);

Value serializedPages = pagesAttribute.toValue(Int64::new);
```

See Daml To Java Type Mapping for an overview of the Java Bindings Value types.

Note: If the Daml type is a record or variant with more than one type parameter, you need to pass a conversion function to the toValue method for each type parameter.

## Create a value of a generated type from a Java Bindings value

Analogous to the toValue method, to create a value of a generated type, call the method fromValue and pass conversion functions from a Java Bindings Value type to the expected Java type.

```
Attribute<Long> pagesAttribute = Attribute.<Long>fromValue(serializedPages, f -> f.asInt64().getOrElseThrow(() -> throw new

IllegalArgumentException("Expected Int field").getValue());
```

See Java Bindings Value class for the methods to transform the Java Bindings types into corresponding Java types.

# Non-exposed parameterized types

If the parameterized type is contained in a type where the actual type is specified (as in the BookAttributes type above), then the conversion methods of the enclosing type provides the required conversion function parameters automatically.

# **Convert Optional values**

The conversion of the Java Optional requires two steps. The Optional must be mapped in order to convert its contains before to be passed to DamlOptional::of function.

```
Attribute<Optional<Long>> idAttribute = new Attribute<List<Long>> (Optional. of (42));

val serializedId = DamlOptional.of(idAttribute.map(Int64::new));
```

To convert back DamlOptional to Java Optional, one must use the containers method toOptional. This method expects a function to convert back the value possibly contains in the container.

```
Attribute<Optional<Long>> idAttribute2 =
serializedId.toOptional(v → v.asInt64().orElseThrow(() → new□
→IllegalArgumentException("Expected Int64 element")));
```

## Convert Collection values

DamiCollectors provides collectors to converted Java collection containers such as List and Map to DamiValues in one pass. The builders for those collectors require functions to convert the element of the container.

To convert back Daml containers to Java ones, one must use the containers methods to List or to Map. Those methods expect functions to convert back the container's entries.

# 3.8.6.2 Example project

To try out the Java bindings library, use the examples on GitHub: PingPongReactive or PingPongComponents.

The former example does not use the Reactive Components, and the latter example does. Both examples implement the PingPong application, which consists of:

a Daml model with two contract templates, Ping and Pong two parties, Alice and Bob

The logic of the application goes like this:

- 1. The application injects a contract of type Ping for Alice.
- 2. Alice sees this contract and exercises the consuming choice RespondPong to create a contract of type Pong for Bob.
- 3. Bob sees this contract and exercises the consuming choice RespondPing to create a contract of type Ping for Alice.
- 4. Points 2 and 3 are repeated until the maximum number of contracts defined in the Daml is reached

# Setting up the example projects

To set up the example projects, clone the public GitHub repository at github.com/digital-asset/exjava-bindings and follow the setup instruction in the README file.

This project contains three examples of the PingPong application, built with gRPC (non-reactive), Reactive and Reactive Component bindings respectively.

# Example project

## PingPongMain.java

The entry point for the Java code is the main class src/main/java/examples/pingpong/grpc/
PingPongMain.java. Look at this class to see:

how to connect to and interact with a Daml Ledger via the Java bindings how to use the Reactive layer to build an automation for both parties.

At high level, the code does the following steps:

creates an instance of <code>DamlLedgerClient</code> connecting to an existing <code>Ledger</code> connect this instance to the <code>Ledger</code> with <code>DamlLedgerClient.connect()</code> create two instances of <code>PingPongProcessor</code>, which contain the logic of the automation (This is where the application reacts to the new <code>Ping</code> or <code>Pong</code> contracts.) run the <code>PingPongProcessor</code> forever by connecting them to the incoming transactions inject some contracts for each party of both templates wait until the application is done

# PingPongProcessor.runIndefinitely()

The core of the application is the PingPongProcessor.runIndefinitely().

The PingPongProcessor queries the transactions first via the TransactionsClient of the DamlLedgerClient. Then, for each transaction, it produces Commands that will be sent to the Ledger via the CommandSubmissionClient of the DamlLedgerClient.

## Output

The application prints statements similar to these:

```
Bob is exercising RespondPong on #1:0 in workflow Ping-Alice-1 at count 0 Alice is exercising RespondPing on #344:1 in workflow Ping-Alice-7 at□ →count 9
```

## The first line shows that:

Bob is exercising the RespondPong choice on the contract with ID #1:0 for the workflow Ping-Alice-1.

Count 0 means that this is the first choice after the initial Ping contract.

The workflow ID Ping-Alice-1 conveys that this is the workflow triggered by the second initial Ping contract that was created by Alice.

The second line is analogous to the first one.

# 3.8.6.3 IOU Quickstart Tutorial

In this guide, you will learn about developer tools and Daml applications by:

developing a simple ledger application for issuing, managing, transferring and trading IOUs ( I Owe You! )

developing an integration layer that exposes some of the functionality via custom REST services

## Prerequisites:

You understand what an IOU is. If you are not sure, read the IOU tutorial overview. You have installed the SDK. See installation.

## Download the quickstart application

You can get the quickstart application using the Daml assistant (daml):

- 1. Run daml new quickstart --template quickstart-java
  This creates the quickstart-java application into a new folder called quickstart.
- 2. Run cd quickstart to change into the new directory.

# Folder structure

The project contains the following files:

```
- daml
- Iou.daml
- IouTrade.daml
- Main.daml
- Setup.daml
- Tests
- Iou.daml
- Trade.daml
- daml.yaml
- frontend-config.js
```

daml.yaml is a Daml project config file used by the SDK to find out how to build the Daml project and how to run it.

daml contains the Daml code specifying the contract model for the ledger.

daml/Tests contains test scenarios for the Daml model.

frontend-config.js and ui-backend.conf are configuration files for the Navigator frontend.

pom.xml and src/main/java constitute a Java application that provides REST services to interact with the ledger.

You will explore these in more detail through the rest of this guide.

### Overview of what an IOU is

To run through this guide, you will need to understand what an IOU is. This section describes the properties of an IOU like a bank bill that make it useful as a representation and transfer of value.

A bank bill represents a contract between the owner of the bill and its issuer, the central bank. Historically, it is a bearer instrument - it gives anyone who holds it the right to demand a fixed amount of material value, often gold, from the issuer in exchange for the note.

To do this, the note must have certain properties. In particular, the British pound note shown below illustrates the key elements that are needed to describe money in Daml:

# 1) The Legal Agreement

For a long time, money was backed by physical gold or silver stored in a central bank. The British pound note, for example, represented a promise by the central bank to provide a certain amount of gold or silver in exchange for the note. This historical artifact is still represented by the following statement:

```
I promise to pay the bearer on demand the sum of five pounds.
```

The true value of the note comes from the fact that it physically represents a bearer right that is matched by an obligation on the issuer.

# 2) The Signature of the Counterparty

The value of a right described in a legal agreement is based on a matching obligation for a counterparty. The British pound note would be worthless if the central bank, as the issuer, did not recognize its obligation to provide a certain amount of gold or silver in exchange for the note. The chief cashier confirms this obligation by signing the note as a delegate for the Bank of England. In general, determining the parties that are involved in a contract is key to understanding its true value.



# 3) The Security Token

Another feature of the pound note is the security token embedded within the physical paper. It allows the note to be authenticated with limited effort by holding it against a light source. Even a third party can verify the note without requiring explicit confirmation from the issuer that it still acknowledges the associated obligations.

## 4) The Unique Identifier

Every note has a unique registration number that allows the issuer to track their obligations and detect duplicate bills. Once the issuer has fulfilled the obligations associated with a particular note, duplicates with the same identifier automatically become invalid.

## 5) The Distribution Mechanism

The note itself is printed on paper, and its legal owner is the person holding it. The physical form of the note allows the rights associated with it to be transferred to other parties that are not explicitly mentioned in the contract.

## Run the application using prototyping tools

In this section, you will run the quickstart application and get introduced to the main tools for prototyping Daml:

1. To compile the Daml model, run daml build This creates a DAR file (DAR is just the format that Daml compiles to) called .daml/dist/quickstart-0.0.1.dar. The output should look like this:

```
Created .daml/dist/quickstart-0.0.1.dar.
```

2. To run the sandbox (a lightweight local version of the ledger), run daml sandbox .daml/dist/quickstart-0.0.1.dar
The output should look like this:

```
INFO: Slf4jLogger started
INFO: Listening on localhost:6865 over plain text.

/ _ / _ / _ _ _ _ / / / _ _ _ _ _ / / / _ _ _ _ _ / / / _ _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ / _ /
```

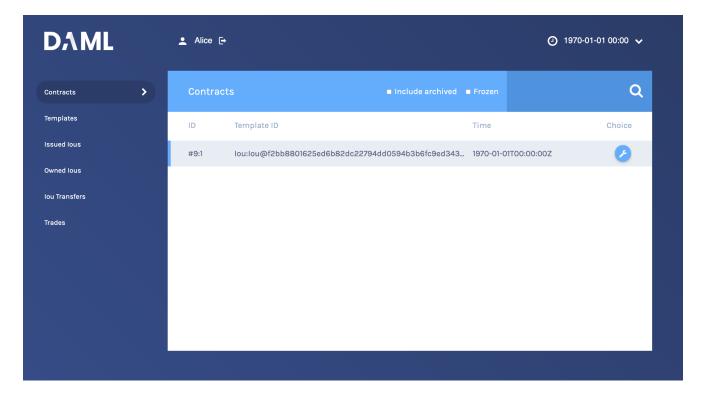
The sandbox is now running, and you can access its ledger API on port 6865.

- 3. Open a new terminal window and navigate to your project directory, quickstart.
- 4. To initialize the ledger with some parties and contracts we use Daml Script by running daml script --dar .daml/dist/quickstart-0.0.1.dar --script-name Main:initialize --ledger-host localhost --ledger-port 6865 --static-time
- 5. Start the Navigator, a browser-based ledger front-end, by running daml navigator server The Navigator automatically connects the sandbox. You can access it on port 4000.

# Try out the application

Now everything is running, you can try out the quickstart application:

- 1. Go to http://localhost:4000/. This is the Navigator, which you launched earlier.
- 2. On the login screen, select **Alice** from the dropdown. This logs you in as Alice. (The list of available parties is specified in the ui-backend.conf file.) This takes you to the contracts view:



This is showing you what contracts are currently active on the sandbox ledger and visible to Alice. You can see that there is a single such contract, in our case with Id #9:1, created from a template called Iou:Iou@ffb....

Your contract ID may vary. There's a lot going on in a Daml ledger, so things could have happened in a different order, or other internal ledger events might have occurred. The actual value doesn't matter. We'll refer to this contract as #9:1 in the rest of this document, and you'll need to substitute your own value mentally.

3. On the left-hand side, you can see what the pages the Navigator contains:

Contracts

**Templates** 

Issued lous

Owned lous

**Iou Transfers** 

**Trades** 

**Contracts** and **Templates** are standard views, available in any application. The others are created just for this application, specified in the frontend-config.js file.

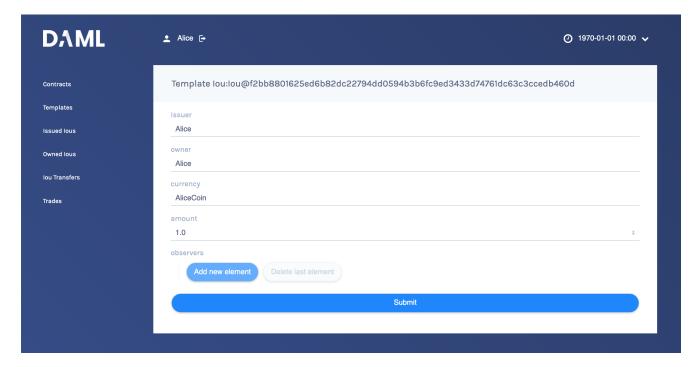
For information on creating custom Navigator views, see Customizable table views.

4. Click **Templates** to open the Templates page.

This displays all available contract templates. Instances of contracts (or just contracts) are created from these templates. The names of the templates are of the format module.template@hash. Including the hash disambiguates templates, even when identical module and template names are used between packages.

On the far right, you see the number of contracts that you can see for each template.

5. Try creating a contract from a template. Issue an lou to yourself by clicking on the Iou: Iou row, filling it out as shown below and clicking **Submit**.



- 6. On the left-hand side, click **Issued lous** to go to that page. You can see the lou you just issued vourself.
- 7. Now, try transferring this lou to someone else. Click on your lou, select **lou\_Transfer**, enter Bob as the new owner and hit **Submit**.
- 8. Go to the Owned lous page.

The screen shows the same contract #9:1 that you already saw on the Contracts page. It is an

- lou for 100, issued by EUR\_Bank.
- 9. Go to the **lou Transfers** page. It shows the transfer of your recently issued lou to Bob, but Bob has not accepted the transfer, so it is not settled.
  - This is an important part of Daml: nobody can be forced into owning an *lou*, or indeed agreeing to any other contract. They must explicitly consent.
  - You could cancel the transfer by using the *louTransfer\_Cancel* choice within it, but for this walkthrough, leave it alone for the time being.
- 10. Try asking Bob to exchange your 100 for \$110. To do so, you first have to show your lou to Bob so that he can verify the settlement transaction, should he accept the proposal.
  - Go back to Owned lous, open the lou for 100 and click on the button lou\_AddObserver. Submit Bob as the newObserver.
  - Contracts in Daml are immutable, meaning they cannot be changed, only created and archived. If you head back to the **Owned lous** screen, you can see that the lou now has a new Contract ID. In our case, it's #13:1.
- 11. To propose the trade, go to the **Templates** screen. Click on the *louTrade:louTrade* template, fill in the form as shown below and submit the transaction.
- 12. Go to the **Trades** page. It shows the just-proposed trade.
- 13. You are now going to switch user to Bob, so you can accept the trades you have just proposed. Start by clicking on the logout button next to the username, at the top of the screen. On the login page, select **Bob** from the dropdown.
- 14. First, accept the transfer of the *AliceCoin*. Go to the **Iou Transfers** page, click on the row of the transfer, and click **IouTransfer\_Accept**, then **Submit**.
- 15. Go to the **Owned lous** page. It now shows the AliceCoin.
  - It also shows an *lou* for \$110 issued by *USD\_Bank*. This matches the trade proposal you made earlier as Alice.
  - Note its Contract Id.
- 16. Settle the trade. Go to the **Trades** page, and click on the row of the proposal. Accept the trade by clicking **IouTrade\_Accept**. In the popup, enter the Contract ID you just noted as the *quotelouCid*, then click **Submit**.
  - The two legs of the transfer are now settled atomically in a single transaction. The trade either fails or succeeds as a whole.
- 17. Privacy is an important feature of Daml. You can check that Alice and Bob's privacy relative to the Banks was preserved.

To do this, log out, then log in as **USD\_Bank**.

On the **Contracts** page, select **Include archived**. The page now shows all the contracts that USD\_Bank has ever known about.

There are just five contracts:

Three contracts created on startup:

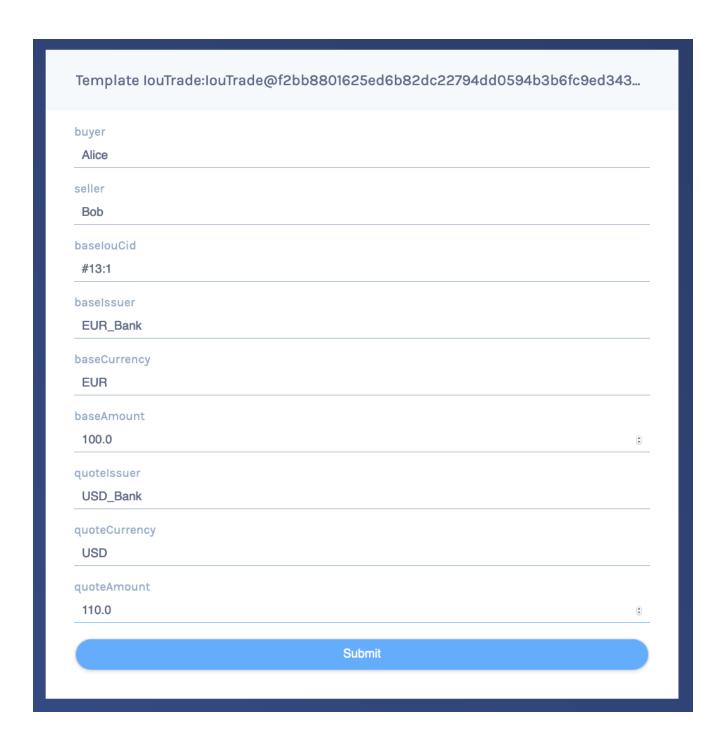
- 1. A self-issued lou for \$110.
- 2. The louTransfer to transfer that lou to Bob
- 3. The resulting lou owned by Bob.

The transfer of Bob's *lou* to Alice that happened as part of the trade. Note that this is a transient contract that got archived in the same transaction it got created in.

The new \$110 lou owned by Alice. This is the only active contract.

USD\_Bank does not know anything about the trade or the EUR-leg. For more information on privacy, refer to the *Daml Ledger Model*.

**Note:** USD\_Bank does know about an intermediate *louTransfer* contract that was created and consumed as part of the atomic settlement in the previous step. Since that contract was never active on the ledger, it is not shown in Navigator. You will see how to view a complete transac-



tion graph, including who knows what, in Test using scenarios below.

#### Get started with Daml

The contract model specifies the possible contracts, as well as the allowed transactions on the ledger, and is written in Daml.

The core concept in Daml is a contract template - you used them earlier to create contracts. Contract templates specify:

a type of contract that may exist on the ledger, including a corresponding data type the signatories, who need to agree to the creation of a contract of that type the rights or choices given to parties by a contract of that type constraints or conditions on the data on a contract additional parties, called observers, who can see the contract

For more information about Daml Ledgers, consult *Daml Ledger Model* for an in-depth technical description.

## Develop with Daml Studio

Take a look at the Daml that specifies the contract model in the quickstart application. The core template is Iou.

- 1. Open Daml Studio, a Daml IDE based on VS Code, by running daml studio from the root of your project.
- 2. Using the explorer on the left, open daml/Iou.daml.

The first two lines specify language version and module name:

```
module Iou where
```

Next, a template called lou is declared together with its datatype. This template has five fields:

```
template Iou
  with
   issuer : Party
  owner : Party
  currency : Text
  amount : Decimal
  observers : [Party]
```

Conditions for the creation of a contract are specified using the ensure and signatory keywords:

```
ensure amount > 0.0
signatory issuer, owner
```

In this case, there are two conditions:

An Iou can only be created if it is authorized by both issuer and owner. The amount needs to be positive.

Earlier, as Alice, you authorized the creation of an Iou. The amount was 100.0, and Alice as both issuer and owner, so both conditions were satisfied, and you could successfully create the contract.

To see this in action, go back to the Navigator and try to create the same Iou again, but with Bob as owner. It will not work.

Observers are specified using the observer keyword:

```
observer observers
```

Next, rights or choices are given to owner:

```
controller owner can
    Iou_Transfer : ContractId IouTransfer
    with
     newOwner : Party
    do create IouTransfer with iou = this; newOwner
```

controller owner can starts the block. In this case, owner has the right to:

```
split the lou
merge it with another one differing only on amount
initiate a transfer
add and remove observers
```

The Iou\_Transfer choice above takes a parameter called newOwner and creates a new IouTransfer contract and returns its ContractId. It is important to know that, by default, choices consume the contract on which they are exercised. Consuming, or archiving, makes the contract no longer active. So the IouTransfer replaces the Iou.

A more interesting choice is IouTrade Accept. To look at it, open IouTrade.daml.

```
controller seller can
     IouTrade Accept : (IouCid, IouCid)
         quoteIouCid : IouCid
       do
         baseIou <- fetch baseIouCid</pre>
         baseIssuer === baseIou.issuer
         baseCurrency === baseIou.currency
         baseAmount === baseIou.amount
         buyer === baseIou.owner
         quoteIou <- fetch quoteIouCid
         quoteIssuer === quoteIou.issuer
         quoteCurrency === quoteIou.currency
         quoteAmount === quoteIou.amount
         seller === quoteIou.owner
         quoteIouTransferCid <- exercise quoteIouCid Iou Transfer with</pre>
            newOwner = buyer
         transferredQuoteIouCid <- exercise quoteIouTransferCid
→ IouTransfer Accept
         baseIouTransferCid <- exercise baseIouCid Iou Transfer with</pre>
            newOwner = seller
         transferredBaseIouCid <- exercise baseIouTransferCid IouTransfer</pre>
→Accept
         return (transferredQuoteIouCid, transferredBaseIouCid)
```

This choice uses the === operator from the Daml Standard Library to check pre-conditions. The standard library is imported using import DA.Assert at the top of the module.

Then, it composes the <code>Iou\_Transfer</code> and <code>IouTransfer\_Accept</code> choices to build one big transaction. In this transaction, <code>buyer</code> and <code>seller</code> exchange their lous atomically, without disclosing the entire transaction to all parties involved.

The Issuers of the two lous, which are involved in the transaction because they are signatories on the Iou and IouTransfer contracts, only get to see the sub-transactions that concern them, as we saw earlier.

For a deeper introduction to Daml, consult the Daml Reference.

# Test using scenarios

You can check the correct authorization and privacy of a contract model using scenarios: tests that are written in Daml.

Scenarios are a linear sequence of transactions that is evaluated using the same consistency, conformance and authorization rules as it would be on the full ledger server or the sandbox ledger. They are integrated into Daml Studio, which can show you the resulting transaction graph, making them a powerful tool to test and troubleshoot the contract model.

To take a look at the scenarios in the quickstart application, open daml/Tests/Trade.daml in Daml Studio.

A scenario test is defined with trade\_test = scenario do. The submit function takes a submitting party and a transaction, which is specified the same way as in contract choices.

The following block, for example, issues an Iou and transfers it to Alice:

```
-- Banks issue IOU transfers.
iouTransferAliceCid <- submit eurBank do
createAndExerciseCmd

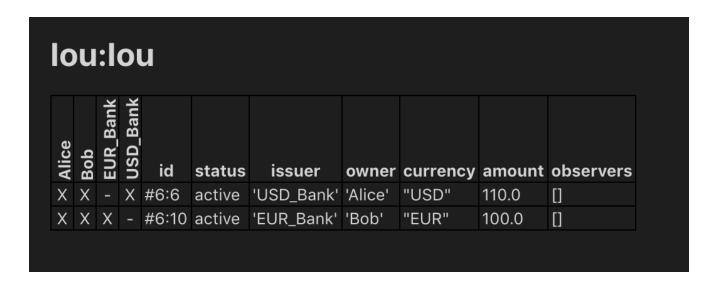
Iou with
issuer = eurBank
owner = eurBank
currency = "EUR"
amount = 100.0
```

Compare the scenario with the setup scenario in daml/Main.daml. You will see that the scenario you used to initialize the sandbox is an initial segment of the trade\_test scenario. The latter adds transactions to perform the trade you performed through Navigator, and a couple of transactions in which expectations are verified.

After a short time, the text Scenario results should appear above the test. Click on it to open the visualization of the resulting ledger state.

Each row shows a contract on the ledger. The first four columns show which parties know of which contracts. The remaining columns show the data on the contracts. You can see past contracts by checking the **Show archived** box at the top. Click the adjacent **Show transaction view** button to switch to a view of the entire transaction tree.

In the transaction view, transaction #6 is of particular interest, as it shows how the lous are exchanged atomically in one transaction. The lines starting known to (since) show that the Banks do indeed not know anything they should not:



```
TX #6 1970-01-01T00:00:00Z (Tests.Trade:61:14)
#6:0
   known to (since): 'Alice' (#6), 'Bob' (#6)
-> 'Bob' exercises IouTrade Accept on #5:0 (IouTrade:IouTrade)
         with
            quoteIouCid = #3:1
   children:
    #6:1
       known to (since): 'Alice' (#6), 'Bob' (#6)
    -> fetch #4:1 (Iou:Iou)
    #6:2
       known to (since): 'Alice' (#6), 'Bob' (#6)
    -> fetch #3:1 (Iou:Iou)
    #6:3
       known to (since): 'Bob' (#6), 'USD Bank' (#6), 'Alice' (#6)
    └─> 'Bob' exercises Iou Transfer on #3:1 (Iou:Iou)
                newOwner = 'Alice'
       children:
        #6:4
           consumed by: #6:5
           referenced by #6:5
            known to (since): 'Bob' (#6), 'USD_Bank' (#6), 'Alice' (#6)
        -> create Iou:IouTransfer
            with
              iou =
                (Iou:Iou with
                   issuer = 'USD Bank';
                   owner = 'Bob';
                   currency = "USD";
                   amount = 110.0;
                   observers = []);
```

```
newOwner = 'Alice'
#6:5
   known to (since): 'Bob' (#6), 'USD Bank' (#6), 'Alice' (#6)
└─> 'Alice' exercises IouTransfer Accept on #6:4 (Iou:IouTransfer)
            with
   children:
    #6:6
       referenced by #7:0
        known to (since): 'Alice' (#6), 'USD_Bank' (#6), 'Bob' (#6)
    └-> create Iou:Iou
       with
          issuer = 'USD Bank';
         owner = 'Alice';
          currency = "USD";
          amount = 110.0;
          observers = []
#6:7
   known to (since): 'Alice' (#6), 'EUR_Bank' (#6), 'Bob' (#6)
-> 'Alice' exercises Iou Transfer on #4:1 (Iou:Iou)
            with
             newOwner = 'Bob'
   children:
    #6:8
       consumed by: #6:9
       referenced by #6:9
       known to (since): 'Alice' (#6), 'EUR Bank' (#6), 'Bob' (#6)
    └> create Iou:IouTransfer
        with
          iou =
            (Iou:Iou with
               issuer = 'EUR Bank';
               owner = 'Alice';
               currency = "EUR";
               amount = 100.0;
               observers = ['Bob']);
         newOwner = 'Bob'
#6:9
   known to (since): 'Alice' (#6), 'EUR Bank' (#6), 'Bob' (#6)
-> 'Bob' exercises IouTransfer Accept on #6:8 (Iou:IouTransfer)
   children:
    #6:10
       referenced by #8:0
       known to (since): 'Bob' (#6), 'EUR Bank' (#6), 'Alice' (#6)
    └-> create Iou:Iou
        with
```

```
issuer = 'EUR_Bank'; owner = 'Bob'; currency = "EUR"; amount□

→= 100.0; observers = []
```

The submit function used in this scenario tries to perform a transaction and fails if any of the ledger integrity rules are violated. There is also a submitMustFail function, which checks that certain transactions are not possible. This is used in daml/Tests/Iou.daml, for example, to confirm that the ledger model prevents double spends.

## Integrate with the ledger

A distributed ledger only forms the core of a full Daml application.

To build automations and integrations around the ledger, Daml Connect has language bindings for the Ledger API in several programming languages.

To compile the Java integration for the quickstart application, we first need to run the Java codegen on the DAR we built before:

```
daml codegen java
```

Once the code has been generated, we can now compile it using mvn compile.

Now start the Java integration with mvn exec:java@run-quickstart. Note that this step requires that the sandbox started earlier is running.

The application provides REST services on port 8080 to perform basic operations on behalf on Alice.

**Note:** To start the same application on another port, use the command-line parameter – Drestport=PORT. To start it for another party, use –Dparty=PARTY.

For example, to start the application for Bob on 8081, run mvn exec:java@run-quickstart - Drestport=8081 -Dparty=Bob

The following REST services are included:

```
GET on http://localhost:8080/iou lists all active lous, and their lds.
```

Note that the Ids exposed by the REST API are not the ledger contract Ids, but integers. You can open the address in your browser or run  $\texttt{curl} - \texttt{X} \ \texttt{GET} \ \texttt{http://localhost:8080/iou}.$ 

GET on http://localhost:8080/iou/ID returns the lou with Id ID.

For example, to get the content of the lou with Id 0, run:

```
curl -X GET http://localhost:8080/iou/0
```

PUT on http://localhost:8080/iou creates a new lou on the ledger.

To create another AliceCoin, run:

```
curl -X PUT -d '{"issuer":"Alice","owner":"Alice",
"currency":"AliceCoin", "amount":1.0, "observers":[]}' http://
localhost:8080/iou
```

POST on http://localhost:8080/iou/ID/transfer transfers the lou with Id ID.

Check the Id of your new AliceCoin by listing all active lous. If you have followed this guide, it will be 0 so you can run:

```
curl -X POST -d '{ "newOwner":"Bob" }' http://localhost:8080/iou/0/
transfer
```

to transfer it to Bob. If it's not 0, just replace the 0 in iou/0 in the above command.

The automation is based on the Java bindings and the output of the Java code generator, which are included as a Maven dependency and Maven plugin respectively:

It consists of the application in file IouMain.java. It uses the class Iou from Iou.java, which is generated from the Daml model with the Java code generator. The Iou class provides better serialization and de-serialization to JSON via gson.

1. A connection to the ledger is established using a LedgerClient object.

2. An in-memory contract store is initialized. This is intended to provide a live view of all active contracts, with mappings between ledger and external lds.

```
AtomicLong idCounter = new AtomicLong(0);
ConcurrentHashMap<Long, Iou> contracts = new ConcurrentHashMap<>();
BiMap<Long, Iou.ContractId> idMap = Maps.synchronizedBiMap(HashBiMap.

create());
```

3. The Active Contracts Service (ACS) is used to quickly build up the contract store to a recent state.

(continues on next page)

(continued from previous page)

```
long id = idCounter.getAndIncrement();
    contracts.put(id, contract.data);
    idMap.put(id, contract.id);
});
});
```

Note the use of blockingForEach to ensure that the contract store is fully built and the ledger-offset up to which the ACS provides data is known before moving on.

4. The Transaction Service is wired up to update the contract store on occurrences of ArchiveEvent and CreateEvent for lous. Since getTransactions is called without end offset, it will stream transactions indefinitely, until the application is terminated.

```
Disposable ignore =
    client
        .getTransactionsClient()
        .getTransactions(acsOffset.get(), iouFilter, true)
        .forEach(
            t -> {
              for (Event event : t.getEvents()) {
                if (event instanceof CreatedEvent) {
                  CreatedEvent createdEvent = (CreatedEvent) event;
                  long id = idCounter.getAndIncrement();
                  Iou.Contract contract = Iou.Contract.
→fromCreatedEvent(createdEvent);
                  contracts.put(id, contract.data);
                  idMap.put(id, contract.id);
                } else if (event instanceof ArchivedEvent) {
                  ArchivedEvent archivedEvent = (ArchivedEvent) event;
                  long id =
                      idMap.inverse().get(new Iou.
→ContractId(archivedEvent.getContractId()));
                  contracts.remove(id);
                  idMap.remove(id);
              }
            });
```

5. Commands are submitted via the Command Submission Service.

(continues on next page)

(continued from previous page)

```
Collections.singletonList(c))
.blockingGet();
}
```

You can find examples of ExerciseCommand and CreateCommand instantiation in the bodies of the transfer and iou endpoints, respectively.

## Listing 21: ExerciseCommand

## Listing 22: CreateCommand

```
CreateCommand iouCreate = iou.create();
submit(client, party, iouCreate);
```

The rest of the application sets up the REST services using Spark Java, and does dynamic package Id detection using the Package Service. The latter is useful during development when package Ids change frequently.

For a discussion of ledger application design and architecture, take a look at Application Architecture Guide.

#### Next steps

Great - you've completed the quickstart guide!

Some steps you could take next include:

Explore examples for guidance and inspiration.

Learn Daml.

Language reference.

Learn more about application development.

Learn about the conceptual models behind Daml.

The Java bindings is a client implementation of the Ledger API based on RxJava, a library for composing asynchronous and event-based programs using observable sequences for the Java VM. It provides an idiomatic way to write Daml Ledger applications.

#### See also:

This documentation for the Java bindings API includes the JavaDoc reference documentation.

#### 3.8.6.4 Overview

The Java bindings library is composed of:

**The Data Layer** A Java-idiomatic layer based on the Ledger API generated classes. This layer simplifies the code required to work with the Ledger API.

Can be found in the java package com.daml.ledger.javaapi.data.

The Reactive Layer A thin layer built on top of the Ledger API services generated classes.

For each Ledger API service, there is a reactive counterpart with a matching name. For instance, the reactive counterpart of ActiveContractsServiceGrpc is ActiveContractsClient.

The Reactive Layer also exposes the main interface representing a client connecting via the Ledger API. This interface is called LedgerClient and the main implementation working against a Daml Ledger is the DamlLedgerClient.

Can be found in the java package com.daml.ledger.rxjava.

**The Reactive Components** A set of optional components you can use to assemble Daml Ledger applications. These components are deprecated as of 2020-10-14.

The most important components are:

- the LedgerView, which provides a local view of the Ledger
- the Bot, which provides utility methods to assemble automation logic for the Ledger Can be found in the java package com.daml.ledger.rxjava.components.

## Code generation

When writing applications for the ledger in Java, you want to work with a representation of Daml templates and data types in Java that closely resemble the original Daml code while still being as true to the native types in Java as possible.

To achieve this, you can use Daml to Java code generator ( Java codegen ) to generate Java types based on a Daml model. You can then use these types in your Java code when reading information from and sending data to the ledger.

For more information on Java code generation, see Generate Java code from Daml.

## Connecting to the ledger: LedgerClient

Connections to the ledger are made by creating instance of classes that implement the interface LedgerClient. The class DamlLedgerClient implements this interface, and is used to connect to a Daml ledger.

This class provides access to the ledgerId, and all clients that give access to the various ledger services, such as the active contract set, the transaction service, the time service, etc. This is described below. Consult the JavaDoc for DamlLedgerClient for full details.

#### 3.8.6.5 Reference documentation

Click here for the JavaDoc reference documentation.

## 3.8.6.6 Getting started

The Java bindings library can be added to a Maven project.

## Set up a Maven project

To use the Java bindings library, add the following dependencies to your project's pom.xml:

(continues on next page)

(continued from previous page)

```
</dependency>
</dependencies>
```

Replace x.y.z for both dependencies with the version that you want to use. You can find the available versions by checking the Maven Central Repository.

You can also take a look at the pom. xml file from the quickstart project.

## Connecting to the ledger

Before any ledger services can be accessed, a connection to the ledger must be established. This is done by creating a instance of a DamlLedgerClient using one of the factory methods DamlLedgerClient.forLedgerIdAndHost and DamlLedgerClient.forHostWithLedgerIdDiscovery. This instance can then be used to access service clients directly, or passed to a call to Bot.wire to connect a Bot instance to the ledger.

## Authorizing

Some ledgers will require you to send an access token along with each request.

To learn more about authorization, read the Authorization overview.

To use the same token for all Ledger API requests, the <code>DamlLedgerClient</code> builders expose a <code>withAccessToken</code> method. This will allow you to not pass a token explicitly for every call.

If your application is long-lived and your tokens are bound to expire, you can reload the necessary token when needed and pass it explicitly for every call. Every client method has an overload that allows a token to be passed, as in the following example:

```
transactionClient.getLedgerEnd(); // Uses the token specified when constructing the client
transactionClient.getLedgerEnd(accessToken); // Override the token for this call exclusively
```

If you're communicating with a ledger that verifies authorization it's very important to secure the communication channel to prevent your tokens to be exposed to man-in-the-middle attacks. The next chapter describes how to enable TLS.

## Connecting securely

The Java bindings library lets you connect to a Daml Ledger via a secure connection. The builders created by <code>DamlLedgerClient.newBuilder</code> default to a plaintext connection, but you can invoke <code>withSslContext</code> to pass an <code>SslContext</code>. Using the default plaintext connection is useful only when connecting to a locally running <code>Sandbox</code> for development purposes.

Secure connections to a Daml Ledger must be configured to use client authentication certificates, which can be provided by a Ledger Operator.

For information on how to set up an SslContext with the provided certificates for client authentication, please consult the gRPC documentation on TLS with OpenSSL as well as the HelloWorldClientTls example of the grpc-java project.

## Advanced connection settings

Sometimes the default settings for gRPC connections/channels are not suitable for a given situation. These use cases are supported by creating a custom NettyChannelBuilder object and passing the it to the newBuilder static method defined over DamlLedgerClient.

## **Reactive Components**

The Reactive Components are deprecated as of 2020-10-14.

## Accessing data on the ledger: LedgerView

The LedgerView of an application is the copy of the ledger that the application has locally. You can query it to obtain the contracts that are active on the Ledger and not pending.

#### Note:

A contract is active if it exists in the Ledger and has not yet been archived.

A contract is pending if the application has sent a consuming command to the Ledger and has yet to receive an completion for the command (that is, if the command has succeeded or not).

The LedgerView is updated every time:

a new event is received from the Ledger new commands are sent to the Ledger a command has failed to be processed

For instance, if an incoming transaction is received with a create event for a contract that is relevant for the application, the application LedgerView is updated to contain that contract too.

## Writing automations: Bot

The Bot is an abstraction used to write automation for a Daml Ledger. It is conceptually defined by two aspects:

the LedgerView

the logic that produces commands, given a LedgerView

When the LedgerView is updated, to see if the bot has new commands to submit based on the updated view, the logic of the bot is run.

The logic of the bot is a Java function from the bot's LedgerView to a Flowable<CommandsAndPendingSet>. Each CommandsAndPendingSet contains:

the commands to send to the Ledger

the set of contractIds that should be considered pending while the command is in-flight (that is, sent by the client but not yet processed by the Ledger)

You can wire a Bot to a LedgerClient implementation using Bot.wire:

```
Bot.wire(String applicationId,

LedgerClient ledgerClient,

TransactionFilter transactionFilter,
```

(continues on next page)

(continued from previous page)

#### In the above:

applicationId The id used by the Ledger to identify all the queries from the same application

**ledgerClient** The connection to the Ledger.

**transactionFilter** The server-side filter to the incoming transactions. Used to reduce the traffic between Ledger and application and make an application more efficient.

bot The logic of the application,

transform The function that, given a new contract, returns which information for that contracts are useful for the application. Can be used to reduce space used by discarding all the info not required by the application. The input to the function contains the templateId, the arguments of the contract created and the context of the created contract. The context contains the workflowId.

## 3.8.6.7 Example project

Example projects using the Java bindings are available on GitHub. Read more about them here.

## 3.8.7 Scala bindings

The Scala bindings are deprecated as of 2020-10-14.

This page provides a basic Scala programmer's introduction to working with Daml Ledgers, using the Scala programming language and the **Ledger API**.

## 3.8.7.1 Introduction

The Scala bindings is a client implementation of the **Ledger API**. The Scala bindings library lets you write applications that connect to a Daml Ledger using the Scala programming language.

There are two main components:

**Scala codegen** Daml to Scala code generator. Use this to generate Scala classes from Daml models. The generated Scala code provides a type safe way of creating contracts (*Create-Command*) and exercising contract choices (*ExerciseCommand*).

**Akka Streams-based API** The API that you use to send commands to the ledger and receive transactions back.

In order to use the Scala bindings, you should be familiar with:

Daml language Ledger API Akka Streams API Scala programming language Building Daml projects Daml codegen

## 3.8.7.2 Getting started

If this is your first experience with the Scala bindings library, we recommend that you start by looking at the quickstart-scala example.

To use the Scala bindings, set up the following dependencies in your project:

```
lazy val codeGenDependencies = Seq(
   "com.daml" %% "bindings-scala" % damlSdkVersion
)
lazy val applicationDependencies = Seq(
   "com.daml" %% "bindings-akka" % damlSdkVersion
)
```

We recommend separating generated code and application code into different modules. There are two modules in the quickstart-scala example:

scala-codegen This module will contain only generated Scala classes.
application This is the application code that makes use of the generated Scala classes.

```
lazy val `scala-codegen` = project
   .in(file("scala-codegen"))
   .settings(
    name := "scala-codegen",
    commonSettings,
    libraryDependencies ++= codeGenDependencies,
)

lazy val `application` = project
   .in(file("application"))
   .settings(
    name := "application",
    commonSettings,
    libraryDependencies ++= codeGenDependencies ++ applicationDependencies,
)
   .dependsOn(`scala-codegen`)
```

## 3.8.7.3 Generating Scala code

- 1) Install the latest version of the SDK.
- 2) Build a DAR file from a Daml model. Refer to Building Daml projects for more instructions.
- 3) Configure codegen in the daml.yaml (for more details see Daml codegen documentation).

```
codegen:
    scala:
    package-prefix: com.daml.quickstart.iou.model
    output-directory: scala-codegen/src/main/scala
    verbosity: 2
```

4) Run Scala codegen:

```
$ daml codegen scala
```

If the command is successful, it should print:

```
Scala codegen

Reading configuration from project configuration file

[INFO ] Scala Codegen verbosity: INFO

[INFO ] decoding archive with Package ID:

$\infty$5c96aa21d5f38386833ff47fe1a7562afb5b3fe5be520f289c42892dfb0ef42b

[INFO ] decoding archive with Package ID:

$\infty$748d55be531976e941076a44fe8c06ad4a7bdb36160711dd0204b5ab8dc77e44

[INFO ] decoding archive with Package ID:

$\infty$d841a5e45897aea965ab7699f3e51613c9d00b9fbd1bb09658d7fb00486f5b57

[INFO ] Scala Codegen result:

Number of generated templates: 3

Number of not generated templates: 0

Details:
```

The output above tells that Scala codegen read configuration from daml.yaml and produced Scala classes for 3 templates without errors (empty Details: line).

## 3.8.7.4 Example code

In this section we will demonstrate how to use the Scala bindings library.

This section refers to the IOU Daml example from the *Quickstart guide* and *quickstart-scala* example that we already mentioned above.

Please keep in mind that **quickstart-scala example** compiles with -Xsource: 2.13 **scalac** option, this is to activate the fix for a Scala bug that forced users to add extra imports for implicits that should not be needed.

#### Create a contract and send a CreateCommand

To create a Scala class representing an IOU contract, you need the following imports:

```
import com.daml.ledger.client.binding.{Primitive => P}
import com.daml.quickstart.iou.model.{Iou => M}
```

the definition of the issuer Party:

```
private val issuer = P.Party("Alice")
```

and the following code to create an instance of the M. Iou class:

```
val iou = M.Iou(
  issuer = issuer,
  owner = issuer,
  currency = "USD",
  amount = BigDecimal("1000.00"),
  observers = List(),
)
```

To send a CreateCommand (keep in mind the following code snippet is part of the Scala for comprehension expression):

```
createCmd = iou.create
    _ <- clientUtil.submitCommand(issuer, issuerWorkflowId, createCmd)
    _ = logger.info(s"$issuer created IOU: $iou")
    _ = logger.info(s"$issuer sent create command: $createCmd")</pre>
```

For more details on how to submit a command, please refer to the implementation of com.daml.guickstart.iou.ClientUtil#submitCommand.

#### Receive a transaction, exercise a choice and send an ExerciseCommand

To receive a transaction as a **newOwner** and decode a *CreatedEvent* for IouTransfer contract, you need the definition of the **newOwner** Party:

```
private val newOwner = P.Party("Bob")
```

and the following code that handles subscription and decoding:

```
_ <- clientUtil.subscribe(newOwner, offset0, None) { tx => logger.info(s"$newOwner received transaction: $tx") decodeCreated[M.IouTransfer](tx).foreach { contract: Contract[M. →IouTransfer] => logger.info(s"$newOwner received contract: $contract")
```

To exercise <code>IouTransfer\_Accept</code> choice on the <code>IouTransfer</code> contract that you received and send a corresponding <code>ExerciseCommand</code>:

Fore more details on how to subscribe to receive events for a particular party, please refer to the implementation of com.daml.quickstart.iou.louMain#newOwnerAcceptsAllTransfers.

#### 3.8.7.5 Authorization

Some ledgers will require you to send an access token along with each request. To learn more about authorization, read the *Authorization* overview.

To use the same token for all ledger API requests, use the token field of LedgerClientConfiguration:

```
private val clientConfig = LedgerClientConfiguration(
   applicationId = ApplicationId.unwrap(applicationId),
   ledgerIdRequirement = LedgerIdRequirement.none,
```

(continues on next page)

(continued from previous page)

```
commandClient = CommandClientConfiguration.default,
sslContext = None,
token = None,
)
```

To specify the token for an individual call, use the token parameter:

Note that if your tokens can change at run time (e.g., because they expire or because you switch users), you will need to specify them on a per-call basis as shown above.

## 3.8.8 Node.js bindings

The Node.js bindings are deprecated as of 2020-10-14.

The documentation for the Node.js bindings has been moved to digital-asset.github.io/daml-js.

You can also try the Node.js bindings tutorial, which is at github.com/digital-asset/ex-tutorial-nodejs.

## 3.8.9 Creating your own bindings

This page gets you started with creating custom bindings for a Daml Ledger.

Bindings for a language consist of two main components:

**Ledger API** Client stubs for the programming language, – the remote API that allows sending ledger commands and receiving ledger transactions. You have to generate **Ledger API** from the gRPC protobuf definitions in the daml repository on GitHub. **Ledger API** is documented on this page: gRPC. The gRPC tutorial explains how to generate client stubs.

**Codegen** A code generator is a program that generates classes representing Daml contract templates in the language. These classes incorporate all boilerplate code for constructing: <u>CreateCommand</u> and <u>ExerciseCommand</u> corresponding for each Daml contract template.

Technically codegen is optional. You can construct the commands manually from the auto-generated **Ledger API** classes. However, it is very tedious and error-prone. If you are creating ad hoc bindings for a project with a few contract templates, writing a proper codegen may be overkill. On the other hand, if you have hundreds of contract templates in your project or are planning to build language bindings that you will share across multiple projects, we recommend including a codegen in your bindings. It will save you and your users time in the long run.

Note that for different reasons we chose codegen, but that is not the only option. There is really a broad category of metaprogramming features that can solve this problem just as well or even better than codegen; they are language-specific, but often much easier to maintain (i.e. no need to add a build step). Some examples are:

```
F# Type Providers
Template Haskell
```

Scala macro annotations (not future-proof enough to use when implementing the last Scala codegen)

## 3.8.9.1 Building Ledger Commands

No matter what approach you take, either manually building commands or writing a codegen to do this, you need to understand how ledger commands are structured. This section demonstrates how to build create and exercise commands manually and how it can be done using contract classes generated by Scala codegen.

#### Create Command

Let's recall an IOU example from the Quickstart guide, where lou template is defined like this:

```
template Iou
  with
   issuer : Party
  owner : Party
  currency : Text
  amount : Decimal
  observers : [Party]
```

Here is how to manually build a CreateCommand for the above contract template in Scala:

```
def iouCreateCommand(
     templateId: Identifier,
     issuer: String,
     owner: String,
     currency: String,
     amount: BigDecimal,
 ): Command.Create = {
   val fields = Seq(
     RecordField("issuer", Some(Value(Value.Sum.Party(issuer)))),
     RecordField("owner", Some(Value(Value.Sum.Party(owner)))),
     RecordField("currency", Some(Value(Value.Sum.Text(currency)))),
     RecordField("amount", Some(Value(Value.Sum.Numeric(amount.
→toString)))),
     RecordField("observers", Some(Value(Value.Sum.List(List())))),
   Command.Create(
     CreateCommand(
       templateId = Some(templateId),
       createArguments = Some(Record(Some(templateId), fields)),
     )
   )
 }
```

If you do not specify any of the above fields or type their names or values incorrectly, or do not order them exactly as they are in the Daml template, the above code will compile but fail at run-time because you did not structure your create command correctly.

Codegen should simplify the command construction by providing auto-generated utilities to help you construct commands. For example, when you use *Scala codegen* to generate contract classes, a similar contract instantiation would look like this:

```
val iou = M.Iou(
  issuer = issuer,
  owner = issuer,
  currency = "USD",
  amount = BigDecimal("1000.00"),
  observers = List(),
)
```

#### **Exercise Command**

To build ExerciseCommand for Iou\_Transfer:

```
controller owner can
    Iou_Transfer : ContractId IouTransfer
    with
    newOwner : Party
    do create IouTransfer with iou = this; newOwner
```

#### manually in Scala:

```
def iouTransferExerciseCommand(
     templateId: Identifier,
     contractId: String,
     newOwner: String,
 ): Command.Exercise = {
   val transferTemplateId = Identifier(
     packageId = templateId.packageId,
     moduleName = templateId.moduleName,
     entityName = "Iou Transfer",
   val fields = Seq(RecordField("newOwner", Some(Value(Value.Sum.
→Party(newOwner)))))
   Command. Exercise (
     ExerciseCommand(
       templateId = Some(templateId),
       contractId = contractId,
       choice = "Iou Transfer",
       choiceArgument = Some(Value(Value.Sum.
→ Record (Record (Some (transferTemplateId), fields)))),
   )
 }
```

versus creating the same command using a value class generated by Scala codegen:

```
exerciseCmd = iouContract.contractId.exerciseIou_Transfer(actor =□

→issuer, newOwner = newOwner)
```

#### 3.8.9.2 Summary

When creating custom bindings for Daml Ledgers, you will need to:

generate Ledger API from the gRPC definitions

decide whether to write a codegen to generate ledger commands or manually build them for all contracts defined in your Daml model.

The above examples should help you get started. If you are creating custom binding or have any questions, see the Getting Help page for how to get in touch with us.

#### 3.8.9.3 Links

A Scala example that demonstrates how to manually construct ledger commands: https://github.com/digital-asset/daml/tree/main/language-support/scala/examples/iou-no-codegen

A Scala codegen example: https://github.com/digital-asset/daml/tree/main/language-support/scala/examples/quickstart-scala

gRPC documentation: https://grpc.io/docs/

Documentation for Protobuf well known types: https://developers.google.com/protocol-buffers/docs/reference/google.protobuf

## Daml Ledger API gRPC Protobuf definitions

- current main: https://github.com/digital-asset/daml/tree/main/ledger-api/ grpc-definitions
- for specific versions: https://github.com/digital-asset/daml/releases

## Required gRPC Protobuf definitions:

- https://raw.githubusercontent.com/grpc/grpc/v1.18.0/src/proto/grpc/status/status.
   proto
- https://raw.githubusercontent.com/grpc/grpc/v1.18.0/src/proto/grpc/health/v1/health.proto

To write an application around a Daml ledger, you'll need to interact with the **Ledger API** from another language. Every ledger that Daml can run on exposes this same API.

## 3.8.10 What's in the Ledger API

You can access the Ledger API via the HTTP JSON API, Java bindings, Scala bindings or gRPC. In all cases, the Ledger API exposes the same services:

## Submitting commands to the ledger

- Use the command submission service to submit commands (create a contract or exercise a choice) to the ledger.
- Use the command completion service to track the status of submitted commands.
- Use the command service for a convenient service that combines the command submission and completion services.

#### Reading from the ledger

- Use the transaction service to stream committed transactions and the resulting events (choices exercised, and contracts created or archived), and to look up transactions.
- Use the active contracts service to quickly bootstrap an application with the currently active contracts. It saves you the work to process the ledger from the beginning to obtain its current state.

#### Utility services

- Use the package service to query the Daml packages deployed to the ledger.
- Use the ledger identity service to retrieve the Ledger ID of the ledger the application is connected to.
- Use the ledger configuration service to retrieve some dynamic properties of the ledger, like maximum deduplication time for commands.

- Use the version service to retrieve information about the Ledger API version.

Testing services (on Sandbox only, not for production ledgers)

- Use the time service to obtain the time as known by the ledger.
- Use the <u>reset service</u> to reset the ledger state, as a quicker alternative to restarting the whole ledger application.

For full information on the services see The Ledger API services.

You may also want to read the *protobuf documentation*, which explains how each service is defined as protobuf messages.

#### 3.8.11 Daml-LF

When you compile Daml source into a .dar file, the underlying format is Daml-LF. Daml-LF is similar to Daml, but is stripped down to a core set of features. The relationship between the surface Daml syntax and Daml-LF is loosely similar to that between Java and JVM bytecode.

As a user, you don't need to interact with Daml-LF directly. But internally, it's used for:

Executing Daml code on the Sandbox or on another platform

Sending and receiving values via the Ledger API (using a protocol such as gRPC)

Generating code in other languages for interacting with Daml models (often called codegen )

## 3.8.11.1 When you need to know about Daml-LF

Daml-LF is only really relevant when you're dealing with the objects you send to or receive from the ledger. If you use any of the provided language bindings for the Ledger API, you don't need to know about Daml-LF at all, because this generates idiomatic representations of Daml for you.

Otherwise, it can be helpful to know what the types in your Daml code look like at the Daml-LF level, so you know what to expect from the Ledger API.

For example, if you are writing an application that creates some Daml contracts, you need to construct values to pass as parameters to the contract. These values are determined by the Daml-LF types in that contract template. This means you need an idea of how the Daml-LF types correspond to the types in the original Daml model.

For the most part the translation of types from Daml to Daml-LF should not be surprising. This page goes through all the cases in detail.

For the bindings to your specific programming language, you should refer to the language-specific documentation.

## **Chapter 4**

# **Deploying to Daml ledgers**

## 4.1 Overview of Daml ledgers

This is an overview of Daml deployment options. Instructions on how to deploy to a specific ledger are available in the following section.

## 4.1.1 Commercial Integrations

The following table lists commercially supported Daml ledgers and environments that are available for production use today.

Product	Ledger	Vendor
Daml on Corda	Corda	Multiple. Contact Digital Asset
Sextant for Daml	Amazon Aurora	Blockchain Technology Partners
Sextant for Daml	Hyperledger Sawtooth	Blockchain Technology Partners
Sextant for Daml	Amazon QLDB	Blockchain Technology Partners
project : DABL	Managed cloud environment	Digital Asset
Hyperledger Besu	Blockchain Technology Partners	Press release, March 2020

## 4.1.2 Open Source Integrations

The following table lists open source Daml integrations.

Ledger	Developer	More Information
Hyperledger Sawtooth	Blockchain Technology Partners	Github Repo
Hyperledger Fabric	Digital Asset	Github Repo
PostgreSQL	Digital Asset	Daml Sandbox Docs

## 4.1.3 Daml Ledgers in Development

The following table lists the ledgers that are implementing support for running Daml.

Ledger	Developer	More Information
VMware Blockchain	VMware	Press release, April 2019
FISCO BCOS	WeBank	Press release, April 2020
Canton	Digital Asset reference implementation	canton.io

## 4.2 Deploying to a generic Daml ledger

Daml ledgers expose a unified administration API. This means that deploying to a Daml ledger is no different from deploying to your local sandbox.

To deploy to a Daml ledger, run the following command from within your Daml project:

```
$ daml deploy --host=<HOST> --port=<PORT> --access-token-file=<TOKEN-FILE>
```

where <host> and <port of the hostname and port your ledger is listening on, which defaults to port 6564. The <port of the the to the the total port of the the total port of the the total point of the to

Instead of passing --host and --port flags to the command above, you can add the following section to the project's daml.yaml file:

```
ledger:
   host: <HOSTNAME>
   port: <PORT>
```

The daml deploy command will

- 1. upload the project's compiled DAR file to the ledger. This will make the Daml templates defined in the current project available to the API users of the sandbox.
- 2. allocate the parties specified in the project's daml.yaml on the ledger if they are missing.

For more further interactions with the ledger, use the daml ledger command. Try running daml ledger --help to get a list of available ledger commands:

```
$ daml ledger --help
Usage: daml ledger COMMAND
 Interact with a remote Daml ledger. You can specify the ledger in daml.
→yaml
 with the ledger.host and ledger.port options, or you can pass the --host□
  --port flags to each command below. If the ledger is authenticated, you --
→should
 pass the name of the file containing the token using the --access-token-
→file
 flag.
Available options:
 -h,--help
                           Show this help text
Available commands:
 list-parties
                           List parties known to ledger
 allocate-parties
                           Allocate parties on ledger
 upload-dar
                           Upload DAR file to ledger
                           Launch Navigator on ledger
 navigator
```

## 4.2.1 Connecting via TLS

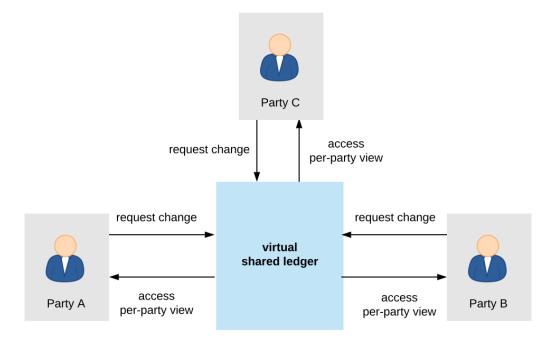
To connect to the ledger via TLS, you can pass —tls to the various commands. If your ledger supports or requires mutual authentication you can pass your client key and certificate chain files via —pem client\_key.pem —crt client.crt. Finally, you can use a custom certificate authority for validating the server certificate by passing —cacrt server.crt. If —pem, —crt or —cacrt are specified TLS is enabled automatically so —tls is redundant.

## 4.2.2 Configuring Request Timeouts

You can configure the timeout used on API requests by passing --timeout=N to the various daml ledger commands and daml deploy which will set the timeout to N seconds. Note that this is a per-request timeout not a timeout for the whole command. That matters for commands like daml deploy that consist of multiple requests.

## 4.3 Daml Ledger Topologies

The Ledger API provides parties with an abstraction of a virtual shared ledger, visualized as follows.



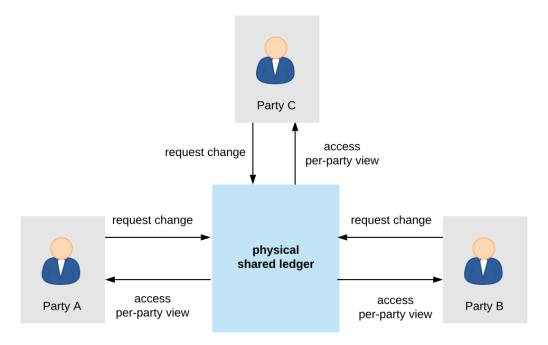
The real-world topologies of actual ledger implementations differ significantly, however. The topologies can impact both the functional and non-functional properties of the resulting ledger. This document provides one useful categorization of the existing implementations' topologies: the split into global and partial state topologies, depending on whether single *trust domains* can see the entire ledger, or just parts of it. The implementations with topologies from the same category share many non-functional properties and trust assumptions. Additionally, their *identity* and package management functions also behave similarly.

## 4.3.1 Global State Topologies

In global state topologies, there exists at least one *trust domain* whose systems contain a physical copy of the entire virtual shared ledger that is accessible through the API.

## 4.3.1.1 The Fully Centralized Ledger

The simplest global state topology is the one where the virtual shared ledger is implemented through a single machine containing a physical copy of the shared ledger, whose real-world owner is called the **operator**.



The Daml Sandbox uses this topology. While simple to deploy and operate, the single-machine setup also has downsides:

- 1. it provides no scaling
- 2. it is not highly available
- 3. the operator is fully trusted with preserving the ledger's integrity
- 4. the operator has full insight into the entire ledger, and is thus fully trusted with privacy
- 5. it provides no built-in way to interoperate (transactionally share data) across several deployed ledgers; each deployment defines its own segregated virtual shared ledger.

The first four problems can be solved or mitigated as follows:

- 1. scaling by splitting the system up into separate functional components and parallelizing execution
- 2. availability by replication
- 3. trust for integrity by introducing multiple trust domains and distributing trust using Byzantine fault tolerant replication, or by maintaining one trust domain but using hardware-based Trusted Execution Environments (TEEs) or other cryptographic means to enforce or audit ledger integrity without having to trust the operator.
- 4. trust for privacy through TEEs that restrict data access by hardware means.

The remainder of the section discusses these solutions and their implementations in the different Daml ledgers. The last problem, interoperability, is inherent when the two deployments are operated by different trust domains: by definition, a topology in which no single trust domain would hold the entire ledger is not a global state topology.

## 4.3.1.2 Scaling

The main functionalities of a system providing the Ledger API are:

- 1. serving the API itself: handling the gRPC connections and authorizing users,
- 2. allowing the API users to access their ledger projection (reading the ledger), and
- 3. allowing the API users to issue commands and thus attempt to append commits to the shared ledger (writing to the ledger).

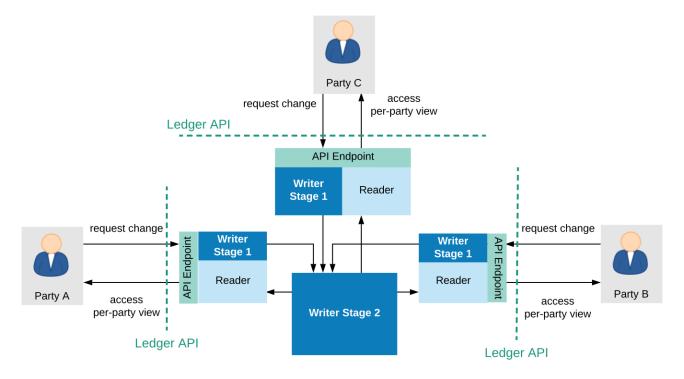
The implementation thus naturally splits up into components for serving the API, reading from the ledger, and writing to the ledger. Serving the API and reading can be scaled out horizontally. Reading can be scaled out by building caches of the ledger contents; as the projections are streams, no synchronization between the different caches is necessary.

To ensure ledger integrity, the writing component must preserve the ledger's *validity conditions*. Writing can thus be further split up into three sub-components, one for each of the three validity conditions:

- 1. model conformance checks (i.e., Daml interpretation),
- 2. authorization checks, and
- 3. consistency checks.

Of these three, conformance and authorization checks can be checked in isolation for each commit. Thus, such checks can be parallelized and scaled out. The consistency check cannot be done in isolation and requires synchronization. However, to improve scaling, it can internally still use some form of sharding, together with a commit protocol.

For example, the next versions of Daml on Amazon Aurora and on Hyperledger Fabric will use such partitioned topologies. The next image shows an extreme version of this partitioning, where each party is served by a separate system node running all the parallelizable functions. The writing subsystem is split into two stages. The first stage checks conformance and authorization, and can be arbitrarily replicated, while the second stage is centralized and checks consistency.



## 4.3.1.3 Replication: Availability and Distributing Trust

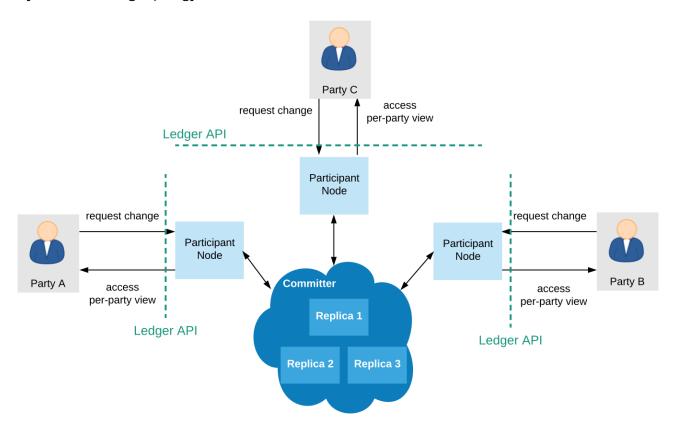
Availability is improved by replication. The scaling methodology described in the previous section already improves the ledger's availability properties, as it introduces replication for most functions.

For example, if a node serving a client with the API fails, clients can fail over to other such nodes. Replicating the writer's consistency-checking subsystem must use a consensus algorithm to ensure consistency of the replicated system (in particular, the linearizability of the virtual shared ledger).

Replication can also help to lower, or more precisely distribute the trust required to ensure the system's integrity. Trust can be distributed by introducing multiple organizations, i.e., multiple trust domains into the system. In these situations, the system typically consists of two types of nodes:

- 1. **Writer nodes**, which replicate the physical shared ledger and can extend it with new commits. Writer nodes are thus also referred to as **committer nodes**.
- 2. **Participant nodes**, (also called Client nodes in some platforms) which serve the Ledger API to a subset of the system parties, which we say are hosted by this participant. A participant node proposes new commits on behalf of the parties it hosts, and holds a portion of the ledger that is relevant for those parties (i.e., the parties' *ledger projection*). The term participant node is sometimes also used more generally, for any physical node serving the Ledger API to a party.

The participant nodes need not be trusted by the other nodes, or by the committer(s); the participants can be operated by mutually distrusting entities, i.e., belong to different trust domains. In general, the participant nodes do not necessarily even need to know each other. However, they have to be known to and accepted by the committer nodes. The committer nodes are jointly trusted with ensuring the ledger's integrity. To distribute the trust, the committer nodes must implement a Byzantine fault tolerant replication mechanism. For example, the mechanism can ensure that the system preserves integrity even if up to a third of the committer nodes (e.g., 2 out of 7) misbehave in arbitrary ways. The resulting topology is visualized below.



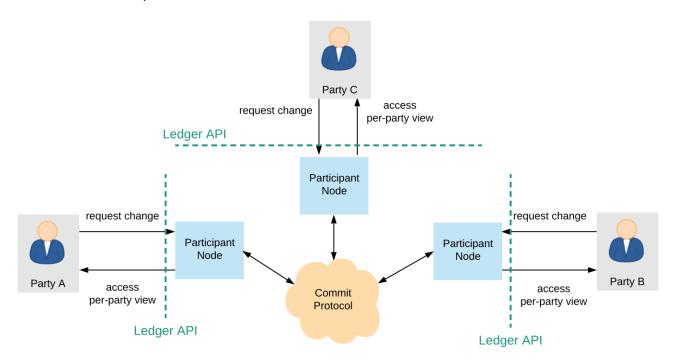
Daml on VMware Concord and Daml on Hyperledger Sawtooth are examples of such a replicated setup.

#### 4.3.1.4 Trusted Execution Environments

Integrity and privacy can also be protected using hardware Trusted Execution Environments (TEEs), such as Intel SGX. The software implementing the ledger can then be deployed inside of TEE **enclaves**, which are code blocks that the processor isolates and protects from the rest of the software stack (even the operating system). The hardware ensures that the enclave data never leaves the processor unencrypted, offering privacy. Furthermore, hardware-based attestation can guarantee that the operating entities process data using the prescribed code only, guaranteeing integrity. The hardware is designed in such a way as to make any potential physical attacks by the operator extremely expensive. This moves the trust necessary to achieve these properties from the operators of the trust domains that maintain the global state to the hardware manufacturer, who is anyway trusted with correctly producing the hardware. Recent security research has, however, found scenarios where the TEE protection mechanisms can be compromised.

## 4.3.2 Partitioned Ledger Topologies

In these topologies, the ledger is implemented as a distributed system. Unlike the global state topologies, no single trust domain holds a physical copy of the entire shared ledger. Instead, the participant nodes hold just the part of the ledger (i.e., the ledger projection) that is relevant to the parties to whom they serve the Ledger API. The participants jointly extend the ledger by running a distributed commit protocol.



The implementations might still rely on trusted third parties to facilitate the commit protocol. The required trust in terms of privacy and integrity, however, can generally be lower than in global state topologies. Moreover, unlike the previous topologies, they support interoperability: even if two transactions are committed with the help of disjoint sets of trusted third parties, their output contracts can in general still be used within the same atomic transaction. The exact trust assumptions and the degree of supported interoperability are implementation-dependent. Canton and Daml on R3 Corda are two such implementations. The main drawback of this topology is that availability can be influenced by the participant nodes. In particular, transactions cannot be committed if they use data that is only stored on unresponsive nodes. Spreading the data among additional trusted entities can mitigate the problem.

## **Chapter 5**

# **Operating Daml**

## 5.1 Daml Participant pruning

The Daml Ledger API exposes an append-only ledger model; on the other hand, Daml Participants must be able to operate continuously for an indefinite amount of time on a limited amount of hot storage.

In addition, privacy demands<sup>1</sup> may require removing Personally Identifiable Information (PII) upon request.

To satisfy these requirements, the <u>Pruning Service Ledger API endpoint</u><sup>2</sup> allows Daml Participants to support pruning of Daml contracts and transactions that were respectively archived and submitted before or at a given ledger offset.

Please refer to the specific Daml Driver information for details about its pruning support.

## 5.1.1 Impacts on Daml applications

When supported, pruning can be invoked by an operator with administrative privileges at any time on a healthy Daml participant; furthermore, it doesn't require stopping nor suspending normal operation.

Still, Daml applications may be affected in the following ways:

Pruning is potentially a long-running operation and demanding one in terms of system resources; as such, it may significantly reduce Daml Ledger API throughput and increase latency while it is being performed. It is thus strongly recommended to plan pruning invocations, preferably, when the system is offline or at least when very low system utilization is expected. Pruning may degrade the behavior of or abort in-progress requests if the pruning offset is too recent. In particular, the system might misbehave if command completions are pruned before the command trackers are able to process the completions.

Command deduplication and command tracker retention should always configured in such a way, that the associated windows don't overlap with the pruning window, so that their operation is unaffected by pruning.

Pruning may affect the behavior of Ledger API calls that allow to read data from the ledger: see the next sub-section for more information about API impacts.

For example, as enabled by provisions about the right to be forgotten of legislation such as EU's GDPR. Invoking the Pruning Service requires administrative privileges.

## 5.1.2 How the Daml Ledger API is affected

Active data streams from the Daml Participant may abort and need to be re-established by the Daml application from a later offset than pruned, even if they are already streaming past it. Requesting information at offsets that predate pruning, including from the ledger's start, will result in a NOT\_FOUND gRPC error. - As a consequence, after pruning, a Daml application must bootstrap from the Active Contract Service and a recent offset<sup>3</sup>.

Submission validation and Daml Ledger API endpoints that write to the ledger are generally not affected by pruning; an exception is that in-progress calls could abort while awaiting completion.

Please refer to the protobuf documentation of the API for details about the prune operation itself and the behavior of other Daml Ledger API endpoints when pruning is being or has been performed.

#### 5.1.3 Other limitations

If the number of divulged contracts continually grows, the storage used by the participant node may still keep growing, even with regular pruning.

Pruning may be rejected even if the node is running correctly (for example, to preserve non-repudiation properties); in this case, the application might not be able to archive contracts containing PII or pruning of these contracts may not be possible; thus, actually deleting this PII may also be technically unfeasible.

Pruning may leave parties, packages, and configuration data on the participant node, even if they are no longer needed for transaction processing, and even if they contain PII<sup>3</sup>.

Pruning does not move pruned information to cold storage but simply deletes pruned data; for this reason, it is advisable to back up the Participant Index DB before invoking pruning. See the next sub-section for more Participant Index DB-related advice before and after invoking prune. Pruning is not selective but rather effectively truncates the ledger, removing events on behalf of archived contracts and command completions at the pruning offset and all previous offsets.

## 5.1.4 How Pruning affects Index DB administration

Pruning deletes data from the participant's database and therefore frees up space within it, which can and will be reused during the continued operation of the Index DB. Whether this freed up space is handed back to the OS depends on the database in use. For example, in PostgreSQL the deleted data frees up space in the table storage itself, but does not shrink the size of the files backing the tables of the IndexDB. Please refer to the PostgreSQL documentation on VACUUM and VACUUM FULL for more information.

Activities to be carried out before invoking a pruning operation should thus include backing up the Participant Index DB, as pruning will not move information to cold storage but rather it will delete events on behalf of archived contracts and command completions before or at the pruning offset.

In addition, activities to be carried out after invoking a pruning operation might include:

On a PostgreSQL Index DB, especially if auto-vacuum tuning has not been performed, issuing VACUUM commands at appropriate times may improve performance and storage usage by letting the database reuse freed space. Note that VACUUM FULL commands are still needed for the OS to reclaim disk space previously used by the database.

Backing up and vacuuming, in addition to pruning itself, are also long-running and resource-hungry operations that might negatively affect the performance of regular workloads and even the availability of the system: this is true in particular for VACUUM FULL in PostgreSQL and equivalent commands

This might be improved in future versions.

in other DBMSs. These operations should thus be planned and taken carefully into account when sizing system resources. They should also be scheduled sensibly in relation to the desired sustained performance levels of regular workloads and to the hot storage usage goals.

Professional advice on database administration is strongly recommended that would take into account the DB specifics as well as all of the above aspects.

## 5.1.5 Determining a suitable pruning offset

The *Transaction Service* and the *Active Contract Service* provide offsets of the ledger end, as well as of transactions, and of Active Contracts snapshots respectively; such offsets can be passed unchanged to prune calls.

Scheduled jobs, applications and/or operator tools can be built on top of the Daml Ledger API to implement pruning automatically, for example at regular intervals, or on-demand, for example according to a user-initiated process.

For instance, pruning at regular intervals could be performed by a cron job that:

- 1. If a pruning interval has been saved to a well-known location: a. Backs up the Daml Participant Index DB. b. Performs pruning. c. (If using PostgreSQL) Performs a VACUUM FULL command on the Daml Participant Index DB.
- 2. Queries the current ledger end and saves its offset.

The interval between 2 (i.e. saving a recent ledger end offset) and the next cron job run determines the data retention window, that should be long enough not to affect deduplication and commands completion. For example, pruning at a recent ledger end offset could be problematic and should be avoided.

Pruning could also be initiated on-demand at the offset of a specific transaction<sup>4</sup>, for example as provided by a user application based on search.

Note that all the events on behalf of archived contracts and command completions found at earlier offsets will also be pruned.

## **Chapter 6**

# **Developer Tools**

## 6.1 Daml Assistant (daml)

daml is a command-line tool that does a lot of useful things related to the SDK. Using daml, you can:

Create new Daml projects: daml new <path to create project in>

Create a new project based on the create-daml-app template: daml new -template=create-daml-app <path to create project in>

Initialize a Daml project: daml init

Compile a Daml project: daml build

This builds the Daml project according to the project config file daml.yaml (see Configuration files below).

In particular, it will download and install the specified version of the Daml Connect SDK (the sdk-version field in daml.yaml) if missing, and use that SDK version to resolve dependencies and compile the Daml project.

Launch the tools in the SDK:

- Launch Daml Studio: daml studio
- Launch Sandbox, Navigator and the HTTP JSON API Service: daml start You can disable the HTTP JSON API by passing -- json-api-port none to daml start. To specify additional options for sandbox/navigator/the HTTP JSON API you can use --sandbox-option=opt, --navigator-option=opt and --json-api-option=opt.
- Launch Sandbox: daml sandbox
- Launch Navigator: daml navigator
- Launch Extractor: daml extractor
- Launch the HTTP JSON API Service: daml json-api
- Run Daml codegen: daml codegen

Install new SDK versions manually: daml install <version>

Note that you need to update your project config file <#configuration-files> to use the new version.

## 6.1.1 Full help for commands

To see information about any command, run it with --help.

## 6.1.2 Configuration files

The Daml assistant and the SDK are configured using two files:

The global config file, one per installation, which controls some options regarding SDK installation and updates

The project config file, one per Daml project, which controls how the SDK builds and interacts with the project

## 6.1.2.1 Global config file (daml-config.yaml)

The global config file daml-config.yaml is in the daml home directory (~/.daml on Linux and Mac, C:/Users/<user>/AppData/Roaming/daml on Windows). It controls options related to SDK version installation and upgrades.

By default it's blank, and you usually won't need to edit it. It recognizes the following options:

auto-install: whether daml automatically installs a missing SDK version when it is required (defaults to true)

update-check: how often daml will check for new versions of the SDK, in seconds (default to 86400, i.e. once a day)

This setting is only used to inform you when an update is available.

Set update-check: <number> to check for new versions every N seconds. Set update-check: never to never check for new versions.

artifactory—api—key: If you have a license for Daml Connect EE, you can use this to specify the Artifactory API key displayed in your user profile. The assistant will use this to download the EE edition.

Here is an example daml-config.yaml:

```
auto-install: true
update-check: 86400
```

## 6.1.2.2 Project config file (daml.yaml)

The project config file daml.yaml must be in the root of your Daml project directory. It controls how the Daml project is built and how tools like Sandbox and Navigator interact with it.

The existence of a daml.yaml file is what tells daml that this directory contains a Daml project, and lets you use project-aware commands like daml build and daml start.

daml init creates a daml.yaml in an existing folder, so daml knows it's a project folder.

daml new creates a skeleton application in a new project folder, which includes a config file. For example, daml new my\_project creates a new folder my\_project with a project config file daml. yaml like this:

```
sdk-version: __VERSION__
platform-version: __VERSION__
name: __PROJECT_NAME__
source: daml
scenario: Main:setup
parties:
    - Alice
    - Bob
version: 1.0.0
exposed-modules:
    - Main
```

(continues on next page)

(continued from previous page)

#### Here is what each field means:

sdk-version: the SDK version that this project uses.

The assistant automatically downloads and installs this version if needed (see the auto-install setting in the global config). We recommend keeping this up to date with the latest stable release of the SDK. It is possible to override the version without modifying the daml.yaml file by setting the DAML\_SDK\_VERSION environment variable. This is mainly useful when you are working with an external project that you want to build with a specific version.

The assistant will warn you when it is time to update this setting (see the update-check setting in the global config to control how often it checks, or to disable this check entirely).

platform-version: Optional SDK version of platform components. Not setting this is equivalent to setting it to the same version as sdk-version. At the moment this includes Sandbox, Sandbox classic and the HTTP JSON API both when invoked directly via daml sandbox as well as when invoked via daml start. Changing the platform version is useful if you deploy to a ledger that is running on a different SDK version than you use locally and you want to make sure that you catch any issues during testing. E.g., you might compile your Daml code using SDK 1.3.0 so you get improvements in Daml Studio but deploy to DABL which could still be running a ledger and the JSON API from SDK 1.2.0. In that case, you can set sdk-version: 1.3.0 and platform-version: 1.2.0. It is possible to override the platform version by setting the DAML\_PLATFORM\_VERSION environment variable.

name: the name of the project. This determines the filename of the .dar file compiled by daml build.

source: the root folder of your Daml source code files relative to the project root.

scenario: the name of the scenario to run when using daml start.

init-script: the name of the Daml script to run when using daml start.

parties: the parties to display in the Navigator when using daml start.

version: the project version.

exposed-modules: the Daml modules that are exposed by this project, which can be imported in other projects. If this field is not specified all modules in the project are exposed.

dependencies: library-dependencies of this project. See Reference: Daml packages.

data-dependencies: Cross-SDK dependencies of this project See Reference: Daml packages.

module-prefixes: Prefixes for all modules in package See Reference: Daml packages.

scenario-service: settings for the scenario service

- grpc-max-message-size: This option controls the maximum size of gRPC messages. If unspecified this defaults to 128MB (134217728 bytes). Unless you get errors, there should be no reason to modify this.
- grpc-timeout: This option controls the timeout used for communicating with the scenario service. If unspecified this defaults to 60s. Unless you get errors, there should be no

reason to modify this.

- jvm-options: A list of options passed to the JVM when starting the scenario service. This can be used to limit maximum heap size via the -Xmx flag.

build-options: a list of tokens that will be appended to some invocations of damlc (currently build and ide). Note that there is no further shell parsing applied.

sandbox-options: a list of options that will be passed to Sandbox in daml start. navigator-options: a list of options that will be passed to Navigator in daml start. json-api-options: a list of options that will be passed to the HTTP JSON API in daml start. script-options: a list of options that will be passed to the Daml script runner when running the init-script as part of daml start.

start-navigator: Controls whether navigator is started as part of daml start. Defaults to true. If this is specified as a CLI argument, say daml start --start-navigator=true, the CLI argument takes precedence over the value in daml.yaml.

## 6.1.3 Building Daml projects

To compile your Daml source code into a Daml archive (a .dar file), run:

```
daml build
```

You can control the build by changing your project's daml.yaml:

sdk-version The SDK version to use for building the project.

name The name of the project.

source The path to the source code.

The generated .dar file is created in .daml/dist/\${name}.dar by default. To override the default location, pass the -o argument to daml build:

```
daml build -o path/to/darfile.dar
```

## 6.1.4 Managing releases

You can manage SDK versions manually by using daml install.

To download and install SDK of the latest stable Daml Connect version:

```
daml install latest
```

To download and install the latest snapshot release:

```
daml install latest --snapshots=yes
```

Please note that snapshot releases are not intended for production usage.

To install the SDK version specified in the project config, run:

```
daml install project
```

To install a specific SDK version, for example version 1.7.0, run:

```
daml install 1.7.0
```

Rarely, you might need to install an SDK release from a downloaded SDK release tarball. **This is an advanced feature**: you should only ever perform this on an SDK release tarball that is released

through the official digital-asset/daml github repository. Otherwise your daml installation may become inconsistent with everyone else's. To do this, run:

```
daml install path-to-tarball.tar.gz
```

By default, daml install will update the assistant if the version being installed is newer. You can force the assistant to be updated with --install-assistant=yes and prevent the assistant from being updated with --install-assistant=no.

See daml install --help for a full list of options.

## 6.1.5 Terminal Command Completion

The daml assistant comes with support for bash and zsh completions. These will be installed automatically on Linux and Mac when you install or upgrade the Daml assistant.

If you use the bash shell, and your bash supports completions, you can use the TAB key to complete many daml commands, such as daml install and daml version.

For Zsh you first need to add ~/.daml/zsh to your \$fpath, e.g., by adding the following to the beginning of your ~/.zshrc before you call compinit: fpath= (~/.daml/zsh \$fpath)

You can override whether bash completions are installed for daml by passing --bash-completions=yes or --bash-completions=no to daml install.

## 6.1.6 Running Commands outside of the Project Directory

In some cases, it can be convenient to run a command in a project without having to change directories. For that usecase, you can set the DAML\_PROJECT environment variable to the path to the project:

```
DAML PROJECT=/path/to/my/project daml build
```

Note that while some commands, most notably, daml build, accept a --project-root option, it can end up choosing the wrong SDK version so you should prefer the environment variable instead.

## 6.2 Daml Studio

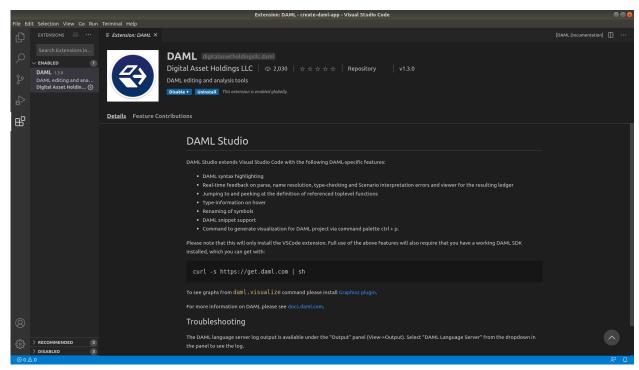
Daml Studio is an integrated development environment (IDE) for Daml. It is an extension on top of Visual Studio Code (VS Code), a cross-platform, open-source editor providing a rich code editing experience.

## 6.2.1 Installing

Daml Studio is included in the Daml Connect SDK.

## 6.2.2 Creating your first Daml file

- Start Daml Studio by running daml studio in the current project.
   This command starts Visual Studio Code and (if needs be) installs the Daml Studio extension, or upgrades it to the latest version.
- 2. Make sure the Daml Studio extension is installed:
  - 1. Click on the Extensions icon at the bottom of the VS Code sidebar.
  - 2. Click on the Daml Studio extension that should be listed on the pane.



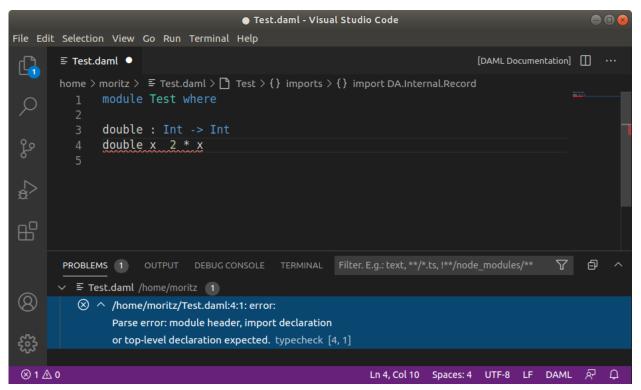
- 3. Open a new file ( $\square$ N) and save it ( $\square$ S) as Test.daml.
- 4. Copy the following code into your file:

```
module Test where
double : Int -> Int
double x = 2 * x
```

Your screen should now look like the image below.

5. Introduce a parse error by deleting the = sign and then clicking the symbol on the lower-left corner. Your screen should now look like the image below.

6.2. Daml Studio 419



6. Remove the parse error by restoring the = sign.

We recommend reviewing the Visual Studio Code documentation to learn more about how to use it. To learn more about Daml, see Language reference docs.

## 6.2.3 Supported features

Visual Studio Code provides many helpful features for editing Daml files and we recommend reviewing Visual Studio Code Basics and Visual Studio Code Keyboard Shortcuts for OS X. The Daml Studio extension for Visual Studio Code provides the following Daml-specific features:

## 6.2.3.1 Symbols and problem reporting

Use the commands listed below to navigate between symbols, rename them, and inspect any problems detected in your Daml files. Symbols are identifiers such as template names, lambda arguments, variables, and so on.

Command	Shortcut (OS X)
Go to Definition	F12
Peek Definition	□F12
Rename Symbol	F2
Go to Symbol in File	
Go to Symbol in Workspace	ПТ
Find all References	□F12
Problems Panel	$\square\square$ M

**Note:** You can also start a command by typing its name into the command palette (press  $\square\square P$  or F1). The command palette is also handy for looking up keyboard shortcuts.

#### Note:

Rename Symbol, Go to Symbol in File, Go to Symbol in Workspace, and Find all References work on: choices, record fields, top-level definitions, let-bound variables, lambda arguments, and modules

Go to Definition and Peek Definition work on: top-level definitions, let-bound variables, lambda arguments, and modules

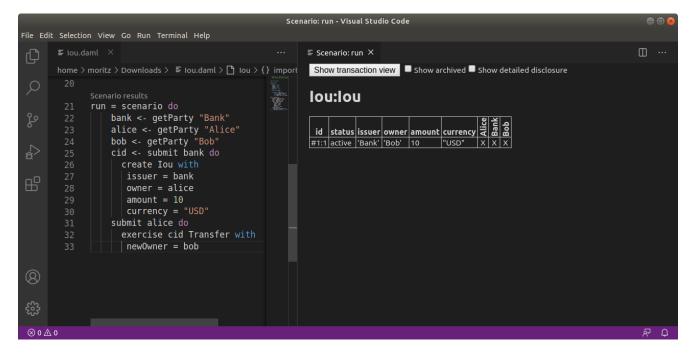
## 6.2.3.2 Hover tooltips

You can hover over most symbols in the code to display additional information such as its type.

## 6.2.3.3 Scenario and Daml Script results

Top-level declarations of type Scenario or Script are decorated with a Scenario results or a Script results code lens. You can click on the code lens to inspect the execution transaction graph and the active contracts. The functionality for inspecting the results is identical for Daml Scripts and scenarios.

For the scenario from the Iou module, you get the following table displaying all contracts that are active at the end of the scenario. The first column displays the contract id. The columns afterwards represent the fields of the contract and finally you get one column per party with an X if the party can see the contract or a – if not.



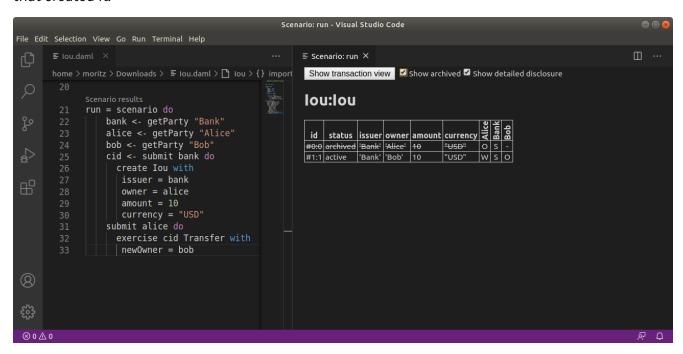
If you want more details, you can click on the Show archived checkbox, which extends the table to include archived contracts, and on the Show detailed disclosure checkbox, which displays why the contract is visible to each party, based on four categories:

- 1. S, the party sees the contract because they are a signatory on the contract.
- 2. O, the party sees the contract because they are an observer on the contract.
- 3. W, the party sees the contract because they witnessed the creation of this contract, e.g., because they are an actor on the exercise that created it.

6.2. Daml Studio 421

4. D, the party sees the contract because they have been divulged the contract, e.g., because they witnessed an exercise that resulted in a fetch of this contract.

For details on the meaning of those four categories, refer to the Daml Ledger Model. For the example above, the resulting table looks as follows. You can see the archived Bank contract and the active Bank contract whose creation Alice has witnessed by virtue of being an actor on the exercise that created it.



If you want to see the detailed transaction graph you can click on the Show transaction view button. The transaction graph consists of transactions, each of which contain one or more updates to the ledger, that is creates and exercises. The transaction graph also records fetches of contracts.

For example a scenario for the Iou module looks as follows:

Each transaction is the result of executing a step in the scenario. In the image below, the transaction #0 is the result of executing the first line of the scenario (line 20), where the lou is created by the bank. The following information can be gathered from the transaction:

The result of the first scenario transaction #0 was the creation of the Iou contract with the arguments bank, 10, and "USD".

The created contract is referenced in transaction #1, step 0.

The created contract was consumed in transaction #1, step 0.

A new contract was created in transaction #1, step 1, and has been divulged to parties 'Alice', 'Bob', and 'Bank'.

At the end of the scenario only the contract created in #1:1 remains.

The return value from running the scenario is the contract identifier #1:1.

And finally, the contract identifiers assigned in scenario execution correspond to the scenario step that created them (e.g. #1).

You can navigate to the corresponding source code by clicking on the location shown in parenthesis (e.g. Iou: 25:12, which means the Iou module, line 25 and column 1). You can also navigate between transactions by clicking on the transaction and contract ids (e.g. #1:0).

```
Scenario: run - Visual Studio Code
                                                                               ≣ Scenario: run ×
         home > moritz > Downloads > \equiv Iou.daml > \square Iou > {} import
                                                                                Show table view
                                                                                  Transactions:

TX <u>0</u> 1970-01-01T00:00:00Z (<u>Iou:25:12</u>)
                 run = scenario do
                       bank <- getParty "Bank"
                       alice <- getParty "Alice"
bob <- getParty "Bob"
                                                                                                                   Bank' (\underline{0}), 'Alice' (\underline{0})
                       cid <- submit bank do
                                                                                             issuer = 'Bank'; owner = 'Alice'; amount = 10; currency = "USD"
                          create Iou with
                                                                                     TX <u>1</u> 1970-01-01T00:00:00Z (<u>Iou:31:5</u>)
#1:0
                          issuer = bank
                           owner = alice
                                                                                           known to (since): 'Alice' (<u>1</u>), 'Bank' (<u>1</u>)
'Alice' exercises Transfer on <u>#0:0</u> (<u>Iou:Iou</u>)
                          amount = 10
                                                                                          with newOwner = 'Bob' children:
                       submit alice do
                          exercise cid Transfer with
                                                                                               known to (since): 'Alice' (\underline{1}), 'Bank' (\underline{1}), 'Bob' (\underline{1}) create \underline{Iou:Iou}
                          newOwner = bob
                                                                                                  issuer = 'Bank'; owner = 'Bob'; amount = 10; currency = "USD"
(2)
                                                                                 Active contracts: #1:1
\otimes 0 \triangle 0
```

Fig. 1: Scenario results

## 6.2.3.4 Daml snippets

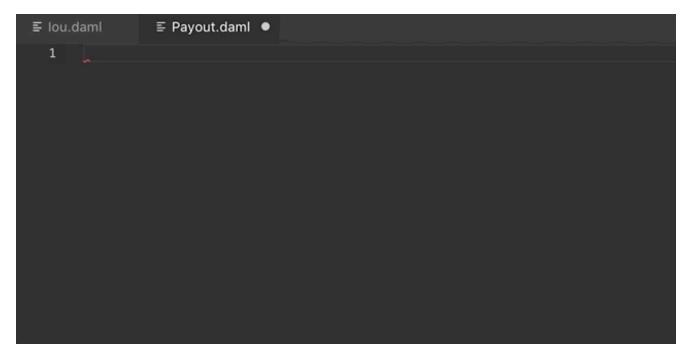
You can automatically complete a number of snippets when editing a Daml source file. By default, hitting ^—Space after typing a Daml keyword displays available snippets that you can insert.

To define your own workflow around Daml snippets, adjust your user settings in Visual Studio Code to include the following options:

```
{
  "editor.tabCompletion": true,
  "editor.quickSuggestions": false
}
```

With those changes in place, you can simply hit Tab after a keyword to insert the code pattern.

6.2. Daml Studio 423



You can develop your own snippets by following the instructions in Creating your own Snippets to create an appropriate daml.json snippet file.

#### 6.2.4 Common scenario errors

During Daml execution, errors can occur due to exceptions (e.g. use of abort, or division by zero), or due to authorization failures. You can expect to run into the following errors when writing Daml.

When a runtime error occurs in a scenario execution, the scenario result view shows the error together with the following additional information, if available:

Location of the failed commit If the failing part of the script was a submit, the source location of the call to submit will be displayed.

**Stack trace** A list of source locations that were encountered before the error occurred. The last encountered location is the first entry in the list.

Ledger time The ledger time at which the error occurred.

**Partial transaction** The transaction that is being constructed, but not yet committed to the ledger. **Committed transaction** Transactions that were successfully committed to the ledger prior to the error.

Trace Any messages produced by calls to trace and debug.

## 6.2.4.1 Abort, assert, and debug

The abort, assert and debug inbuilt functions can be used in updates and scenarios. All three can be used to output messages, but abort and assert can additionally halt the execution:

```
abortTest = scenario do
  debug "hello, world!"
  abort "stop"
```

```
Scenario execution failed:
Aborted: stop
```

(continues on next page)

(continued from previous page)

```
Ledger time: 1970-01-01T00:00:00Z

Partial transaction:

Trace:
  "hello, world!"
```

# 6.2.4.2 Missing authorization on create

If a contract is being created without approval from all authorizing parties the commit will fail. For example:

```
template Example
  with
    party1 : Party; party2 : Party
  where
    signatory party1
    signatory party2

example = scenario do
    alice <- getParty "Alice"
    bob <- getParty "Bob"
    submit alice (create Example with party1=alice; party2=bob)</pre>
```

Execution of the example scenario fails due to 'Bob' being a signatory in the contract, but not authorizing the create:

To create the Example contract one would need to bring both parties to authorize the creation via a choice, for example 'Alice' could create a contract giving 'Bob' the choice to create the 'Example' contract.

### 6.2.4.3 Missing authorization on exercise

Similarly to creates, exercises can also fail due to missing authorizations when a party that is not a controller of a choice exercises it.

6.2. Daml Studio 425

```
template Example
 with
    owner : Party
    friend : Party
 where
    signatory owner
    controller owner can
      Consume : ()
        do return ()
    controller friend can
      Hello : ()
        do return ()
example = scenario do
  alice <- getParty "Alice"</pre>
 bob <- getParty "Bob"
  cid <- submit alice (create Example with owner=alice; friend=bob)</pre>
  submit bob do exercise cid Consume
```

The execution of the example scenario fails when 'Bob' tries to exercise the choice 'Consume' of which he is not a controller

```
Scenario execution failed:
  #1: exercise of Consume in ExerciseAuthFailure: Example at unknown source
     failed due to a missing authorization from 'Alice'
Ledger time: 1970-01-01T00:00:00Z
Partial transaction:
 Sub-transactions:
    └─> 'Alice' exercises Consume on #0:0 (ExerciseAuthFailure:Example)
               with
Committed transactions:
 TX #0 1970-01-01T00:00:00Z (unknown source)
  #0:0
     known to (since): 'Alice' (#0), 'Bob' (#0)
  -> create ExerciseAuthFailure:Example
     with
       owner = 'Alice'; friend = 'Bob'
```

From the error we can see that the parties authorizing the exercise ('Bob') is not a subset of the required controlling parties.

#### 6.2.4.4 Contract not visible

Contract not being visible is another common error that can occur when a contract that is being fetched or exercised has not been disclosed to the committing party. For example:

```
template Example
  with owner: Party
  where
    signatory owner

    controller owner can
        Consume : ()
          do return ()

example = scenario do
    alice <- getParty "Alice"
    bob <- getParty "Bob"
    cid <- submit alice (create Example with owner=alice)
    submit bob do exercise cid Consume</pre>
```

In the above scenario the 'Example' contract is created by 'Alice' and makes no mention of the party 'Bob' and hence does not cause the contract to be disclosed to 'Bob'. When 'Bob' tries to exercise the contract the following error would occur:

```
Scenario execution failed:

Attempt to fetch or exercise a contract not visible to the committer.

Contract: #0:0 (NotVisibleFailure:Example)

Committer: 'Bob'

Disclosed to: 'Alice'

Ledger time: 1970-01-01T00:00:00Z

Partial transaction:

Committed transactions:

TX #0 1970-01-01T00:00:00Z (unknown source)

#0:0

| known to (since): 'Alice' (#0)

-> create NotVisibleFailure:Example

with

owner = 'Alice'
```

To fix this issue the party 'Bob' should be made a controlling party in one of the choices.

# 6.2.5 Working with multiple packages

Often a Daml project consists of multiple packages, e.g., one containing your templates and one containing a Daml trigger so that you can keep the templates stable while modifying the trigger. It is possible to work on multiple packages in a single session of Daml studio but you have to keep some things in mind. You can see the directory structure of a simple multi-package project consisting of two packages pkga and pkgb below:

6.2. Daml Studio 427

```
- daml.yaml
- pkga
- daml
- daml
- daml
- daml
- daml.yaml
- pkgb
- daml
- daml
- daml
- daml
- daml
- daml.yaml
```

pkga and pkgb are regular Daml projects with a daml.yaml and a Daml module. In addition to the daml.yaml files for the respective packages, you also need to add a daml.yaml to the root of your project. This file only needs to specify the SDK version. Replace X.Y.Z by the SDK version you specified in the daml.yaml files of the individual packages.

```
sdk-version: X.Y.Z
```

You can then open Daml Studio once in the root of your project and work on files in both packages. Note that if pkgb refers to pkga. dar in its dependencies field, changes will not be picked up automatically. This is always the case even if you open Daml Studio in pkgb. However, for multi-package projects there is an additional caveat: You have to both rebuild pkga.dar using daml build and then build pkgb using daml build before restarting Daml Studio.

# 6.3 Daml Sandbox

The Daml Sandbox, or Sandbox for short, is a simple ledger implementation that enables rapid application prototyping by simulating a Daml Ledger.

You can start Sandbox together with Navigator using the daml start command in a Daml project. This command will compile the Daml file and its dependencies as specified in the daml.yaml. It will then launch Sandbox passing the just obtained DAR packages. Sandbox will also be given the name of the startup scenario specified in the project's daml.yaml. Finally, it launches the navigator connecting it to the running Sandbox.

It is possible to execute the Sandbox launching step in isolation by typing daml sandbox.

Note: Sandbox has switched to use Wall Clock Time mode by default. To use Static Time Mode you can provide the --static-time flag to the daml sandbox command or configure the time mode for daml start in sandbox-options: section of daml.yaml. Please refer to Daml configuration files for more information.

Sandbox can also be run manually as in this example:

(continues on next page)

(continued from previous page)

Here, daml sandbox tells the SDK Assistant to run sandbox from the active SDK release and pass it any arguments that follow. The example passes the DAR file to load (Main.dar) and the optional —scenario flag tells Sandbox to run the Main: example scenario on startup. The scenario must be fully qualified; here Main is the module and example is the name of the scenario, separated by a :. We also specify that the Sandbox should run in Static Time mode so that the scenario can control the time.

**Note:** The scenario is used for testing and development only, and is not supported by production Daml Ledgers. It is therefore inadvisable to rely on scenarios for ledger initialization.

submitMustFail is only supported by the test-ledger used by daml test and the IDE, not by the Sandbox.

### 6.3.1 Contract Identifier Generation

Sandbox supports two contract identifier generator schemes:

The so-called deterministic scheme that deterministically produces contract identifiers from the state of the underlying ledger. Those identifiers are strings starting with #.

The so-called *random* scheme that produces contract identifiers indistinguishable from random. In practice, the schemes use a cryptographically secure pseudorandom number generator initialized with a truly random seed. Those identifiers are hexadecimal strings prefixed by 00.

The sandbox can be configured to use one or the other scheme with one of the following command line options:

--contract-id-seeding=<seeding-mode>. The Sandbox will use the seeding mode <seeding-mode> to seed the generation of random contract identifiers. Possible seeding modes are:

- no: The Sandbox uses the deterministic scheme. This is only supported by Sandbox classic and it prevents Sandbox from accepting packages in Daml-LF 1.11 or newer.
- strong: The Sandbox uses the random scheme initialized with a high-entropy seed. Depending on the underlying operating system, the startup of the Sandbox may block as entropy is being gathered to generate the seed.
- testing-weak: (For testing purposes only) The Sandbox uses the random scheme initialized with a low entropy seed. This may be used in a testing environment to avoid exhausting the system entropy pool when a large number of Sandboxes are started in a short time interval.
- testing-static: (For testing purposes only) The sandbox uses the random scheme with a fixed seed. This may be used in testing for reproducible runs.

# 6.3.2 Running with persistence

Note: Running Sandbox with persistence is deprecated as of SDK 1.8.0 (16th Dec 2020). You can use the Daml Driver for PostgreSQL instead.

6.3. Daml Sandbox 429

By default, Sandbox uses an in-memory store, which means it loses its state when stopped or restarted. If you want to keep the state, you can use a Postgres database for persistence. This allows you to shut down Sandbox and start it up later, continuing where it left off.

To set this up, you must:

create an initially empty Postgres database that the Sandbox application can access have a database user for Sandbox that has authority to execute DDL operations. This is because Sandbox manages its own database schema, applying migrations if necessary when upgrading versions.

To start Sandbox using persistence, pass an --sql-backend-jdbcurl <value> option, where <value> is a valid jdbc url containing the username, password and database name to connect to.

Here is an example for such a url: jdbc:postgresql://localhost/test?
user=fred&password=secret

Due to possible conflicts between the & character and various terminal shells, we recommend quoting the jdbc url like so:

```
\ daml sandbox Main.dar --sql-backend-jdbcurl "jdbc:postgresql://localhost/ \rightarrow test?user=fred&password=secret"
```

If you're not familiar with JDBC URLs, see the JDBC docs for more information: https://jdbc.postgresql.org/documentation/head/connect.html

# 6.3.3 Running with authentication

By default, Sandbox does not use any authentication and accepts all valid ledger API requests.

To start Sandbox with authentication based on JWT tokens, use one of the following command line options:

--auth-jwt-rs256-crt=<filename>. The sandbox will expect all tokens to be signed with RS256 (RSA Signature with SHA-256) with the public key loaded from the given X.509 certificate file. Both PEM-encoded certificates (text files starting with ----BEGIN CERTIFICATE----) and DER-encoded certificates (binary files) are supported.

--auth-jwt-es256-crt=<filename>. The sandbox will expect all tokens to be signed with ES256 (ECDSA using P-256 and SHA-256) with the public key loaded from the given X.509 certificate file. Both PEM-encoded certificates (text files starting with ----BEGIN CERTIFICATE----) and DER-encoded certificates (binary files) are supported.

--auth-jwt-es512-crt=<filename>. The sandbox will expect all tokens to be signed with ES512 (ECDSA using P-521 and SHA-512) with the public key loaded from the given X.509 certificate file. Both PEM-encoded certificates (text files starting with ----BEGIN CERTIFICATE----) and DER-encoded certificates (binary files) are supported.

--auth-jwt-rs256-jwks=<url>. The sandbox will expect all tokens to be signed with RS256 (RSA Signature with SHA-256) with the public key loaded from the given JWKS URL.

**Warning:** For testing purposes only, the following options may also be used. None of them is considered safe for production:

--auth-jwt-hs256-unsafe=<secret>. The sandbox will expect all tokens to be signed with HMAC256 with the given plaintext secret.

# 6.3.3.1 Token payload

JWTs express claims which are documented in the authorization documentation.

The following is an example of a valid JWT payload:

```
"https://daml.com/ledger-api": {
    "ledgerId": "aaaaaaaaa-bbbb-cccc-dddd-eeeeeeeeee",
    "participantId": null,
    "applicationId": null,
    "admin": true,
    "actAs": ["Alice"],
    "readAs": ["Bob"]
},
    "exp": 1300819380
}
```

#### where

ledgerId, participantId, applicationId restricts the validity of the token to the given ledger, participant, or application

exp is the standard JWT expiration date (in seconds since EPOCH)

admin, actAs and readAs bear the same meaning as in the authorization documentation

The public claim is implicitly held by anyone bearing a valid JWT (even without being an admin or being able to act or read on behalf of any party).

### 6.3.3.2 Generating JSON Web Tokens (JWT)

To generate tokens for testing purposes, use the jwt.io web site.

#### 6.3.3.3 Generating RSA keys

To generate RSA keys for testing purposes, use the following command

```
openssl req -nodes -new -x509 -keyout sandbox.key -out sandbox.crt
```

which generates the following files:

```
sandbox.key: the private key in PEM/DER/PKCS#1 format
sandbox.crt: a self-signed certificate containing the public key, in PEM/DER/X.509 Certificate format
```

### 6.3.3.4 Generating EC keys

To generate keys to be used with ES256 for testing purposes, use the following command

```
openssl req -x509 -nodes -days 3650 -newkey ec:<(openssl ecparam -name□

→prime256v1) -keyout ecdsa256.key -out ecdsa256.crt
```

which generates the following files:

```
ecdsa256.key: the private key in PEM/DER/PKCS#1 format ecdsa256.crt: a self-signed certificate containing the public key, in PEM/DER/X.509 Certificate format
```

6.3. Daml Sandbox 431

Similarly, you can use the following command for ES512 keys:

```
openssl req -x509 -nodes -days 3650 -newkey ec:<(openssl ecparam -name \square \hookrightarrow secp521r1) -keyout ecdsa512.key -out ecdsa512.crt
```

# 6.3.4 Running with TLS

To enable TLS, you need to specify the private key for your server and the certificate chain via daml sandbox --pem server.pem --crt server.crt. By default, Sandbox requires client authentication as well. You can set a custom root CA certificate used to validate client certificates via --cacrt ca.crt. You can change the client authentication mode via --client-auth none which will disable it completely, --client-auth optional which makes it optional or specify the default explicitly via --client-auth require.

## 6.3.5 Command-line reference

To start Sandbox, run: sandbox [options] <archive>....

To see all the available options, run daml sandbox --help.

#### 6.3.6 Metrics

# 6.3.6.1 Enable and configure reporting

To enable metrics and configure reporting, you can use the two following CLI options:

- --metrics-reporter: passing a legal value will enable reporting; the accepted values are console, csv:</path/to/metrics.csv> and graphite:<local server port>.
  - console: prints captured metrics on the standard output
  - csv://</path/to/metrics.csv>: saves the captured metrics in CSV format at the specified location
  - graphite://<server\_host>[:<server\_port>]: sends captured metrics to a Graphite server. If the port is omitted, the default value 2003 will be used.
- --metrics-reporting-interval: metrics are pre-aggregated on the sandbox and sent to the reporter, this option allows the user to set the interval. The formats accepted are based on the ISO-8601 duration format PnDTnHnMn.nS with days considered to be exactly 24 hours. The default interval is 10 seconds.

# 6.3.6.2 Types of metrics

This is a list of type of metrics with all data points recorded for each. Use this as a reference when reading the list of metrics.

# Gauge

An individual instantaneous measurement.

# Counter

Number of occurrences of some event.

#### Meter

A meter tracks the number of times a given event occurred. The following data points are kept and reported by any meter.

```
<metric.qualified.name>.count: number of registered data points overall
<metric.qualified.name>.m1_rate: number of registered data points per minute
<metric.qualified.name>.m5_rate: number of registered data points every 5 minutes
<metric.qualified.name>.m15_rate: number of registered data points every 15 minutes
<metric.qualified.name>.mean_rate: mean number of registered data points
```

## Histogram

An histogram records aggregated statistics about collections of events. The exact meaning of the number depends on the metric (e.g. timers are histograms about the time necessary to complete an operation).

```
<metric.qualified.name>.mean: arithmetic mean
<metric.qualified.name>.stddev: standard deviation
<metric.qualified.name>.p50: median
<metric.qualified.name>.p75: 75th percentile
<metric.qualified.name>.p95: 95th percentile
<metric.qualified.name>.p98: 98th percentile
<metric.qualified.name>.p99: 99th percentile
<metric.qualified.name>.p99: 99th percentile
<metric.qualified.name>.p99: 99.9th percentile
<metric.qualified.name>.min: lowest registered value overall
<metric.qualified.name>.max: highest registered value overall
```

Histograms only keep a small reservoir of statistically relevant data points to ensure that metrics collection can be reasonably accurate without being too taxing resource-wise.

Unless mentioned otherwise all histograms (including timers, mentioned below) use exponentially decaying reservoirs (i.e. the data is roughly relevant for the last five minutes of recording) to ensure that recent and possibly operationally relevant changes are visible through the metrics reporter.

Note that min and max values are not affected by the reservoir sampling policy.

You can read more about reservoir sampling and possible associated policies in the Dropwizard Metrics library documentation.

## **Timers**

A timer records all metrics registered by a meter and by an histogram, where the histogram records the time necessary to execute a given operation (unless otherwise specified, the precision is nanoseconds and the unit of measurement is milliseconds).

#### **Database Metrics**

A database metric is a collection of simpler metrics that keep track of relevant numbers when interacting with a persistent relational store.

These metrics are:

```
<metric.qualified.name>.wait (timer): time to acquire a connection to the database
<metric.qualified.name>.exec (timer): time to run the query and read the result
<metric.qualified.name>.query (timer): time to run the query
```

6.3. Daml Sandbox 433

<metric.qualified.name>.commit (timer): time to perform the commit
<metric.qualified.name>.translation (timer): if relevant, time necessary to turn serialized DamI-LF values into in-memory objects

#### 6.3.6.3 List of metrics

The following is a non-exhaustive list of selected metrics that can be particularly important to track. Note that not all the following metrics are available unless you run the sandbox with a PostgreSQL backend.

```
daml.commands.deduplicated commands
```

A meter. Number of deduplicated commands.

```
daml.commands.delayed submissions
```

A meter. Number of delayed submissions (submission who have been evaluated to transaction with a ledger time farther in the future than the expected latency).

```
{\tt daml.commands.failed\_command\_interpretation}
```

A meter. Number of commands that have been deemed unacceptable by the interpreter and thus rejected (e.g. double spends)

```
daml.commands.submissions
```

A timer. Time to fully process a submission (validation, deduplication and interpretation) before it's handed over to the ledger to be finalized (either committed or rejected).

```
daml.commands.valid submissions
```

A meter. Number of submission that pass validation and are further sent to deduplication and interpretation.

```
daml.commands.validation
```

A timer. Time to validate submitted commands before they are fed to the Daml interpreter.

```
daml.commands.<party name>.input buffer capacity
```

A counter. The capacity of the queue accepting submissions on the CommandService for a given party.

```
daml.commands.<party name>.input buffer length
```

A counter. The number of currently pending submissions on the CommandService for a given party.

```
daml.commands.<party name>.input buffer delay
```

A timer. Measures the queuing delay for pending submissions on the CommandService.

```
daml.commands.<party_name>.max_in_flight_capacity
```

A counter. The capacity of the queue tracking completions on the CommandService for a given party.

```
daml.commands.<party name>.max in flight length
```

A counter. The number of currently pending completions on the CommandService for a given party.

```
daml.execution.get lf package
```

A timer. Time spent by the engine fetching the packages of compiled Daml code necessary for interpretation.

```
daml.execution.lookup active contract count per execution
```

A histogram. Number of active contracts fetched for each processed transaction.

```
daml.execution.lookup_active_contract_per_execution
```

A timer. Time to fetch all active contracts necessary to process each transaction.

```
daml.execution.lookup active contract
```

A timer. Time to fetch each individual active contract during interpretation.

```
daml.execution.lookup contract key count per execution
```

A histogram. Number of contract keys looked up for each processed transaction.

```
daml.execution.lookup contract key per execution
```

A timer. Time to lookup all contract keys necessary to process each transaction.

```
daml.execution.lookup contract key
```

A timer. Time to lookup each individual contract key during interpretation.

```
daml.execution.retry
```

A meter. Overall number of interpretation retries attempted due to mismatching ledger effective time.

```
daml.execution.total
```

A timer. Time spent interpreting a valid command into a transaction ready to be submitted to the ledger for finalization.

```
daml.index.db.connection.sandbox.pool
```

This namespace holds a number of interesting metrics about the connection pool used to communicate with the persistent store that underlies the index.

These metrics include:

6.3. Daml Sandbox 435

daml.index.db.connection.sandbox.pool.Wait (timer): time spent waiting to acquire a connection

daml.index.db.connection.sandbox.pool.Usage (histogram): time spent using each acquired connection

daml.index.db.connection.sandbox.pool.TotalConnections (gauge): number or total connections

daml.index.db.connection.sandbox.pool.IdleConnections (gauge): number of idle connections

daml.index.db.connection.sandbox.pool.ActiveConnections (gauge): number of active connections

daml.index.db.connection.sandbox.pool.PendingConnections (gauge): number of threads waiting for a connection

```
daml.index.db.deduplicate command
```

A timer. Time spent persisting deduplication information to ensure the continued working of the deduplication mechanism across restarts.

```
daml.index.db.get active contracts
```

A database metric. Time spent retrieving a page of active contracts to be served from the active contract service. The page size is configurable, please look at the CLI reference.

```
daml.index.db.get completions
```

A database metric. Time spent retrieving a page of command completions to be served from the command completion service. The page size is configurable, please look at the CLI reference.

```
daml.index.db.get flat transactions
```

A database metric. Time spent retrieving a page of flat transactions to be streamed from the transaction service. The page size is configurable, please look at the CLI reference.

```
daml.index.db.get ledger end
```

A database metric. Time spent retrieving the current ledger end. The count for this metric is expected to be very high and always increasing as the indexed is queried for the latest updates.

```
daml.index.db.get_ledger_id
```

A database metric. Time spent retrieving the ledger identifier.

```
daml.index.db.get transaction trees
```

A database metric. Time spent retrieving a page of flat transactions to be streamed from the transaction service. The page size is configurable, please look at the CLI reference.

```
daml.index.db.load_all_parties
```

A database metric. Load the currently allocated parties so that they are served via the party management service.

```
daml.index.db.load archive
```

A database metric. Time spent loading a package of compiled Daml code so that it's given to the Daml interpreter when needed.

```
daml.index.db.load configuration entries
```

A database metric. Time to load the current entries in the log of configuration entries. Used to verify whether a configuration has been ultimately set.

```
daml.index.db.load package entries
```

A database metric. Time to load the current entries in the log of package uploads. Used to verify whether a package has been ultimately uploaded.

```
daml.index.db.load packages
```

A database metric. Load the currently uploaded packages so that they are served via the package management service.

```
daml.index.db.load parties
```

A database metric. Load the currently allocated parties so that they are served via the party service.

```
daml.index.db.load party entries
```

A database metric. Time to load the current entries in the log of party allocations. Used to verify whether a party has been ultimately allocated.

```
daml.index.db.lookup active contract
```

A database metric. Time to fetch one contract on the index to be used by the Daml interpreter to evaluate a command into a transaction.

```
daml.index.db.lookup configuration
```

A database metric. Time to fetch the configuration so that it's served via the configuration management service.

```
daml.index.db.lookup contract by key
```

A database metric. Time to lookup one contract key on the index to be used by the Daml interpreter to evaluate a command into a transaction.

```
daml.index.db.lookup_flat_transaction_by_id
```

A database metric. Time to lookup a single flat transaction by identifier to be served by the transaction service.

```
daml.index.db.lookup maximum ledger time
```

A database metric. Time spent looking up the ledger effective time of a transaction as the maximum ledger time of all active contracts involved to ensure causal monotonicity.

6.3. Daml Sandbox 437

```
daml.index.db.lookup_transaction_tree_by_id
```

A database metric. Time to lookup a single transaction tree by identifier to be served by the transaction service.

```
daml.index.db.remove expired deduplication data
```

A database metric. Time spent removing deduplication information after the expiration of the deduplication window. Deduplication information is persisted to ensure the continued working of the deduplication mechanism across restarts.

```
daml.index.db.stop deduplicating command
```

A database metric. Time spent removing deduplication information after the failure of a command. Deduplication information is persisted to ensure the continued working of the deduplication mechanism across restarts.

```
daml.index.db.store configuration entry
```

A database metric. Time spent persisting a change in the ledger configuration provided through the configuration management service.

```
daml.index.db.store_ledger_entry
```

A database metric. Time spent persisting a transaction that has been successfully interpreted and is final.

```
daml.index.db.store_package_entry
```

A database metric. Time spent storing a Daml package uploaded through the package management service.

```
daml.index.db.store_party_entry
```

A database metric. Time spent storing party information as part of the party allocation endpoint provided by the party management service.

```
daml.index.db.store rejection
```

A database metric. Time spent persisting the information that a given command has been rejected.

```
daml.lapi
```

Every metrics under this namespace is a timer, one for each service exposed by the Ledger API, in the format:

```
daml.lapi.service name.service endpoint
```

As in the following example:

```
daml.lapi.command service.submit and wait
```

Single call services return the time to serve the request, streaming services measure the time to return the first response.

#### jvm

Under the jvm namespace there is a collection of metrics that tracks important measurements about the JVM that the sandbox is running on, including CPU usage, memory consumption and the current state of threads.

# 6.4 Navigator

The Navigator is a front-end that you can use to connect to any Daml Ledger and inspect and modify the ledger. You can use it during Daml development to explore the flow and implications of the Daml models.

The first sections of this guide cover use of the Navigator with the SDK. Refer to Advanced usage for information on using Navigator outside the context of the SDK.

# 6.4.1 Navigator functionality

Connect Navigator to any Daml Ledger and use it to:

View templates

View active and archived contracts

Exercise choices on contracts

Advance time (This option applies only when using Navigator with the Daml Sandbox ledger.)

# 6.4.2 Installing and starting Navigator

Navigator ships with the SDK. To launch it:

- 1. Start Navigator via a terminal window running Daml Assistant by typing daml start
- 2. The Navigator web-app is automatically started in your browser. If it fails to start, open a browser window and point it to the Navigator URL

When running daml start you will see the Navigator URL. By default it will be http://localhost:7500/.

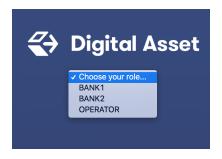
Note: Navigator is compatible with these browsers: Safari, Chrome, or Firefox.

For information on how to launch and use Navigator outside of the SDK, see Advanced usage below.

# 6.4.3 Choosing a party / changing the party

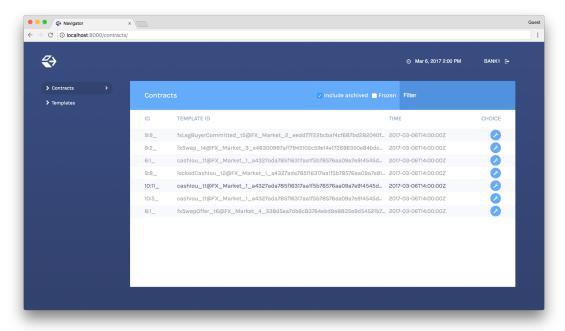
The ledger is a record of transactions between authorized participants on the distributed network. Before you can interact with the ledger, you must assume the role of a particular party. This determines the contracts that you can access and the actions you are permitted to perform on the ledger. The first step in using Navigator is to use the drop-down list on the Navigator home screen to select from the available parties.

6.4. Navigator 439



**Note:** The party choices are configured on startup. (Refer to *Daml Assistant (daml)* or *Advanced usage* for more instructions.)

The main Navigator screen will be displayed, with contracts that this party is entitled to view in the main pane and the option to switch from contracts to templates in the pane at the left. Other options allow you to filter the display, include or exclude archived contracts, and exercise choices as described below.



To change the active party:

- 1. Click the name of the current party in the top right corner of the screen.
- 2. On the home screen, select a different party.



You can act as different parties in different browser windows. Use Chrome's profile feature https://support.google.com/chrome/answer/2364824 and sign in as a different party for each Chrome profile.

# 6.4.4 Logging out

To log out, click the name of the current party in the top-right corner of the screen.

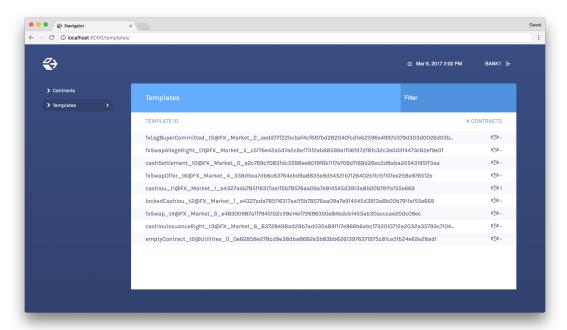
# 6.4.5 Viewing templates or contracts

Daml contract templates are models that contain the agreement statement, all the applicable parameters, and the choices that can be made in acting on that data. They specify acceptable input and the resulting output. A contract template contains placeholders rather than actual names, amounts, dates, and so on. In a contract, the placeholders have been replaced with actual data.

The Navigator allows you to list templates or contracts, view contracts based on a template, and view template and contract details.

# 6.4.5.1 Listing templates

To see what contract templates are available on the ledger you are connected to, choose **Templates** in the left pane of the main Navigator screen.

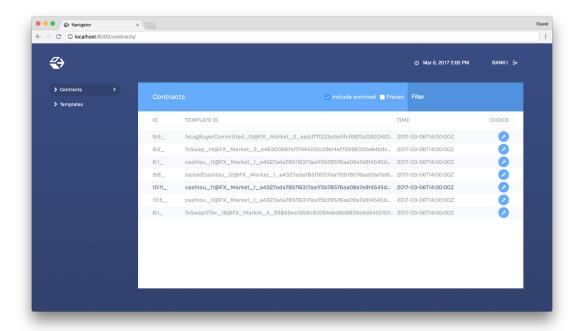


Use the Filter field at the top right to select template IDs that include the text you enter.

### 6.4.5.2 Listing contracts

To view a list of available contracts, choose **Contracts** in the left pane.

6.4. Navigator 441



#### In the Contracts list:

Changes to the ledger are automatically reflected in the list of contracts. To avoid the automatic updates, select the **Frozen** checkbox. Contracts will still be marked as archived, but the contracts list will not change.

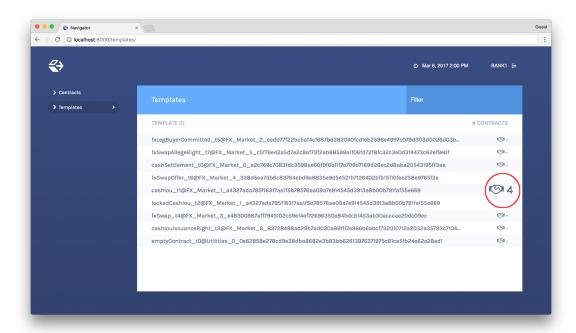
Filter the displayed contracts by entering text in the **Filter** field at the top right. Use the **Include Archived** checkbox at the top to include or exclude archived contracts.

# 6.4.5.3 Viewing contracts based on a template

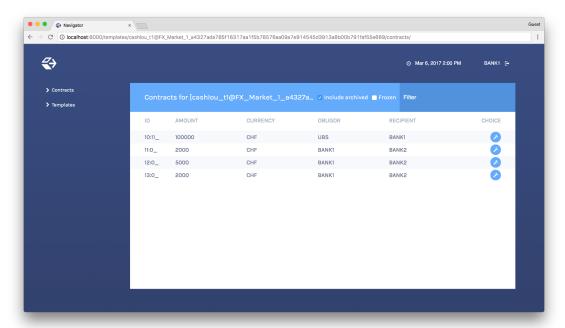
You can also view the list of contracts that are based on a particular template.

- 1. You will see icons to the right of template IDs in the template list with a number indicating how many contracts are based on this template.
- 2. Click the number to display a list of contracts based on that template.

### **Number of Contracts**



#### **List of Contracts**

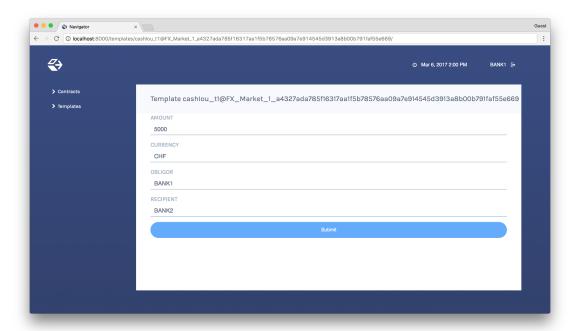


# 6.4.5.4 Viewing template and contract details

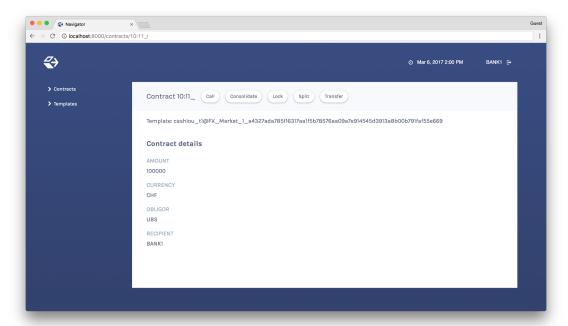
To view template or contract details, click on a template or contract in the list. The template or contracts detail page is displayed.

# **Template Details**

6.4. Navigator 443



#### **Contract Details**



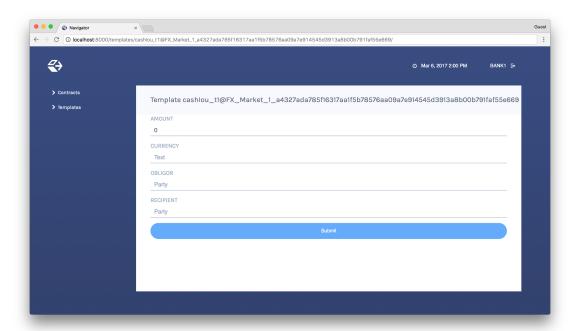
# 6.4.6 Using Navigator

# 6.4.6.1 Creating contracts

Contracts in a ledger are created automatically when you exercise choices. In some cases, you create a contract directly from a template. This feature can be particularly useful for testing and experimenting during development.

To create a contract based on a template:

- 1. Navigate to the template detail page as described above.
- 2. Complete the values in the form
- 3. Choose the Submit button.



When the command has been committed to the ledger, the loading indicator in the navbar at the top will display a tick mark.

# While loading



# When committed to the ledger

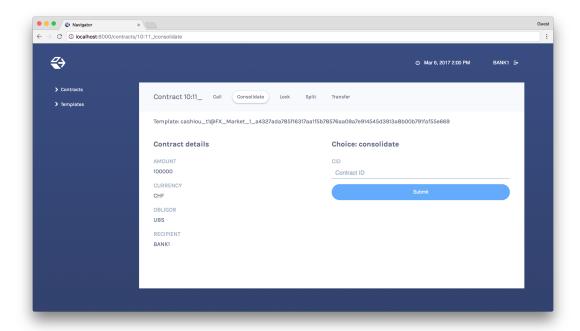


# 6.4.6.2 Exercising choices

To exercise a choice:

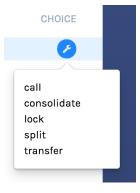
- 1. Navigate to the contract details page (see above).
- 2. Click the choice you want to exercise in the choice list.
- 3. Complete the form.
- 4. Choose the Submit button.

6.4. Navigator 445



Or

- 1. Navigate to the choice form by clicking the wrench icon in a contract list.
- 2. Select a choice.



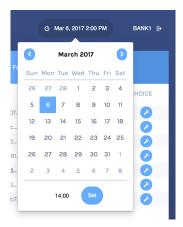
You will see the loading and confirmation indicators, as pictured above in Creating Contracts.

# 6.4.6.3 Advancing time

It is possible to advance time against the Daml Sandbox. (This is not true of all Daml Ledgers.) This advance-time functionality can be useful when testing, for example, when entering a trade on one date and settling it on a later date.

To advance time:

- 1. Click on the ledger time indicator in the navbar at the top of the screen.
- 2. Select a new date / time.
- 3. Choose the Set button.



# 6.4.7 Authorizing Navigator

If you are running Navigator against a Ledger API server that verifies authorization, you must provide the access token when you start the Navigator server.

The access token retrieval depends on the specific Daml setup you are working with: please refer to the ledger operator to learn how.

Once you have retrieved your access token, you can provide it to Navigator by storing it in a file and provide the path to it using the <code>--access-token-file</code> command line option.

If the access token cannot be retrieved, is missing or wrong, you'll be unable to move past the Navigator's frontend login screen and see the following:



# 6.4.8 Advanced usage

#### 6.4.8.1 Customizable table views

Customizable table views is an advanced rapid-prototyping feature, intended for Daml developers who wish to customize the Navigator UI without developing a custom application.

To use customized table views:

1. Create a file frontend-config.js in your project root folder (or the folder from which you run Navigator) with the content below:

```
import { DamlLfValue } from '@da/ui-core';

export const version = {
   schema: 'navigator-config',
   major: 2,
   minor: 0,
};
```

(continues on next page)

6.4. Navigator 447

(continued from previous page)

```
export const customViews = (userId, party, role) => ({
 customview1: {
    type: "table-view",
    title: "Filtered contracts",
    source: {
      type: "contracts",
      filter: [
        {
          field: "id",
          value: "1",
        }
      ],
      search: "",
      sort: [
       {
          field: "id",
          direction: "ASCENDING"
        }
      1
    },
    columns: [
        key: "id",
        title: "Contract ID",
        createCell: ({rowData}) => ({
          type: "text",
          value: rowData.id
        }),
        sortable: true,
        width: 80,
        weight: 0,
        alignment: "left"
      },
        key: "template.id",
        title: "Template ID",
        createCell: ({rowData}) => ({
          type: "text",
          value: rowData.template.id
        }),
        sortable: true,
        width: 200,
        weight: 3,
        alignment: "left"
    ]
  }
})
```

2. Reload your Navigator browser tab. You should now see a sidebar item titled Filtered contracts that links to a table with contracts filtered and sorted by ID.

To debug config file errors and learn more about the config file API, open the Navigator /config page in your browser (e.g., http://localhost:7500/config).

# 6.4.8.2 Using Navigator with a Daml Ledger

By default, Navigator is configured to use an unencrypted connection to the ledger. To run Navigator against a secured Daml Ledger, configure TLS certificates using the <code>--pem</code>, <code>--crt</code>, and <code>--cacrt</code> command line parameters. Details of these parameters are explained in the command line help:

```
daml navigator --help
```

# 6.5 Daml codegen

## 6.5.1 Introduction

You can use the Daml codegen to generate Java, Scala, and JavaScript/TypeScript classes representing Daml contract templates. These classes incorporate all boilerplate code for constructing corresponding ledger com.daml.ledger.api.v1.CreateCommand, com.daml.ledger.api.v1.ExerciseCommand, com.daml.ledger.api.v1.ExerciseByKeyCommand, and com.daml.ledger.api.v1.CreateAndExerciseCommand.

# 6.5.2 Running the Daml codegen

The basic command to run the Daml codegen is:

```
$ daml codegen [java|scala|js] [options]
```

There are two modes:

Command line configuration, specifying **all** settings in the command line (all codegens supported)

Project file configuration, specifying all settings in the daml.yaml (currently Java and Scala only)

# 6.5.2.1 Command line configuration

Help for each specific codegen:

```
$ daml codegen [java|scala|js] --help
```

Java and Scala codegens take the same set of configuration settings:

(continues on next page)

(continued from previous page)

## JavaScript/TypeScript codegen takes a different set of configuration settings:

```
DAR-FILES

Output directory for the generated packages

The NPM scope name for the generated packages;
defaults to daml.js

h,--help

DAR files to generate TypeScript bindings for
Output directory for the generated packages;
defaults to daml.js
```

### 6.5.2.2 Project file configuration (Java and Scala)

The above settings can be configured in the codegen element of the Daml project file daml.yaml. See this issue for status on this feature.

# Here is an example:

```
sdk-version: 1.2.0
name: quickstart
source: daml
scenario: Main:setup
parties:
  - Alice
  - Bob
  - USD Bank
  - EUR Bank
version: 0.0.1
exposed-modules:
  - Main
dependencies:
  - daml-prim
  - daml-stdlib
codegen:
  js:
    output-directory: ui/daml.js
    npm-scope: daml.js
  java:
    package-prefix: com.daml.quickstart.iou
    output-directory: java-codegen/src/main/java
    verbosity: 2
  scala:
    package-prefix: com.daml.guickstart.iou
    output-directory: scala-codegen/src/main/scala
    verbosity: 2
```

You can then run the above configuration to generate your Java or Scala code:

```
$ daml codegen [js|java|scala]
```

The equivalent JavaScript command line configuration would be:

```
\ daml codegen js ./.daml/dist/quickstart-0.0.1.dar -o ui/daml.js -s daml. _{\hookrightarrow} js
```

and the equivalent Java or Scala command line configuration:

In order to compile the resulting **Java** or **Scala** classes, you need to add the corresponding dependencies to your build tools.

For Scala, you can depend on:

```
"com.daml" %% "bindings-scala" % YOUR_SDK_VERSION
```

For Java, add the following Maven dependency:

```
<dependency>
    <groupId>com.daml</groupId>
    <artifactId>bindings-java</artifactId>
    <version>YOUR_SDK_VERSION</version>
</dependency>
```

Note: Replace YOUR SDK VERSION with the version of your SDK

# 6.6 Daml Profiler

The Daml Profiler is only available in the Daml Connect Enterprise Edition and is currently an Early Access Feature in Alpha status.

The Daml Profiler allows you to to profile execution of your Daml code which can help spot bottlenecks and opportunities for optimization.

# 6.6.1 Usage

To test this out, we use the skeleton project included in the assistant. We first create the project and build the DAR.

```
daml new profile-tutorial --template skeleton cd profile-tutorial daml build
```

Next we load the DAR into Sandbox with a special --profile-dir option. Sandbox will behave as usual but all profile results will be written to that directory.

6.6. Daml Profiler 451

```
daml sandbox .daml/dist/profile-tutorial-0.0.1.dar --profile-dir profile- \rightarrow results
```

To actually produce some profile results, we have to create transactions. For the purposes of this tutorial, the Daml Script included in the skeleton project does the job admirably:

```
daml script --dar .daml/dist/profiler-tutorial-0.0.1.dar --ledger-host□

→localhost --ledger-port 6865 --script-name Main:setup
```

If we now look at the contents of the profile-results directory, we can see one JSON file per transaction produced by the script. Each file has a name of the form \$timestamp-\$command.json where \$timestamp is the submission time of the transaction and \$command is a human-readable description of the command that produced the transaction (for multi-command submissions, only the first one will be in the file name).

```
$ ls profile-results
2021-03-17T12:32:16.846404Z-create:Asset.json
2021-03-17T12:32:17.361596Z-exercise:Asset:Give.json
2021-03-17T12:32:17.623537Z-exercise:Asset:Give.json
```

At this point, you can stop Sandbox.

To view the profiling results you can use speedscope. The easiest option is to use the web version but you can also install it locally.

Let's open the first exercise profile above 2021-03-17T12:32:17.361596Z-exercise:Asset:Give.json:



You can see the exercise as the root of the profile. Below that there are a few expressions to calculate signatories, observer and controllers and finally we see the create of the contract. In this simple example, nothing obvious stands out that we could do to optimize further.

Speedscope provides a few other views that can be useful depending on your profile. Refer to the documentation for more information on that.

# 6.6.2 Caveats

- 1. The profiler currently does not take time into account that is spent outside of pure interpretation, e.g., time needed to fetch a contract from the database.
- 2. The profiler operates on Daml-LF. This means that the identifiers used in the profiler correspond to Daml-LF expressions which includes autogenerated identifiers used by the compiler. E.g., in the example above, Main: \$csignatory is the name of the function used to compute signatories of Asset. You can view the Daml-LF code that the compiler generated using daml damlc inspect. This can be useful to see where an identifier is being used but it does take some experience to be able to read Daml-LF code with ease.

```
daml damlc inspect .daml/dist/profiler-tutorial-0.0.1.dar
```

# **Chapter 7**

# **Background concepts**

# 7.1 Glossary of concepts

#### 7.1.1 Daml

**Daml** is a programming language for writing *smart contracts*, that you can use to build an application based on a *ledger*. You can run Daml contracts on many different ledgers.

#### 7.1.1.1 Contract

A **contract** is an item on a *ledger*. They are created from blueprints called *templates*, and include:

data (parameters)
roles (signatory, observer)
choices (and controllers)

Contracts are immutable: once they are created on the ledger, the information in the contract cannot be changed. The only thing that can happen to it is that the contract can be *archived*.

### Active contract, archived contract

When a *contract* is created on a *ledger*, it becomes **active**. But that doesn't mean it will stay active forever: it can be **archived**. This can happen:

if the signatories of the contract decide to archive it if a consuming choice is exercised on the contract

Once the contract is archived, it is no longer valid, and choices on the contract can no longer be exercised.

### 7.1.1.2 Template

A template is a blueprint for creating a contract. This is the Daml code you write.

For full documentation on what can be in a template, see Reference: templates.

#### 7.1.1.3 Choice

A **choice** is something that a *party* can *exercise* on a *contract*. You write code in the choice body that specifies what happens when the choice is exercised: for example, it could create a new contract.

Choices give you a way to transform the data in a contract: while the contract itself is immutable, you can write a choice that *archives* the contract and creates a new version of it with updated data.

A choice can only be exercised by its *controller*. Within the choice body, you have the *authorization* of all of the contract's *signatories*.

For full documentation on choices, see Reference: choices.

# Consuming choice

A **consuming choice** means that, when the choices is exercised, the *contract* it is on will be *archived*. The alternative is a *nonconsuming choice*.

Consuming choices can be preconsuming or postconsuming.

# Preconsuming choice

A choice marked preconsuming will be archived at the start of that exercise.

# Postconsuming choice

A choice marked postconsuming will not be archived until the end of the exercise choice body.

# Nonconsuming choice

A **nonconsuming choice** does NOT *archive* the *contract* it is on when *exercised*. This means the choice can be exercised more than once on the same *contract*.

# Disjunction choice, flexible controllers

A disjunction choice has more than one controller.

If a contract uses **flexible controllers**, this means you don't specify the controller of the *choice* at creation time of the *contract*, but at exercise time.

#### 7.1.1.4 Party

A party represents a person or legal entity. Parties can create contracts and exercise choices.

Signatories, observers, controllers, and maintainers all must be parties, represented by the Party data type in Daml.

# Signatory

A **signatory** is a party on a contract. The signatories MUST consent to the creation of the contract by authorizing it: if they don't, contract creation will fail.

For documentation on signatories, see Reference: templates.

# Observer

An **observer** is a *party* on a *contract*. Being an observer allows them to see that instance and all the information about it. They do NOT have to *consent to* the creation.

For documentation on observers, see Reference: templates.

#### Controller

A controller is a party that is able to exercise a particular choice on a particular contract.

Controllers must be at least an *observer*, otherwise they can't see the contract to exercise it on. But they don't have to be a *signatory*, this enables the *propose-accept pattern*.

#### **Choice Observer**

A **choice observer** is a *party* on a *choice*. Choice observers are guaranteed to see the choice being exercised and all its consequences with it.

#### Stakeholder

**Stakeholder** is not a term used within the Daml language, but the concept refers to the *signatories* and *observers* collectively. That is, it means all of the *parties* that are interested in a *contract*.

#### Maintainer

The **maintainer** is a party that is part of a contract key. They must always be a signatory on the contract that they maintain the key for.

It's not possible for keys to be globally unique, because there is no party that will necessarily know about every contract. However, by including a party as part of the key, this ensures that the maintainer will know about all of the contracts, and so can guarantee the uniqueness of the keys that they know about.

For documentation on contract keys, see Contract keys.

### 7.1.1.5 Authorization, signing

The Daml runtime checks that every submitted transaction is **well-authorized**, according to the *authorization rules of the ledger model*, which guarantee the integrity of the underlying ledger.

A Daml update is the composition of update actions created with one of the items in the table below. A Daml update is well-authorized when **all** its contained update actions are well-authorized. Each operation has an associated set of parties that need to authorize it:

Update	Туре	Authorization
action		
create	(Template c) => c ->	All signatories of the created contract
	Update (ContractId c)	
exercise	ContractId c -> e ->	All controllers of the choice
	Update r	
fetch	ContractId c -> e ->	One of the union of signatories and ob-
	Update r	servers of the fetched contract
fetchByKey	k -> Update (ContractId c,	Same as fetch
	c)	
lookupByKe	yk -> Update (Optional	All key maintainers
	(ContractId c))	

Table 1: Updates and required authorization

At runtime, the Daml execution engine computes the required authorizing parties from this mapping. It also computes which parties have given authorization to the update in question. A party is

giving authorization to an update in one of two ways:

It is the signatory of the contract that contains the update action.

It is element of the controllers executing the choice containing the update action.

Only if all required parties have given their authorization to an update action, the update action is well-authorized and therefore executed. A missing authorization leads to the abortion of the update action and the failure of the containing transaction.

It is noteworthy, that authorizing parties are always determined only from the local context of a choice in question, that is, its controllers and the contract's signatories. Authorization is never inherited from earlier execution contexts.

# 7.1.1.6 Standard library

The **Daml standard library** is a set of *Daml* functions, classes and more that make developing with Daml easier.

For documentation, see The standard library.

# 7.1.1.7 Agreement

An agreement is part of a contract. It is text that explains what the contract represents.

It can be used to clarify the legal intent of a contract, but this text isn't evaluated programmatically.

See Reference: templates.

#### 7.1.1.8 Create

A create is an update that creates a contract on the ledger.

Contract creation requires authorization from all its signatories, or the create will fail. For how to get authorization, see the propose-accept and multi-party agreement patterns.

A party submits a create command.

See Reference: updates.

#### 7.1.1.9 Exercise

An **exercise** is an action that exercises a *choice* on a *contract* on the *ledger*. If the choice is *consuming*, the exercise will archive the contract; if it is *nonconsuming*, the contract will stay active.

Exercising a choice requires authorization from all of the controllers of the choice.

A party submits an exercise command.

See Reference: updates.

#### 7.1.1.10 Scenario

A **scenario** is a way of testing Daml code during development. You can run scenarios inside *Daml Studio*, or write them to be executed on *Sandbox* when it starts up.

They're useful for:

expressing clearly the intended workflow of your *contracts* ensuring that parties can exclusively create contracts, observe contracts, and exercise choices that they are meant to

acting as regression tests to confirm that everything keeps working correctly

Scenarios emulate a real ledger. You specify a linear sequence of actions that various parties take, and these are evaluated in order, according to the same consistency, authorization, and privacy rules as they would be on a Daml ledger. Daml Studio shows you the resulting transaction graph, and (if a scenario fails) what caused it to fail.

See Testing using scenarios.

# 7.1.1.11 Contract key

A **contract key** allows you to uniquely identify a *contract* of a particular *template*, similarly to a primary key in a database table.

A contract key requires a *maintainer*: a simple key would be something like a tuple of text and maintainer, like (accountId, bank).

See Contract keys.

## 7.1.1.12 DAR file, DALF file

A .dar file is the result of compiling Daml using the Assistant.

You upload .dar files to a *ledger* in order to be able to create contracts from the templates in that file.

A .dar contains multiple .dalf files. A .dalf file is the output of a compiled Daml package or library. Its underlying format is Daml-LF.

# 7.1.2 Developer tools

#### 7.1.2.1 Assistant

**Daml Assistant** is a command-line tool for many tasks related to Daml. Using it, you can create Daml projects, compile Daml projects into .dar files, launch other developer tools, and download new SDK versions.

See Daml Assistant (daml).

# 7.1.2.2 Studio

Daml Studio is a plugin for Visual Studio Code, and is the IDE for writing Daml code.

See Daml Studio.

#### 7.1.2.3 Sandbox

Sandbox is a lightweight ledger implementation. In its normal mode, you can use it for testing.

You can also run the Sandbox connected to a PostgreSQL back end, which gives you persistence and a more production-like experience.

See Daml Sandbox.

# 7.1.2.4 Navigator

**Navigator** is a tool for exploring what's on the ledger. You can use it to see what contracts can be seen by different parties, and *submit commands* on behalf of those parties.

# **Navigator GUI**

This is the version of Navigator that runs as a web app.

See Navigator.

#### 7.1.2.5 Extractor

**Extractor** is a tool for extracting contract data for a single party into a PostgreSQL database.

See Extractor.

# 7.1.3 Building applications

# 7.1.3.1 Application, ledger client, integration

**Application**, **ledger client** and **integration** are all terms for an application that sits on top of the ledger. These usually read from the ledger, send commands to the ledger, or both.

There's a lot of information available about application development, starting with the *Application* architecture page.

# 7.1.3.2 Ledger API

The **Ledger API** is an API that's exposed by any *Daml ledger*. Alternative names: **Daml Ledger API** and **gRPC Ledger API** if disambiguation from other technologies is needed. See *The Ledger API* page. It includes the following *services*.

#### Command submission service

Use the **command submission service** to *submit commands* - either create commands or exercise commands - to the *ledger*. See *Command submission service*.

## Command completion service

Use the **command completion service** to find out whether or not commands you have submitted have completed, and what their status was. See Command completion service.

# Command service

Use the **command service** when you want to *submit a command* and wait for it to be executed. See Command service.

#### Transaction service

Use the **transaction service** to listen to changes in the *ledger*, reported as a stream of transactions. See *Transaction service*.

#### Active contract service

Use the **active contract service** to obtain a party-specific view of all *contracts* currently active on the ledger. See Active contracts service.

# Package service

Use the **package service** to obtain information about Daml packages available on the *ledger*. See Package service.

# Ledger identity service

Use the **ledger identity service** to get the identity string of the *ledger* that your application is connected to. See *Ledger identity service*.

# Ledger configuration service

Use the **ledger configuration service** to subscribe to changes in *ledger* configuration. See *Ledger* configuration service.

# 7.1.3.3 Ledger API libraries

The following libraries wrap the ledger API for more native experience applications development.

# Java bindings

An idiomatic Java library for writing ledger applications. See Java bindings.

# Scala bindings

An idiomatic Scala library for writing ledger applications. See Scala bindings.

# 7.1.3.4 Reading from the ledger

Applications get information about the *ledger* by **reading** from it. You can't query the ledger, but you can subscribe to the transaction stream to get the events, or the more sophisticated active contract service.

# 7.1.3.5 Submitting commands, writing to the ledger

Applications make changes to the *ledger* by **submitting commands**. You can't change it directly: an application submits a command of *transactions*. The command gets evaluated by the runtime, and will only be accepted if it's valid.

For example, a command might get rejected because the transactions aren't well-authorized; because the contract isn't active (perhaps someone else archived it); or for other reasons.

This is echoed in scenarios, where you can mock an application by having parties submit transactions/updates to the ledger. You can use submit or submitMustFail to express what should succeed and what shouldn't.

#### Commands

A command is an instruction to add a transaction to the ledger.

#### 7.1.3.6 Daml-LF

When you compile Daml source code into a .dar file, the underlying format is **Daml-LF**. Daml-LF is similar to Daml, but is stripped down to a core set of features. The relationship between the surface Daml syntax and Daml-LF is loosely similar to that between Java and JVM bytecode.

As a user, you don't need to interact with Daml-LF directly. But internally, it's used for:

executing Daml code on the Sandbox or on another platform sending and receiving values via the Ledger API (using a protocol such as gRPC) generating code in other languages for interacting with Daml models (often called codegen )

# 7.1.4 General concepts

# 7.1.4.1 Ledger, Daml ledger

**Ledger** can refer to a lot of things, but a ledger is essentially the underlying storage mechanism for a running Daml applications: it's where the contracts live. A **Daml ledger** is a ledger that you can store Daml contracts on, because it implements the *ledger API*.

Daml ledgers provide various guarantees about what you can expect from it, all laid out in the Daml Ledger Model page.

When you're developing, you'll use Sandbox as your ledger.

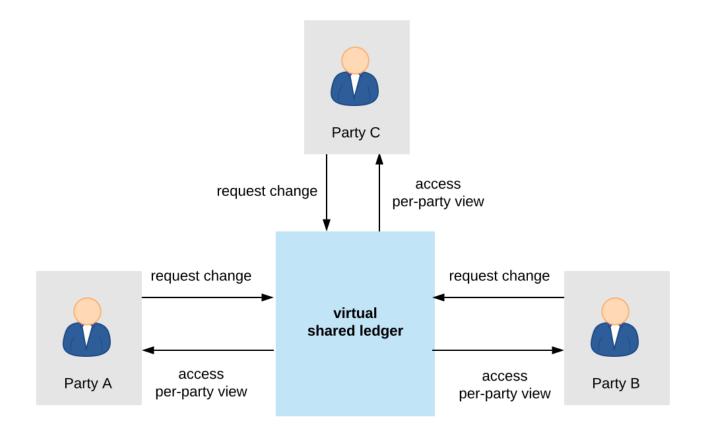
If you would like to integrate Daml with a storage infrastructure not already in development (see daml.com), please get in touch on Slack in the channel #daml-contributors.

## 7.1.4.2 Trust domain

A **trust domain** encompasses a part of the system (in particular, a Daml ledger) operated by a single real-world entity. This subsystem may consist of one or more physical nodes. A single physical machine is always assumed to be controlled by exactly one real-world entity.

# 7.2 Daml Ledger Model

Daml Ledgers enable multi-party workflows by providing parties with a virtual shared ledger, which encodes the current state of their shared contracts, written in Daml. At a high level, the interactions are visualized as follows:



The Daml ledger model defines:

- 1. what the ledger looks like the structure of Daml ledgers
- 2. who can request which changes the integrity model for Daml ledgers
- 3. who sees which changes and data the privacy model for Daml ledgers

The below sections review these concepts of the ledger model in turn. They also briefly describe the link between Daml and the model.

## 7.2.1 Structure

This section looks at the structure of a Daml ledger and the associated ledger changes. The basic building blocks of changes are actions, which get grouped into transactions.

## 7.2.1.1 Actions and Transactions

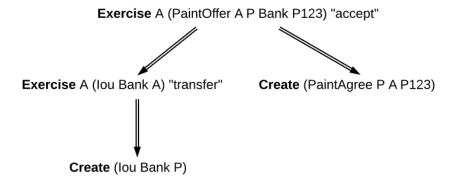
One of the main features of the Daml ledger model is a hierarchical action structure.

This structure is illustrated below on a toy example of a multi-party interaction. Alice (A) gets some digital cash, in the form of an I-Owe-You (IOU for short) from a bank, and she needs her house painted. She gets an offer from a painter (P) with reference number P123 to paint her house in exchange for this IOU. Lastly, A accepts the offer, transferring the money and signing a contract with P, whereby he is promising to paint her house.

This acceptance can be viewed as A exercising her right to accept the offer. Her acceptance has two consequences. First, A transfers her IOU, that is, exercises her right to transfer the IOU, after which a new IOU for P is created. Second, a new contract is created that requires P to paint A's house.

Thus, the acceptance in this example is reduced to two types of actions: (1) creating contracts, and (2) exercising rights on them. These are also the two main kinds of actions in the Daml ledger model.

The visual notation below records the relations between the actions during the above acceptance.



Formally, an **action** is one of the following:

- 1. a Create action on a contract, which records the creation of the contract
- 2. an **Exercise** action on a contract, which records that one or more parties have exercised a right they have on the contract, and which also contains:
  - 1. An associated set of parties called **actors**. These are the parties who perform the action.
  - 2. An exercise **kind**, which is either **consuming** or **non-consuming**. Once consumed, a contract cannot be used again (for example, Alice should not be able to accept the painter's offer twice). Contracts exercised in a non-consuming fashion can be reused.
  - 3. A list of **consequences**, which are themselves actions. Note that the consequences, as well as the kind and the actors, are considered a part of the exercise action itself. This nesting of actions within other actions through consequences of exercises gives rise to the hierarchical structure. The exercise action is the **parent action** of its consequences.
- 3. a **Fetch** action on a contract, which demonstrates that the contract exists and is active at the time of fetching. The action also contains **actors**, the parties who fetch the contract. A **Fetch** behaves like a non-consuming exercise with no consequences, and can be repeated.
- 4. a **Key assertion**, which records the assertion that the given *contract key* is **not** assigned to any unconsumed contract on the ledger.

An Exercise or a Fetch action on a contract is said to use the contract. Moreover, a consuming Exercise is said to consume (or archive) its contract.

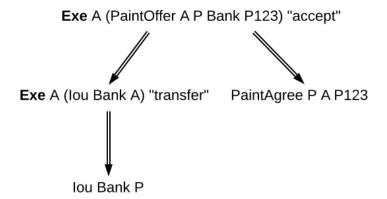
The following EBNF-like grammar summarizes the structure of actions and transactions. Here, s | t represents the choice between s and t, s t represents s followed by t, and s\* represents the repetition of s zero or more times. The terminal 'contract' denotes the underlying type of contracts, and the terminal 'party' the underlying type of parties.

The visual notation presented earlier captures actions precisely with conventions that:

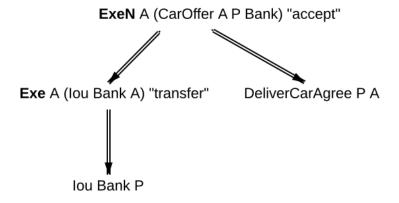
- 1. Exercise denotes consuming, ExerciseN non-consuming exercises, and Fetch a fetch.
- 2. double arrows connect exercises to their consequences, if any.
- 3. the consequences are ordered left-to-right.
- 4. to aid intuitions, exercise actions are annotated with suggestive names like accept or

transfer . Intuitively, these correspond to names of Daml choices, but they have no semantic meaning.

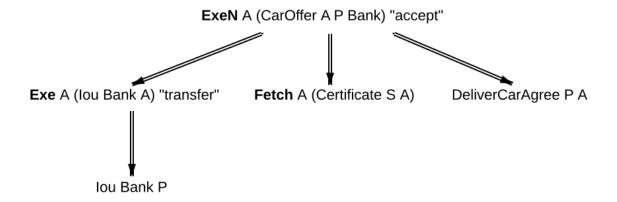
An alternative shorthand notation, shown below uses the abbreviations **Exe** and **ExeN** for exercises, and omits the **Create** labels on create actions.



To show an example of a non-consuming exercise, consider a different offer example with an easily replenishable subject. For example, if P was a car manufacturer, and A a car dealer, P could make an offer that could be accepted multiple times.



To see an example of a fetch, we can extend this example to the case where P produces exclusive cars and allows only certified dealers to sell them. Thus, when accepting the offer, A has to additionally show a valid quality certificate issued by some standards body S.



In the paint offer example, the underlying type of contracts consists of three sorts of contracts:

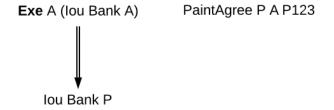
PaintOffer houseOwner painter obligor refNo Intuitively an offer (with a reference number) by which the painter proposes to the house owner to paint her house, in exchange for a single IOU token issued by the specified obligor.

PaintAgree painter houseOwner refNo Intuitively a contract whereby the painter agrees to paint the owner's house

**lou obligor owner** An IOU token from an obligor to an owner (for simplicity, the token is of unit amount).

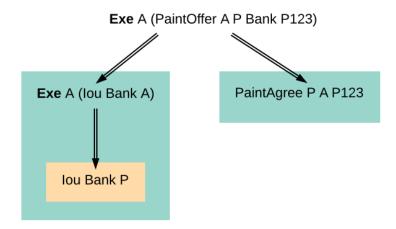
In practice, multiple IOU contracts can exist between the same *obligor* and *owner*, in which case each contract should have a unique identifier. However, in this section, each contract only appears once, allowing us to drop the notion of identifiers for simplicity reasons.

A **transaction** is a list of actions. Thus, the consequences of an exercise form a transaction. In the example, the consequences of Alice's exercise form the following transaction, where actions are again ordered left-to-right.

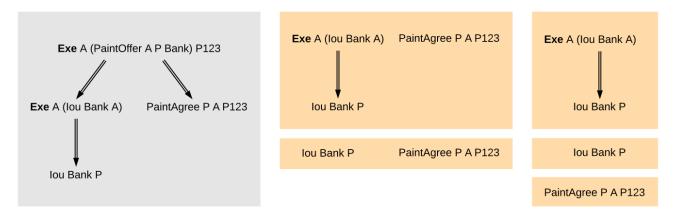


For an action act, its **proper subactions** are all actions in the consequences of act, together with all of their proper subactions. Additionally, act is a (non-proper) **subaction** of itself.

The subaction relation is visualized below. Both the green and yellow boxes are proper subactions of Alice's exercise on the paint offer. Additionally, the creation of *lou Bank P* (yellow box) is also a proper subaction of the exercise on the *lou Bank A*.



Similarly, a **subtransaction** of a transaction is either the transaction itself, or a **proper subtransaction**: a transaction obtained by removing at least one action, or replacing it by a subtransaction of its consequences. For example, given the transaction consisting of just one action, the paint offer acceptance, the image below shows all its proper non-empty subtransactions on the right (yellow boxes).



To illustrate contract keys, suppose that the contract key for a PaintOffer consists of the reference number and the painter. So Alice can refer to the PaintOffer by its key (P, P123). To make this explicit, we use the notation PaintOffer @P A &P123 for contracts, where @ and & mark the parts that belong to a key. (The difference between @ and & will be explained in the integrity section.) The ledger integrity constraints in the next section ensure that there is always at most one active PaintOffer for a given key. So if the painter retracts its PaintOffer and later Alice tries to accept it, she can then record the absence with a NoSuchKey (P, P123) key assertion.

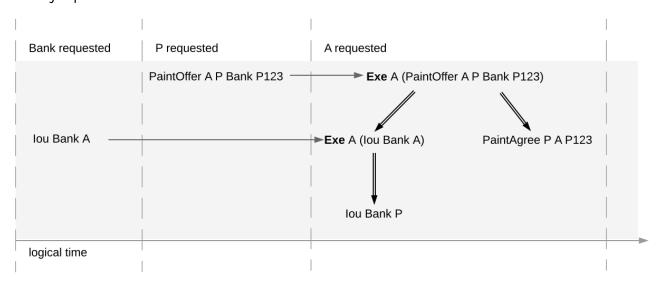
## 7.2.1.2 Ledgers

The transaction structure records the contents of the changes, but not who requested them. This information is added by the notion of a **commit**: a transaction paired with the parties that requested it, called the **requesters** of the commit. A commit may have one or more requesters. Given a commit (p, tx) with transaction  $tx = act_1$ ,  $act_n$ , every  $act_i$  is called a **top-level action** of the commit. A **ledger** is a sequence of commits. A top-level action of any ledger commit is also a top-level action of the ledger.

The following EBNF grammar summarizes the structure of commits and ledgers:

```
Commit := party+ Transaction
Ledger := Commit*
```

A Daml ledger thus represents the full history of all actions taken by parties. Since the ledger is a sequence (of dependent actions), it induces an order on the commits in the ledger. Visually, a ledger can be represented as a sequence growing from left to right as time progresses. Below, dashed vertical lines mark the boundaries of commits, and each commit is annotated with its requester(s). Arrows link the create and exercise actions on the same contracts. These additional arrows highlight that the ledger forms a **transaction graph**. For example, the aforementioned house painting scenario is visually represented as follows.



The definitions presented here are all the ingredients required to record the interaction between parties in a Daml ledger. That is, they address the first question: what do changes and ledgers look like? . To answer the next question, who can request which changes , a precise definition is needed of which ledgers are permissible, and which are not. For example, the above paint offer ledger is intuitively permissible, while all of the following ledgers are not.

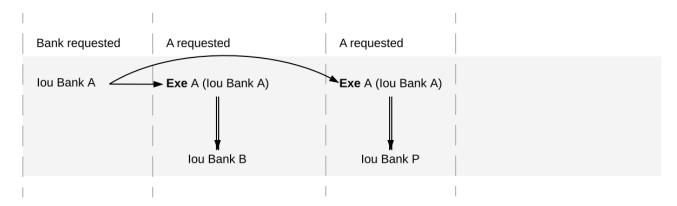


Fig. 1: Alice spending her IOU twice ( double spend ), once transferring it to B and once to P.

The next section discusses the criteria that rule out the above examples as invalid ledgers.

Calling such a complete record ledger is standard in the distributed ledger technology community. In accounting terminology, this record is closer to a *journal* than to a ledger.

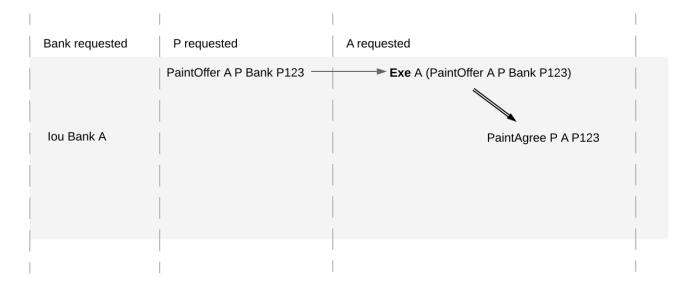


Fig. 2: Alice changing the offer's outcome by removing the transfer of the lou.

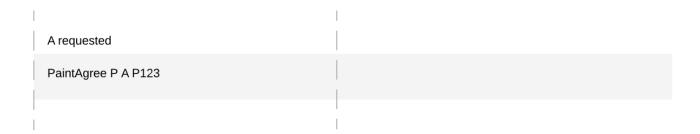


Fig. 3: An obligation imposed on the painter without his consent.

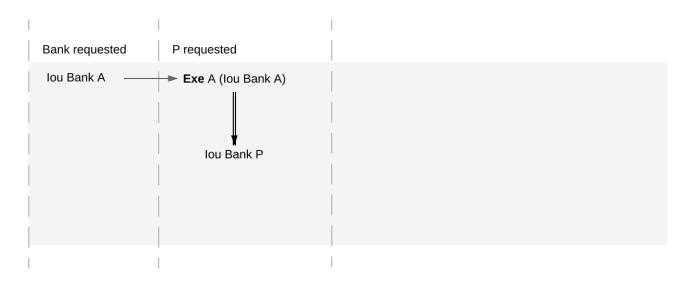


Fig. 4: Painter stealing Alice's IOU. Note that the ledger would be intuitively permissible if it was Alice performing the last commit.

P requested	P requested	
PaintOffer A @P Bank &P123	NoSuchKey (P, P123)	

Fig. 5: Painter falsely claiming that there is no offer.

P requested	P requested	
PaintOffer A @P Bank &P123	PaintOffer David @P Bank &P123	

Fig. 6: Painter trying to create two different paint offers with the same reference number.

## 7.2.2 Integrity

This section addresses the question of who can request which changes.

## 7.2.2.1 Valid Ledgers

At the core is the concept of a valid ledger; changes are permissible if adding the corresponding commit to the ledger results in a valid ledger. **Valid ledgers** are those that fulfill three conditions:

**Consistency** Exercises and fetches on inactive contracts are not allowed, i.e. contracts that have not yet been created or have already been consumed by an exercise. A contract with a contract key can be created only if the key is not associated to another unconsumed contract, and all key assertions hold.

**Conformance** Only a restricted set of actions is allowed on a given contract. **Authorization** The parties who may request a particular change are restricted.

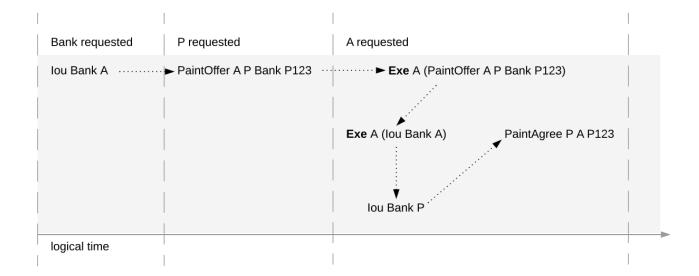
Only the last of these conditions depends on the party (or parties) requesting the change; the other two are general.

### 7.2.2.2 Consistency

Consistency consists of two parts:

- 1. Contract consistency: Contracts must be created before they are used, and they cannot be used once they are consumed.
- 2. Key consistency: Keys are unique and key assertions are satisfied.

To define this precisely, notions of before and after are needed. These are given by putting all actions in a sequence. Technically, the sequence is obtained by a pre-order traversal of the ledger's actions, noting that these actions form an (ordered) forest. Intuitively, it is obtained by always picking parent actions before their proper subactions, and otherwise always picking the actions on the left before the actions on the right. The image below depicts the resulting order on the paint offer example:



In the image, an action act happens before action act' if there is a (non-empty) path from act to act'. Then, act' happens after act.

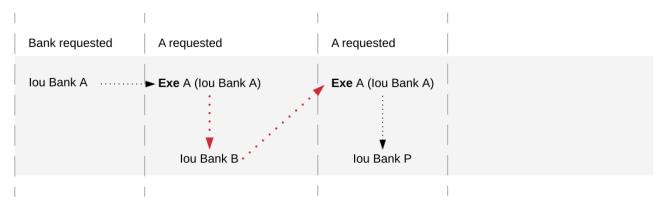
## Contract consistency

Contract consistency ensures that contracts are used after they have been created and before they are consumed.

**Definition contract consistency** A ledger is **consistent for a contract c** if all of the following holds for all actions act on c:

- 1. either act is itself Create c or a Create c happens before act
- 2. act does not happen before any Create c action
- 3. act does not happen after any Exercise action consuming c.

The consistency condition rules out the double spend example. As the red path below indicates, the second exercise in the example happens after a consuming exercise on the same contract, violating the contract consistency criteria.



In addition to the consistency notions, the before-after relation on actions can also be used to define the notion of **contract state** at any point in a given transaction. The contract state is changed by creating the contract and by exercising it consumingly. At any point in a transaction, we can then define the latest state change in the obvious way. Then, given a point in a transaction, the contract state of c is:

- 1. active, if the latest state change of c was a create;
- 2. archived, if the latest state change of c was a consuming exercise;

## 3. inexistent, if c never changed state.

A ledger is consistent for c exactly if **Exercise** and **Fetch** actions on c happen only when c is active, and **Create** actions only when c is inexistent. The figures below visualize the state of different contracts at all points in the example ledger.

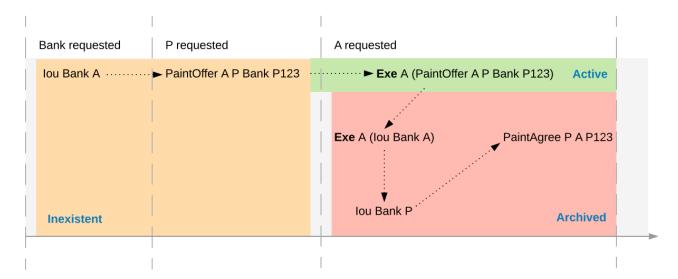


Fig. 7: Activeness of the PaintOffer contract

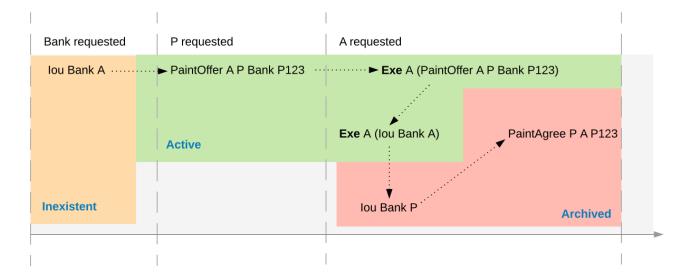


Fig. 8: Activeness of the lou Bank A contract

The notion of order can be defined on all the different ledger structures: actions, transactions, lists of transactions, and ledgers. Thus, the notions of consistency, inputs and outputs, and contract state can also all be defined on all these structures. The **active contract set** of a ledger is the set of all contracts that are active on the ledger. For the example above, it consists of contracts *lou Bank P* and *PaintAgree P A*.

#### Key consistency

Contract keys introduce a key uniqueness constraint for the ledger. To capture this notion, the contract model must specify for every contract in the system whether the contract has a key and, if so, the key. Every contract can have at most one key.

Like contracts, every key has a state. An action act is an action on a key k if

act is a **Create**, **Exercise**, or a **Fetch** action on a contract c with key k, or act is the key assertion **NoSuchKey** k.

**Definition** key state The key state of a key on a ledger is determined by the last action act on the key:

If act is a **Create**, non-consuming **Exercise**, or **Fetch** action on a contract c, then the key state is **assigned** to c.

If act is a consuming **Exercise** action or a **NoSuchKey** assertion, then the key state is **free**. If there is no such action act, then the key state is **unknown**.

A key is unassigned if its key state is either free or unknown.

Key consistency ensures that there is at most one active contract for each key and that all key assertions are satisfied.

**Definition** key consistency A ledger is consistent for a key k if for every action act on k, the key state s before act satisfies

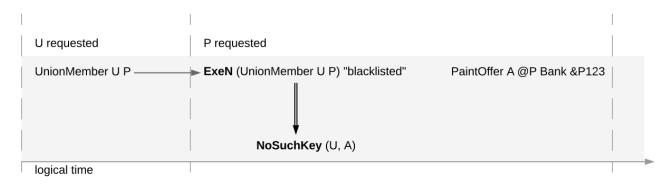
If act is a Create action or NoSuchKey assertion, then s is free or unknown.

If act is an Exercise or Fetch action on some contract c, then s is assigned to c or unknown.

Key consistency rules out the problematic examples around key consistency. For example, suppose that the painter P has made a paint offer to A with reference number P123, but A has not yet accepted it. When P tries to create another paint offer to David with the same reference number P123, then this creation action would violate key uniqueness. The following ledger violates key uniqueness for the key (P, P123).

P requested	P requested
PaintOffer A @P Bank &P123	PaintOffer David @P Bank &P123

Key assertions can be used in workflows to evidence the inexistence of a certain kind of contract. For example, suppose that the painter *P* is a member of the union of painters *U*. This union maintains a blacklist of potential customers that its members must not do business with. A customer *A* is considered to be on the blacklist if there is an active contract *Blacklist @U &A*. To make sure that the painter *P* does not make a paint offer if *A* is blacklisted, the painter combines its commit with a **No-SuchKey** assertion on the key (*U*, *A*). The following ledger shows the transaction, where *UnionMember U P* represents *P*'s membership in the union *U*. It grants *P* the choice to perform such an assertion, which is needed for authorization.



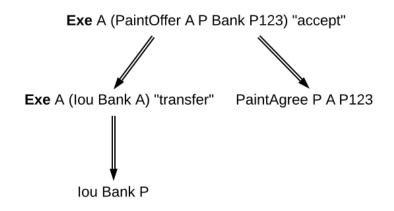
Key consistency extends to actions, transactions and lists of transactions just like the other consistency notions.

## Ledger consistency

**Definition** ledger consistency A ledger is consistent if it is consistent for all contracts and for all keys.

## Internal consistency

The above consistency requirement is too strong for actions and transactions in isolation. For example, the acceptance transaction from the paint offer example is not consistent as a ledger, because PaintOffer A P Bank and the lou Bank A contracts are used without being created before:



However, the transaction can still be appended to a ledger that creates these contracts and yields a consistent ledger. Such transactions are said to be internally consistent, and contracts such as the PaintOffer A P Bank P123 and lou Bank A are called input contracts of the transaction. Dually, output contracts of a transaction are the contracts that a transaction creates and does not archive.

**Definition** internal consistency for a contract A transaction is internally consistent for a contract c if the following holds for all of its subactions act on the contract c

- 1. act does not happen before any Create c action
- 2. act does not happen after any exercise consuming c.

A transaction is **internally consistent** if it is internally consistent for all contracts and consistent for all keys.

**Definition** input contract For an internally consistent transaction, a contract c is an input contract of the transaction if the transaction contains an **Exercise** or a **Fetch** action on c but not a **Create c** action.

**Definition output contract** For an internally consistent transaction, a contract c is an **output contract** of the transaction if the transaction contains a **Create c** action, but not a consuming **Exercise** action on c.

Note that the input and output contracts are undefined for transactions that are not internally consistent. The image below shows some examples of internally consistent and inconsistent transactions

Similar to input contracts, we define the input keys as the set that must be unassigned at the beginning of a transaction.

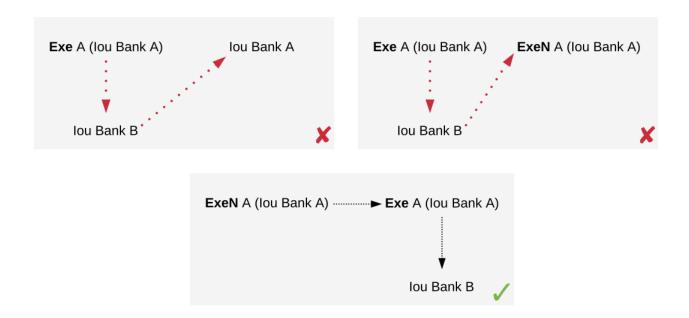


Fig. 9: The first two transactions violate the conditions of internal consistency. The first transaction creates the *lou* after exercising it consumingly, violating both conditions. The second transaction contains a (non-consuming) exercise on the *lou* after a consuming one, violating the second condition. The last transaction is internally consistent.

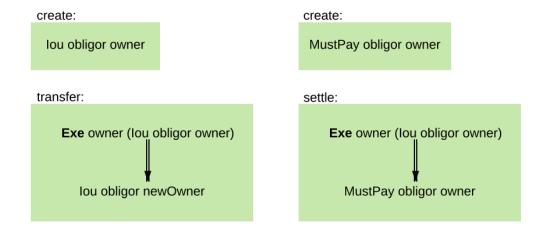
**Definition** input key A key k is an input key to an internally consistent transaction if the first action act on k is either a **Create** action or a **NoSuchKey** assertion.

In the blacklisting example, P's transaction has two input keys: (U, A) due to the **NoSuchKey** action and (P, P123) as it creates a PaintOffer contract.

## 7.2.2.3 Conformance

The conformance condition constrains the actions that may occur on the ledger. This is done by considering a **contract model** M (or a **model** for short), which specifies the set of all possible actions. A ledger is **conformant to M** (or conforms to M) if all top-level actions on the ledger are members of M. Like consistency, the notion of conformance does not depend on the requesters of a commit, so it can also be applied to transactions and lists of transactions.

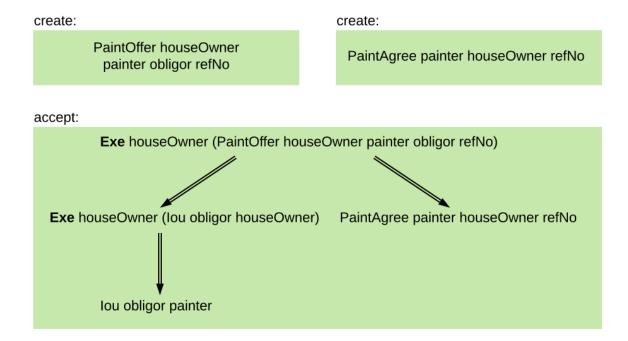
For example, the set of allowed actions on IOU contracts could be described as follows.



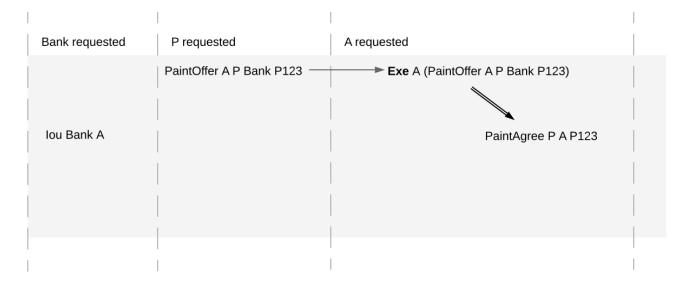
The boxes in the image are templates in the sense that the contract parameters in a box (such as obligor or owner) can be instantiated by arbitrary values of the appropriate type. To facilitate understanding, each box includes a label describing the intuitive purpose of the corresponding set of actions. As the image suggests, the transfer box imposes the constraint that the bank must remain the same both in the exercised IOU contract, and in the newly created IOU contract. However, the owner can change arbitrarily. In contrast, in the settle actions, both the bank and the owner must remain the same. Furthermore, to be conformant, the actor of a transfer action must be the same as the owner of the contract.

Of course, the constraints on the relationship between the parameters can be arbitrarily complex, and cannot conveniently be reproduced in this graphical representation. This is the role of Daml – it provides a much more convenient way of representing contract models. The link between Daml and contract models is explained in more detail in a *later section*.

To see the conformance criterion in action, assume that the contract model allows only the following actions on *PaintOffer* and *PaintAgree* contracts.



The problem with example where Alice changes the offer's outcome to avoid transferring the money now becomes apparent.



A's commit is not conformant to the contract model, as the model does not contain the top-level action she is trying to commit.

#### 7.2.2.4 Authorization

The last criterion rules out the last two problematic examples, an obligation imposed on a painter, and the painter stealing Alice's money. The first of those is visualized below.



The reason why the example is intuitively impermissible is that the *PaintAgree* contract is supposed to express that the painter has an obligation to paint Alice's house, but he never agreed to that obligation. On paper contracts, obligations are expressed in the body of the contract, and imposed on the contract's *signatories*.

#### Signatories, Agreements, and Maintainers

To capture these elements of real-world contracts, the **contract model** additionally specifies, for each contract in the system:

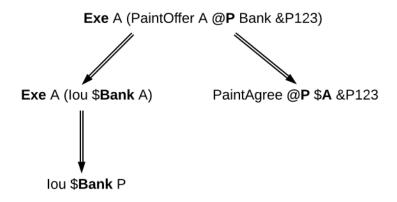
- 1. A non-empty set of **signatories**, the parties bound by the contract.
- 2. An optional **agreement text** associated with the contract, specifying the off-ledger, real-world obligations of the signatories.
- 3. If the contract is associated with a key, a non-empty set of **maintainers**, the parties that make sure that at most one unconsumed contract exists for the key. The maintainers must be a subset of the signatories and depend only on the key. This dependence is captured by the function maintainers that takes a key and returns the key's maintainers.

In the example, the contract model specifies that

1. an lou obligor owner contract has only the obligor as a signatory, and no agreement text.

- 2. a MustPay obligor owner contract has both the obligor and the owner as signatories, with an agreement text requiring the obligor to pay the owner a certain amount, off the ledger.
- 3. a PaintOffer houseOwner painter obligor refNo contract has only the painter as the signatory, with no agreement text. Its associated key consists of the painter and the reference number. The painter is the maintainer.
- 4. a PaintAgree houseOwner painter refNo contract has both the house owner and the painter as signatories, with an agreement text requiring the painter to paint the house. The key consists of the painter and the reference number. The painter is the only maintainer.

In the graphical representation below, signatories of a contract are indicated with a dollar sign (as a mnemonic for an obligation) and use a bold font. Maintainers are marked with @ (as a mnemonic who enforces uniqueness). Since maintainers are always signatories, parties marked with @ are implicitly signatories. For example, annotating the paint offer acceptance action with signatories yields the image below.



#### **Authorization Rules**

Signatories allow one to precisely state that the painter has an obligation. The imposed obligation is intuitively invalid because the painter did not agree to this obligation. In other words, the painter did not authorize the creation of the obligation.

In a Daml ledger, a party can authorize a subaction of a commit in either of the following ways:

Every top-level action of the commit is authorized by all requesters of the commit. Every consequence of an exercise action act on a contract c is authorized by all signatories of c and all actors of act.

The second authorization rule encodes the offer-acceptance pattern, which is a prerequisite for contract formation in contract law. The contract c is effectively an offer by its signatories who act as offerers. The exercise is an acceptance of the offer by the actors who are the offerees. The consequences of the exercise can be interpreted as the contract body so the authorization rules of DA ledgers closely model the rules for contract formation in contract law.

A commit is **well-authorized** if every subaction act of the commit is authorized by at least all of the **required authorizers** of act, where:

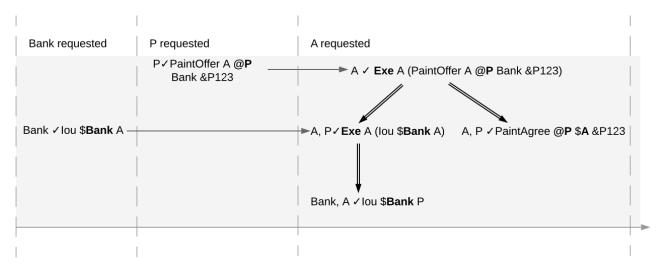
- 1. the required authorizers of a Create action on a contract c are the signatories of c.
- 2. the required authorizers of an Exercise or a Fetch action are its actors.
- 3. the required authorizers of a NoSuchKey assertion are the maintainers of the key.

We lift this notion to ledgers, whereby a ledger is well-authorized exactly when all of its commits are.

## **Examples**

An intuition for how the authorization definitions work is most easily developed by looking at some examples. The main example, the paint offer ledger, is intuitively legitimate. It should therefore also be well-authorized according to our definitions, which it is indeed.

In the visualizations below,  $\Pi \checkmark$  act denotes that the parties  $\Pi$  authorize the action act. The resulting authorizations are shown below.



In the first commit, the bank authorizes the creation of the IOU by requesting that commit. As the bank is the sole signatory on the IOU contract, this commit is well-authorized. Similarly, in the second commit, the painter authorizes the creation of the paint offer contract, and painter is the only signatory on that contract, making this commit also well-authorized.

The third commit is more complicated. First, Alice authorizes the exercise on the paint offer by requesting it. She is the only actor on this exercise, so this complies with the authorization requirement. Since the painter is the signatory of the paint offer, and Alice the actor of the exercise, they jointly authorize all consequences of the exercise. The first consequence is an exercise on the IOU, with Alice as the actor; so this is permissible. The second consequence is the creation of the paint agreement, which has Alice and the painter as signatories. Since they both authorize this action, this is also permissible. Finally, the creation of the new IOU (for P) is a consequence of the exercise on the old one (for A). As the old IOU was signed by the bank, and as Alice was the actor of the exercise, the bank and Alice jointly authorize the creation of the new IOU. Since the bank is the sole signatory of this IOU, this action is also permissible. Thus, the entire third commit is also well-authorized, and then so is the ledger.

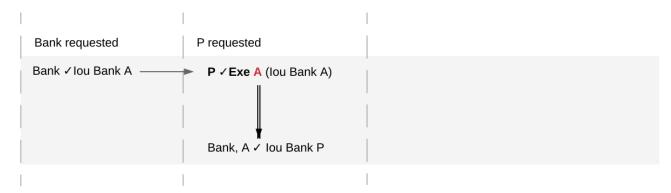
Similarly, the intuitively problematic examples are prohibited by our authorization criterion. In the first example, Alice forced the painter to paint her house. The authorizations for the example are shown below.



Alice authorizes the **Create** action on the *PaintAgree* contract by requesting it. However, the painter is also a signatory on the *PaintAgree* contract, but he did not authorize the **Create** action. Thus, this

ledger is indeed not well-authorized.

In the second example, the painter steals money from Alice.



The bank authorizes the creation of the IOU by requesting this action. Similarly, the painter authorizes the exercise that transfers the IOU to him. However, the actor of this exercise is Alice, who has not authorized the exercise. Thus, this ledger is not well-authorized.

The rationale for making the maintainers required authorizers for a **NoSuchKey** assertion is discussed in the next section about *privacy*.

## 7.2.2.5 Valid Ledgers, Obligations, Offers and Rights

Daml ledgers are designed to mimic real-world interactions between parties, which are governed by contract law. The validity conditions on the ledgers, and the information contained in contract models have several subtle links to the concepts of the contract law that are worth pointing out.

First, in addition to the explicit off-ledger obligations specified in the agreement text, contracts also specify implicit **on-ledger obligations**, which result from consequences of the exercises on contracts. For example, the *PaintOffer* contains an on-ledger obligation for A to transfer her IOU in case she accepts the offer. Agreement texts are therefore only necessary to specify obligations that are not already modeled as permissible actions on the ledger. For example, *P*'s obligation to paint the house cannot be sensibly modeled on the ledger, and must thus be specified by the agreement text.

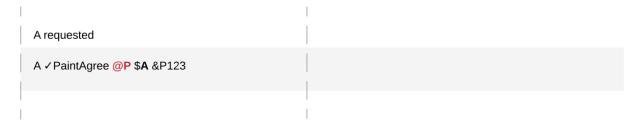
Second, every contract on a Daml ledger can simultaneously model both:

- a real-world offer, whose consequences (both on- and off-ledger) are specified by the **Exercise** actions on the contract allowed by the contract model, and
- a real-world contract proper, specified through the contract's (optional) agreement text.

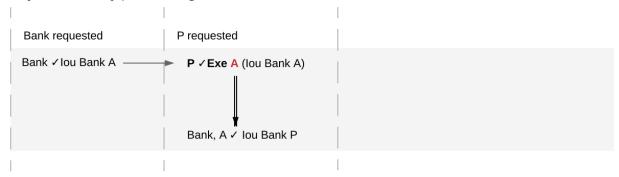
Third, in Daml ledgers, as in the real world, one person's rights are another person's obligations. For example, A's right to accept the PaintOffer is P's obligation to paint her house in case she accepts. In Daml ledgers, a party's rights according to a contract model are the exercise actions the party can perform according to the authorization and conformance rules.

Finally, validity conditions ensure three important properties of the DA ledger model, that mimic the contract law.

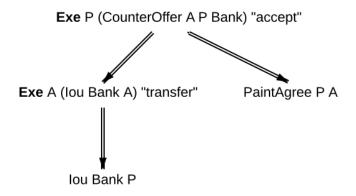
1. **Obligations need consent**. Daml ledgers follow the offer-acceptance pattern of the contract law, and thus ensures that all ledger contracts are formed voluntarily. For example, the following ledger is not valid.



2. Consent is needed to take away on-ledger rights. As only Exercise actions consume contracts, the rights cannot be taken away from the actors; the contract model specifies exactly who the actors are, and the authorization rules require them to approve the contract consumption. In the examples, Alice had the right to transfer her IOUs; painter's attempt to take that right away from her, by performing a transfer himself, was not valid.



Parties can still **delegate** their rights to other parties. For example, assume that Alice, instead of accepting painter's offer, decides to make him a counteroffer instead. The painter can then accept this counteroffer, with the consequences as before:



Here, by creating the CounterOffer contract, Alice delegates her right to transfer the IOU contract to the painter. In case of delegation, prior to submission, the requester must get informed about the contracts that are part of the requested transaction, but where the requester is not a signatory. In the example above, the painter must learn about the existence of the IOU for Alice before he can request the acceptance of the CounterOffer. The concepts of observers and divulgence, introduced in the next section, enable such scenarios.

3. On-ledger obligations cannot be unilaterally escaped. Once an obligation is recorded on a Daml ledger, it can only be removed in accordance with the contract model. For example, assuming the IOU contract model shown earlier, if the ledger records the creation of a MustPay contract, the bank cannot later simply record an action that consumes this contract:



That is, this ledger is invalid, as the action above is not conformant to the contract model.

## 7.2.3 Privacy

The previous sections have addressed two out of three questions posed in the introduction: what the ledger looks like , and who may request which changes . This section addresses the last one, who sees which changes and data . That is, it explains the privacy model for Daml ledgers.

The privacy model of Daml Ledgers is based on a **need-to-know basis**, and provides privacy **on the level of subtransactions**. Namely, a party learns only those parts of ledger changes that affect contracts in which the party has a stake, and the consequences of those changes. And maintainers see all changes to the contract keys they maintain.

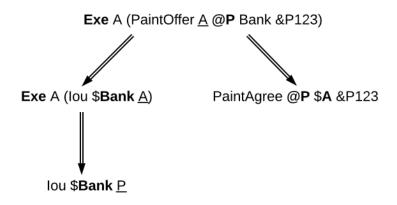
To make this more precise, a stakeholder concept is needed.

#### 7.2.3.1 Contract Observers and Stakeholders

Intuitively, as signatories are bound by a contract, they have a stake in it. Actors might not be bound by the contract, but they still have a stake in their actions, as these are the actor's rights. Generalizing this, **observers** are parties who might not be bound by the contract, but still have the right to see the contract. For example, Alice should be an observer of the *PaintOffer*, such that she is made aware that the offer exists.

Signatories are already determined by the contract model discussed so far. The full **contract model** additionally specifies the **contract observers** on each contract. A **stakeholder** of a contract (according to a given contract model) is then either a signatory or a contract observer on the contract. Note that in Daml, as detailed *later*, controllers specified using simple syntax are automatically made contract observers whenever possible.

In the graphical representation of the paint offer acceptance below, contract observers who are not signatories are indicated by an underline.



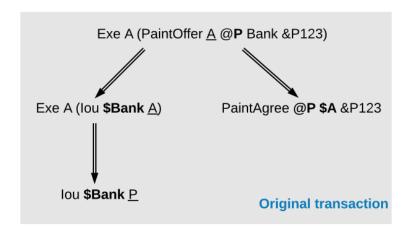
#### 7.2.3.2 Choice Observers

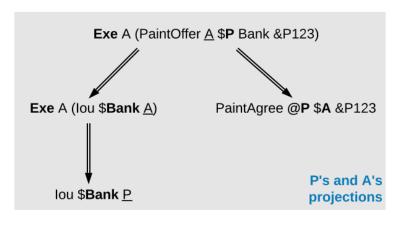
In addition to contract observers, the contract model can also specify **choice observers** on individual **Exercise** actions. Choice observers get to see a specific exercise on a contract, and to view its consequences. Choice observers are not considered stakeholders of the contract, they only affect the set of informees on an action, for the purposes of projection (see below).

## 7.2.3.3 Projections

Stakeholders should see changes to contracts they hold a stake in, but that does not mean that they have to see the entirety of any transaction that their contract is involved in. This is made precise through projections of a transaction, which define the view that each party gets on a transaction. Intuitively, given a transaction within a commit, a party will see only the subtransaction consisting of all actions on contracts where the party is a stakeholder. Thus, privacy is obtained on the subtransaction level.

An example is given below. The transaction that consists only of Alice's acceptance of the PaintOffer is projected for each of the three parties in the example: the painter, Alice, and the bank.







Since both the painter and Alice are stakeholders of the PaintOffer contract, the exercise on this contract is kept in the projection of both parties. Recall that consequences of an exercise action are a part of the action. Thus, both parties also see the exercise on the lou Bank A contract, and the creations of the lou Bank P and PaintAgree contracts.

The bank is not a stakeholder on the PaintOffer contract (even though it is mentioned in the contract). Thus, the projection for the bank is obtained by projecting the consequences of the exercise on the PaintOffer. The bank is a stakeholder in the contract lou Bank A, so the exercise on this contract is kept in the bank's projection. Lastly, as the bank is not a stakeholder of the PaintAgree contract, the corresponding **Create** action is dropped from the bank's projection.

Note the privacy implications of the bank's projection. While the bank learns that a transfer has occurred from A to P, the bank does not learn anything about why the transfer occurred. In practice, this means that the bank does not learn what A is paying for, providing privacy to A and P with respect to the bank.

As a design choice, Daml Ledgers show to contract observers only the <u>state changing</u> actions on the contract. More precisely, **Fetch** and non-consuming **Exercise** actions are not shown to contract observers - except when they are also actors or choice observers of these actions. This motivates the following definition: a party p is an **informee** of an action A if one of the following holds:

A is a **Create** on a contract c and p is a stakeholder of c.

A is a consuming **Exercise** on a contract c, and p is a stakeholder of c or an actor on A. Note that a Daml flexible controller can be an exercise actor without being a contract stakeholder.

A is a non-consuming Exercise on a contract c, and p is a signatory of c or an actor on A.

A is an Exercise action and p is a choice observer on A.

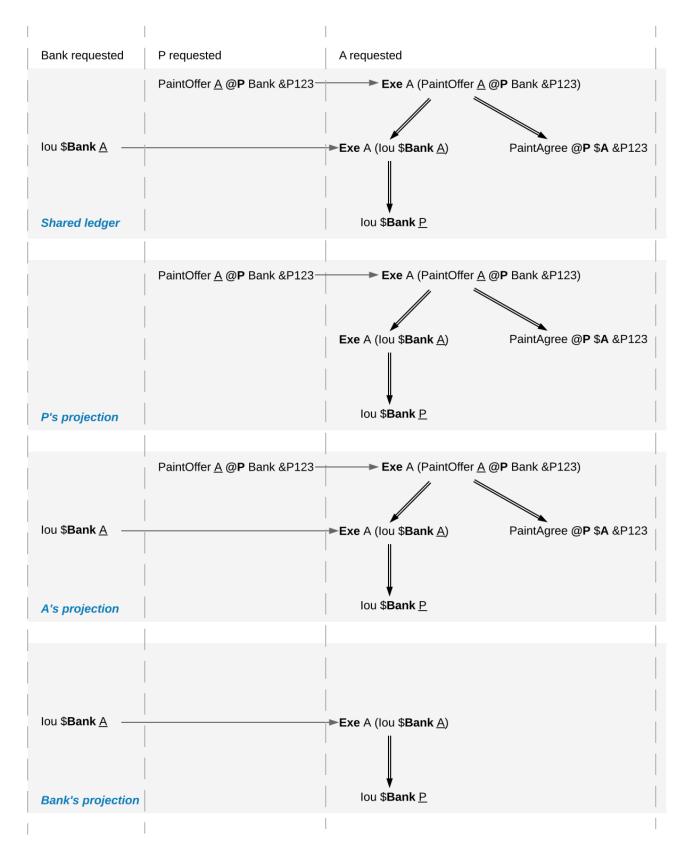
A is a **Fetch** on a contract c, and p is a signatory of c or an actor on A.

A is a **NoSuchKey** k assertion and p is a maintainer of k.

Then, we can formally define the **projection** of a transaction  $tx = act_1$ , ,  $act_n$  for a party p is the subtransaction obtained by doing the following for each action  $act_i$ :

- 1. If p is an informee of act<sub>i</sub>, keep act<sub>i</sub> as-is.
- 2. Else, if  $act_i$  has consequences, replace  $act_i$  by the projection (for p) of its consequences, which might be empty.
- 3. Else, drop acti.

Finally, the **projection of a ledger** *I* for a party *p* is a list of transactions obtained by first projecting the transaction of each commit in *I* for *p*, and then removing all empty transactions from the result. Note that the projection of a ledger is not a ledger, but a list of transactions. Projecting the ledger of our complete paint offer example yields the following projections for each party:



#### Examine each party's projection in turn:

1. The painter does not see any part of the first commit, as he is not a stakeholder of the *lou Bank A* contract. Thus, this transaction is not present in the projection for the painter at all. However, the painter is a stakeholder in the *PaintOffer*, so he sees both the creation and the exercise of this contract (again, recall that all consequences of an exercise action are a part of the action

itself).

- 2. Alice is a stakeholder in both the *Iou Bank A* and *PaintOffer A B Bank* contracts. As all top-level actions in the ledger are performed on one of these two contracts, Alice's projection includes all the transactions from the ledger intact.
- 3. The Bank is only a stakeholder of the IOU contracts. Thus, the bank sees the first commit's transaction as-is. The second commit's transaction is, however dropped from the bank's projection. The projection of the last commit's transaction is as described above.

Ledger projections do not always satisfy the definition of consistency, even if the ledger does. For example, in P's view, *lou Bank A* is exercised without ever being created, and thus without being made active. Furthermore, projections can in general be non-conformant. However, the projection for a party *p* is always

internally consistent for all contracts, consistent for all contracts on which p is a stakeholder, and consistent for the keys that p is a maintainer of.

In other words, p is never a stakeholder on any input contracts of its projection. Furthermore, if the contract model is **subaction-closed**, which means that for every action act in the model, all subactions of act are also in the model, then the projection is guaranteed to be conformant. As we will see shortly, Daml-based contract models are conformant. Lastly, as projections carry no information about the requesters, we cannot talk about authorization on the level of projections.

## 7.2.3.4 Privacy through authorization

Setting the maintainers as required authorizers for a **NoSuchKey** assertion ensures that parties cannot learn about the existence of a contract without having a right to know about their existence. So we use authorization to impose access controls that ensure confidentiality about the existence of contracts. For example, suppose now that for a *PaintAgreement* contract, both signatories are key maintainers, not only the painter. That is, we consider *PaintAgreement* @A @P &P123 instead of *PaintAgreement* \$A @P &P123. Then, when the painter's competitor Q passes by A's house and sees that the house desperately needs painting, Q would like to know whether there is any point in spending marketing efforts and making a paint offer to A. Without key authorization, Q could test whether a ledger implementation accepts the action **NoSuchKey** (A, P, refNo) for different guesses of the reference number refNo. In particular, if the ledger does not accept the transaction for some refNo, then Q knows that P has some business with A and his chances of A accepting his offer are lower. Key authorization prevents this flow of information because the ledger always rejects Q's action for violating the authorization rules.

For these access controls, it suffices if one maintainer authorizes a **NoSuchKey** assertion. However, we demand that *all* maintainers must authorize it. This is to prevent spam in the projection of the maintainers. If only one maintainer sufficed to authorize a key assertion, then a valid ledger could contain **NoSuchKey** *k* assertions where the maintainers of *k* include, apart from the requester, arbitrary other parties. Unlike **Create** actions to contract observers, such assertions are of no value to the other parties. Since processing such assertions may be expensive, they can be considered spam. Requiring all maintainers to authorize a **NoSuchKey** assertion avoids the problem.

#### 7.2.3.5 Divulgence: When Non-Stakeholders See Contracts

The guiding principle for the privacy model of Daml ledgers is that contracts should only be shown to their stakeholders. However, ledger projections can cause contracts to become visible to other parties as well.

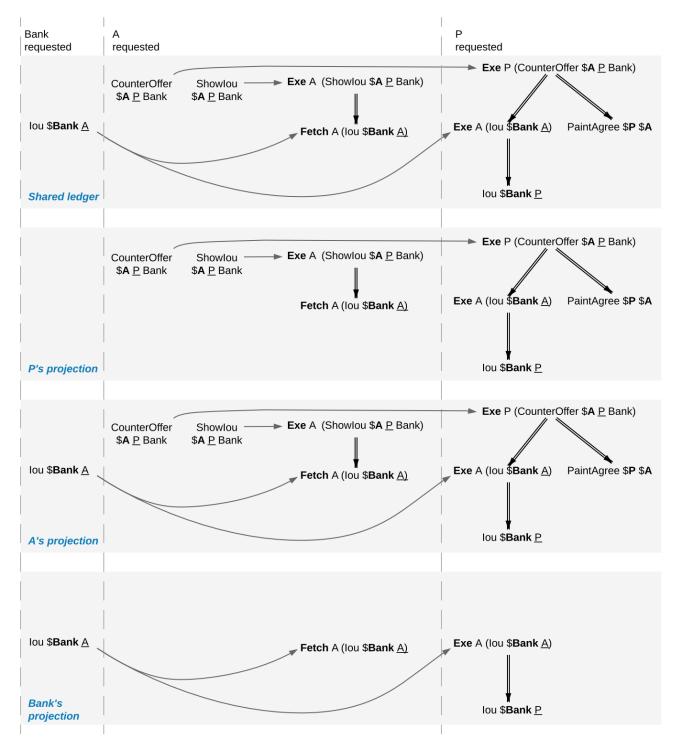
In the example of ledger projections of the paint offer, the exercise on the PaintOffer is visible to both the painter and Alice. As a consequence, the exercise on the lou Bank A is visible to the painter, and the

creation of lou Bank P is visible to Alice. As actions also contain the contracts they act on, lou Bank A was thus shown to the painter and lou Bank P was shown to Alice.

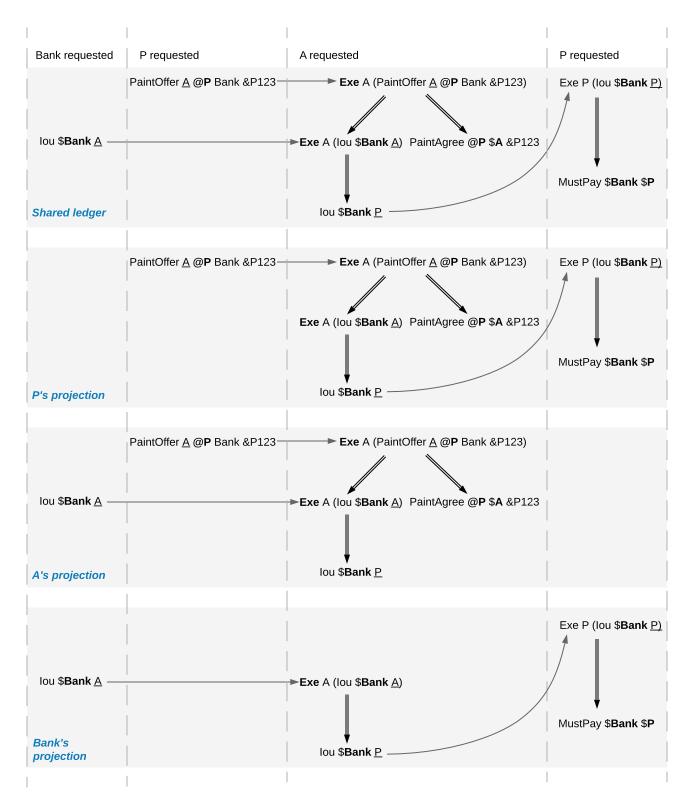
Showing contracts to non-stakeholders through ledger projections is called **divulgence**. Divulgence is a deliberate choice in the design of Daml ledgers. In the paint offer example, the only proper way to accept the offer is to transfer the money from Alice to the painter. Conceptually, at the instant where the offer is accepted, its stakeholders also gain a temporary stake in the actions on the two lou contracts, even though they are never recorded as stakeholders in the contract model. Thus, they are allowed to see these actions through the projections.

More precisely, every action act on c is shown to all informees of all ancestor actions of act. These informees are called the **witnesses** of act. If one of the witnesses W is not a stakeholder on c, then act and c are said to be **divulged** to W. Note that only **Exercise** actions can be ancestors of other actions.

Divulgence can be used to enable delegation. For example, consider the scenario where Alice makes a counteroffer to the painter. Painter's acceptance entails transferring the IOU to him. To be able to construct the acceptance transaction, the painter first needs to learn about the details of the IOU that will be transferred to him. To give him these details, Alice can fetch the IOU in a context visible to the painter:



In the example, the context is provided by consuming a *Showlou* contract on which the painter is a stakeholder. This now requires an additional contract type, compared to the original paint offer example. An alternative approach to enable this workflow, without increasing the number of contracts required, is to replace the original *lou* contract by one on which the painter is a contract observer. This would require extending the contract model with a (consuming) exercise action on the *lou* that creates a new *lou*, with observers of Alice's choice. In addition to the different number of commits, the two approaches differ in one more aspect. Unlike stakeholders, parties who see contracts only through divulgence have no guarantees about the state of the contracts in question. For example, consider what happens if we extend our (original) paint offer example such that the painter immediately settles the IOU.



While Alice sees the creation of the *Iou Bank P* contract, she does not see the settlement action. Thus, she does not know whether the contract is still active at any point after its creation. Similarly, in the previous example with the counteroffer, Alice could spend the IOU that she showed to the painter by the time the painter attempts to accept her counteroffer. In this case, the painter's transaction could not be added to the ledger, as it would result in a double spend and violate validity. But the painter has no way to predict whether his acceptance can be added to the ledger or not.

## 7.2.4 Daml: Defining Contract Models Compactly

As described in preceding sections, both the integrity and privacy notions depend on a contract model, and such a model must specify:

- 1. a set of allowed actions on the contracts, and
- 2. the signatories, contract observers, and
- 3. an optional agreement text associated with each contract, and
- 4. the optional key associated with each contract and its maintainers.

The sets of allowed actions can in general be infinite. For instance, the actions in the IOU contract model considered earlier can be instantiated for an arbitrary obligor and an arbitrary owner. As enumerating all possible actions from an infinite set is infeasible, a more compact way of representing models is needed.

Daml provides exactly that: a compact representation of a contract model. Intuitively, the allowed actions are:

- 1. **Create** actions on all instances of templates such that the template arguments satisfy the ensure clause of the template
- 2. **Exercise** actions on a contract corresponding to choices on that template, with given choice arguments, such that:
  - 1. The actors match the controllers of the choice. That is, the controllers define the required authorizers of the choice.
  - 2. The choice observers match the observers annotated in the choice.
  - 3. The exercise kind matches.
  - 4. All assertions in the update block hold for the given choice arguments.
  - 5. Create, exercise, fetch and key statements in the update block are represented as create, exercise and fetch actions and key assertions in the consequences of the exercise action.
- 3. **Fetch** actions on a contract corresponding to a fetch of that instance inside of an update block. The actors must be a non-empty subset of the contract stakeholders. The actors are determined dynamically as follows: if the fetch appears in an update block of a choice ch on a contract c1, and the fetched contract ID resolves to a contract c2, then the actors are defined as the intersection of (1) the signatories of c1 union the controllers of ch with (2) the stakeholders of

A fetchByKey statement also produces a **Fetch** action with the actors determined in the same way. A lookupByKey statement that finds a contract also translates into a **Fetch** action, but all maintainers of the key are the actors.

4. **NoSuchKey** assertions corresponding to a *lookupByKey* update statement for the given key that does not find a contract.

An instance of a Daml template, that is, a **Daml contract**, is a triple of:

- 1. a contract identifier
- 2. the template identifier
- 3. the template arguments

The signatories of a Daml contract are derived from the template arguments and the explicit signatory annotations on the contract template. The contract observers are also derived from the template arguments and include:

- 1. the observers as explicitly annotated on the template
- 2. all controllers c of every choice defined using the syntax controller c can... (as opposed to the syntax choice ... controller c)

For example, the following template exactly describes the contract model of a simple IOU with a unit

amount, shown earlier.

```
template MustPay with
   obligor : Party
   owner : Party
 where
   signatory obligor, owner
   agreement
     show obligor <> " must pay " <>
      show owner <> " one unit of value"
template Iou with
   obligor : Party
   owner : Party
 where
   signatory obligor
   controller owner can
      Transfer
        : ContractId Iou
       with newOwner : Party
       do create Iou with obligor; owner = newOwner
   controller owner can
      Settle
        : ContractId MustPay
       do create MustPay with obligor; owner
```

In this example, the owner is automatically made an observer on the contract, as the Transfer and Settle choices use the controller owner can syntax.

The template identifiers of contracts are created through a content-addressing scheme. This means every contract is self-describing in a sense: it constrains its stakeholder annotations and all Daml-conformant actions on itself. As a consequence, one can talk about the Daml contract model, as a single contract model encoding all possible instances of all possible templates. This model is subaction-closed; all exercise and create actions done within an update block are also always permissible as top-level actions.

# 7.3 Identity and Package Management

Since Daml ledgers enable parties to automate the management of their rights and obligations through smart contract code, they also have to provide party and code management functions. Hence, this document addresses:

- 1. Management of parties' digital identifiers in a Daml ledger.
- 2. Distribution of smart contract code between the parties connected to the same Daml ledger.

The access to this functionality is usually more restricted compared to the other Ledger API services, as they are part of the administrative API. This document is intended for the users and implementers of this API.

The administrative part of the Ledger API provides both a party management service and a package service. Any implementation of the party and package services is guaranteed to accept inputs and

provide outputs of the format specified by these services. However, the services' behavior – the relationship between the inputs and outputs that the various parties observe – is largely implementation dependent. The remainder of the document will present:

- The minimal behavioral guarantees for identity and package services across all ledger implementations. The service users can rely on these guarantees, and the implementers must ensure that they hold.
- 2. Guidelines for service users, explaining how the *ledger's topology* influences the unspecified part of the behavior.

## 7.3.1 Identity Management

A Daml ledger may freely define its own format of party and participant node identifiers, with some minor constraints on the identifiers' serialized form. For example, a ledger may use human-readable strings as identifiers, such as Alice or Alice's Bank. A different ledger might use public keys as identifiers, or the keys' fingerprints. The applications should thus not rely on the format of the identifier – even a software upgrade of a Daml ledger may introduce a new format.

By definition, identifiers identify parties, and are thus unique for a ledger. They do not, however, have to be unique across different ledgers. That is, two identical identifiers in two different ledgers do not necessarily identify the same real-world party. Moreover, a real-world entity can have multiple identifiers (and thus parties) within the same ledger.

Since the identifiers might be difficult to interpret and manage for humans, the ledger may also accompany each identifier with a user-friendly **display name**. Unlike the identifier, the display name is not guaranteed to be unique, and two different participant nodes might return different display names for the same party identifier. Furthermore, a display name is in general not guaranteed to have any link to real world identities. For example, a party with a display name Attorney of Nigerian Prince might well be controlled by a real-world entity without a bar exam. However, particular ledger deployments might make stronger guarantees about this link. Finally, the association of identifiers to display names may change over time. For example, a party might change its display name from Bruce to Caitlyn – as long as the identifier remains the same, so does the party.

## 7.3.1.1 Provisioning Identifiers

The set of parties of any Daml ledger is dynamic: new parties may always be added to the system. The first step in adding a new party to the ledger is to provision a new identifier for the party. The Ledger API provides an *AllocateParty* method for this purpose. The method, if successful, returns an new party identifier. The AllocateParty call can take the desired identifier and display name as optional parameters, but these are merely hints and the ledger implementation may completely ignore them.

If the call returns a new identifier, the participant node serving this call is ready to host the party with this identifier. In global state topologies, the returned identifier is guaranteed to be **unique** in the ledger; namely, no other call of the AllocateParty method at this or any other ledger participant may return the same identifier. In partitioned state topologies, the identifier is also unique as long as the participant node is configured correctly (in particular, it does not share its private key with other participant nodes). If the ledger has a *global state topology*, the new identifier will generally be allocated and vetted by the operator of the writer node(s). For example, in the *replicated committer topology*, the committers can jointly decide on whether to approve the provisioning, and which identifier to return. If they refuse to provision the identifier, the method call fails.

After an identifier is returned, the ledger is set up in such a way that the participant node serving the call is allowed to issue commands and receive transactions on behalf of the party. However, the newly provisioned identifier need not be visible to the other participant nodes. For example,

consider the setup with two participants P1 and P2, where the party Alice\_123 is hosted on P1. Assume that a new party Bob\_456 is next successfully allocated on P2. This does not yet guarantee that Alice\_123 can now submit a command creating a new contract with Bob\_456 as an observer. In general, Alice\_123 will be able to do this in a ledger with a global state topology. In such ledgers, the nodes holding the physical shared ledger typically also maintain a central directory of all parties in the system. However, such a directory may not exist for a ledger with a partitioned topology. In fact, in such a ledger, the participants P1 and P2 might not have a way to communicate to each other, or might not even be aware of each other's existence.

For diagnostics, the ledger also provides a *ListKnownParties* method which lists parties known to the participant node. The parties can be local (i.e., hosted by the participant) or not.

## 7.3.1.2 Identifiers and Authorization

To issue commands or receive transactions on behalf of a newly provisioned party, an application must provide a proof to the party's hosting participant that they are authorized to represent the party. Before the newly provisioned party can be used, the application will have to obtain a token for this party. The issuance of tokens is specific to each ledger and independent of the Ledger API. The same is true for the policy which the participants use to decide whether to accept a token.

To learn more about Ledger API security model, please read the Authorization documentation.

#### 7.3.1.3 Identifiers and the Real World

The substrate on which Daml workflows are built are the real-world obligations of the parties in the workflow. To give value to these obligations, they must be connected to parties in the real world. However, the process of linking party identifiers to real-world entities is left to the ledger implementation.

A *global state topology* might simplify the process by trusting the operator of the writer node(s) with providing the link to the real world. For example, if the operator is a stock exchange, it might guarantee that a real-world exchange participant whose legal name is Bank Inc. is represented by a ledger party with the identifier Bank Inc. . Alternatively, it might use a random identifier, but guarantee that the display name is Bank Inc. . Ledgers with *partitioned topologies* in general might not have such a single store of identities. The solutions for linking the identifiers to real-world identities could rely on certificate chains, *verifiable credentials*, or other mechanisms. The mechanisms can be implemented off-ledger, using Daml workflows (for instance, a know your customer workflow), or a combination of these.

## 7.3.2 Package Management

All Daml ledgers implement endpoints that allow for provisioning new Daml code to the ledger. The vetting process for this code, however, depends on the particular ledger implementation and its configuration. The remainder of this section describes the endpoints and general principles behind the vetting process. The details of the process are ledger-dependent.

## 7.3.2.1 Package Formats and Identifiers

Any code – i.e., Daml templates – to be uploaded must compiled down to the <code>Daml-LF</code> language. The unit of packaging for <code>Daml-LF</code> is the <code>.dalf</code> file. Each <code>.dalf</code> file is uniquely identified by its <code>package</code> identifier, which is the hash of its contents. Templates in a <code>.dalf</code> file can reference templates from other <code>.dalf</code> files, i.e., <code>.dalf</code> files can depend on other <code>.dalf</code> files. A <code>.dar</code> file is a simple archive containing multiple <code>.dalf</code> files, and has no identifier of its own. The archive provides a convenient way to package <code>.dalf</code> files together with their dependencies. The <code>Ledger API</code> supports only <code>.dar</code> file

uploads. Internally, the ledger implementation need not (and often will not) store the uploaded .dar files, but only the contained .dalf files.

## 7.3.2.2 Package Management API

The package management API supports two methods:

UploadDarFile for uploading .dar files. The ledger implementation is, however, free to reject any and all packages and return an error. Furthermore, even if the method call succeeds, the ledger's vetting process might restrict the usability of the template. For example, assume that Alice successfully uploads a .dar file to her participant containing a NewTemplate template. It may happen that she can now issue commands that create NewTemplate instances with Bob as a stakeholder, but that all commands that create NewTemplate instances with Charlie as a stakeholder fail.

ListKnownPackages that lists the .dalf package vetted for usage at the participant node. Like with the previous method, the usability of the listed templates depends on the ledger's vetting process.

## 7.3.2.3 Package Vetting

Using a Daml package entails running its Daml code. The Daml interpreter ensures that the Daml code cannot interact with the environment of the system on which it is executing. However, the operators of the ledger infrastructure nodes may still wish to review and vet any Daml code before allowing it to execute. One reason for this is that the Daml interpreter currently lacks a notion of reproducible resource limits, and executing a Daml contract might result in high memory or CPU usage.

Thus, Daml ledgers generally allow some form of vetting a package before running its code on a node. Not all nodes in a Daml ledger must vet all packages, as it is possible that some of them will not execute the code. For example, in *global state topologies*, every *trust domain* that controls how commits are appended to the shared ledger must execute Daml code. Thus, the operators of these trust domains will in general be allowed to vet the code before they execute it. The exact vetting mechanism is ledger-dependent. For example, in the *Daml Sandbox*, the vetting is implicit: uploading a package through the Ledger API already vets the package, since it's assumed that only the system administrator has access to these API facilities. In a replicated ledger, the vetting might require consent from all or a quorum of replicas. The vetting process can be manual, where an administrator inspects each package, or it can be automated, for example, by accepting only packages with a digital signature from a trusted package issuer.

In partitioned topologies, individual trust domains store only parts of the ledger. Thus, they only need to approve packages whose templates are used in the ledger part visible to them. For example, in Daml on R3 Corda, participants only need to approve code for the contracts in their parties' projections. If non-validating Corda notaries are used, they do not need to vet code. If validating Corda notaries are used, they can also choose which code to vet. In Canton, participant nodes also only need to vet code for the contracts of the parties they host. As only participants execute contract code, only they need to vet it. The vetting results may also differ at different participants. For example, participants P1 and P2 might vet a package containing a NewTemplate template, whereas P3 might reject it. In that case, if Alice is hosted at P1, she can create NewTemplate instances with stakeholder Bob who is hosted at P2, but not with stakeholder Charlie if he's hosted at P3.

## 7.3.2.4 Package Upgrades

The Ledger API does not have any special support for package upgrades. A new version of an existing package is treated the same as a completely new package, and undergoes the same vetting process.

Upgrades to active contracts can be done by the Daml code of the new package version, by archiving the old contracts and creating new ones.

## 7.4 Time

The Daml language contains a function *getTime* which returns the current time. However, the notion of time comes with a lot of problems in a distributed setting.

This document describes the detailed semantics of time on Daml ledgers, centered around the two timestamps assigned to each transaction: the ledger time lt TX and the record time rt TX.

## 7.4.1 Ledger time

The ledger time lt\_TX is a property of a transaction. It is a timestamp that defines the value of all getTime calls in the given transaction, and has microsecond resolution. The ledger time is assigned by the submitting participant as part of the Daml command interpretation.

## 7.4.2 Record time

The record time rt\_TX is another property of a transaction. It is timestamp with microsecond resolution, and is assigned by the ledger when the transaction is recorded on the ledger.

The record time should be an intuitive representation of real time, but the Daml ledger model does not prescribe how exactly the record time is assigned. Each ledger implementation might use a different way of representing time in a distributed setting - for details, contact your ledger operator.

#### 7.4.3 Guarantees

The ledger time of valid transaction TX must fulfill the following rules:

- 1. Causal monotonicity: for any action (create, exercise, fetch, lookup) in TX on a contract C, lt TX >= lt C, where lt C is the ledger time of the transaction that created C.
- 2. Bounded skew: rt\_TX skew\_min <= lt\_TX <= rt\_TX + skew\_max, where skew\_min and skew max are parameters defined by the ledger.

Apart from that, no other guarantees are given on the ledger time. In particular, neither the ledger time nor the record time need to be monotonically increasing.

Time has therefore to be considered slightly fuzzy in Daml, with the fuzziness depending on the skew parameters. Daml applications should not interpret the value returned by getTime as a precise timestamp.

## 7.4.4 Ledger time model

The ledger time model is the set of parameters used in the assignment and validation of ledger time. It consists of the following:

- 1.  $skew_min and skew_max$ , the bounds on the difference between  $lt_TX$  and  $rt_TX$ .
- 2. transaction\_latency, the average duration from the time a transaction is submitted from a participant to the ledger until the transaction is recorded. This value is used by the participant to account for latency when submitting transactions to the ledger: transactions are submitted slightly ahead of their ledger time, with the intention that they arrive at lt\_TX == rt\_TX.

The ledger time model is part of the ledger configuration and can be changed by ledger operators through the SetTimeModel config management API.

7.4. Time 493

## 7.4.5 Assigning ledger time

The ledger time is assigned automatically by the participant. In most cases, Daml applications will not need to worry about ledger time and record time at all.

For reference, this section describes the details of how the ledger time is currently assigned. The algorithm is not part of the definition of time in Daml, and may change in the future.

- 1. When submitting commands over the ledger API, users can optionally specify a min\_ledger\_time\_rel or min\_ledger\_time\_abs argument. This defines a lower bound for the ledger time in relative and absolute terms, respectively.
- 2. The ledger time is set to the highest of the following values:
  - 1.  $max(lt_C_1, ..., lt_C_n)$ , the maximum ledger time of all contracts used by the given transaction
  - 2. t p, the local time on the participant
  - 3. t p + min ledger time rel, if min ledger time rel is given
  - 4. min ledger time abs, if min ledger time abs is given
- 3. Since the set of commands used by given transaction can depend on the chosen time, the above process might need to be repeated until a suitable ledger time is found.
- 4. If no suitable ledger time is found after 3 iterations, the submission is rejected. This can happen if there is contention around a contract, or if the transaction uses a very fine-grained control flow based on time.
- 5. At this point, the ledger time may lie in the future (e.g., if a large value for min\_ledger\_time\_rel was given). The participant waits until lt\_TX transaction\_latency before it submits the transaction to the ledger the intention is that the transaction is record at lt TX == rt TX.

Use the parameters  $\min_{\text{ledger\_time\_rel}} \text{ and } \min_{\text{ledger\_time\_abs}} \text{ if you expect that command interpretation will take a considerate amount of time, such that by the time the resulting transaction is submitted to the ledger, its assigned ledger time is not valid anymore. Note that these parameters can only make sure that the transaction arrives roughly at rt_TX at the ledger. If a subsequent validation on the ledger takes longer than skew_max, the transaction will still be rejected and you'll have to ask your ledger operator to increase the skew max time model parameter.$ 

## 7.5 Causality and Local Ledgers

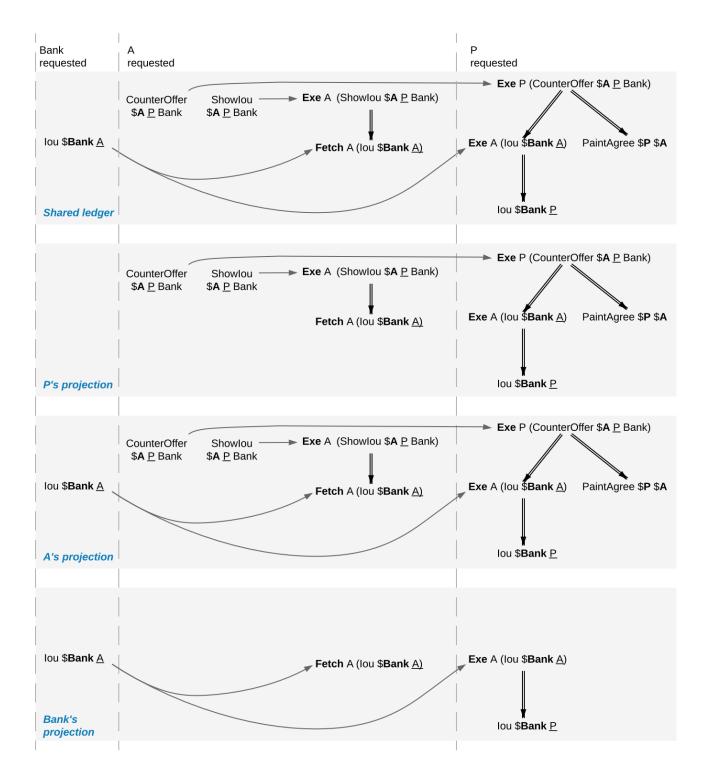
Daml ledgers do not totally order all transactions. So different parties may observe two transactions on different Participant Nodes in different orders via the Ledger API. Moreover, different Participant Nodes may output two transactions for the same party in different orders. This document explains the ordering guarantees that Daml ledgers do provide, by example and formally via the concept of causality graphs and local ledgers.

The presentation assumes that you are familiar with the following concepts:

The Ledger API
The Daml Ledger Model

## 7.5.1 Causality examples

A Daml Ledger need not totally order all transaction, unlike ledgers in the Daml Ledger Model. The following examples illustrate these ordering guarantees of the Ledger API. They are based on the paint counteroffer workflow from the Daml Ledger Model's *privacy section*, ignoring the total ordering coming from the Daml Ledger Model. Recall that the party projections are as follows.



### 7.5.1.1 Stakeholders of a contract see creation and archival in the same order.

Every Daml Ledger orders the creation of the CounterOffer A P Bank before the painter exercising the consuming choice on the CounterOffer. (If the **Create** was ordered after the **Exercise**, the resulting shared ledger would be inconsistent, which violates the validity guarantee of Daml ledgers.) Accordingly, Alice will see the creation before the archival on her transaction stream and so will the painter. This does not depend on whether they are hosted on the same Participant Node.

# 7.5.1.2 Signatories of a contract and stakeholder actors see usages after the creation and before the archival.

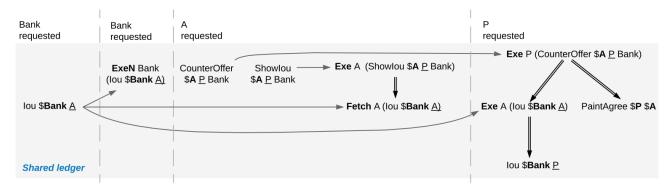
The Fetch A (Iou Bank A) action comes after the creation of the Iou Bank A and before its archival, for both Alice and the Bank, because the Bank is a signatory of the Iou Bank A contract and Alice is a stakeholder of the Iou Bank A contract and an actor on the **Fetch** action.

#### 7.5.1.3 Commits are atomic.

Alice sees the **Create** of her *lou* before the creation of the *CounterOffer*, because the *CounterOffer* is created in the same commit as the **Fetch** of the *lou* and the **Fetch** commit comes after the **Create** of the *lou*.

## 7.5.1.4 Non-consuming usages in different commits may appear in different orders.

Suppose that the Bank exercises a non-consuming choice on the *Iou Bank* A without consequences while Alice creates the *CounterOffer*. In the ledger shown below, the Bank's commit comes before Alice's commit.



The Bank's projection contains the nonconsuming **Exercise** and the **Fetch** action on the *lou*. Yet, the **Fetch** may come before the non-consuming **Exercise** in the Bank's transaction tree stream.

## 7.5.1.5 Out-of-band causality is not respected.

The following examples assume that Alice splits up her commit into two as follows:

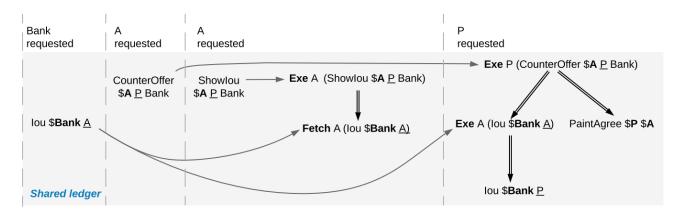


Fig. 10: Counteroffer workflow with four commits.

Alice can specify in the CounterOffer the lou that she wants to pay the painter with. In a deployed implementation, Alice's application first observes the created lou contract via the transaction service or active contract service before she requests to create the CounterOffer. Such application logic does

not induce an ordering between commits. So the creation of the CounterOffer need not come after the creation of the lou.

If Alice is hosted on several Participant Nodes, the Participant Nodes can therefore output the two creations in either order.

The rationale for this behaviour is that Alice could have learnt about the contract ID out of band or made it up. The Participant Nodes therefore cannot know whether there will ever be a **Create** event for the contract. So if Participant Nodes delayed outputting the **Create** action for the *CounterOffer* until a **Create** event for the *Iou* contract was published, this delay might last forever and liveness is lost. Daml ledgers therefore do not capture data flow through applications.

#### 7.5.1.6 Divulged actions do not induce order.

The painter witnesses the fetching of Alice's *lou* when the *Showlou* contract is consumed. The painter also witnesses the **Exercise** of the *lou* when Alice exercises the transfer choice as a consequence of the painter accepting the *CounterOffer*. However, as the painter is not a stakeholder of Alice's *lou* contract, he may observe Alice's *Showlou* commit after the archival of the *lou* as part of accepting the *CounterOffer*.

In practice, this can happen in a setup where two Participant Nodes  $N_1$  and  $N_2$  host the painter. He sees the divulged *lou* and the created *CounterOffer* through  $N_1$ 's transaction tree stream and then submits the acceptance through  $N_1$ . Like in the previous example,  $N_2$  does not know about the dependence of the two commits. Accordingly,  $N_2$  may output the accepting transaction before the Showlou contract on the transaction stream.

Even though this may seem unexpected, it is in line with stakeholder-based ledgers: Since the painter is not a stakeholder of the *lou* contract, he should not care about the archivals or creates of the contract. In fact, the divulged *lou* contract shows up neither in the painter's active contract service nor in the flat transaction stream. Such witnessed events are included in the transaction tree stream as a convenience: They relieve the painter from computing the consequences of the choice and enable him to check that the action conforms to the Daml model.

Similarly, being an actor of an **Exercise** action induces order with respect to other uses of the contract only if the actor is a contract stakeholder. This is because non-stakeholder actors of an **Exercise** action merely authorize the action, but they do not track whether the contract is active; this is what signatories and contract observers are for. Analogously, choice observers of an **Exercise** action benefit from the ordering guarantees only if they are contract stakeholders.

# 7.5.1.7 The ordering guarantees depend on the party.

By the previous example, for the painter, fetching the *lou* is not ordered before transferring the *lou*. For Alice, however, the **Fetch** must appear before the **Exercise** because Alice is a stakeholder on the *lou* contract. This shows that the ordering guarantees depend on the party.

# 7.5.2 Causality graphs

The above examples indicate that Daml ledgers order transactions only partially. Daml ledgers can be represented as finite directed acyclic graphs (DAG) of transactions.

**Definition causality graph** A **causality graph** is a finite directed acyclic graph G of transactions that is transitively closed. Transitively closed means that whenever  $v_1 \rightarrow v_2$  and  $v_2 \rightarrow v_3$  are edges in G, then there is also an edge  $v_1 \rightarrow v_3$  in G.

**Definition action order** For a causality graph G, the induced **action order** on the actions in the transactions combines the graph-induced order between transactions with the execution or-

der of actions inside each transaction. It is the least partial order that includes the following ordering relations between two actions act<sub>1</sub> and act<sub>2</sub>:

 $act_1$  and  $act_2$  belong to the same transaction and  $act_1$  precedes  $act_2$  in the transaction.  $act_1$  and  $act_2$  belong to different transactions in vertices  $tx_1$  and  $tx_2$  and there is a path in G from  $tx_1$  to  $tx_2$ .

**Note:** Checking for an edge instead of a path in G from  $tx_1$  to  $tx_2$  is equivalent because causality graphs are transitively closed. The definition uses path because the figures below omit transitive edges for readability.

The action order is a partial order on the actions in a causality graph. For example, the following diagram shows such a causality graph for the ledger in the above Out-of-band causality example. Each grey box represents one transaction and the graph edges are the solid arrows between the boxes. Diagrams omit transitive edges for readability; in this graph the edge from tx1 to tx4 is not shown. The Create action of Alice's lou is ordered before the Create action of the Showlou contract because there is an edge from the transaction tx1 with the lou Create to the transaction tx3 with the Showlou Create. Moreover, the Showlou Create action is ordered before the Fetch of Alice's lou because the Create action precedes the Fetch action in the transaction. In contrast, the Create actions of the CounterOffer and Alice's lou are unordered: neither precedes the other because they belong to different transaction and there is no directed path between them.

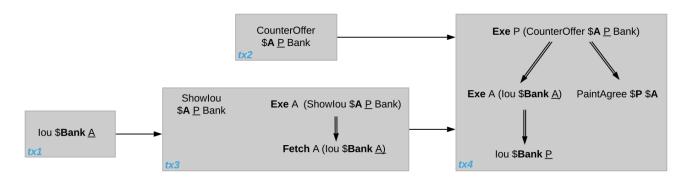


Fig. 11: Causality graph for the counteroffer workflow with four commits.

#### 7.5.2.1 Consistency

Consistency ensures that a causality graph sufficiently orders all the transactions. It generalizes ledger consistency from the Daml Ledger Model as explained below.

**Definition** Causal consistency for a contract Let G be a causality graph and X be a set of actions on a contract c that belong to transactions in G. The graph G is causally consistent for the contract c on X if all of the following hold:

If X is not empty, then X contains exactly one **Create** action. This action precedes all other actions in X in G's action order.

If X contains a consuming **Exercise** action act, then act follows all actions in X other than act in G's action order.

**Definition** Causal consistency for a key Let G be a causality graph and X be a set of actions on a key k that belong to transactions in G. The graph G is causally consistent for the key k on X if all of the following hold:

All **Create** and consuming **Exercise** actions in *X* are totally ordered in *G*'s action order and **Create**s and consuming **Exercise**s alternate, starting with **Create**. Every consecutive **Create**-**Exercise** pair acts on the same contract.

All **NoSuchKey** actions in *X* are action-ordered with respect to all **Create** and consuming **Exercise** actions in *X*. No **NoSuchKey** action is action-ordered between a **Create** action and its subsequent consuming **Exercise** action in *X*.

**Definition** Consistency for a causality graph Let X be a subset of the actions in a causality graph G. Then G is consistent on X (or X-consistent) if G is causally consistent for all contracts c on the set of actions on c in X and for all keys k on the set of actions on k in X. G is **consistent** if G is consistent on all the actions in G.

When edges are added to an X-consistent causality graph such that it remains acyclic and transitively closed, the resulting graph is again X-consistent. So it makes sense to consider minimal consistent causality graphs.

**Definition Minimal consistent causality graph** An X-consistent causality graph G is X-minimal if no strict subgraph of G (same vertices, fewer edges) is an X-consistent causality graph. If X is the set of all actions in G, then X is omitted.

For example, the above causality graph for the split counteroffer workflow is consistent. This causality graph is minimal, as the following analysis shows:

Edge	Justification
tx1 -> tx3	Alice's lou <b>Create</b> action of must precede the <b>Fetch</b> action.
tx2 -> tx4	The CounterOffer Create action of must precede the Exercise action.
tx3 -> tx4	The consuming <b>Exercise</b> action on Alice's <i>lou</i> must follow the <b>Fetch</b> action.

We can focus on parts of the causality graph by restricting the set X. If X consists of the actions on lou contracts, this causality graph is X-consistent. Yet, it is not X-minimal since the edge tx2 -> tx4 can be removed without violating X-consistency: the edge is required only because of the CounterOffer actions, which are excluded from X. The X-minimal consistent causality graph looks as follows, where the actions in X are highlighted in red.

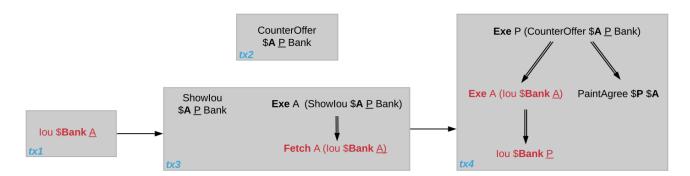
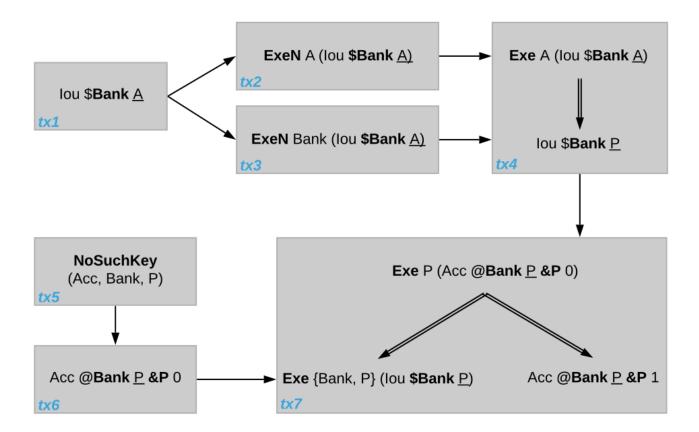


Fig. 12: Minimal consistent causality graph for the highlighted actions.

Another example of a minimal causality graph is shown below. At the top, the transactions tx1 to tx4 create an *lou* for Alice, exercise two non-consuming choices on it, and transfer the *lou* to the painter. At the bottom, tx5 asserts that there is no key for an Account contract for the painter. Then, tx6 creates an such account with balance O and tx7 deposits the painter's *lou* from tx4 into the account, updating the balance to 1.



Unlike in a linearly ordered ledger, the causality graph relates the transactions of the *lou* transfer workflow with the *Account* creation workflow only at the end, when the *lou* is deposited into the account. As will be formalized below, the Bank, Alice, and the painter therefore need not observe the transactions *tx1* to *tx7* in the same order.

Moreover, transaction tx2 and tx3 are unordered in this causality graph even though they act on the same *lou* contract. However, as both actions are non-consuming, they do not interfere with each other and could therefore be parallelized, too. Alice and the Bank accordingly may observe them in different orders.

The **NoSuchKey** action in tx5 must be ordered with respect to the two Account **Create** actions in tx6 and tx7 and the consuming **Exercise** on the Account contract in tx7, by the key consistency conditions. For this set of transactions, consistency allows only one such order: tx5 comes before tx6 because tx7 is atomic: tx5 cannot be interleaved with tx7, e.g., between the consuming **Exercise** of the Acc Bank P P 0 and the **Create** of the updated account Acc Bank P P 1.

**NoSuchKey** actions are similar to non-consuming **Exercise**s and **Fetch**es of contracts when it comes to causal ordering: If there were another transaction tx5' with a **NoSuchKey** (Acc, Bank, P) action, then tx5 and tx5' need not be ordered, just like tx2 and tx3 are unordered.

#### 7.5.2.2 From causality graphs to ledgers

Since causality graphs are acyclic, their vertices can be sorted topologically and the resulting list is again a causality graph, where every vertex has an outgoing edge to all later vertices. If the original causality graph is X-consistent, then so is the topological sort, as topological sorting merely adds edges. For example, the transactions on the ledger in the out-of-band causality example are a topological sort of the corresponding causality graph.

Conversely, we can reduce an X-consistent causality graph to only the causal dependencies that X-consistency imposes. This gives a minimal X-consistent causality graph.

**Definition Reduction of a consistent causality graph** For an X-consistent causality graph G, there exists a unique minimal X-consistent causality graph  $reduce_X(G)$  with the same vertices and the edges being a subset of G.  $reduce_X(G)$  is called the X-**reduction** of G. As before, G is omitted if it contains all actions in G.

The causality graph for the split CounterOffer workflow is minimal and therefore its own reduction. It is also the reduction of the topological sort, i.e., the ledger in the out-of-band causality example.

**Note:** The reduction  $reduce_X(G)$  of an X-consistent causality graph G can be computed as follows:

- 1. Set the vertices of G' to the vertices of G.
- 2. The causal consistency conditions for contracts and keys demand that certain pairs of actions act<sub>1</sub> and act<sub>2</sub> in X must be action-ordered. For each such pair, determine the actions' ordering in G and add an edge to G' from the earlier action's transaction to the later action's transaction.
- 3.  $reduce_X(G)$  is the transitive closure of G'.

Topological sort and reduction link causality graphs G to the ledgers L from the Daml Ledger Model. Topological sort transforms a causality graph G into a sequence of transactions; extending them with the requesters gives a sequence of commits, i.e., a ledger in the Daml Ledger Model. Conversely, a sequence of commits L yields a causality graph  $G_L$  by taking the transactions as vertices and adding an edge from tx1 to tx2 whenever tx1's commit precedes tx2's commit in the sequence.

There are now two consistency definitions:

Ledger Consistency according to Daml Ledger Model Consistency of causality graph

Fortunately, the two definitions are equivalent: If G is a consistent causality graph, then the topological sort is ledger consistent. Conversely, if the sequence of commits L is ledger consistent,  $G_L$  is a consistent causality graph, and so is the reduction  $reduce(G_L)$ .

# 7.5.3 Local ledgers

As explained in the Daml Ledger Model, parties see only a *projection* of the shared ledger for privacy reasons. Like consistency, projection extends to causality graphs as follows.

**Definition Stakeholder informee** A party P is a **stakeholder informee** of an action act if all of the following holds:

P is an informee of act.

If act is an action on a contract then P is a stakeholder of the contract.

An Exercise and Fetch action acts on the input contract, a Create action on the created contract, and a NoSuchKey action does not act on a contract. So for a NoSuchKey action, the stakeholder informees are the key maintainers.

**Definition** Causal consistency for a party A causality graph G is consistent for a party P (P-consistent) if G is consistent on all the actions that P is a stakeholder informee of.

The notions of X-minimality and X-reduction extend to parties accordingly.

For example, the split counteroffer causality graph without the edge tx2 -> tx4 is consistent for the Bank because the Bank is a stakeholder informee of exactly the highlighted actions. It is also minimal Bank-consistent and the Bank-reduction of the original split counteroffer causality graph.

**Definition** Projection of a consistent causality graph The projection  $proj_P(G)$  of a consistent causality graph G to a party P is the P-reduction of the following causality graph G':

The vertices of *G*' are the vertices of *G* projected to *P*, excluding empty projections. There is an edge between two vertices *v*<sub>1</sub> and *v*<sub>2</sub> in *G*' if there is an edge from the *G*-vertex corresponding to *v*<sub>1</sub> to the *G*-vertex corresponding to *v*<sub>2</sub>.

For the *split counteroffer causality graph*, the projections to Alice, the Bank, and the painter are as follows.

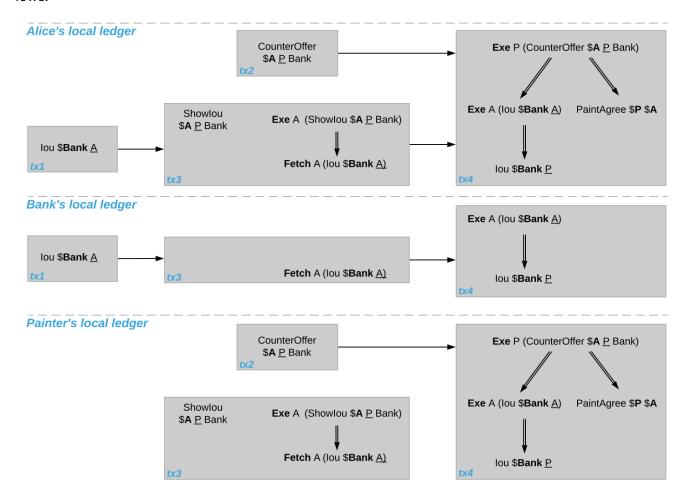


Fig. 13: Projections of the split counteroffer causality graph.

Alice's projection is the same as the original minimal causality graph. The Bank sees only actions on lou contracts, so the causality graph projection does not contain tx2 any more. Similarly, the painter is not aware of tx1, where Alice's lou is created. Moreover, there is no longer an edge from tx3 to tx4 in the painter's local ledger. This is because the edge is induced by the **Fetch** of Alice's lou preceding the consuming **Exercise**. However, the painter is not an informee of those two actions; he merely witnesses the **Fetch** and **Exercise** actions as part of divulgence. Therefore no ordering is required from the painter's point of view. This difference explains the divulgence causality example.

#### 7.5.3.1 Ledger API ordering guarantees

The Transaction Service provides the updates as a stream of Daml transactions and the Active Contract Service summarizes all the updates up to a given point by the contracts that are active at this point. Conceptually, both services are derived from the local ledger that the Participant Node manages for each hosted party. That is, the transaction tree stream for a party is a topological sort of the party's local ledger. The flat transaction stream contains precisely the CreatedEvents and ArchivedEvents that correspond to Create and consuming Exercise actions in transaction trees on the transaction tree stream where the party is a stakeholder of the affected contract.

**Note:** The transaction trees of the *Transaction Service* omit **Fetch** and **NoSuchKey** actions that are part of the transactions in the local ledger. The **Fetch** and **NoSuchKey** actions are thus removed before the *Transaction Service* outputs the transaction trees.

Similarly, the active contract service provides the set of contracts that are active at the returned offset according to the Transaction Service streams. That is, the contract state changes of all events from the transaction event stream are taken into account in the provided set of contracts. In particular, an application can process all subsequent events from the flat transaction stream or the transaction tree stream without having to take events before the snapshot into account.

Since the topological sort of a local ledger is not unique, different Participant Nodes may pick different orders for the transaction streams of the same party. Similarly, the transaction streams for different parties may order common transactions differently, as the party's local ledgers impose different ordering constraints. Nevertheless, Daml ledgers ensure that all local ledgers are projections of a virtual shared causality graph that connects to the Daml Ledger Model as described above. The ledger validity guarantees therefore extend via the local ledgers to the Ledger API. These guarantees are subject to the deployed Daml ledger's trust assumptions.

**Note:** The virtual shared causality graph exists only as a concept, to reason about Daml ledger guarantees. A deployed Daml ledger in general does not store or even construct such a shared causality graph. The Participant Nodes merely maintain the local ledgers for their parties. They synchronize these local ledgers to the extent that they remain consistent. That is, all the local ledgers can in theory be combined into a consistent single causality graph of which they are projections.

#### 7.5.3.2 Explaining the causality examples

The causality examples can be explained in terms of causality graphs and local ledgers as follows:

- Stakeholders of a contract see creation and archival in the same order. Causal consistency for the
  contract requires that the Create comes before the consuming Exercise action on the contract.
  As all stakeholders are informees on Create and consuming Exercise actions of their contracts,
  the stakeholder's local ledgers impose this order on the actions.
- 2. Signatories of a contract and stakeholder actors see usages after the creation and before the archival. Causal consistency for the contract requires that the Create comes before the non-consuming Exercise and Fetch actions of a contract and that consuming Exercises follow them. Since signatories and stakeholder actors are informees of Create, Exercise, and Fetch actions, the stakeholder's local ledgers impose this order on the actions.
- Commits are atomic. Local ledgers are DAGs of (projected) transactions. Topologically sorting such a DAG cannot interleave one transaction with another, even if the transaction consists of several top-level actions.
- 4. Non-consuming usages in different commits may appear in different orders. Causal consistency does not require ordering between non-consuming usages of a contract. As there is no other action in the transaction that would prescribe an ordering, the Participant Nodes can output them in any order.
- 5. Out-of-band causality is not respected. Out-of-band data flow is not captured by causal consistency and therefore does not induce ordering.
- 6. Divulged actions do not induce order. The painter is not an informee of the **Fetch** and **Exercise** actions on Alice's *lou*; he merely witnesses them. The painter's *local ledger* therefore does not order tx3 before tx4. So the painter's transaction stream can output tx4 before tx3.

<b>ч</b> .	11 3D ( Documentation, 1.12.0 3hapshot.20210331.00 10.0.15007033
7.	The ordering guarantees depend on the party. Alice is an informee of the <b>Fetch</b> and <b>Exercise</b> actions on her lou. Unlike for the painter, her local ledger does order tx3 before tx4, so Alice is guaranteed to observe tx3 before tx4 on all Participant Nodes through which she is connect to the Daml ledger.

# **Chapter 8**

# **Examples**

# **Chapter 9**

# **Early Access Features**

# 9.1 Extractor

The Extractor is currently an Early Access Feature in Labs status.

#### 9.1.1 Introduction

You can use the Extractor to extract contract data for a single party from a Ledger node into a PostgreSQL database.

It is useful for:

**Application developers** to access data on the ledger, observe the evolution of data, and debug their applications

Business analysts to analyze ledger data and create reports

Support teams to debug any problems that happen in production

Using the Extractor, you can:

Take a full snapshot of the ledger (from the start of the ledger to the current latest transaction)

Take a partial snapshot of the ledger (between specific offsets)

Extract historical data and then stream indefinitely (either from the start of the ledger or from a specific offset)

# 9.1.2 Setting up

# Prerequisites:

A PostgreSQL database that is reachable from the machine the Extractor runs on. Use PostgreSQL version 9.4 or later to have JSONB type support that is used in the Extractor.

We recommend using an empty database to avoid schema and table collisions. To see which tables to expect, see *Output format*.

A running Sandbox or Ledger Node as the source of data.

You've installed the SDK.

Once you have the prerequisites, you can start the Extractor like this:

\$ daml extractor --help

# 9.1.3 Trying it out

This example extracts:

all contract data from the beginning of the ledger to the current latest transaction for the party <code>Scrooge\_McDuck</code> from a Ledger node or <code>Sandbox</code> running on host 192.168.1.12 on port 6865 to PostgreSQL instance running on localhost identified by the user <code>postgres</code> without a password set into a database called <code>daml export</code>

```
$ daml extractor postgresql --user postgres --connecturl□

→jdbc:postgresql:daml_export --party Scrooge_McDuck -h 192.168.1.12 -

→p 6865 --to head
```

This terminates after reaching the transaction which was the latest at the time the Extractor started streaming.

To run the Extractor indefinitely, and thus keeping the database up to date as new transactions arrive on the ledger, omit the --to head parameter to fall back to the default streaming-indefinitely approach, or state explicitly by using the --to follow parameter.

# 9.1.4 Running the Extractor

The basic command to run the Extractor is:

```
$ daml extractor [options]
```

For what options to use, see the next sections.

# 9.1.5 Connecting the Extractor to a ledger

To connect to the Sandbox, provide separate address and port parameters. For example, --host 10.1.1.10 --port 6865, or in short form -h 10.1.1.168 -p 6865.

The default host is localhost and the default port is 6865, so you don't need to pass those.

To connect to a Ledger node, you might have to provide SSL certificates. The options for doing this are shown in the output of the --help command.

# 9.1.6 Connecting to your database

As usual for a Java application, the database connection is handled by the well-known JDBC API, so you need to provide:

```
a JDBC connection URL
a username
an optional password
```

For more on the connection URL, visit https://jdbc.postgresql.org/documentation/80/connect.html.

This example connects to a PostgreSQL instance running on localhost on the default port, with a user postgres which does not have a password set, and a database called daml\_export. This is a typical setup on a developer machine with a default PostgreSQL install

```
$ daml extractor postgres --connecturl jdbc:postgresql:daml_export --user
$
$
postgres --party [party]
```

9.1. Extractor 507

This example connects to a database on host 192.168.1.12, listening on port 5432. The database is called daml\_export, and the user and password used for authentication are daml\_exporter and ExamplePassword

#### 9.1.7 Authorize Extractor

If you are running Extractor against a Ledger API server that verifies authorization, you must provide the access token when you start it.

The access token retrieval depends on the specific Daml setup you are working with: please refer to the ledger operator to learn how.

Once you have retrieved your access token, you can provide it to Extractor by storing it in a file and provide the path to it using the <code>--access-token-file</code> command line option.

Both in the case in which the token cannot be read from the provided path or if the Ledger API reports an authorization error (for example due to token expiration), Extractor will keep trying to read and use it and report the error via logging. This retry mechanism allows expired token to be overwritten with valid ones and keep Extractor going from where it left off.

# 9.1.8 Full list of options

To see the full list of options, run the --help command, which gives the following output:

```
Usage: extractor [prettyprint|postgresql] [options]
Command: prettyprint [options]
Pretty print contract template and transaction data to stdout.
  --width <value>
                           How wide to allow a pretty-printed value to□
→become before wrapping.
                           Optional, default is 120.
  --height <value>
                          How tall to allow each pretty-printed output to□
→become before
                           it is truncated with a `...`.
                           Optional, default is 1000.
Command: postgresql [options]
Extract data into a PostgreSQL database.
  --connecturl <value>
                           Connection url for the `org.postgresql.Driver`
→driver. For examples,
                          visit https://jdbc.postgresql.org/documentation/
→80/connect.html
 --user <value>
                           The database user on whose behalf the 
→connection is being made.
 --password <value>
                          The user's password. Optional.
Common options:
 -h, --ledger-host <h> The address of the Ledger host. Default is 127.
\rightarrow 0.0.1
```

(continues on next page)

(continued from previous page)

```
-p, --ledger-port 
                           The port of the Ledger host. Default is 6865.
  --ledger-api-inbound-message-size-max <bytes>
                           Maximum message size from the ledger API.
\rightarrowDefault is 52428800 (50MiB).
  --party <value>
                           The party or parties whose contract data should
→be extracted.
                         Specify multiple parties separated by a comma, e.
⇒g. Foo, Bar
  -t, --templates <module1>:<entity1>,<module2>:<entity2>...
                           The list of templates to subscribe for. \square
\rightarrowOptional, defaults to all ledger templates.
  --from <value>
                           The transaction offset (exclusive) for the□
⇒snapshot start position.
                           Must not be greater than the current latest□
→transaction offset.
                           Optional, defaults to the beginning of the
→ledger.
                           Currently, only the integer-based Sandbox□
→offsets are supported.
 --to <value>
                           The transaction offset (inclusive) for the□
⇒snapshot end position.
                           Use "head" to use the latest transaction offset
→at the time
                           the extraction first started, or "follow" to□
⇒stream indefinitely.
                           Must not be greater than the current latest□
→offset.
                           Optional, defaults to "follow".
  --help
                           Prints this usage text.
TLS configuration:
  --pem <value>
                           TLS: The pem file to be used as the private key.
  --crt <value>
                           TLS: The crt file to be used as the cert chain.
                           Required if any other TLS parameters are set.
                           TLS: The crt file to be used as the trusted
  --cacrt <value>
→root CA.
Authorization:
  --access-token-file <value>
                           provide the path from which the access token
\rightarrowwill be read, required if the Ledger API server verifies authorization,\Box
⇔no default
```

Some options are tied to a specific subcommand, like --connectur1 only makes sense for the postgresq1, while others are general, like --party.

#### 9.1.9 Output format

To understand the format that Extractor outputs into a PostgreSQL database, you need to understand how the ledger stores data.

9.1. Extractor 509

The Daml Ledger is composed of transactions, which contain events. Events can represent:

```
creation of contracts ( create event ), or exercise of a choice on a contract ( exercise event ).
```

A contract on the ledger is either active (created, but not yet archived), or archived. The relationships between transactions and contracts are captured in the database: all contracts have pointers (foreign keys) to the transaction in which they were created, and archived contracts have pointers to the transaction in which they were archived.

#### 9.1.10 Transactions

Transactions are stored in the transaction table in the public schema, with the following structure

```
CREATE TABLE transaction
  (transaction_id TEXT PRIMARY KEY NOT NULL
  ,seq BIGSERIAL UNIQUE NOT NULL
  ,workflow_id TEXT
  ,effective_at TIMESTAMP NOT NULL
  ,extracted_at TIMESTAMP DEFAULT NOW()
  ,ledger_offset TEXT NOT NULL
  );
```

**transaction\_id**: The transaction ID, as appears on the ledger. This is the primary key of the table.

transaction\_id, effective\_at, workflow\_id, ledger\_offset: These columns are the properties of the transaction on the ledger. For more information, see the specification.

**seq**: Transaction IDs should be treated as arbitrary text values: you can't rely on them for ordering transactions in the database. However, transactions appear on the Ledger API transaction stream in the same order as they were accepted on the ledger. You can use this to work around the arbitrary nature of the transaction IDs, which is the purpose of the seq field: it gives you a total ordering of the transactions, as they happened from the perspective of the ledger. Be aware that seq is not the exact index of the given transaction on the ledger. Due to the privacy model of Daml Ledgers, the transaction stream won't deliver a transaction which doesn't concern the party which is subscribed. The transaction with seq of 100 might be the 1000th transaction on the ledger; in the other 900, the transactions contained only events which mustn't be seen by you.

**extracted\_at**: The <code>extracted\_at</code> field means the date the transaction row and its events were inserted into the database. When extracting historical data, this field will point to a possibly much later time than <code>effective at</code>.

#### 9.1.11 Contracts

Create events and contracts that are created in those events are stored in the contract table in the public schema, with the following structure

```
CREATE TABLE contract

(event_id TEXT PRIMARY KEY NOT NULL

,archived_by_event_id TEXT DEFAULT NULL

,contract_id TEXT NOT NULL

,transaction_id TEXT NOT NULL

,archived_by_transaction_id TEXT DEFAULT NULL
```

(continues on next page)

(continued from previous page)

```
,is_root_event BOOLEAN NOT NULL
,package_id TEXT NOT NULL
,template TEXT NOT NULL
,create_arguments JSONB NOT NULL
,witness_parties JSONB NOT NULL
);
```

event\_id, contract\_id, create\_arguments, witness\_parties: These fields are the properties of the corresponding CreatedEvent class in a transaction. For more information, see the specification.

package\_id, template: The fields package\_id and template are the exploded version of the template id property of the ledger event.

transaction\_id: The transaction\_id field refers to the transaction in which the contract was created.

archived\_by\_event\_id, archived\_by\_transaction\_id: These fields will contain the event id and the transaction id in which the contract was archived once the archival happens.

**is\_root\_event**: Indicates whether the event in which the contract was created was a root event of the corresponding transaction.

Every contract is placed into the same table, with the contract parameters put into a single column in a JSON-encoded format. This is similar to what you would expect from a document store, like MongoDB. For more information on the JSON format, see the *later section*.

#### 9.1.12 Exercises

Exercise events are stored in the exercise table in the public schema, with the following structure:

```
CREATE TABLE
  exercise
  (event id TEXT PRIMARY KEY NOT NULL
  ,transaction id TEXT NOT NULL
  ,is root event BOOLEAN NOT NULL
  ,contract_id TEXT NOT NULL
  , package id TEXT NOT NULL
  , template TEXT NOT NULL
  , contract creating event id TEXT NOT NULL
  , choice TEXT NOT NULL
  ,choice_argument JSONB NOT NULL
  ,acting parties JSONB NOT NULL
  , consuming BOOLEAN NOT NULL
  , witness parties JSONB NOT NULL
  ,child event ids JSONB NOT NULL
  );
```

package\_id, template: The fields package\_id and template are the exploded version of the template id property of the ledger event.

**is\_root\_event**: Indicates whether the event in which the contract was created was a root event of the corresponding transaction.

transaction\_id: The transaction\_id field refers to the transaction in which the contract was created.

The other columns are properties of the ExercisedEvent class in a transaction. For more

9.1. Extractor 511

information, see the specification.

#### 9.1.13 JSON format

Extractor stores create and choice arguments using the *Daml-LF JSON Encoding*. The parameters of the JSON schema are instantiated as follows in Extractor:

encodeDecimalAsString: true encodeInt64AsString: false

# 9.1.14 Examples of output

The following examples show you what output you should expect. The Sandbox has already run the scenarios of a Daml model that created two transactions: one creating a Main:RightOfUseOffer and one accepting it, thus archiving the original contract and creating a new Main:RightOfUseAgreement contract. We also added a new offer manually.

This is how the transaction table looks after extracting data from the ledger:



You can see that the transactions which were part of the scenarios have the format scenario-transaction-{n}, while the transaction created manually is a simple number. This is why the seq field is needed for ordering. In this output, the ledger\_offset field has the same values as the seq field, but you should expect similarly arbitrary values there as for transaction IDs, so better rely on the seq field for ordering.

This is how the contract table looks:



You can see that the archived\_by\_transaction\_id and archived\_by\_event\_id fields of contract #0:0 is not empty, thus this contract is archived. These fields of contracts #1:1 and #2:0 are NULL s, which mean they are active contracts, not yet archived.

This is how the exercise table looks:



You can see that there was one exercise Accept on contract #0:0, which was the consuming choice mentioned above.

# 9.1.15 Dealing with schema evolution

When updating packages, you can end up with multiple versions of the same package in the system.

Let's say you have a template called My.Company.Finance.Account:

```
module My.Company.Finance.Account where

template Account
  with
   provider: Party
   accountId: Text
   owner: Party
   observers: [Party]
  where
  [...]
```

This is built into a package with a resulting hash 6021727fe0822d688ddd545997476d530023b222d02f191 Later you add a new field, displayName:

```
module My.Company.Finance.Account where

template Account
  with
   provider: Party
   accountId: Text
   owner: Party
   observers: [Party]
   displayName: Text
  where
  [...]
```

 $\textbf{The hash of the new package with the update is}\ 1239 \\ \text{d} 1c5 \\ \text{d} f 140425 \\ \text{f} 01 \\ \text{a} 5112325 \\ \text{d} 2e4 \\ \text{e} \text{d} f 2b7 \\ \text{ace} 223f8c1 \\ \text{d} 125 \\ \text{d} 12$ 

There are contracts of first version of the template which were created before the new field is added, and there are contracts of the new version which were created since. Let's say you have one instance of each:

```
{
  "owner":"Bob",
  "provider":"Bob",
  "accountId":"6021-5678",
  "observers":[
      "Alice"
]
}
```

and:

```
{
  "owner":"Bob",
  "provider":"Bob",
  "accountId":"1239-4321",
  "observers":[
        "Alice"
],
```

(continues on next page)

9.1. Extractor 513

(continued from previous page)

```
"displayName":"Personal"
}
```

They will look like this when extracted:

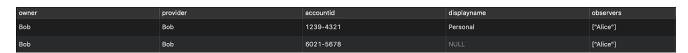
```
id seq event_id transaction_id archived_by_transaction_id package_id template contract

#3:0 3 #3:0 3 NULL 1239d1c5df140425f01a5112325d2e4ed f2b7ace223f8c1d2ebbe768eccefe f2b7ace223f8c1d
```

To have a consistent view of the two versions with a default value NULL for the missing field of instances of older versions, you can create a view which contains all Account rows:

```
CREATE VIEW account view AS
SELECT
  create arguments->>'owner' AS owner
  ,create arguments->>'provider' AS provider
  ,create arguments->>'accountId' AS accountId
  ,create arguments->>'displayName' AS displayName
  ,create arguments->'observers' AS observers
FROM
  contract
WHERE
  package id =
→ '1239d1c5df140425f01a5112325d2e4edf2b7ace223f8c1d2ebebe76a8ececfe'
  template = 'My.Company.Finance.Account'
UNION
SELECT
  create arguments->>'owner' AS owner
  ,create arguments->>'provider' AS provider
  ,create arguments->>'accountId' AS accountId
  , NULL as displayName
  ,create arguments->'observers' AS observers
FROM
  contract
WHERE
 package id =
→ '6021727fe0822d688ddd545997476d530023b222d02f1919567bd82b205a5ce3'
  template = 'My.Company.Finance.Account';
```

Then, account view will contain both contracts:



# 9.1.16 Logging

By default, the Extractor logs to stderr, with INFO verbose level. To change the level, use the - DLOGLEVEL=[level] option, e.g. -DLOGLEVEL=TRACE.

You can supply your own logback configuration file via the standard method: https://logback.qos.ch/manual/configuration.html

# 9.1.17 Continuity

When you terminate the Extractor and restart it, it will continue from where it left off. This happens because, when running, it saves its state into the state table in the public schema of the database. When started, it reads the contents of this table. If there's a saved state from a previous run, it restarts from where it left off. There's no need to explicitly specify anything, this is done automatically.

DO NOT modify content of the state table. Doing so can result in the Extractor not being able to continue running against the database. If that happens, you must delete all data from the database and start again.

If you try to restart the Extractor against the same database but with different configuration, you will get an error message indicating which parameter is incompatible with the already exported data. This happens when the settings are incompatible: for example, if previously contract data for the party Alice was extracted, and now you want to extract for the party Bob.

The only parameters that you can change between two sessions running against the same database are the connection parameters to both the ledger and the database. Both could have moved to different addresses, and the fact that it's still the same Ledger will be validated by using the Ledger ID (which is saved when the Extractor started its work the first time).

#### 9.1.18 Fault tolerance

Once the Extractor connects to the Ledger Node and the database and creates the table structure from the fetched Daml packages, it wraps the transaction stream in a restart logic with an exponential backoff. This results in the Extractor not terminating even when the transaction stream is aborted for some reason (the ledger node is down, there's a network partition, etc.).

Once the connection is back, it continues the stream from where it left off. If it can't reach the node on the host/port pair the Extractor was started with, you need to manually stop it and restart with the updated address.

Transactions on the ledger are inserted into PostgreSQL as atomic SQL transactions. This means either the whole transaction is inserted or nothing, so you can't end up with inconsistent data in the database.

# 9.1.19 Troubleshooting

#### 9.1.19.1 Can't connect to the Ledger Node

If the Extractor can't connect to the Ledger node on startup, you'll see a message like this in the logs, and the Extractor will terminate:

```
16:47:51.208 ERROR c.d.e.Main$@[akka.actor.default-dispatcher-7] - FAILURE: io.grpc.StatusRuntimeException: UNAVAILABLE: io exception. Exiting...
```

To fix this, make sure the Ledger node is available from where you're running the Extractor.

9.1. Extractor 515

#### 9.1.19.2 Can't connect to the database

If the database isn't available before the transaction stream is started, the Extractor will terminate, and you'll see the error from the JDBC driver in the logs:

To fix this, make sure make sure the database exists and is available from where you're running the Extractor, the username and password your using are correct, and you have the credentials to connect to the database from the network address where the you're running the Extractor.

If the database connection is broken while the transaction stream was already running, you'll see a similar message in the logs, but in this case it will be repeated: as explained in the Fault tolerance section, the transaction stream will be restarted with an exponential backoff, giving the database, network or any other trouble resource to get back into shape. Once everything's back in order, the stream will continue without any need for manual intervention.

# 9.2 Daml Integration Kit

The Daml integration kit is currently an *Early Access Feature in Labs status*. It comprises the components needed to build your own *Daml Drivers*.

# 9.2.1 Ledger API Test Tool

The Ledger API Test Tool is a command line tool for testing the correctness of implementations of the Ledger API, i.e. Daml ledgers. For example, it will show you if there are consistency or conformance problem with your implementation.

Its intended audience are developers of Daml ledgers, who are using the Daml Ledger Implementation Kit to develop a Daml ledger on top of their distributed-ledger or database of choice.

Use this tool to verify if your Ledger API endpoint conforms to the DA Ledger Model.

#### 9.2.1.1 Downloading the tool

Download the Ledger API Test Tool from Maven and save it as ledger-api-test-tool.jar in your current directory.

#### 9.2.1.2 Running the tool against a custom Ledger API endpoint

Run this command to test your Ledger API endpoint exposed at host <host> and at a port <port>:

```
$ java -jar ledger-api-test-tool.jar <host>:<port>
```

#### For example:

```
$ java -jar ledger-api-test-tool.jar localhost:6865
```

The tool will upload the required DARs to the ledger, and then run all tests.

If any test embedded in the tool fails, it will print out details of the failure for further debugging.

# 9.2.1.3 Exploring options the tool provides

Run the tool with --help flag to obtain the list of options the tool provides:

```
$ java -jar ledger-api-test-tool.jar --help
```

#### Selecting tests to run

Running the tool without any argument runs only the default tests.

Those include all tests that are known to be safe to be run concurrently as part of a single run.

Tests that either change the global state of the ledger (e.g. configuration management) or are designed to stress the implementation need to be explicitly included using the available command line options.

Use the following command line flags to select which tests to run:

- --list: print all available test suites to the console, shows if they are run by default
- --list-all: print all available tests to the console, shows if they are run by default
- --include: only run the tests that match the argument
- --exclude: do not run the tests that match the argument
- --perf-tests: list performance tests to run; cannot be combined with normal tests
- --skip-dar-upload: skip upload of DAR files into ledger. DAR files should be uploaded manually before the tests.

Include and exclude are matched as prefixes, e.g. --exclude=SemanticTests will exclude all tests whose name starts with SemanticTests. Test names always start with their suite name followed by a colon, so the test suite names shown by --list can be useful for coarse-grained inclusion/exclusion.

Both --include and --exclude (and --perf-tests) can be specified multiple times and/or provide comma-separated lists, i.e. all of these are equivalent:

```
--include=a,b,c
--include=a --include=b --include=c
--include=a,b --include=c
```

The logic is always to first select included tests, then remove from that the excluded ones, i.e. include directives never override a corresponding exclude directive.

If no --include flag is given, all of the tests are included. You cannot run performance and non-performance tests in the same invocation. --exclude is ignored when running performance tests, and the program will stop if it detects that both --perf-tests and --include have been specified.

Examples (hitting a single participant at localhost: 6865):

#### Listing 1: Only run TestA

```
$ java -jar ledger-api-test-tool.jar --include TestA localhost:6865
```

# Listing 2: Run all tests, but not TestB

```
$ java -jar ledger-api-test-tool.jar --exclude TestB localhost:6865
```

#### Listing 3: Run all tests

```
$ java -jar ledger-api-test-tool.jar localhost:6865
```

#### Listing 4: Run all tests, but not TestC

```
$ java -jar ledger-api-test-tool.jar --exclude TestC
```

#### Performance tests

The available performance tests allow to establish the performance envelope of the ledger under test (a term borrowed from aeronautics), which offers an indication of the amount of the parameters under which a ledger implementation is supposed to perform.

Those tests include tail latency, throughput and maximum size of a single transaction. You can run the tool with the <code>--list</code> option to see a list of available test suites that includes individual performance envelope test cases. You can mix and match those tests to produce a test suite tailored to match the expected performance envelope of a given ledger implementation using a specific hardware setup.

For example, the following will verify that the ledger under test can have a tail latency of one second when processing twenty pings, perform twenty pings per seconds and being able to process a transaction one megabyte in size:

```
$ java -jar ledger-api-test-tool.jar \
   --perf-tests=PerformanceEnvelope.Latency.1000ms \
   --perf-tests=PerformanceEnvelope.Throughput.TwentyOPS \
   --perf-tests=PerformanceEnvelope.TransactionSize.1000KB \
   localhost:6865
```

**Note:** A ping is a collective name for two templates used to evaluate the performance envelope. Each of the two templates, Ping and Pong, have a single choice allowing the controller to create an instance of the complementary template, directed to the original sender.

The test run will also produce a short summary of statistics which is printed to standard output by default but that can be written to a specific file path using the --perf-tests-report command line option.

#### 9.2.1.4 Try out the Ledger API Test Tool against Daml Sandbox

If you wanted to test out the tool, you can run it against Daml Sandbox. To do this:

```
$ java -jar ledger-api-test-tool.jar --extract
$ daml sandbox *.dar
$ java -jar ledger-api-test-tool.jar localhost:6865
```

This should always succeed, as the Sandbox is tested to correctly implement the Ledger API. This is useful if you do not have yet a custom Ledger API endpoint.

# 9.2.1.5 Using the tool with a known-to-be-faulty Ledger API implementation

Use flag ——must—fail if you expect one or more or the scenario tests to fail. If enabled, the tool will return the success exit code when at least one test fails, and it will return a failure exit code when all tests succeed:

```
java -jar ledger-api-test-tool.jar --must-fail localhost:6865
```

This is useful during development of a Daml ledger implementation, when tool needs to be used against a known-to-be-faulty implementation (e.g. in CI). It will still print information about failed tests.

# 9.2.1.6 Tuning the testing behaviour of the tool

Use the command line option --timeout-scale-factor to tune timeouts applied by the tool.

Set ——timeout—scale—factor to a floating point value higher than 1.0 to make the tool wait longer for expected events coming from the Daml ledger implementation under test. Conversely use values smaller than 1.0 to make it wait shorter.

# 9.2.1.7 Accomodating different ledger clock intervals

Use the command line option --ledger-clock-granularity to indicate the maximum interval at which the ledger's clock will increment.

If running on a ledger where ledger time increments in a time period greater than 10s, set — ledger-clock-granularity to a value higher than 10000 (10,000ms). Tests that are sensitive to the ledger clock will then wait for a corresponding longer period of time to ensure completion of operations, avoiding timeouts and premature failures. The command deduplication test suite is particularly sensitive to this value.

#### 9.2.1.8 Verbose output

Use the command line option --verbose to print full stack traces on failures.

#### 9.2.1.9 Concurrent test runs

To minimize concurrent runs of tests, --concurrent-test-runs can be set to 1 or 2. The default value is the number of processors available.

Note that certain tests, known to be possibly interfering with others (e.g. configuration management), are always run sequentially and as the last tests in a run.

# 9.2.1.10 Retired tests

A few tests can be retired over time as they could be deemed not providing the necessary signal to a developer or operator that an integration correctly implements the Daml Ledger API. Those test will nominally be kept in the test suite for a time to prevent unwanted breakages of existing CI pipelines. They will however not be run and they will eventually be removed. You are advised to remove any explicit reference to those tests while they are in their deprecation period.

Retired tests are not listed when using --list or --list-all but can be included in a run using --include. In this case, nothing will be run and the test report will mention that the test has been retired and skipped.

Daml Applications run on Daml Ledgers. A Daml Ledger is a server serving the Ledger API as per the semantics defined in the Daml Ledger Model and the Daml-LF specification.

The Daml integration kit helps third-party ledger developers to implement a Daml Ledger on top of their distributed ledger or database of choice.

We provide the resources in the kit, which include guides to

Daml Integration Kit status and roadmap Implementing your own Daml Ledger Deploying a Daml Ledger Testing a Daml Ledger Benchmarking a Daml Ledger

Using these guides, you can focus on your own distributed-ledger or database and reuse our Daml Ledger server and Daml interpreter code for implementing the Daml Ledger API. For example uses of the integration kit, see below.

# 9.2.2 Daml Integration Kit status and roadmap

The current status of the integration kit is ALPHA. We are working towards BETA, and General Availability (GA) will come quite a bit later. The roadmap below explains what we mean by these different statuses, and what's missing to progress.

**ALPHA (current status)** In the ALPHA status, the Daml integration kit is ready to be used by third-parties willing to accept the following caveats:

The architecture includes everything required to run Daml Applications using the Daml Ledger API. However, it misses support for testing Daml Applications in a uniform way against different Daml Ledgers.

Ledger API authorization, package upload, party on-boarding, ledger reset, and time manipulation are specific to each Daml Ledger, until the uniform administrative Daml ledger access API is introduced, which is different to the uniform per-party Daml ledger access that the Daml Ledger API provides. We will address this before reaching BETA status.

The architecture is likely to change due to learnings from integrators like you! Where possible we strive to make these changes backwards compatible. though this might not always be possible.

The documentation might be spotty in some places, and you might have to infer some of the documentation from the code.

Some of our code might be fresh off the press and might therefore have a higher rate of bugs.

That said: we highly value your feedback and input on where you find Daml software and this integration kit most useful. You can get into contact with us using the feedback form on this documentation page or by creating issues or pull-requests against the digital-asset/daml GitHub repository.

**BETA** For us, BETA status means that we have architectural stability and solid documentation in place. At this point, third-parties should have everything they need to integrate Daml with their ledger of choice completely on their own.

Before reaching BETA status, we expect to have:

hardened our test tooling

built tooling for benchmarking Daml ledgers

completed several integrations of Daml for different ledgers

implemented uniform administrative Daml ledger access to provide a portable way for testing Daml applications against different Daml ledgers

Related links

Tracking GitHub issue

GitHub milestone tracking work to reach BETA status

**GA** For us GA (General Availability) means that there are several production-ready Daml ledgers built using the Daml integration kit. We expect to reach GA in 2019.

Related links

Tracking GitHub issue

# 9.2.3 Implementing your own Daml Ledger

Each X ledger requires at least the implementation of a specific daml-on-<X>-server, which implements the Daml Ledger API. It might also require the implementation of a <X>-daml-validator, which provides the ability for nodes to validate Daml transactions.

For more about these parts of the architecture, read the Architectural overview.

# 9.2.3.1 Step-by-step guide

#### Prerequisite knowledge

Before you can decide on an appropriate architecture and implement your own server and validator, you need a significant amount of context about Daml. To acquire this context, you should:

- 1. Complete the IOU Quickstart Tutorial.
- 2. Get an in-depth understanding of the Daml Ledger Model.
- 3. Build a mental model of how the Ledger API is used to build Daml Applications.

# Deciding on the architecture and writing the code

Once you have the necessary context, we recommend the steps to implement your own server and validator:

- 1. Clone our example Daml Ledger (which is backed by an in-memory key-value store) from the digital-asset/daml-on-x-example.
- 1. Read the example code jointly with the Architectural overview, Resources we provide, and the Library infrastructure overview below.
- 1. Combine all the knowledge gained to decide on the architecture for your Daml on X ledger.
- Implement your architecture; and let the world know about it by creating a PR against the digital-asset/daml repository to add your ledger to the list of Daml Ledgers built or in development.

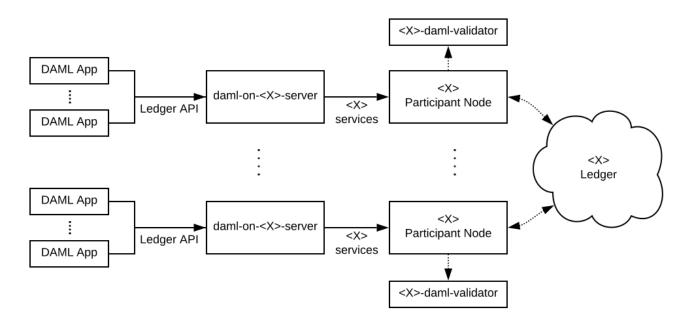
If you need help, then feel free to use the feedback form on this documentation page or GitHub issues on the digital-asset/daml repository to get into contact with us.

#### 9.2.3.2 Architectural overview

This section explains the architecture of a Daml ledger backed by a specific ledger X.

The backing ledger can be a proper distributed ledger or also just a database. The goal of a Daml ledger implementation is to allow multiple Daml applications, which are potentially run by different entities, to execute multi-party workflows using the ledger X.

This is a likely architecture for a setup with a distributed ledger:



It assumes that the X ledger allows entities to participate in the evolution of the ledger via particular nodes. In the remainder of this documentation, we call these nodes participant nodes.

# In the diagram:

The boxes labeled daml-on-<X>-server denote the Daml Ledger API servers, which implement the Daml Ledger API on top of the services provided by the X participant nodes.

The boxes labeled <*X*>-daml-validator denote *X*-specific Daml transaction validation services. In a distributed ledger they provide the ability for nodes to validate Daml transactions at the appropriate stage in the *X* ledger's transaction commit process.

Whether they are needed, by what nodes they are used, and whether they are run in-process or out-of-process depends on the X ledger's architecture. Above we depict a common case where the participant nodes jointly maintain the ledger's integrity and therefore need to validate Daml transactions.

#### Message flow

#### TODO (BETA):

explain to readers the life of a transaction at a high-level, so they have a mental framework in place when looking at the example code. (GitHub issue)

#### 9.2.3.3 Resources we provide

Scala libraries for validating Daml transactions and serving the Ledger API given implementations of two specific interfaces. See the *Library infrastructure overview* for an overview of these libraries.

A complete example of a Daml Ledger backed by an in-memory key-value store, in the digital-asset/daml-on-x-example GitHub repository. It builds on our Scala libraries and demonstrates how they can be assembled to serve the Ledger API and validate Daml transactions.

For ledgers where data is shared between all participant nodes, we recommend using this example as a starting point for implementing your server and validator.

For ledgers with stronger privacy models, this example can serve as an inspiration. You will need to dive deeper into how transactions are represented and how to communicate them to implement <code>Daml's privacy model</code> at the ledger level instead of just at the Ledger API level.

#### Library infrastructure overview

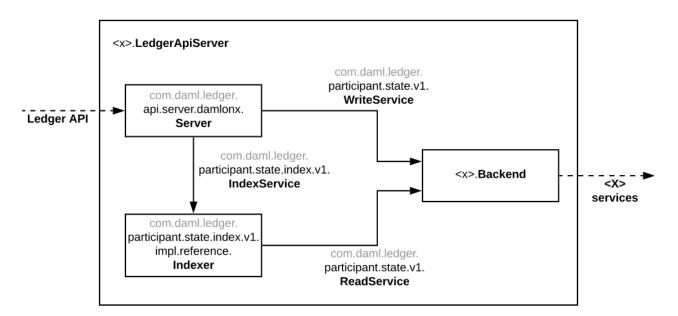
To help you implement your server and validator, we provide the following four Scala libraries as part of Daml Connect. Changes to them are explained as part of the *Release Notes*.

As explained in Deciding on the architecture and writing the code, this section is best read jointly with the code in digital-asset/daml-on-x-example.

- participant-state.jar (source code) Contains interfaces abstracting over the state of a participant node relevant for a Daml Ledger API server.
  - These are the interfaces whose implementation is specific to a particular X ledger. These interfaces are optimized for ease of implementation.
- participant-state-kvutils.jar (source code) These utilities provide methods to succinctly implement interfaces from participant-state.jar on top of a key-value state storage. See documentation in package.scala
- ledger-api-server.jar (source code for API server, source code for indexer) Contains code
  that implements a Daml Ledger API server and the SQL-backed indexer given implementations
  of the interfaces in participant-state.jar.
- daml-engine.jar (source code) Contains code for serializing and deserializing Daml transactions and for validating them.
  - An <X>-daml-validator is typically implemented by wrapping this code in the X-ledger's SDK for building transaction validators. daml-engine.jar also contains code for interpreting commands sent over the Ledger API. It is used by the daml-on-<X>-server to construct the transactions submitted to its participant node.

This diagram shows how the classes and interfaces provided by these libraries are typically combined to instantiate a Daml Ledger API server backed by an X ledger:

TODO: Update this diagram to mention ledger server classes above instead of deprecated daml-on-x-server



In the diagram above:

Boxes labeled with fully qualified class names denote class instances.

Solid arrows labeled with fully qualified interface names denote that an instance depends on another instance providing that interface.

Dashed arrows denote that a class instance provides or depends on particular services.

Boxes embedded in other boxes denote that the outer class instance creates the contained instances.

Explaining this diagram in detail (for brevity, we drop prefixes of their qualified names where unambiguous):

- Ledger API is the collection of gRPC services that you would like your daml-on-<X>-server to provide.
- **Services** are the services provided by which underly your ledger, which you aim to leverage to build your daml-on-<X>-server.
- <x>.LedgerApiServer is the class whose main method or constructor creates the contained instances and wires them up to provide the Ledger API backed by the <X> services. You need to implement this for your Daml on X ledger.
- **WriteService (source code)** is an interface abstracting over the mechanism to submit Daml transactions to the underlying X ledger via a participant node.
- **ReadService (source code)** is an interface abstracting over the ability to subscribe to changes of the X ledger visible to a particular participant node. The changes are exposed as a stream that is resumable from any particular offset, which supports restarts of the consumer. We typically expect there to be a single consumer of the data provided on this interface. That consumer is responsible for assembling the streamed changes into a view onto the participant state suitable for querying.
- <x>.Backend is a class implementing the ReadService and the WriteService on top of the <X> services. You need to implement this for your Daml on X ledger.
- StandaloneIndexerServer (source code) is a standalone service that subscribe to ledger changes using ReadService and inserts the data into a SQL backend (index) for the purpose of serving the data over the Ledger API.
- StandaloneIndexServer (source code) is a class containing all the code to implement the Ledger API on top of an ledger backend. It serves the data from a SQL database populated by the StandaloneIndexerServer.

# 9.2.4 Deploying a Daml Ledger

# TODO (BETA):

explain recommended approach for Ledger API authorization (GitHub issue) explain option of using a persistent SQL-backed participant state index (GitHub issue). explain how testing of Daml applications (ledger reset, time manipulation, scripted package upload) can be supported by a uniform admin interface (GitHub issue).

# 9.2.4.1 Authorization

To implement authorization on your ledger, do the following modifications to your code:

Implement the com.daml.ledger.api.auth.AuthService (source code) interface. An AuthService receives all HTTP headers attached to a gRPC ledger API request and returns a set of Claims (source code), which describe the authorization of the request.

Instantiate a com.daml.ledger.api.auth.interceptor.AuthorizationInterceptor (source code), and pass it an instance of your AuthService implementation. This interceptor will be responsible for storing the decoded Claims in a place where ledger API services can access them.

When starting the com.daml.platform.apiserver.LedgerApiServer (source code), add the above AuthorizationInterceptor to the list of interceptors (see interceptors parameter of LedgerApiServer.create).

For reference, you can have a look at how authorization is implemented in the sandbox:

The com.daml.ledger.api.auth.AuthServiceJWT class (source code) reads a JWT token from HTTP headers.

The com.daml.ledger.api.auth.AuthServiceJWTPayload class (source code) defines the format of the token payload.

The token signature algorithm and the corresponding public key is specified as a sandbox command line parameter.

# 9.2.5 Testing a Daml Ledger

You can test your Daml ledger implementation using <u>Ledger API Test Tool</u>, which will assess correctness of implementation of the <u>Ledger API</u>. For example, it will show you if there are consistency or conformance problem with your implementation.

Assuming that your Ledger API endpoint is accessible at localhost: 6865, you can use the tool in the following manner:

- 1. Download the Ledger API Test Tool from Maven and save it as ledger-api-test-tool.jar in your current directory.
- 2. Obtain the Daml archives required to run the tests:

```
java -jar ledger-api-test-tool.jar --extract
```

- 3. Load all .dar files extracted in the current directory into your Ledger.
- 4. Run the tool against your ledger:

```
java -jar ledger-api-test-tool.jar localhost:6865
```

See more in Ledger API Test Tool.

# 9.2.6 Benchmarking a Daml Ledger

#### TODO (BETA):

explain how to use the ledger-api-bench tool to evaluate the performance of your implementation of the Ledger API (GitHub issue).

# 9.3 Daml Triggers - Off-Ledger Automation in Daml

# 9.3.1 Daml Trigger Library

The Daml Trigger library defines the API used to declare a Daml trigger. See Daml Triggers - Off-Ledger Automation in Daml:: for more information on Daml triggers.

# 9.3.1.1 Module Daml.Trigger

#### **Typeclasses**

# class ActionTriggerAny m where

Features possible in initialize, updateState, and rule.

queryContractId : Template a => ContractId a -> m (Optional a)
 Find the contract with the given id in the ACS, if present.

instance ActionTriggerAny (TriggerA s)

instance ActionTriggerAny TriggerInitializeA

instance ActionTriggerAny (TriggerUpdateAs)

# class ActionTriggerAny m => ActionTriggerUpdate m where

Features possible in updateState and rule.

#### getCommandsInFlight: m (Map CommandId [Command])

Retrieve command submissions made by this trigger that have not yet completed. If the trigger has restarted, it will not contain commands from before the restart; therefore, this should be treated as an optimization rather than an absolute authority on ledger state.

instance ActionTriggerUpdate (TriggerAs)

instance ActionTriggerUpdate (TriggerUpdateA s)

#### **Data Types**

# data Trigger s

This is the type of your trigger. s is the user-defined state type which you can often leave at ().

Trigger

Field	Туре	Description
initialize	TriggerIni-	Initialize the user-defined state based on
	tializeA s	the ACS.
updateState	Message ->	Update the user-defined state based on
	TriggerUp-	a transaction or completion message.
	dateA s ()	It can manipulate the state with get,
		put, and modify, or query the ACS with
		query.
rule	Party -> Trig-	The rule defines the main logic of your
	gerA s ()	trigger. It can send commands to the
		ledger using emitCommands to change
		the ACS. The rule depends on the follow-
		ing arguments: * The party your trigger
		is running as. * The user-defined state.
		and can retrieve other data with func-
		tions in TriggerA: * The current state of
		the ACS. * The current time (UTC in wall-
		clock mode, Unix epoch in static mode) *
		The commands in flight.
registeredTem-	Regis-	The templates the trigger will receive
plates	teredTem-	events for.
	plates	
heartbeat	Optional	Send a heartbeat message at the given
	RelTime	interval.

instance HasField heartbeat (Trigger s) (Optional RelTime)

instance HasField initialize (Triggers) (TriggerInitializeAs)

instance HasField registeredTemplates (Trigger s) RegisteredTemplates

```
instance HasField rule (Trigger s) (Party -> TriggerA s ())
     instance HasField updateState (Trigger s) (Message -> TriggerUpdateA s ())
data TriggerA s a
     TriggerA is the type used in the rule of a Daml trigger. Its main feature is that you can
     call emitCommands to send commands to the ledger.
     instance ActionTriggerAny (TriggerA s)
     instance ActionTriggerUpdate (TriggerA s)
     instance Functor (TriggerA s)
     instance ActionState s (TriggerA s)
     instance HasTime (TriggerA s)
     instance Action (TriggerA s)
     instance Applicative (TriggerAs)
     instance HasField rule (Trigger s) (Party -> TriggerA s ())
     instance HasField runTriggerA (TriggerA s a) (ACS -> TriggerRule (TriggerAState s) a)
data TriggerInitializeA a
     TriggerInitializeA is the type used in the initialize of a Daml trigger. It can query, but
     not emit commands or update the state.
     instance ActionTriggerAny TriggerInitializeA
     instance Functor TriggerInitializeA
     instance Action TriggerInitializeA
     instance Applicative TriggerInitializeA
     instance HasField initialize (Trigger s) (TriggerInitializeA s)
     instance HasField runTriggerInitializeA (TriggerInitializeA a) (ACS -> a)
data TriggerUpdateAs a
     TriggerUpdateA is the type used in the updateState of a Daml trigger. It has similar
     actions in common with TriggerA, but cannot use emitCommands or getTime.
     instance ActionTriggerAny (TriggerUpdateA s)
     instance ActionTriggerUpdate (TriggerUpdateA s)
     instance Functor (TriggerUpdateA s)
     instance ActionState s (TriggerUpdateA s)
     instance Action (TriggerUpdateA s)
     instance Applicative (TriggerUpdateA s)
     instance HasField runTriggerUpdateA (TriggerUpdateAsa) ((Map CommandId [Command],
     ACS) -> State s a)
     instance HasField updateState (Trigger s) (Message -> TriggerUpdateA s ())
```

#### **Functions**

queryContractKey : (Template a, HasKey a k, Eq k, ActionTriggerAny m, Functor m) => k -> m (Optional (ContractId a, a))

Find the contract with the given key in the ACS, if present.

# emitCommands : [Command] -> [AnyContractId] -> TriggerA s CommandId

Send a transaction consisting of the given commands to the ledger. The second argument can be used to mark a list of contract ids as pending. These contracts will automatically be filtered from getContracts until we either get the corresponding transaction event for this command or a failing completion.

dedupCreate : (Eq t, Template t) => t -> TriggerA s ()

Create the template if it's not already in the list of commands in flight (it will still be created if it is in the ACS).

Note that this will send the create as a single-command transaction. If you need to send multiple commands in one transaction, use <code>emitCommands</code> with <code>createCmd</code> and handle filtering vourself.

dedupCreateAndExercise: (Eq t, Eq c, Template t, Choice t c r) => t -> c -> TriggerA s ()

Create the template and exercise a choice on it it's not already in the list of commands in flight (it will still be created if it is in the ACS).

Note that this will send the create and exercise as a single-command transaction. If you need to send multiple commands in one transaction, use <code>emitCommands</code> with <code>createAndExerciseCmd</code> and handle filtering yourself.

dedupExercise : (Eq c, Choice t c r) => ContractId t -> c -> TriggerA s ()

Exercise the choice on the given contract if it is not already in flight.

Note that this will send the exercise as a single-command transaction. If you need to send multiple commands in one transaction, use <code>emitCommands</code> with <code>exerciseCmd</code> and handle filtering yourself.

If you are calling a consuming choice, you might be better off by using emitCommands and adding the contract id to the pending set.

dedupExerciseByKey: (Eq c, Eq k, Choice t c r, TemplateKey t k) => k -> c -> TriggerA s ()

Exercise the choice on the given contract if it is not already in flight.

Note that this will send the exercise as a single-command transaction. If you need to send multiple commands in one transaction, use <code>emitCommands</code> with <code>exerciseCmd</code> and handle filtering yourself.

runTrigger : Trigger s -> Trigger (TriggerState s)

Transform the high-level trigger type into the one from Daml.Trigger.LowLevel.

#### 9.3.1.2 Module Daml.Trigger.Assert

**Data Types** 

data ACSBuilder

Used to construct an 'ACS' for 'testRule'.

instance Monoid ACSBuilder

instance Semigroup ACSBuilder

#### **Functions**

toACS: Template t => ContractId t -> ACSBuilder

Include the given contract in the 'ACS'. Note that the ContractId must point to an active contract.

testRule: Trigger s -> Party -> ACSBuilder -> Map CommandId [Command] -> s -> Script (s, [Commands])

Execute a trigger's rule once in a scenario.

flattenCommands : [Commands] -> [Command]

Drop 'CommandId's and extract all 'Command's.

assertCreateCmd: (Template t, CanAbort m) => [Command] -> (t -> Either Text ()) -> m ()

Check that at least one command is a create command whose payload fulfills the given assertions.

Check that at least one command is an exercise command whose contract id and choice argument fulfill the given assertions.

Check that at least one command is an exercise by key command whose key and choice argument fulfill the given assertions.

# 9.3.1.3 Module Daml.Trigger.LowLevel

#### **Typeclasses**

class HasTime m => ActionTrigger m where

Low-level trigger actions.

liftTF: TriggerFa-> ma

instance ActionTrigger (TriggerRule s)

instance ActionTrigger TriggerSetup

# **Data Types**

data ActiveContracts

ActiveContracts

Field	Type	Description
activeContracts	[Created]	

instance HasField activeContracts ActiveContracts [Created]

instance HasField initialState (Trigger s) (Party -> ActiveContracts -> TriggerSetup s)

# data AnyContractId

This type represents the contract id of an unknown template. You can use fromAnyContractId to check which template it corresponds to.

instance Eq AnyContractId

instance Ord AnyContractId

instance Show AnyContractId

instance HasField activeContracts ACS (Map TemplateTypeRep (Map AnyContractId
AnyTemplate))

instance HasField contractId AnyContractId (ContractId ())

instance HasField contractId Archived AnyContractId

instance HasField contractld Command AnyContractld

instance HasField contractId Created AnyContractId

instance HasField pendingContracts ACS (Map CommandId [AnyContractId])

instance HasField pendingContracts (TriggerAStates) (Map CommandId [AnyContractId])

instance HasField templateId AnyContractId TemplateTypeRep

#### data Archived

The data in an Archived event.

Archived

Field	Туре	Description
eventId	EventId	
contractId	AnyContrac-	
	tld	

instance Eq Archived

instance Show Archived

instance HasField contractId Archived AnyContractId

instance HasField eventId Archived EventId

#### data Command

A ledger API command. To construct a command use createCmd and exerciseCmd.

CreateCommand

Field	Туре	Description
templateArg	AnyTem- plate	

ExerciseCommand

Field	Type	Description
contractId	AnyContrac-	
	tld	
choiceArg	AnyChoice	

#### CreateAndExerciseCommand

Field	Туре	Description
templateArg	AnyTem-	
	plate	
choiceArg	AnyChoice	

# ExerciseByKeyCommand

Field	Туре	Description
tplTypeRep	Template-	
	TypeRep	
contractKey	AnyCon-	
	tractKey	
choiceArg	AnyChoice	

instance HasField choiceArg Command AnyChoice

instance HasField commands [Command]

instance HasField commandsInFlight (TriggerAState s) (Map CommandId [Command])

instance HasField commandsInFlight (TriggerState s) (Map CommandId [Command])

instance HasField contractld Command AnyContractld

instance HasField contractKey Command AnyContractKey

instance HasField runTriggerUpdateA (TriggerUpdateAs a) ((Map CommandId [Command],

ACS) -> State s a)

instance HasField templateArg Command AnyTemplate

instance HasField tplTypeRep Command TemplateTypeRep

# data CommandId

# **CommandId Text**

instance Eq CommandId

instance Ord CommandId

instance Show CommandId

instance HasField commandId Commands CommandId

instance HasField commandId Completion CommandId

instance HasField commandId Transaction (Optional CommandId)
instance HasField commandsInFlight (TriggerAState s) (Map CommandId [Command])
instance HasField commandsInFlight (TriggerState s) (Map CommandId [Command])
instance HasField pendingContracts ACS (Map CommandId [AnyContractId])
instance HasField pendingContracts (TriggerAState s) (Map CommandId [AnyContractId])
instance HasField runTriggerUpdateA (TriggerUpdateA s a) ((Map CommandId [Command],

#### data Commands

A set of commands that are submitted as a single transaction.

#### Commands

ACS) -> State s a)

Field	Type	Description
commandId	CommandId	
commands	[Command]	

instance HasField commandId Commands CommandId instance HasField commands Commands [Command]

#### data Completion

A completion message. Note that you will only get completions for commands emitted from the trigger. Contrary to the ledger API completion stream, this also includes synchronous failures.

# Completion

Field	Type	Description
commandId	CommandId	
status	Completion-	
	Status	

instance Show Completion

instance HasField commandId Completion CommandId instance HasField status Completion CompletionStatus

#### data CompletionStatus

#### Failed

Field	Type	Description
status	Int	
message	Text	

#### Succeeded

Field	Type	Description
transactionId	Transac-	
	tionId	

instance Show CompletionStatus

instance HasField message CompletionStatus Text

instance HasField status Completion CompletionStatus

instance HasField status CompletionStatus Int

instance HasField transactionId CompletionStatus TransactionId

#### data Created

The data in a Created event.

Created

Field	Туре	Description
eventId	EventId	
contractId	AnyContrac-	
	tld	
argument	AnyTem-	
	plate	

instance HasField activeContracts ActiveContracts [Created]

instance HasField argument Created AnyTemplate

instance HasField contractId Created AnyContractId

instance HasField eventId Created EventId

#### data Event

An event in a transaction. This definition should be kept consistent with the object EventVariant defined in triggers/runner/src/main/scala/com/digitalas-set/daml/lf/engine/trigger/Converter.scala

CreatedEvent Created

ArchivedEvent Archived

instance HasField events Transaction [Event]

# data EventId

**EventId Text** 

instance Eq EventId

instance Show EventId

instance HasField eventId Archived EventId

instance HasField eventId Created EventId

#### data Message

Either a transaction or a completion. This definition should be kept consistent with the object MessageVariant defined in triggers/runner/src/main/scala/com/digitalas-set/daml/lf/engine/trigger/Converter.scala

**MTransaction Transaction** 

**MCompletion Completion** 

MHeartbeat

instance HasField update (Trigger s) (Message -> TriggerRule s ())

instance HasField updateState (Trigger s) (Message -> TriggerUpdateA s ())

data RegisteredTemplates

AllInDar

Listen to events for all templates in the given DAR.

RegisteredTemplates [RegisteredTemplate]

instance HasField registeredTemplates (Trigger s) RegisteredTemplates

instance HasField registeredTemplates (Trigger s) RegisteredTemplates

data Transaction

Transaction

Field	Туре	Description
transactionId	Transac-	
	tionId	
commandId	Optional	
	CommandId	
events	[Event]	

instance HasField commandId Transaction (Optional CommandId)

instance HasField events Transaction [Event]

instance HasField transactionId Transaction TransactionId

data TransactionId

TransactionId Text

instance Eq TransactionId

instance Show TransactionId

instance HasField transactionId CompletionStatus TransactionId

instance HasField transactionId Transaction TransactionId

data Trigger s

Trigger is (approximately) a left-fold over Message with an accumulator of type s.

Trigger

Field	Туре	Description
initialState	Party ->	
	ActiveCon-	
	tracts ->	
	TriggerSetup	
	S	
update	Message ->	
	TriggerRule s	
	()	
registeredTem-	Regis-	
plates	teredTem-	
	plates	
heartbeat	Optional	
	RelTime	

instance HasField heartbeat (Trigger s) (Optional RelTime)

instance HasField initialState (Trigger s) (Party -> ActiveContracts -> TriggerSetup s)

instance HasField registeredTemplates (Trigger s) RegisteredTemplates

instance HasField update (Trigger s) (Message -> TriggerRule s ())

data TriggerRules a

TriggerRule

Field	Type	Description
runTriggerRule	StateT s (Free Trig- gerF) a	

instance ActionTrigger (TriggerRule s)

instance Functor (TriggerRule s)

instance ActionState s (TriggerRule s)

instance HasTime (TriggerRule s)

instance Action (TriggerRule s)

instance Applicative (TriggerRule s)

instance HasField runTriggerA (TriggerA s a) (ACS -> TriggerRule (TriggerAState s) a)

instance HasField runTriggerRule (TriggerRule s a) (StateT s (Free TriggerF) a)

instance HasField update (Trigger s) (Message -> TriggerRule s ())

data TriggerSetup a

TriggerSetup

Field	Type		Description
runTriggerSetup	Free	Trig-	
	gerF a		

instance ActionTrigger TriggerSetup

instance Functor TriggerSetup

**instance** HasTime TriggerSetup

instance Action TriggerSetup

instance Applicative TriggerSetup

instance HasField initialState (Trigger s) (Party -> ActiveContracts -> TriggerSetup s)

instance HasField runTriggerSetup (TriggerSetup a) (Free TriggerF a)

#### **Functions**

toAnyContractId : Template t => ContractId t -> AnyContractId

Wrap a ContractId tin AnyContractId.

fromAnyContractId : Template t => AnyContractId -> Optional (ContractId t)

Check if a AnyContractId corresponds to the given template or return None otherwise.

fromCreated : Template t => Created -> Optional (EventId, ContractId t, t)

Check if a Created event corresponds to the given template.

fromArchived : Template t => Archived -> Optional (Eventld, ContractId t)

Check if an Archived event corresponds to the given template.

registeredTemplate : Template t => RegisteredTemplate

createCmd : Template t => t -> Command

Create a contract of the given template.

exerciseCmd: Choice t c r => ContractId t -> c -> Command

Exercise the given choice.

createAndExerciseCmd : (Template t, Choice t c r) => t -> c -> Command

Create a contract of the given template and immediately exercise the given choice on it.

exerciseByKeyCmd: (Choice t c r, TemplateKey t k) => k -> c -> Command

fromCreate: Template t => Command -> Optional t

Check if the command corresponds to a create command for the given template.

fromCreateAndExercise: (Template t, Choice t c r) => Command -> Optional (t, c)

Check if the command corresponds to a create and exercise command for the given template.

fromExercise : Choice t c r => Command -> Optional (ContractId t, c)

Check if the command corresponds to an exercise command for the given template.

fromExerciseByKey: (Choice t c r, TemplateKey t k) => Command -> Optional (k, c)

Check if the command corresponds to an exercise by key command for the given template.

execStateT: Functor m => StateTs m a -> s -> m s

```
zoom : Functor m => (t -> s) -> (t -> s -> t) -> StateT s m a -> StateT t m a
simulateRule : TriggerRule s a -> Time -> s -> (s, [Commands], a)
```

Run a rule without running it. May lose information from the rule; meant for testing purposes only.

```
submitCommands : ActionTrigger m => [Command] -> m CommandId
```

In addition to the actual Daml logic which is uploaded to the Ledger and the UI, Daml applications often need to automate certain interactions with the ledger. This is commonly done in the form of a ledger client that listens to the transaction stream of the ledger and when certain conditions are met, e.g., when a template of a given type has been created, the client sends commands to the ledger, e.g., it creates a template of another type.

It is possible to write these clients in a language of your choice, e.g., JavaScript, using the HTTP JSON API. However, that introduces an additional layer of friction: You now need to translate between the template and choice types in Daml and a representation of those Daml types in the language you are using for your client. Daml triggers address this problem by allowing you to write certain kinds of automation directly in Daml reusing all the Daml types and logic that you have already defined. Note that while the logic for Daml triggers is written in Daml, they act like any other ledger client: They are executed separately from the ledger, they do not need to be uploaded to the ledger and they do not allow you to do anything that any other ledger client could not do.

# 9.3.2 Usage

Our example for this tutorial consists of 3 templates.

First, we have a template called Original:

```
template Original
  with
   owner : Party
  name : Text
  textdata : Text
  where
    signatory owner

  key (owner, name) : (Party, Text)
  maintainer key._1
```

This template has an owner, a name that identifies it and some textdata that we just represent as Text to keep things simple. We have also added a contract key to ensure that each owner can only have one Original with a given name.

Second, we have a template called Subscriber:

```
template Subscriber
with
   subscriber : Party
   subscribedTo : Party
where
   signatory subscriber
   observer subscribedTo
   key (subscriber, subscribedTo) : (Party, Party)
   maintainer key._1
```

This template allows the subscriber to subscribe to Original s where subscribedTo is the owner. For each of these Original s, our Daml trigger should then automatically create an instance of third template called Copy:

```
template Copy
  with
    original : Original
    subscriber : Party
  where
    signatory (signatory original)
    observer subscriber
```

Our trigger should also ensure that the Copy contracts stay in sync with changes on the ledger. That means that we need to archive Copy contracts if there is more than one for the same Original, we need to archive Copy contracts if the corresponding Original has been archived and we need to archive all Copy s for a given subscriber if the corresponding Subscriber contract has been archived.

# 9.3.2.1 Implementing a Daml Trigger

Having defined what our Daml trigger is supposed to do, we can now move on to its implementation. A Daml trigger is a regular Daml project that you can build using daml build. To get access to the API used to build a trigger, you need to add the daml-triggers library to the dependencies field in daml.yaml.

```
dependencies:
- daml-prim
- daml-stdlib
- daml-trigger
```

In addition to that you also need to import the <code>Daml.Trigger</code> module.

Daml triggers automatically track the active contract set (ACS), i.e., the set of contracts that have been created and have not been archived, and the commands in flight for you. In addition to that, they allow you to have user-defined state that is updated based on new transactions and command completions. For our copy trigger, the ACS is sufficient, so we will simply use () as the type of the user defined state.

To create a trigger you need to define a value of type Trigger s where s is the type of your user-defined state:

```
data Trigger s = Trigger
  { initialize : TriggerInitializeA s
  , updateState : Message -> TriggerUpdateA s ()
  , rule : Party -> TriggerA s ()
  , registeredTemplates : RegisteredTemplates
  , heartbeat : Optional RelTime
  }
```

The initialize function is called on startup and allows you to initialize your user-defined state based on querying the active contract set.

The updateState function is called on new transactions and command completions and can be used to update your user-defined state based on the ACS and the transaction or completion. Since

our Daml trigger does not have any interesting user-defined state, we will not go into details here.

The rule function is the core of a Daml trigger. It defines which commands need to be sent to the ledger based on the party the trigger is executed at, the current state of the ACS, and the user defined state. The type TriggerA allows you to emit commands that are then sent to the ledger, query the ACS with query, update the user-defined state, as well as retrieve the commands in flight with getCommandsInFlight. Like Scenario or Update, you can use do notation and getTime with TriggerA.

We can specify the templates that our trigger will operate on. In our case, we will simply specify AllInDar which means that the trigger will receive events for all template types defined in the DAR. It is also possible to specify an explicit list of templates, e.g., RegisteredTemplates [registeredTemplate @Original, registeredTemplate @Subscriber, registeredTemplate @Copy]. This is mainly useful for performance reasons if your DAR contains many templates that are not relevant for your trigger.

Finally, you can specify an optional heartbeat interval at which the trigger will be sent a MHeartbeat message. This is useful if you want to ensure that the trigger is executed at a certain rate to issue timed commands.

For our Daml trigger, the definition looks as follows:

```
copyTrigger : Trigger ()
copyTrigger = Trigger
{ initialize = pure ()
   , updateState = \_message -> pure ()
   , rule = copyRule
   , registeredTemplates = AllInDar
   , heartbeat = None
}
```

Now we can move on to the most complex part of our Daml trigger, the implementation of <code>copyRule</code>. First let's take a look at the signature:

```
copyRule : Party -> TriggerA () ()
copyRule party = do
```

We will need the party and the ACS to get the Original contracts where we are the owner, the Subscriber contracts where we are in the subscribedTo field and the Copy contracts where we are the owner of the corresponding Original.

The commands in flight, retrievable with <code>getCommandsInFlight</code>, will be useful to avoid sending the same command multiple times if <code>copyRule</code> is run multiple times before we get the corresponding transaction. Note that Daml triggers are expected to be designed such that they can cope with this, e.g., after a restart or a crash where the commands in flight do not contain commands in flight from before the restart, so this is an optimization rather than something required for them to function correctly.

First, we get all Subscriber, Original and Copy contracts from the ACS. For that, the Daml trigger API provides a query function that will return a list of all contracts of a given template.

```
subscribers : [(ContractId Subscriber, Subscriber)] <- query @Subscriber
originals : [(ContractId Original, Original)] <- query @Original
copies : [(ContractId Copy, Copy)] <- query @Copy</pre>
```

Now, we can filter those contracts to the ones where we are the owner as described before.

We also need a list of all parties that have subscribed to us.

```
let subscribingParties = map (\((_, s) -> s.subscriber) ownedSubscribers
```

As we have mentioned before, we only want to keep one <code>Copy</code> per <code>Original</code> and <code>Subscriber</code> and archive all others. Therefore, we group identical <code>Copy</code> contracts and keep the first of each group while archiving the others.

```
let groupedCopies : [[(ContractId Copy, Copy)]]
    groupedCopies = groupOn snd $ sortOn snd $ ownedCopies
let copiesToKeep = map head groupedCopies
let archiveDuplicateCopies = concatMap tail groupedCopies
```

In addition to duplicate copies, we also need to archive copies where the corresponding Original or Subscriber no longer exists.

```
let archiveMissingOriginal = filter (\(_, c) -> c.original `notElem` map\)

snd ownedOriginals) copiesToKeep
let archiveMissingSubscriber = filter (\(_, c) -> c.subscriber `notElem`\)

subscribingParties) copiesToKeep
let archiveCopies = dedup $ map fst $ archiveDuplicateCopies <>\)

archiveMissingOriginal <> archiveMissingSubscriber
```

To send the corresponding archive commands to the ledger, we iterate over archiveCopies using forA and call the <code>emitCommands</code> function. Each call to <code>emitCommands</code> takes a list of commands which will be submitted as a single transaction. The actual commands can be created using <code>exerciseCmd</code> and <code>createCmd</code>. In addition to that, we also pass in a list of contract ids. Those contracts will be marked pending and not be included in the result of <code>query</code> until the commands have either been committed to the ledger or the command submission failed.

```
forA archiveCopies $ \cid → emitCommands [exerciseCmd cid Archive] □ → [toAnyContractId cid]
```

Finally, we also need to create copies that do not already exists. We want to avoid creating copies for which there is already a command in flight. The Daml Trigger API provides a dedupCreate helper for this which only sends the commands if it is not already in flight.

# 9.3.2.2 Running a Daml Trigger

To try this example out, you can replicate it using daml new copy—trigger —template copy—trigger. You first have to build the trigger like you would build a regular Daml project using daml build. Then start the sandbox and navigator using daml start.

Now we are ready to run the trigger using daml trigger:

```
daml trigger --dar .daml/dist/copy-trigger-0.0.1.dar --trigger-name□

→CopyTrigger:copyTrigger --ledger-host localhost --ledger-port 6865 --

→ledger-party Alice
```

The first argument specifies the .dar file that we have just built. The second argument specifies the identifier of the trigger using the syntax ModuleName:identifier. Finally, we need to specify the ledger host, port, the party that our trigger is executed as, and the time mode of the ledger which is the sandbox default, i.e, static time.

Now open Navigator at http://localhost:7500/.

First, login as Alice and create an Original contract with party set to Alice. Now, logout and login as Bob and create a Subscriber contract with subscriber set to Bob and subscribedTo set to Alice. After a short delay you should now see a Copy contract corresponding to the Original that you have created as Alice. Once you archive the Subscriber contract, you can see that the Copy contract will also be archived.

When using Daml triggers against a Ledger with authentication, you can pass --access-token-file token.jwt to daml trigger which will read the token from the file token.jwt.

# 9.3.3 When not to use Daml triggers

Daml Triggers are not suited for automation that needs to interact with services or data outside of the ledger. For those cases, you can write a ledger client using the JavaScript bindings running against the HTTP JSON API or the Java bindings running against the gRPC Ledger API.

Daml triggers deliberately only allow you to express automation that listens for ledger events and reacts to them by sending commands to the ledger.

# 9.4 Visualizing Daml Contracts

Visualizing Daml Contracts is currently an Early Access Feature in Labs status.

You can generate visual graphs for the contracts in your Daml project. To do this:

- 1. Install Graphviz.
- 2. Generate a DAR from your project by running daml build.
- 3. Generate a dot file from that DAR by running daml damlc visual <path\_to\_project>/
   dist/<project\_name.dar> --dot <project\_name>.dot
- 4. Generate the visual graph with Graphviz by running dot -Tpng project\_name>.dot >
   cproject\_name>.png

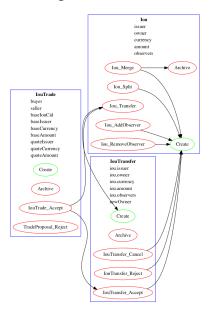
# 9.4.1 Example: Visualizing the Quickstart project

Here's an example visualization based on the quickstart. You'll need to install Graphviz to try this out.

1. Generate the dar using daml build

- 2. Generate a dot file daml damlc visual dist/quickstart-0.0.1.dar --dot quickstart.dot
- 3. Generate the visual graph with Graphviz by running dot -Tpng quickstart.dot -o quickstart.png

Running the above should produce an image which looks something like this:



# 9.4.2 Visualizing Daml Contracts - Within IDE

You can generate visual graphs from VS Code IDE. Open the daml project in VS Code and use command palette. Should reveal a new window pane with dot image. Also visual generates only the currently open daml file and its imports.

Note: You will need to install the Graphviz/dot packages as mentioned above.

# 9.4.3 Visualizing Daml Contracts - Interactive Graphs

This does not require any packages installed. You can generate D3 graphs for the contracts in your Daml project. To do this

- 1. Generate a DAR from your project by running daml build
- 2. Generate HTML file daml damlc visual-web .daml/dist/quickstart-0.0.1.dar -o quickstart.html

Running the above should produce an image which looks something like this:



# 9.5 Ledger Interoperability

Certain Daml ledgers can interoperate with other Daml ledgers. That is, the contracts created on one ledger can be used and archived in transactions on other ledgers. Some Participant Nodes can

connect to multiple ledgers and provide their parties unified access to those ledgers via the Ledger API. For example, when an organization initially deploys two workflows to two Daml ledgers, it can later compose those workflows into a larger workflow that spans both ledgers.

Interoperability may limit the visibility a Participant Node has into a party's ledger projection, i.e., its local ledger, when the party is hosted on multiple Participant Nodes. These limitations influence what parties can observe via the Ledger API of each Participant Node. In particular, interoperability affects which events a party observes and their order. This document explains the visibility limitations due to interoperability and their consequences for the Transaction Service, by example and formally by introducing interoperable versions of causality graphs and projections.

The presentation assumes that you are familiar with the following concepts:

The Ledger API
The Daml Ledger Model
Local ledgers and causality graphs

**Note:** Interoperability for Daml ledgers is under active development. This document describes the vision for interoperability and gives an idea of how the Ledger API services may change and what guarantees are provided. The described services and guarantees may change without notice as the interoperability implementation proceeds.

# 9.5.1 Interoperability examples

#### 9.5.1.1 Topology

Participant Nodes connect to Daml ledgers and parties access projections of these ledgers via the Ledger API. The following picture shows such a setup.

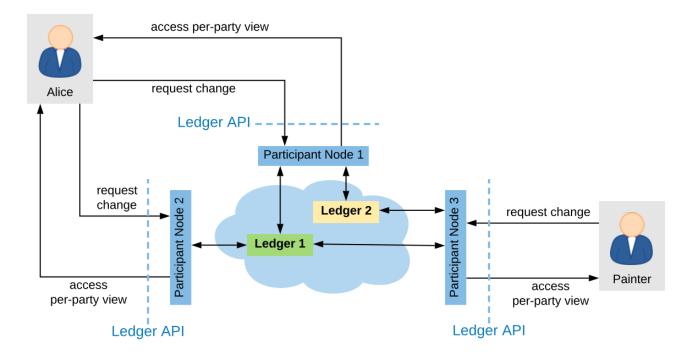


Fig. 1: Example topology with three interoperable ledgers

The components in this diagram are the following:

There is a set of interoperable **Daml ledgers**: Ledger 1 (green) and Ledger 2 (yellow).

Each **Participant Node** is connected to a subset of the Daml ledgers.

- Participant Nodes 1 and 3 are connected to Ledger 1 and 2.
- Participant Node 2 is connected to Ledger 1 only.

Participant Nodes host parties on a subset of the Daml ledgers they are connected to. A Participant Node provides a party access to the Daml ledgers that it hosts the party on.

- Participant Node 1 hosts Alice on Ledger 1 and 2.
- Participant Node 2 hosts Alice on Ledger 1.
- Participant Node 3 hosts the painter on Ledger 1 and 2.

### 9.5.1.2 Aggregation at the participant

The Participant Node assembles the updates from these ledgers and outputs them via the party's Transaction Service and Active Contract Service. When a Participant Node hosts a party only on a subset of the interoperable Daml ledgers, then the transaction and active contract services of the Participant Node are derived only from those ledgers.

For example, in the above topology, when a transaction creates a contract with stakeholder Alice on Ledger 2, then P1's transaction stream for Alice will emit this transaction and report the contract as active, but Alice's stream at P2 will not.

#### 9.5.1.3 Enter and Leave events

With interoperability, a transaction can use a contract whose creation was recorded on a different ledger. In the above topology, e.g., one transaction creates a contract c1 with stakeholder Alice on Ledger 1 and another archives the contract on Ledger 2. Then the Participant Node P2 outputs the Create action as a CreatedEvent, but not the Exercise in form of an ArchiveEvent on the transaction service because Ledger 2 can not notify P2 as P2 does not host Alice on Ledger 2. Conversely, when one transaction creates a contract c2 with stakeholder Alice on Ledger 2 and another archives the contract on Ledger 1, then P2 outputs the ArchivedEvent, but not the CreatedEvent.

To keep the transaction stream consistent, P2 additionally outputs a **Leave** c1 action on Alice's transaction stream. This action signals that the Participant Node no longer outputs events concerning this contract; in particular not when the contract is archived. The contract is accordingly no longer reported in the active contract service and cannot be used by command submissions.

Conversely, P2 outputs an **Enter** c2 action some time before the ArchivedEvent on the transaction stream. This action signals that the Participant Node starts outputting events concerning this contract. The contract is reported in the Active Contract Service and can be used by command submission.

The actions **Enter** and **Leave** are similar to a **Create** and a consuming **Exercise** action, respectively, except that **Enter** and **Leave** may occur several times for the same contract whereas there should be at most one **Create** action and at most one consuming **Exercise** action for each contract.

These **Enter** and **Leave** events are generated by the underlying interoperability protocol. This may happen as part of command submission or for other reasons, e.g., load balancing. It is guaranteed that the **Enter** action precedes contract usage, subject to the trust assumptions of the underlying ledgers and the interoperability protocol.

A contract may enter and leave the visibility of a Participant Node several times. For example, suppose that the painter submits the following commands and their commits end up on the given ledgers.

1. Create a contract c with signatories Alice and the painter on Ledger 2

- 2. Exercise a non-consuming choice ch1 on c on Ledger 1.
- 3. Exercise a non-consuming choice ch2 on c on Ledger 2.
- 4. Exercise a consuming choice ch3 on c on Ledger 1.

Then, the transaction tree stream that P2 provides for A contains five actions involving contract c: **Enter**, non-consuming **Exercise**, **Leave**, **Enter**, consuming **Exercise**. Importantly, P2 must not omit the **Leave** action and the subsequent **Enter**, even though they seem to cancel out. This is because their presence indicates that P2's event stream for Alice may miss some events in between; in this example, exercising the choice ch2.

The flat transaction stream by *P2* omits the non-consuming exercise choices. It nevertheless contains the three actions **Enter**, **Leave**, **Enter** before the consuming **Exercise**. This is because the Participant Node cannot know at the **Leave** action that there will be another **Enter** action coming.

In contrast, P1 need not output the **Enter** and **Leave** actions at all in this example because P1 hosts Alice on both ledgers.

# 9.5.1.4 Cross-ledger transactions

With interoperability, a cross-ledger transaction can be committed on several interoperable Daml ledgers simultaneously. Such a cross-ledger transaction avoids some of the synchronization overhead of **Enter** and **Leave** actions. When a cross-ledger transaction uses contracts from several Daml ledgers, stakeholders may witness actions on their contracts that are actually not visible on the Participant Node.

For example, suppose that the *split paint counteroffer workflow* from the causality examples is committed as follows: The actions on *CounterOffer* and *PaintAgree* contracts are committed on Ledger 1. All actions on *lous* are committed on Ledger 2, assuming that some Participant Node hosts the Bank on Ledger 2. The last transaction is a cross-ledger transaction because the archival of the *CounterOffer* and the creation of the *PaintAgree*ment commits on Ledger 1 simultaneously with the transfer of Alice's *lou* to the painter on Ledger 2.

For the last transaction, Participant Node 1 notifies Alice of the transaction tree, the two archivals and the PaintAgree creation via the Transaction Service as usual. Participant Node 2 also output's the whole transaction tree on Alice's transaction tree stream, which contains the consuming **Exercise** of Alice's *lou*. However, it has not output the **Create** of Alice's *lou* because *lou* actions commit on Ledger 2, on which Participant Node 2 does not host Alice. So Alice merely witnesses the archival even though she is an *informee* of the exercise. The **Exercise** action is therefore marked as merely being witnessed on Participant Node 2's transaction tree stream.

In general, an action is marked as **merely being witnessed** when a party is an informee of the action, but the action is not committed on a ledger on which the Participant Node hosts the party. Unlike **Enter** and **Leave**, such witnessed actions do not affect causality from the participant's point of view and therefore provide weaker ordering guarantees. Such witnessed actions show up neither in the flat transaction stream nor in the Active Contracts Service.

For example, suppose that the **Create** *PaintAgree* action commits on Ledger 2 instead of Ledger 1, i.e., only the *CounterOffer* actions commit on Ledger 1. Then, Participant Node 2 marks the **Create** *PaintAgree* action also as merely being witnessed on the transaction tree stream. Accordingly, it does not report the contract as active nor can Alice use the contract in her submissions via Participant Node 2.

# 9.5.2 Multi-ledger causality graphs

This section generalizes causality graphs to the interoperability setting.

Every active Daml contract resides on at most one Daml ledger. Any use of a contract must be committed on the Daml ledger where it resides. Initially, when the contract is created, it takes up residence on the Daml ledger on which the **Create** action is committed. To use contracts residing on different Daml ledgers, cross-ledger transactions are committed on several Daml ledgers.

However, cross-ledger transactions incur overheads and if a contract is frequently used on a Daml ledger that is not its residence, the interoperability protocol can migrate the contract to the other Daml ledger. The process of the contract giving up residence on the origin Daml ledger and taking up residence on the target Daml ledger is called a **contract transfer**. The **Enter** and **Leave** events on the transaction stream originate from such contract transfers, as will be explained below. Moreover, contract transfers are synchronization points between the origin and target Daml ledgers and therefore affect the ordering guarantees. We therefore generalize causality graphs for interoperability.

**Definition** Transfer action A transfer action on a contract c is written Transfer c. The informees of the transfer actions are the stakeholders of c.

In the following, the term action refers to transaction actions (**Create, Exercise, Fetch**, and **No-SuchKey**) as well as transfer actions. In particular, a transfer action on a contract c is an action on c. Transfer actions do not appear in transactions though. So a transaction action cannot have a transfer action as a consequence and transfer actions do not have consequences at all.

**Definition Multi-Ledger causality graph** A **multi-ledger causality graph** G for a set Y of Daml ledgers is a finite, transitively closed, directed acyclic graph. The vertices are either transactions or transfer actions. Every action is possibly annotated with an **incoming ledger** and an **outgoing ledger** from Y according to the following table:

Action	incoming ledger	outgoing ledger
Create	no	yes
consuming <b>Exercise</b>	yes	no
non-consuming <b>Exercise</b>	yes	yes
Fetch	yes	yes
NoSuchKey	no	no
Transfer	maybe	maybe

For non-consuming Exercise and Fetch actions, the incoming ledger must be the same as the outgoing ledger. Transfer actions must have at least one of them. A transfer action with both set represents a complete transfer. If only the incoming ledger is set, it represents the partial information of an Enter event; if only outgoing is set, it is the partial information of a Leave event. Transfer actions with missing incoming or outgoing ledger annotations referred to as Enter or Leave actions, respectively.

The action order generalizes to multi-ledger causality graphs accordingly.

In the example for Enter and Leave events where the painter exercises three choices on contract c with signatories Alice and the painter, the four transactions yield the following multi-ledger causality graph. Incoming and outgoing ledgers are encoded as colors (green for Ledger 1 and yellow for Ledger 2). **Transfer** vertices are shown as circles, where the left half is colored with the incoming ledger and the right half with the outgoing ledger.

**Note:** As for ordinary causality graphs, the diagrams for multi-ledger causality graphs omit transitive edges for readability.

As an example for a cross-domain transaction, consider the *split paint counteroffer workflow with the* cross-domain transaction. The corresponding multi-ledger causality graph is shown below. The last

Fig. 2: Multi-Ledger causality graph with transfer actions

transaction tx4 is a cross-ledger transaction because its actions have more than one color.

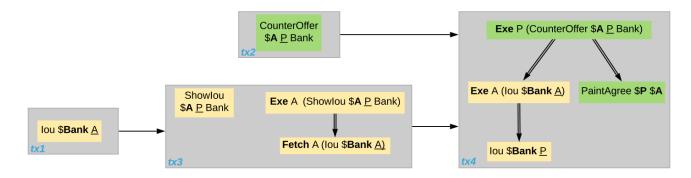


Fig. 3: Multi-Ledger causality graph for the split paint counteroffer workflow on two Daml ledgers

# 9.5.2.1 Consistency

**Definition** Ledger trace A ledger trace is a finite list of pairs  $(a_i, b_i)$  such that  $b_{i-1} = a_i$  for all i > 0. Here  $a_i$  and  $b_i$  identify Daml ledgers or are the special value NONE, which is different from all Daml ledger identifiers.

**Definition Multi-Ledger causal consistency for a contract** Let G be a multi-ledger causality graph and X be a set of actions from G on a contract in c. The graph G is **multi-ledger consistent for the contract** c on X if all of the following hold:

- 1. If X is not empty, then X contains a **Create** or **Enter** action. This action precedes all other actions in X.
- 2. X contains at most one Create action. If so, this action precedes all other actions in X.
- 3. If X contains a consuming **Exercise** action act, then act follows all other actions in X in G's action order.
- 4. All Transfer actions in X are ordered with all other actions in X.
- 5. For every maximal chain in X (i.e., maximal totally ordered subset of X), the sequence of (incoming ledger, outgoing ledger) pairs is a ledger trace, using NONE if the action does not have an incoming or outgoing ledger annotation.

The first three conditions mimic the conditions of causal consistency for ordinary causality graphs. They ensure that **Create** actions come first and consuming **Exercise** actions last. An **Enter** action takes the role of a **Create** if there is no **Create**. The fourth condition ensures that all transfer actions are synchronization points for a contract. The last condition about ledger traces ensures that contracts reside on only one Daml ledger and all usages happen on the ledger of residence. In particular, the next contract action after a **Leave** must be an **Enter**.

For example, the above multi-ledger causality graph with transfer actions is multi-ledger consistent for c. In particular, there is only one maximal chain in the actions on c, namely

Create c -> tf1 -> ExeN B c ch1 -> tf2 -> ExeN B c ch2 -> tf3 -> ExeN B c ch3,

and for each edge act<sub>1</sub> -> act<sub>2</sub>, the outgoing ledger color of act<sub>1</sub> is the same as the incoming ledger

color of act<sub>2</sub>. The restriction to maximal chains ensures that no node is skipped. For example, the (non-maximal) chain

Create c -> ExeN B c ch1 -> tf2 -> ExeN B c ch2 -> tf3 -> Exe B c ch3

is not a ledger trace because the outgoing ledger of the **Create** action (yellow) is not the same as the incoming ledger of the non-consuming **Exercise** action for ch1 (green). Accordingly, the subgraph without the tf1 vertex is not multi-ledger consistent for c even though it is a multi-ledger causality graph.

**Definition** Consistency for a multi-ledger causality graph Let X be a subset of actions in a multi-ledger causality graph G. Then G is multi-ledger consistent for X (or X-multi-ledger consistent) if G is multi-ledger consistent for all contracts c on the set of actions on c in X. G is multi-ledger consistent if G is multi-ledger consistent on all the actions in G.

**Note:** There is no multi-ledger consistency requirement for contract keys yet. So interoperability does not provide consistency guarantees beyond those that come from the contracts they reference. In particular, contract keys need not be unique and **NoSuchKey** actions do not check that the contract key is unassigned.

The multi-ledger causality graph for the split paint counteroffer workflow is multi-ledger consistent. In particular all maximal chains of actions on a contract are ledger traces:

contract	maximal chains
Iou Bank A	Create -> Fetch -> Exercise
Showlou A P Bank	Create -> Exercise
Counteroffer A P Bank	Create -> Exercise
Iou Bank P	Create
PaintAgree P A	Create

#### 9.5.2.2 Minimality and reduction

When edges are added to an X-multi-ledger consistent causality graph such that it remains acyclic and transitively closed, the resulting graph is again X-multi-ledger consistent. The notions *minimally* consistent and reduction therefore generalize from ordinary causality graphs accordingly.

**Definition Minimal multi-ledger-consistent causality graph** An X-multi-ledger consistent causality graph G is X-minimal if no strict subgraph of G (same vertices, fewer edges) is an X-multi-ledger consistent causality graph. If X is the set of all actions in G, then X is omitted.

**Definition** Reduction of a multi-ledger consistent causality graph For an X-multi-ledger consistent causality graph G, there exists a unique minimal X-multi-ledger consistent causality graph reduce<sub>X</sub>(G) with the same vertices and the edges being a subset of G. reduce<sub>X</sub>(G) is called the X-reduction of G. As before, X is omitted if it contains all actions in G.

Since multi-ledger causality graphs are acyclic, their vertices can be sorted topologically and the resulting list is again a causality graph, where every vertex has an outgoing edge to all later vertices. If the original causality graph is X-consistent, then so is the topological sort, as topological sorting merely adds edges.

# 9.5.2.3 From multi-ledger causality graphs to ledgers

Multi-Ledger causality graphs G are linked to ledgers L in the Daml Ledger Model via topological sort and reduction.

Given a multi-ledger causality graph *G*, drop the incoming and outgoing ledger annotations and all transfer vertices, topologically sort the transaction vertices, and extend the resulting list of transactions with the requesters to obtain a sequence of commits *L*.

Given a sequence of commits *L*, use the transactions as vertices and add an edge from tx1 to tx2 whenever tx1's commit precedes tx2's commit in the sequence. Then add transfer vertices and incoming and outgoing ledger annotations as needed and connect them with edges to the transaction vertices.

This link preserves consistency only to some extent. Namely, if a multi-ledger causality graph is multi-ledger consistent for a contract c, then the corresponding ledger is consistent for the contract c, too. However, a multi-ledger-consistent causality graph does not yield a consistent ledger because key consistency may be violated. Conversely, a consistent ledger does not talk about the incoming and outgoing ledger annotations and therefore cannot enforce that the annotations are consistent.

# 9.5.3 Ledger-aware projection

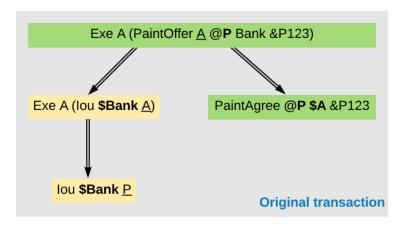
A Participant Node maintains a local ledger for each party it hosts and the Transaction Service outputs a topological sort of this local ledger. When the Participant Node hosts the party on several ledgers, this local ledger is an multi-ledger causality graph. This section defines the ledger-aware projection of an multi-ledger causality graph, which yields such a local ledger.

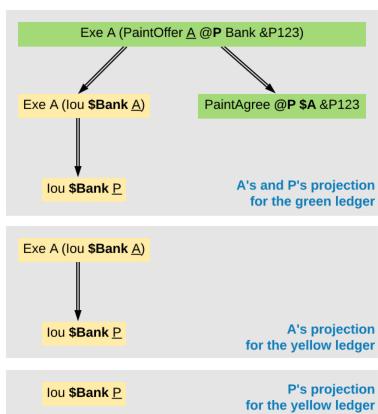
**Definition Y-labelled action** An action with incoming and outgoing ledger annotations is **Y-labelled** for a set Y if its incoming or outgoing ledger annotation is an element of Y.

**Definition** Ledger-aware projection for transactions Let Y be a set of Daml ledgers and tx a transaction whose actions are annotated with incoming and outgoing ledgers. Let Act be the set of Y-labelled subactions of tx that the party P is an informee of. The ledger-aware projection of tx for P on Y (P-projection on Y) consists of all the maximal elements of Act (w.r.t. the subaction relation) in execution order.

**Note:** Every action contains all its subactions. So if act is included in the P-projection on Y of tx, then all subactions of act are also part of the projection. Such a subaction act' may not be Y-labelled itself though, i.e., belong to a different ledger. If P is an informee of act', the Participant Node will mark act' as merely being witnessed on P's transaction stream, as explained below.

The cross-domain transaction in the split paint counteroffer workflow, for example, has the following projections for Alice and the painter on the lou ledger (yellow) and the painting ledger (green). Here, the projections on the green ledger include the actions of the yellow ledger because a projection includes the subactions.





**Definition** Projection for transfer actions Let act be a transfer action annotated with an incoming ledger and/or an outgoing ledger. The **projection** of act on a set of ledgers Y removes the annotations from act that are not in Y. If the projection removes all annotations, it is empty. The **projection** of act to a party P on Y (P-projection on Y) is the projection of act on Y if P is a stakeholder of the contract, and empty otherwise.

**Definition Multi-Ledger consistency for a party** An multi-ledger causality graph G is **consistent for a party** P on a set of ledgers Y (P-**consistent** on Y) if G is multi-ledger consistent on the set of Y-labelled actions in G of which P is a stakeholder informee.

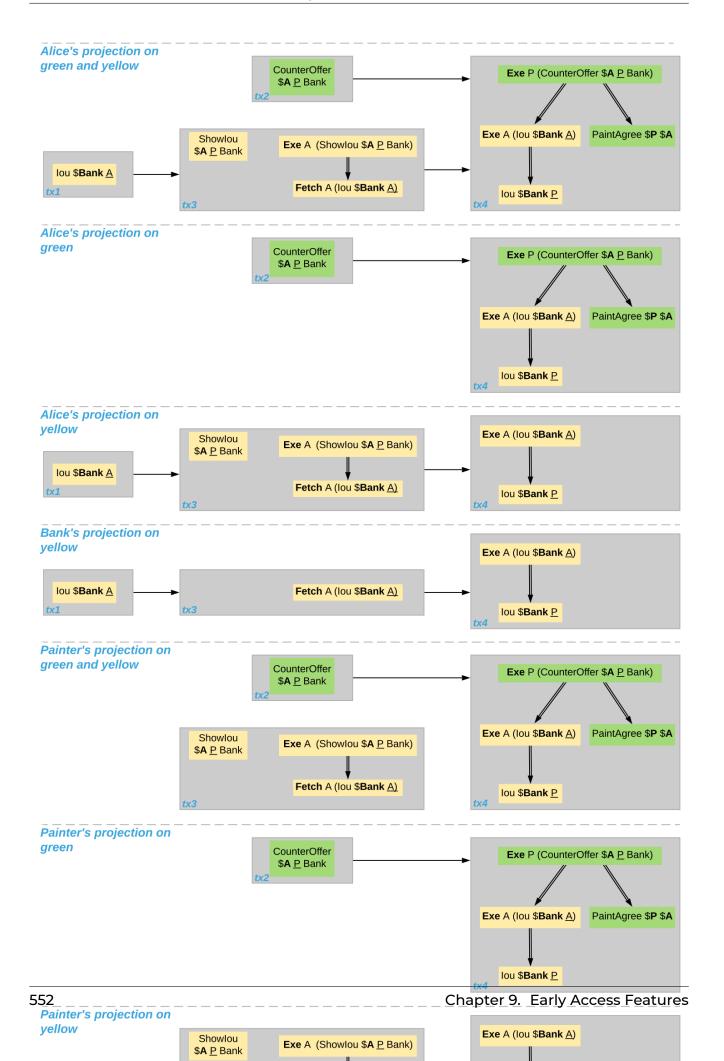
The notions of X-minimality and X-reduction extend to a party P on a set Y of ledgers accordingly.

**Definition** Ledger-aware projection for multi-ledger causality graphs Let G be a multi-ledger consistent causality graph and Y be a set of Daml ledgers. The **projection** of G to party P on Y (P-**projection** on Y) is the P-reduction on Y of the following causality graph G', which is P-consistent on Y:

The vertices of G are the vertices of G projected to P on Y, excluding empty projections. There is an edge between two vertices  $v_1$  and  $v_2$  in G if there is an edge from the G-vertex corresponding to  $v_1$  to the G-vertex corresponding to  $v_2$ .

If G is a multi-ledger consistent causality graph, then the P-projection on Y is P-consistent on Y, too.

For example, the multi-ledger causality graph for the split paint counteroffer workflow is projected as follows.

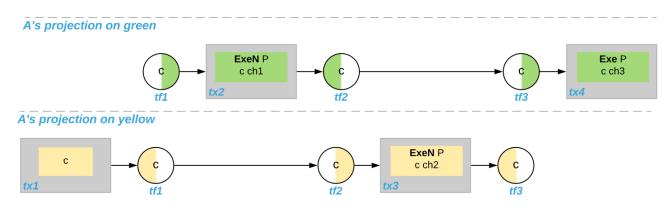


The following points are worth highlighting:

In Alice's projection on the green ledger, Alice witnesses the archival of her *lou*. As explained in the *Ledger API ordering guarantees* below, the **Exercise** action is marked as merely being witnessed in the transaction stream of a Participant Node that hosts Alice on the green ledger but not on the yellow ledger. Similarly, the Painter merely witnesses the **Create** of his *lou* in the Painter's projection on the green ledger.

In the Painter's projections, the Showlou transaction tx3 is unordered w.r.t. to the CounterOffer acceptance in tx4 like in the case of ordinary causality graphs. The edge tx3 -> tx4 is removed by the reduction step during projection.

The projection of transfer actions can be illustrated with the Multi-Ledger causality graph with transfer actions. The A-projections on the yellow and green ledger look as follows. The white color indicates that a transfer action has no incoming or outgoing ledger annotation. That is, a **Leave** action is white on the right hand side and an **Enter** action is white on the left hand side.



# 9.5.4 Ledger API ordering guarantees

The Transaction Service and the Active Contract Service are derived from the local ledger that the Participant Node maintains for the party. Let Y be the set of ledgers on which the Participant Node hosts a party. The transaction tree stream outputs a topological sort of the party's local ledger on Y, with the following modifications:

- Transfer actions with either an incoming or an outgoing ledger annotation are output as Enter and Leave events. Transfer actions with both incoming and outgoing ledger annotations are omitted.
- 2. The incoming and outgoing ledger annotations are not output. Transaction actions with an incoming or outgoing ledger annotation that is not in Y are marked as merely being witnessed if the party is an informee of the action.
- 3. Fetch nodes and NoSuchKey are omitted.

The flat transaction stream contains precisely the CreatedEvents, ArchivedEvents, and the Enter and Leave actions that correspond to Create, consuming Exercise, Enter and Leave actions in transaction trees on the transaction tree stream where the party is a stakeholder of the affected contract and that are not marked as merely being witnessed.

Similarly, the active contract service provides the set of contracts that are active at the returned offset according to the flat transaction stream. That is, the contract state changes of all events from the transaction event stream are taken into account in the provided set of contracts.

The ordering guarantees for single Daml ledgers extend accordingly. In particular, interoperability ensures that all local ledgers are projections of a virtual shared multi-ledger causality graph that con-

nects to the Daml Ledger Model as described above. The ledger validity guarantees therefore extend via the local ledgers to the Ledger API.

# **Chapter 10**

# **Daml Ecosystem**

# 10.1 Daml Ecosystem Overview

### 10.1.1 Status Definitions

Throughout the documentation, we use labels to mark features of APIs not yet deemed stable. This page gives meaning to those labels.

# 10.1.1.1 Early Access Features

Features or components covered by these docs are *Stable* by default. *Stable* features and components constitute Daml's public API in the sense of *Semantic Versioning*. Feature and components that are not *Stable* are called Early Access and called out explicitly.

Early Access features are opt-in whenever possible, needing to be activated with special commands or flags needing to be started up separately, or requiring the use of additional endpoints, for example.

Within the Early Access category, we distinguish three labels:

### Labs

Labs components and features are experiments, introduced for evaluation, testing, or project-internal use. There is no intent to develop them into a stable feature other than to see whether they add value and find uptake. They can be changed or discontinued without advance notice. They may be poorly documented and it is not recommended to start relying on them.

#### Alpha

Alpha components and features are early preview versions of features being actively developed to become a stable part of the ecosystem. At the Alpha stage, they are not yet feature complete, may have poor runtime characteristics, are still subject to frequent change, and may not be fully documented. Alpha features can be evaluated, and used in PoCs, but should not yet be relied upon for large projects or production use where breakages or changes to APIs would be costly.

#### Beta

Beta components and features are preview versions of features that are close to maturity. They are characterized by being considered feature complete, and the APIs close to the final public APIs. It is relatively safe to build on Beta features as long as the documented

caveats to runtime characteristics are understood and bugs and minor API adjustments are not too costly.

# 10.1.1.2 Deprecation

In addition to being labelled Early Access, features and components can also be labelled Deprecated . Deprecation follows a deprecation cycle laid out in the table below. The date of deprecation is documented in *Daml Ecosystem Overview*.

Deprecated features can be relied upon during the deprecation cycle to the same degree as their non-deprecated counterparts, but building on deprecated features may hinder an upgrade to new Daml versions following the deprecation cycle.

# 10.1.1.3 Comparison of Statuses

The table below gives a concise overview of the labels used for Daml features and components.

Table 1: Feature Maturities

	Stable	Beta	Alpha	Labs
Func-				
tional-				
ity				
Func-	Functionally com-	Considered func-	MVP-level function-	Functionality cov-
tional	plete	tionally complete,	ality covering at	ering one specific
Com-		but subject to	least a few core	use-case it was
plete-		change according	use-cases	made for
ness		to usability testing		
Non-				
function				
Re-				
quire-				
ments	III.IIII	0	the transfer	lister the fee
Perfor-	Unless stated oth-	Current perfor-	Using the fea-	Using the fea-
mance	erwise, the feature	mance impacts	ture may have	ture may have
	can be used with-	and expected per-	significant undoc-	significant undoc-
	out concern about	formance for the stable release are	umented impact	umented impact on overall system
	system perfor- mance.	documented.	on overall system performance.	performance.
Com-	Compatibility is	Compatibility is	The feature may	The feature may
patibil-	covered by Porta-	covered by Porta-	only work against	only work against
ity	bility, Compatibility,	bility, Compatibility,	specific Daml	specific Daml
ity	and Support Dura-	and Support Dura-	integrations, or	integrations, or
	tions.	tions.	specific API ver-	specific API ver-
	Crorro.	trono.	sions, including	sions, including
			Early Access ones.	Early Access ones.
Stability	The feature is long-	No known repro-	The feature may not	The feature may not
& Error	term stable and	ducible crashes	be stable and lack	be stable and lack
Recov-	supports recovery	which can't be	error recovery.	error recovery.
ery	fit for a production	recovered from.		
	system.	There is still an		
		expectation that		
		new issues may be		
		discovered.		
Re-				
leases				
and				
Support				
Distri-	Distributed as part	Distributed as part	Distributed as part	Releases and dis-
bution	of regular releases.	of regular releases.	of regular releases.	tribution may be
and Re-				separate.
leases	Covered by star	Not covered by	Not covered by	Not covered by
Support	Covered by stan- dard commercial	Not covered by standard commer-	Not covered by standard commer-	Not covered by standard commer-
		cial support terms.	cial support terms.	cial support terms.
	support terms.  Hotfixes for critical	Receives bug- and	Receives bug- and	Only receives fixes
	bugs and security	security fixes with	security fixes with	with low priority.
	issues are avail-	regular releases.	regular releases.	with low priority.
	able.	rogalai roloases.	rogular roloases.	
Depre-	May be removed	May be removed	May be removed	May be removed
QJ <sub>ti</sub> Dam	I Ecosystem Overvie	With any new minor	without warning.	without warning.557
· · · · · ·	version 12 months	version 1 month		
	after the date of	after the date of		
	denrecation	denrecation		

# 10.1.2 Feature and Component Statuses

This page gives an overview of the statuses of released components and features according to <u>Status</u> <u>Definitions</u>. Anything not listed here implicitly has status <u>Labs</u>, but it's possible that something accidentally slipped the list so if in doubt, please <u>contact us</u>.

# 10.1.2.1 Ledger API

Component/Feature	Status	Dep-
		re-
		cated
		on
Ledger API specification including all semantics of >= Daml-LF 1.6	Stable	
Numbered (ie non-dev) Versions of Proto definitions distributed via GitHub	Stable	
Releases		
Dev Versions of Proto definitions distributed via GitHub Releases	Alpha	

# 10.1.2.2 Integration Components

Component/Feature	Status	Dep-
		re-
		cated
		on
Integration Kit Components	Labs	
CLI and test names of Ledger API Test Tool	Beta	

# 10.1.2.3 Runtime components

Component / Feature	Status	Dep-
		re-
		cated
		on
JSON API		
HTTP endpoints under /v1/ including status codes, authentication, query lan-	Stable	
guage and encoding.		
daml json-api CLI for development. (as specified using daml json-api	Stable	
help)		
Stand-alone distribution for production use, including CLI specified in	Stable	
help.		
Triggers		
Daml API of individual Triggers	Beta	
Development CLI to start individual triggers in dev environment (daml trigger)	Beta	
/tools/trigger-service/index (daml trigger-service)	Alpha	
Extractor		
Extractor (daml extractor)	Labs	

# 10.1.2.4 Libraries

Component / Feature	Status	Dep-
		re-
		cated
		on
Scala Ledger API Bindings		
daml codegen scala CLI and generated code	Stable,	2020-
	Depre-	10-14
	cated	
bindings-scala_2.12 library and its public API	Stable,	2020-
	Depre-	10-14
	cated	
Java Ledger API Bindings		
daml codegen java CLI and generated code	Stable	
bindings-java library and its public API.	Stable	
bindings-rxjava library and its public API excluding the reactive components	Stable	
<pre>in package com.daml.ledger.rxjava.components.</pre>		
Java Reactive Components in the com.daml.ledger.rxjava.components	Stable,	2020-
package of bindings-rxjava.	Depre-	10-14
	cated	
Maven artifact daml-lf-1.6-archive-java-proto	Stable	
Maven artifact daml-lf-1.7-archive-java-proto	Stable	
Maven artifact daml-lf-1.8-archive-java-proto	Stable	
Maven artifact daml-lf-dev-archive-java-proto	Alpha	
Node.js Ledger API Bindings		
@digital-asset/bindings-js Node.js library	Stable,	2020-
	Depre-	10-14
	cated	
JavaScript Client Libraries		
daml codegen js CLI and generated code	Stable	
@daml/types library and its public API	Stable	
@daml/ledger library and its public API	Stable	
@daml/react library and its public API	Stable	
Daml Libraries		
The Daml Standard Library	Stable	
The Daml Script Library	Stable	
The Daml Trigger Library	Stable	

# 10.1.2.5 Developer Tools

Component / Feature	Status	Dep- re- cated on
SDK		
Windows SDK (installer)	Stable	
Mac SDK	Stable	

Continued on next page

Table 2 – continued from previous page

Component / Feature	Status	Dep-
	00000	re-
		cated
		on
Linux SDK	Stable	
Daml Assistant (daml) with top level commands	Stable	
help		
version		
install		
uninstall		
daml start helper command and associated CLI (daml starthelp)	Stable	
daml deploy helper command and associated CLI (daml deployhelp)	Stable	
Assistant commands to start Runtime Components: daml json-api, daml	See	
trigger, daml trigger-service, and daml extractor.	Run-	
0119901, dami 0119901 001 1100, <b>d</b> m <b>u</b> dami 0110140001.	time	
	compo-	
	nents.	
Daml Projects		
daml.yaml project specification	Stable	
Assistant commands new, create-daml-app, and init. Note that the tem-	Stable	
plates created by daml new and create-daml-app are considered example		
code, and are not covered by semantic versioning.		
Daml Studio		
VSCode Extension	Stable	
daml studio assistant command	Stable	
Code Generation		
daml codegen assistant commands	See Li-	
	braries.	
Sandbox Development Ledger		
$\verb daml sandbox  assistant command and documented CLI under \\ \verb daml $	Stable	
sandboxhelp.		
Daml Sandbox in Memory (ie without thesql-backend-jdbcurl flag)	Stable	
Daml Sandbox on Postgres (ie with thesql-backend-jdbcurl flag)	Stable,	2020-
	Depre-	12-16
	cated	
Daml Sandbox Classic and associated CLIs daml sandbox-classic, daml	Stable,	2020-
startsandbox-classic	Depre-	04-09
	cated	
Daml Profiler daml sandboxprofile-dir	Alpha	
Daml Compiler	0: 11	
daml build CLI	Stable	
daml damlc CLI	Stable	
Compilation and packaging (daml damlc build)	Stable	
Legacy packaging command (daml damlc package)	Stable,	2020-
	Depre-	10-14
	cated	
In-memory Scenario/Script testing (daml damlc test)	Stable	

Continued on next page

Table 2 – continued from previous page

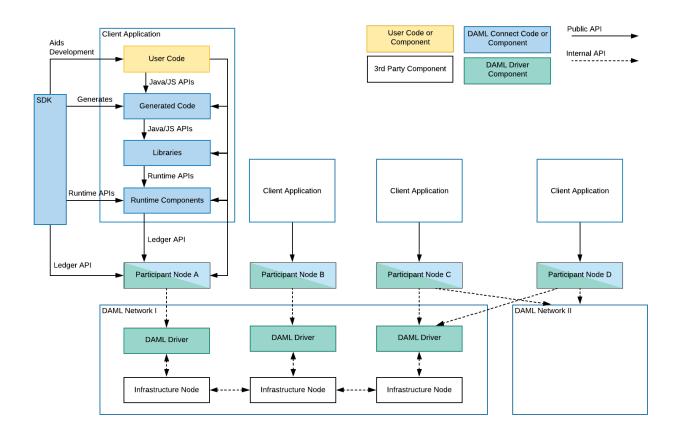
	T	
Component / Feature	Status	Dep-
		re-
		cated
	Stable	on
DAR File inspection (daml damlc inspect-dar). The exact output is only		
covered by semantic versioning when used with the ——json flag.	Stable	
DAR File validation (daml damlc validate-dar)		
Daml Linter (daml damlc lint)	Stable	
Daml REPL (daml damlc repl)	See	
	Daml	
	REPL	
	head-	
	ing	
	below	
Daml Language Server CLI (daml damlc ide)	Labs	
Daml Documentation Generation (daml damlc docs)	Labs	
Daml Model Visualization (daml damlc visual and daml damlc visual-	Labs	
web)		
daml doctest	Labs	
Scenarios and Script		
Scenario Daml API	Stable	
Script Daml API	Stable	
Daml Scenario IDE integration	Stable	
Daml Script IDE integration	Stable	
Daml Script Library	See Li-	
	braries	
	01.1.1.	
daml test in-memory Script and Scenario test CLI	Stable	
daml test in-memory Script and Scenario test CLI daml test-script Sandbox-based Script Testing	Stable	
daml test-script Sandbox-based Script Testing	Stable	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.	Stable	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.  Navigator	Stable Stable	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.  Navigator  Daml Navigator Development UI (daml navigator server)	Stable Stable Stable	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.  Navigator  Daml Navigator Development UI (daml navigator server)  Navigator Config File Creation (daml navigator create-config)	Stable Stable Stable Stable	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.  Navigator  Daml Navigator Development UI (daml navigator server)  Navigator Config File Creation (daml navigator create-config)  Navigator graphQL Schema (daml navigator dump-graphql-schema)	Stable Stable Stable Stable	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.  Navigator  Daml Navigator Development UI (daml navigator server)  Navigator Config File Creation (daml navigator create-config)  Navigator graphQL Schema (daml navigator dump-graphql-schema)  Daml REPL Interactive Shell	Stable Stable Stable Stable Labs	
daml test-script Sandbox-based Script Testing daml script CLI to run Scripts against live ledgers.  Navigator  Daml Navigator Development UI (daml navigator server)  Navigator Config File Creation (daml navigator create-config)  Navigator graphQL Schema (daml navigator dump-graphql-schema)  Daml REPL Interactive Shell  daml repl CLI	Stable Stable Stable Stable Labs Stable	

This page is intended to give you an overview of the components that constitute the Daml Ecosystem, what status they are in, and how they fit together. It lays out Daml's public API in the sense of Semantic Versioning, and is a prerequisite to understanding Daml's Portability, Compatibility, and Support Durations.

The pages Status Definitions and Feature and Component Statuses give a fine-grained view of what labels like Alpha and Beta mean, which components expose public APIs and what status they are in.

### 10.1.3 Architecture

A high level view of the architecture of a Daml application or solution is helpful to make sense of how individual components, APIs and features fit into the Daml Stack.



The stack is segmented into two parts. Daml Drivers encompass those components which enable an infrastructure to run Daml Smart Contracts, turning it into a **Daml Network**. **Daml Connect** consists of everything developers and users need to connect to a Daml Network: the tools to build, deploy, integrate, and maintain a Daml Application.

#### 10.1.3.1 Daml Networks

#### **Daml Drivers**

At the bottom of every Daml Application is a Daml network, a distributed, or possibly centralized persistence infrastructure together with Daml drivers. Daml drivers enable the persistence infrastructure to act as a consensus, messaging, and in some cases persistence layer for Daml Applications. Most Daml drivers will have a public API, but there are no *uniform* public APIs on Daml drivers. This does not harm application portability since applications only interact with Daml networks through the Participant Node. A good example of a public API of a Daml driver is the command line interface of Daml for Postgres. It's a public interface, but specific to the Postgres driver.

#### **Integration Components**

Daml drivers and Participant Nodes share a lot of components between underlying DLTs or Databases. These shared components are called the Integration Components, or sometimes the Daml Integration Kit.

#### 10.1.3.2 Participant Nodes

On top of, or integrated into the Daml Drivers sits a Participant Node, that has the primary purpose of exposing the Daml Ledger API. In the case of *integrated* Daml Drivers, the Participant Node usually interacts with the Daml Drivers through solution-specific APIs. In this case, Participant Nodes can only communicate with Daml Drivers of one Daml Network. In the case of *interoperable* Daml Drivers, the Participant Node communicates with the Daml Drivers through the uniform Canton Protocol. The Canton Protocol is versioned and has some cross-version compatibility guarantees, but is not a public API. So participant nodes may have public APIs like monitoring and logging, command line interfaces or similar, but the only *uniform* public API exposed by all Participant Nodes is the Ledger API.

# 10.1.3.3 Ledger API

The Ledger API is the primary interface that offers forward and backward compatibility between Daml Networks and Applications (including Daml Connect components). As you can see in the diagram above, all interaction between components above the Participant Node and the Participant Node or Daml Network happen through the Ledger API. The Ledger API is a public API and offers the lowest level of access to Daml Ledgers supported for application use.

#### 10.1.3.4 Daml Connect

### **Runtime Components**

Runtime components are standalone components that run alongside Participant Nodes or Applications and expose additional services like query endpoints, automations, or integrations. Each Runtime Component has public APIs, which are covered in Feature and Component Statuses. Typically there is a command line interface, and one or more Runtime APIs as indicated in the above diagram.

#### Libraries

Libraries naturally provide public APIs in their target language, be it Daml, or secondary languages like JavaScript or Java. For details on available libraries and their interfaces, see Feature and Component Statuses.

# **Generated Code**

The SDK allows the generation of code for some languages from a Daml Model. This generated code has public APIs, which are not independently versioned, but depend on the Daml Connect version and source of the generated code, like a Daml package. In this case, the version of the Daml Connect SDK used covers changes to the public API of the generated code.

# Developer Tools / SDK

The Daml Connect SDK consists of the developer tools used to develop user code, both Daml and in secondary languages, to generate code, and to interact with running applications via Runtime, and Ledger API. The SDK has a broad public API covering the Daml Language, CLIs, IDE, and Developer tools, but few of those APIs are intended for runtime use in a production environment. Exceptions to that are called out on Feature and Component Statuses.

# 10.2 Releases and Versioning

# 10.2.1 Versioning

All Daml components follow Semantic Versioning. In short, this means that there is a well defined public API, changes or breakages to which are indicated by the version number.

Stable releases have versions MAJOR.MINOR.PATCH. Segments of the version are incremented according to the following rules:

- 1. MAJOR version when there are incompatible API changes,
- 2. MINOR version when functionality is added in a backwards compatible manner, and
- 3. PATCH version when there are only backwards compatible bug fixes.

Daml's public API is laid out in the Daml Ecosystem Overview.

#### 10.2.2 Cadence

Regular snapshot releases are made every Wednesday, with additional snapshots released as needed. These releases contain Daml Connect and Integration Components, both from the daml repository as well as some others.

Stable versions are released once a month. See *Process* below for the usual schedule. This schedule is a guide, not a guarantee, and additional releases may be made, or releases may be delayed for skipped entirely.

No more than one major version is released every six months, barring exceptional circumstances.

Individual Daml drivers follow their own release cadence, using already released Integration Components as a dependency.

#### 10.2.3 Support Duration

Major versions will be supported for a minimum of one year after a subsequent Major version is release. Within a major version, only the latest minor version receives security and bug fixes.

#### 10.2.4 Release Notes

Release notes for each release are published on the Release Notes section of the Daml Driven blog.

# 10.2.5 Roadmap

Once a month Digital Asset publishes a community update to accompany the announcement of the release candidate for the next release. The community update contains a section outlining the next priorities for development. You can find community updates on the Daml Driven Blog, or subscribe to the mailing list or social media profiles on https://daml.com/ to stay up to date.

#### 10.2.6 Process

Weekly snapshot and monthly stable releases follow a regular process and schedule. The process is documented in the Daml repository so only the schedule for monthly releases is covered here.

Selecting a Release Candidate

This is done by the Daml core engineering teams on the first Monday of every month.

The monthly releases are time-based, not scope-based. Furthermore, Daml development is fully HEAD-based so both the repository and every snapshot are intended to be in a

fully releasable state at every point. The release process therefore starts with selecting a release candidate . Typically the Snapshot from the preceding Wednesday is selected as the release candidate.

#### Release Notes and Candidate Review

After selecting the release candidate, Release Notes are written and reviewed with a particular view towards unintended changes and violations of Semantic Versioning.

#### Release Candidate Refinement

If issues surface in the initial review, the issues are resolved and different Snapshot is selected as the release candidate.

#### Release Candidate Announcement

Barring delays due to issues during initial review, the release candidate is announced publicly with accompanying Release Notes on the Thursday following the first Monday of every Month.

#### Communications, Testing and Feedback

In the days following the announcement, the release is presented and discussed with both commercial and community users. It is also put through its paces by integrating it in project:DABL and several ledger integrations.

#### Release Candidate Refinement II

Depending on feedback and test results, new release candidates may be issued iteratively. Depending on the severity of changes from release candidate to release candidate, the testing period is extended more or less.

#### Release

Assuming the release is not postponed due to extended test periods or newly discovered issues in the release candidate, the release is declared stable and given a regular version number on the second Wednesday after the first Monday of the Month.



Fig. 1: The release process timeline illustrated by example of September 2020.

# 10.3 Portability, Compatibility, and Support Durations

The Daml Ecosystem offers a number of forward and backward compatibility guarantees aiming to give the Ecosystem as a whole the following properties. See *Architecture* for the terms used here and how they fit together.

# **Application Portability**

A Daml application should not depend on the underlying Database or DLT used by a Daml network.

#### Network Upgradeability

Ledger Operators should be able to upgrade Daml network or Participant Nodes seamlessly to stay up to date with the latest features and fixes. A Daml application should be able to operate without significant change across such Network Upgrades.

#### Daml Connect Upgradeability

Application Developers should be able to update their developer tools seamlessly to stay up to date with the latest features and fixes, and stay able to maintain and develop their existing applications.

# 10.3.1 Ledger API Compatibility: Application Portability

Application Portability and to some extent Network Upgradeability are achieved by intermediating through the Ledger API. As per *Versioning*, and *Architecture*, the Ledger API is independently semantically versioned, and the compatibility guarantees derived from that semantic versioning extend to the entire semantics of the API, including the behavior of Daml Packages on the Ledger. Since all interaction with a Daml Ledger happens through the Daml Ledger API, a Daml Application is guaranteed to work as long as the Participant Node exposes a compatible Ledger API version.

Specifically, if a Daml Application is built against Ledger API version X.Y.Z and a Participant Node exposes Ledger API version X.Y2.Z2, the application is guaranteed to work as long as Y2.Z2 >= Y.Z.

Participant Nodes advertise the Ledger API version they support via the version service.

As a concrete example, Daml for Postgres 1.4.0 has the Participant Node integrated, and exposes Ledger API version 1.4.0 and the Daml for VMware Blockchain 1.0 Participant Nodes expose Ledger API version 1.6.0. So any application that runs on Daml for Postgres 1.4.0 will also run on Daml for VMware Blockchain 1.0.

#### 10.3.1.1 List of Ledger API Versions supported by Daml Connect

The below lists with which Daml Connect version a new Ledger API version was introduced.

Ledger API Version	Daml Connect Version
1.10	1.11
1.9	1.10
1.8	1.9
<= 1.7	Introduced with the same Daml Connect / SDK version

#### 10.3.1.2 Summary of Ledger API Changes

Ledger API Version	Changes
1.10	Introduce Daml-LF 1.12
	Stabilize participant pruning
1.9	Introduce Daml-LF 1.11
1.8	Introduce Multi-Party Submissions
<= 1.7	See Daml Connect (/SDK) release notes of same version number.

# 10.3.2 Driver and Participant Compatibility: Network Upgradeability

Given the Ledger API Compatibility above, network upgrades are seamless if they preserve data, and Participant Nodes keep exposing the same or a newer minor version of the same major Ledger API Version. The semantic versioning of Daml drivers and participant nodes gives this guarantee. Upgrades from one minor version to another are data preserving, and major Ledger API versions may only be removed with a new major version of integration components, Daml drivers and Participant Nodes.

As an example, from an application standpoint, the only effect of upgrading Daml for Postgres 1.4.0 to Daml for Postgres 1.6.0 is an uptick in the Ledger API version. There may be significant changes to components or database schemas, but these are not public APIs.

# 10.3.3 SDK, Runtime Component, and Library Compatibility: Daml Connect Upgradeability

As long as a major Ledger API version is supported (see <u>Ledger API Support Duration</u>), there will be supported version of Daml Connect able to target all minor versions of that major version. This has the obvious caveat that new features may not be available with old Ledger API versions.

For example, an application built and compiled with Daml Connect 1.4.0 against Ledger API 1.4.0, it can still be compiled using SDK 1.6.0 and can be run against Ledger API 1.4.0 using 1.6.0 libraries and runtime components.

# 10.3.4 Ledger API Support Duration

Major Ledger API versions behave like stable features in Status Definitions. They are supported from the time they are first released as stable to the point where they are removed from Integration Components and Daml Connect following a 12 month deprecation cycle. The earliest point a major Ledger API version can be deprecated is with the release of the next major version. The earliest it can be removed is 12 months later with a major version release of the Integration Components.

Other than for hotfix releases, new releases of the Integration Components will only support the latest minor/patch version of each major Ledger API version.

As a result we can make this overall statement:

An application built using Daml Connect U.V.W against Ledger API X.Y.Z can be maintained using any Daml Connect version U2.V2.W2 >= U.V.W as long as Ledger API major version X is still supported at the time of release of U2.V2.W2, and run against any Daml Network with Participant Nodes exposing Ledger API X.Y2.Z2 >= X.Y.Z.

# 10.4 Getting Help

Have questions or feedback? You're in the right place.

#### Questions: Forum

For how do I?, why does something work this way or I've got a programming problem I'm trying to solve questions, the Questions category on our forum is the best place to ask. If you're not sure what makes a good question, take a look at our guide on the topic.

#### Feedback: Forum

If you want to give feedback, you can make a topic in the General category on our forum.

When you're in the community Forum or on Stack Overflow, please keep to our Code of Conduct.

10.4. Getting Help 567

# 10.4.1 Support expectations

For community users (ie on our Forum and Stack Overflow):

**Timing**: You can enjoy the support of the community, which is provided for you out of their own good will and free time. On top of that, a Digital Asset employee will try to reply to unanswered questions within two business days.

Business days are affected by public holidays. Engineers contributing to Daml are mostly located in Zurich and New York, so please be mindful of the public holidays in those locations (timeanddate.com maintains an unofficial list of holidays for both Switzerland and the United States).

Public support: We offer public support in the Questions category on our forum.

We can't answer questions in private messages or over email, so please only ask questions in public forums.

**Level of support**: We're happy to answer questions about error messages you're encountering, or discuss Daml design questions. However, we can't provide more extensive consultation on how to build your Daml application or the languages, frameworks, libraries and tools you may use to build it.

If you need private support, or want consultation from Digital Asset about how to build your Daml application, they offer paid support. Please contact Digital Asset to ask about pricing.